Modeling electrical dispersion phenomena in Earth materials

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Abstract
It is illustrated that IP phenomena in rocks can be described using conductivity dispersion models deduced as solutions to a 2nd-order linear differential equation describing the motion of a charged particle immersed in an external electrical field. Five dispersion laws are discussed, namely: the non-resonant positive IP model, which leads to the classical Debye-type dispersion law and by extension to the Cole-Cole model, largely used in current practice; the non-resonant negative IP model, which allows negative chargeability values, known in metals at high frequencies, to be explained as an intrinsic physical property of earth materials in specific field cases; the resonant flat, positive or negative IP models, which can explain the presence of peak effects at specific frequencies superimposed on flat, positive or negative dispersion spectra.

Key words induced polarization – electrical dispersion spectra – geophysical applications

1. Introduction

Electric dispersion in rocks is the phenomenology on which the Frequency-Domain (FD) Induced Polarization (IP) geophysical survey method is based. FD IP effects are mostly investigated in mining, environmental and geothermal exploration. IP is the evidence that a complex mechanism of electrical conduction occurs in earth materials, necessitating an extension of the concept of resistivity, which in the FD can no longer be retained independent of frequency.

Many empirical models have been proposed to explain IP effects in rocks. Extended treatments can be found in the review books by Wait (1959), Bertin and Loeb (1976), Sumner (1976) and Fink et al. (1990). However, as pointed out by Wait (1982), the characterization of IP by empirical laws is vague and confusing, since, fundamentally, non-physical descriptions have been employed. To overcome this conceptual drawback, a generalized physical model has been examined in a very recent paper (Patella, 2003) by solving in the FD the following electrodynamic equation of a charge carrier subject to the action of an external electrical field $E(\omega)$

$$\sum_{r=0}^{2} m_r(i\omega)^r R(\omega) = qE(\omega) \quad (1.1)$$

where $\omega$ is the angular frequency, $i$ is the imaginary unit, $q$ and $m_2$ represent the electric charge and the mass of the carrier, $m_0$ an elastic-like coefficient accounting for recall effects, $m_1$ a friction-like coefficient accounting for dissipative effects due, e.g., to collisions, and $R(\omega)$ is the FT of the trajectory of the charge carrier.

Assuming for simplicity that the dispersive material contains only one species of charge carriers, indicating with $K$ the number of charge carriers per unit of volume, the following expression for the dispersive conductivity function $\sigma(\omega)$, called admittivity (Stoyer, 1976), has
been derived (Patella, 2003)

\[ \sigma(\omega) = \frac{i\omega K q^2}{m_i + i\omega m - \omega^2 m_2} \] (1.2)

Equation (1.2) is a simple IP model, which describes the behavior of a circuit-like cell made of an RCL series combination. It is equivalent to the Lorentz dispersion formula obtained as solution to the 2nd-order ordinary differential equation of harmonic oscillation (Balanis, 1989).

2. Non-resonant positive IP model

Let us consider now a system with two different species of charge carriers and put with \( K_j, q_j \), and \( m_{p_j} \) \((p=0,1,2)\) the number per unit of volume, the electric charge and the passive coefficients, respectively, of the carriers of the \( j \)-th species \((j=1,2)\). Such a pair of ionic species can be the result of ionic dissociation of a salt dissolved in pore water. An interesting case to discuss is when one species \((j=1)\) is characterized by negligible recall and inertia terms, and the other species \((j=2)\) by only negligible inertia. This compound can, in fact, be used to explain the well-known IP electrode effect, caused by the presence of metallic or electronic conducting mineral particles occluding pore paths in rocks (Madden and Cantwell, 1967). Considering, for simplicity, a single-salt solution, only one ionic component, namely species 1, is assumed to undergo redox reactions at the two opposite contact faces, in order for the electric current to flow from the solution through the metallic mineral. This is equivalent to claiming that only the passage of the reacting species 1 is allowed. The non-reactant ionic species 2, instead, after running short distances under the influence of the impressed field, must remain blocked along the frontal metallic face, thus giving rise to the IP effect. This simple compound can also explain the IP membrane effect in porous rocks containing dispersed clay particles in contact with an electrolytic solution (Madden and Cantwell, 1967), generally less strong than the IP electrode effect. Considering again a single-salt solution, species 1 and 2 would represent, respectively, the cations, carrying the electrical current fed by the external source, and anions, traveling, instead, short distances due to the blocking action by the negatively charged membranes (clay particles).

