

1 **A study of the seismic response of the city of Benevento (Southern Italy) through a combined**
2 **analysis of seismological and geological data**

3
4 G. Di Giulio¹, L. Improta¹, G. Calderoni² and A. Rovelli²

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6 ¹ Istituto Nazionale di Geofisica e Vulcanologia, Via del Castel D'Aquino, Grottaminarda (Av), Italy

7 ² Istituto Nazionale di Geofisica e Vulcanologia, Via di Vigna Murata 605, Rome, Italy

8
9 *corresponding author:* digiulio@ingv.it

10 TEL: ++39 0651860613 FAX: ++39 0651860507

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12
13 **Abstract**

14
15 A previous analysis (Improta et al., 2005) of small magnitude earthquakes recorded at 12 sites within
16 the city of Benevento has stressed the significant role played by near-surface geology in causing variability
17 of the ground motion. In this paper, we extend the study of the seismic response from 12 sites to the entire
18 urban area. Based on inferences from the comparison at the 12 sites between earthquake and ambient
19 vibration results, we have collected ambient noise at about 100 sites within the city, intensifying
20 measurements across the main shallow geological variations. We use borehole data to interpret ambient noise
21 H/V spectral ratios in terms of near-surface geology comparing H/V curves to theoretical transfer functions
22 of 1D models along five well-constrained profiles.

23 On the basis of geological, geotechnical, and seismic data, we identify three main typologies of seismic
24 response in the city. Each type of response is associated to zones sharing common soil conditions and similar
25 soil classes according to building codes for seismic design. Moreover, we find that the spatial variation of the
26 seismic response in the ancient town area is consistent with the damage pattern produced by a very
27 destructive, well-documented historical earthquake that struck the city in 1688, causing MCS intensity of IX-
28 X in Benevento.

29 Finally, we use ground motions recorded during the experiment by Improta et al. (2005) to generate
30 synthetic seismograms of moderate to strong (Mw 5.7, Molise 2002 and Ms 6.9, 1980 Irpinia) earthquakes.
31 We calibrate the random summation technique by Ordaz et al. (1995) using recordings of these earthquakes
32 available in Benevento. After a satisfactory fit between observed and synthetic seismograms, we compute
33 response spectra at different sites and speculate on effects of the geology class at large level of shaking,
34 including soil nonlinearity. We find that large discrepancies from design spectra prescribed by seismic codes
35 can occur for a wide sector of Benevento, especially for periods < 0.5 sec.

36
37 *Keywords:* *site effects, ambient noise, Empirical Green's Functions, response spectra, Benevento*

38
39 **1. Introduction**

40 The city of Benevento was the capital of the Sannio region (southern Italy). The ancient population of
41 Samnites founded the city along the confluence of two rivers (Sabato and Calore rivers). After the third
42 Samnite war (298-290 B.C.) Benevento was conquered by Romans becoming one of the most important

43 towns in southern Italy. In the Middle Ages the city was ruled by Lombards, by Byzantines (XIth century)
44 and then by Popes.

45 Nowadays Benevento preserves an important historical heritage witnessing its long past. Significant
46 monuments within the city are the Trajan Arch (114 A.D.) built by the roman Emperor Trajan to celebrate
47 the win against Dacia, the Roman theatre and amphitheatre (I-II sec A.D.) and the church of Santa Sofia (762
48 A.D.). Benevento, with over 60.000 inhabitants at the present time, is a typical example of historical Italian
49 city showing a typology of buildings spanning from ancient to modern age. The precious monumental
50 heritage preserved in the old town is indeed surrounded by modern and densely populated areas.

51 The Sannio region is one of the Italian areas with highest potential for a future strong earthquake. This
52 region is surrounded by large seismogenetic structures such as Matese and Irpinia located about 20 km to the
53 north and 25 km to the south, respectively. These structures were responsible for five destructive earthquakes
54 that struck the town between 1456 and 1805, with magnitude ranging from 6.4 to 7.0 (Boschi et al., 2000).
55 Since 1805, the Sannio region experienced only one moderate event in 1962 (M_L 6.1; Westaway, 1987),
56 while the present-day seismicity is low and characterized by rare low-magnitude ($M < 3$) swarms (Chiarabba
57 and Amato, 1997; Bisio et al., 2004). The long quiescence following the 1805 event makes Benevento to be
58 highly exposed to seismic hazard. In addition, local amplifications of the ground-shaking level are expected
59 in the urban area due to strong lithological changes and widespread soft soil conditions. Lithological
60 heterogeneities are indeed responsible for rapid variation of the shallow S-wave velocities (from 200 to 1700
61 m/s). Local site effects are also suggested by anomalies in the damage distribution (Castenetto and Romeo,
62 1992) during the strongest event experienced by the city in the 1688. Its local intensity was estimated to be
63 as large as X of the Mercalli-Cancani-Sieberg (MCS) scale.

64 For the aforementioned reasons, Benevento has been the subject of important projects for earthquake
65 risk mitigation (Mayer-Rosa, 1989 and 1991; Marcellini et al., 1991; Marcellini et al., 1995a; Traiano
66 project, 2002). The great deal of borehole data collected in the framework of these projects has been used to
67 define the shallow geologic structure and the geotechnical classifications, both however suffering of the
68 extreme subsoil complexity (Pescatore et al., 1996; Improta, 1998). Site effects in the city were investigated
69 by several authors based on the analysis of active seismic data (Iannaccone et al., 1995), 1D/2D numerical
70 modelling (Fäh and Suhadolc, 1994; Marcellini et al., 1995 b; Marrara and Suhadolc, 1998) and noise
71 measurements (Duval, 1994; Maresca et al., 2003).

72 Recently, Improta et al. (2005) installed temporary seismological stations in the city and analyzed local
73 ground motion applying standard spectral techniques. They used seismic noise and weak-earthquake