Referring to eq. (1.2), it is thus assumed that an elementary cell of a dispersive rock can behave like a two-branch parallel circuit, with a branch consisting of a single resistance and the other branch of a RC series sequence, i.e.

\[ \sigma^{(+)}(\omega) = \frac{K_0 q_0^2}{m_{n_1}} + \frac{i\omega K_2 q_2^2}{m_{n_2} + i\omega m_2} \] (2.1)

Putting

\[ \sigma_1 = \frac{K_0 q_0^2}{m_{n_1}} \] (2.2a)

\[ \sigma_2 = \frac{K_2 q_2^2}{m_{n_2}} \] (2.2b)

\[ \gamma_2 = \frac{m_{n_2}}{m_{n_1}} \] (2.2c)

from eq. (2.1) we obtain \( \sigma^{(+)}(\omega) \) as

\[ \sigma^{(+)}(\omega) = \frac{\sigma_1 \gamma_2 + i\omega (\sigma_1 + \sigma_2)}{\gamma_2 + i\omega} \] (2.3)

Equation (2.3) represents a simple non-resonant positive IP model, whose real and imaginary parts are qualitatively drawn versus frequency in fig. 1. The real part of the admittivity is always positive and its High-Frequency (HF) asymptote is located at a finite level higher than the Low-Frequency (LF) asymptote. The imaginary part, which is always positive, vanishes at both limits and presents a maximum in the inflexion point of the real part. The dispersion law expressed by eq. (2.3) is equivalent to the well known Debye model (Debye, 1928).

Generalizing, for each elementary cell either a parallel or a series combination of \( N \) two-branch circuits can be considered. For a parallel combination, the admittivity takes the form

\[ \sigma^{(+)}(\omega) = \sum_{n=1}^{N} \frac{\sigma_n \gamma_n + i\omega (\sigma_n + \sigma_n)}{\gamma_n + i\omega} \] (2.4)

and for a series combination the dispersive resistivity function \( \rho^{(+)}(\omega) \), called impedivity
Modeling electrical dispersion phenomena in Earth materials (Patella, 1987), takes the form (2.5)

Putting (2.6a) (2.6b) (2.6c) equation (2.5) can be written in the following best known form (Patella, 2003) (2.7) However, it is the Cole-Cole (CC) model (Cole and Cole, 1941) that has reached the greatest popularity in IP analysis since the pioneering work by Pelton et al. (1978), who reported the CC impedivity function $\rho_{CC}(\omega)$ in the form (2.8) where $\tau \geq 0$ and $c \in [0,1]$ are heuristic parameters required to adapt eq. (2.8) to experimental data, and $m \in [0,1]$ is the chargeability parameter introduced by Seigel (1959).

The CC model, though considered an empirical law (Wait, 1982), can physically be interpreted as a continuous distribution of Debye dispersion terms (Pelton et al., 1983), and hence approximated by an expression like eq. 2.7 (Patella and Di Maio, 2003). Following the theory developed by Patella (1987, 1993), the CC model has been included in the magnetotelluric (MT) method to study the distortions provoked by IP on 1D (Di Maio et al., 1991) and 2D (Mauriello et al., 1996) synthetic responses. IP effects in MT have been experimentally recognized in volcanic and geothermal areas (Patella et al., 1991; Coppola et al., 1993; Giammetti et al., 1996; Di Maio et al., 1997, 2000; Mauriello et al., 2000, 2004).

3. Non-resonant negative IP model

Negative IP effects in earth materials are observed when $|\sigma(\omega)|<|\sigma_0|$ for $\omega>0$. In literature, negative IP is considered a geometric effect (Bertin and Loeb, 1976) and it was modeled for a polarizable sphere immersed in a uniform non-polarizable half-space (Sumner, 1967; Wait, 1982) and for certain layered media (Nabighian and Elliot, 1976). Sumner (1976) maintains that positive IP effects are usually associated with negative IP in definite patterns, and that for most surveys, positive values are larger than negative. He warns that if these conditions are not seen in the data, there may well be equipment or coupling problems. Madden and Cantwell (1967) hint that negative IP effects can be caused by leakages between transmitting and receiving circuits, and Bertin and Loeb (1976) also mention inductive coupling, which is sometimes added to and sometimes subtracted from the IP.

In conclusion, negative IP in the geophysical literature is not considered a physical effect, i.e. an intrinsic physical property of earth materials. This is rather surprising if one considers, for instance, that negative dispersion is an intrinsic physical property of electrons in metals at wavelengths sensibly less than about $10^2$ cm (Stratton, 1941). It is shown now that a non-resonant negative IP model can be easily derived in the frame of the theory exposed in Section 1.
Let us consider again a system with two different species of charge carriers and discuss the case when both species consist of unbound charges and the first species \((j=1)\) has negligible inertia. Referring to eq. (1.2), it is thus assumed that an elementary cell of a dispersive rock can now behave like a two-branch parallel circuit, with a branch made of a single resistance and the other branch of a RL series combination, \(i.e.\)

\[
\sigma^>(\omega) = \frac{K_i q_i^2}{m_{1,1}} + \frac{K_i q_i^2}{m_{1,2} + i\omega m_{1,2}}
\]  

Using eq. (2.2a) and eq. (2.2b) and putting

\[
\lambda_i = \frac{m_{2,2}}{m_{1,2}}
\]  

eq. (3.1) is written as

\[
\sigma^>(\omega) = \frac{(\sigma_1 + \sigma_2) + i\omega \lambda_2 \sigma_1}{1 + i\omega \lambda_2}
\]  

Equation (3.3) represents a simple non-resonant negative IP model. The similarity with eq. (2.3) allows \(\sigma^>(\omega)\) to be considered a reverse Debye model. Its real and imaginary parts are qualitatively drawn \(v\)ersus frequency in fig. 2. Again the real part of the admittivity is always positive, but now its LF asymptote is placed at a finite level higher than the HF asymptote. The imaginary part is now always negative, vanishes again for \(\omega \to 0, \infty\) and presents a minimum in correspondence with the inflexion point of the real part.

Generalizing, for each elementary cell either a parallel or a series combination of \(N\) two-branch circuits can again be considered. For a parallel combination, the admittivity takes the form

\[
\sigma^>(\omega) = \sum_{n=1}^{N} \frac{(\sigma_{1n} + \sigma_{2n}) + i\omega \lambda_{2n} \sigma_{1n}}{1 + i\omega \lambda_{2n}}
\]  

while, for a series combination, the impedivity becomes

\[
\rho^>(\omega) = \sum_{n=1}^{N} \frac{1 + i\omega \lambda_{2n}}{(\sigma_{1n} + \sigma_{2n}) + i\omega \lambda_{2n} \sigma_{1n}}
\]  

4. Resonant IP models

Resonant IP effects are indeed included in the general solution given by eq. (1.2), hence a system with two different species of charge carriers is again considered by discussing the case when only the first species \((j=1)\) is characterized by negligible recall and inertia terms. It is thus assumed that an elementary cell of a dispersive rock now behaves like a two-branch parallel circuit, with a branch made of a single resistance and the other branch of a RLC series combination, \(i.e.\)

\[
\sigma^{\omega0}(\omega) = \frac{K_i q_i^2}{m_{1,1}} + \frac{i\omega K_i q_i^2}{m_{1,2} + i\omega m_{1,2} - \omega^2 m_{1,2}}
\]  

which, recalling eqs. (2.2a) to (2.2c)) and eq. (3.2) can be rewritten as

\[
\sigma^{\omega0}(\omega) = \frac{\sigma_1 (\gamma_2 - \omega^2 \lambda_2) + i\omega (\sigma_1 + \sigma_2)}{\gamma_2 + i\omega - \omega^2 \lambda_2}
\]  

Equation (4.2) represents a simple non-resonant flat IP model. Its real and imaginary parts are qualitatively drawn \(v\)ersus frequency in fig. 3. The real part of the admittivity is again always positive, but its LF and HF asymptotes are now placed at the same finite level (flat asymptotic
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line) and a bump appears with a maximum in correspondence with the resonance frequency \( \omega = \frac{\gamma_r^2}{\lambda_r^2} \). The imaginary part goes to zero for \( \omega \to 0, \infty \) and shows an undulation with the positive peak followed by the negative peak and crossing the \( \omega \)-axis in the resonance frequency. It is worth pointing out that a resonant effect can be considered a sequence of a positive and a negative IP effect.

Generalizing, for each elementary cell either a parallel or a series combination of \( N \) two-branch circuits can as before be considered.

With no claim to be exhaustive, this survey on the IP physical modeling theory can now be concluded by considering resonance an additional phenomenon superimposed on either a positive or negative IP effect. It is thus assumed that an elementary cell of a dispersive rock can now contain three different ionic species \((j=1,2,3)\) and behave like a three-branch parallel circuit, with a branch made of a single resistance \((j=1)\), the second branch of either a RC or a RL series combination \((j=2)\), and the third branch by a RCL series combination \((j=3)\), i.e.

\[
\sigma^{\text{res}}(\omega) = \frac{K_i q_i^1}{m_{i,1}} + \frac{i \omega K_i q_i^2}{m_{i,2} + i \omega m_{i,2}} + \frac{i \omega K_i q_i^3}{m_{i,3} + i \omega m_{i,3} - \omega^2 m_{i,3}}
\tag{4.3}
\]

for the resonant positive IP, and

\[
\sigma^{\text{res}}(\omega) = \frac{\sigma_i}{\gamma_r + i \omega} + \frac{i \omega \sigma_i}{\gamma_r + i \omega - \omega^2 \lambda_r}
\tag{4.4}
\]

for the resonant negative IP.

Using the same symbolism as above, eq. (4.3) and eq. (4.4) can be given, respectively, as

\[
\sigma^{\text{res}}(\omega) = \frac{\sigma_i \gamma_r + i \omega \sigma_i}{\gamma_r + i \omega} + \frac{i \omega \sigma_i}{\gamma_r + i \omega - \omega^2 \lambda_r}
\tag{4.5}
\]

\[
\sigma^{\text{res}}(\omega) = \frac{\sigma_i + \sigma_r + i \omega \lambda_r \sigma_r}{1 + i \omega \lambda_r} + \frac{i \omega \sigma_r}{\gamma_r + i \omega - \omega^2 \lambda_r}
\tag{4.6}
\]

Figures 4 and 5 show qualitatively the behavior of the real and imaginary parts of the resonant positive IP model given by eq. (4.5) and the resonant negative IP model given by eq. (4.6). The result is the sum of the diagrams of the real and imaginary parts depicted in fig. 1 and fig. 2, respectively, plus the homologous diagrams in fig. 3, provided that a shift to the zero-level is applied to the common LF and HF asymptote of the real part.

The influence of the resonant positive IP...
phenomenon on transient EM methods has been studied in detail by Ageev and Svetov (1999) and Svetov and Ageev (1999). They adopted the CC model given in eq. (2.8), by arguing that for small chargeability values its validity can be extended to values of \( c \in [1,2] \) and that within this interval of \( c \) resonance effects can be modeled.

5. Conclusions

It has been shown that IP phenomena in rocks can be described using conductivity dispersion laws derived as solutions to a linear 2nd-order differential equation defining the motion of a charged particle immersed in an external electrical field. Five dispersion laws have been discussed, namely:

1) the non-resonant positive IP model, which leads to the classical Debye dispersion law and by extension to the Cole-Cole law, largely used in current practice;

2) the non-resonant negative IP model, which introduces the possibility of explaining negative chargeability values, known in metals at high frequencies, also as an intrinsic physical effect in some earth materials;

3) the resonant flat, positive or negative IP models, which explain the presence of peak effects at specific frequencies superimposed on flat, positive or negative dispersion spectra.

Though all the derived dispersion models are related to the physical parameters regulating the motion of charge carriers under the influence of an external electric field, it must once again be remarked that distinguishing ionic species in earth materials by IP spectra still remains a difficult task. However, decrypting field measurements by one of the derived IP models may be very useful in some exploration problems.

To conclude, it is worth recalling that non-resonant positive IP responses constitute by far the largest majority of effects observed directly by the IP method in mineral, oil and groundwater investigations, and, indirectly by the MT method, also in geothermal areas. A wealth of literature exists on this topic, covering the last six decades almost continuously, since the pioneering intensive works started in the late 40’s of the last century. Less known in literature are resonant IP responses, mostly studied by Russian researchers, of which good examples are reported in Safonov et al. (1996). Finally, regarding the negative IP effect as a true physical property of rocks, there is not yet any documentation firmly attesting such a possibility. Nevertheless, it is suspected that in environmental applications, for instance, the observation of near-surface negative IP effects may be a useful indicator of the presence of massive ionic contaminants in conductive sediments.

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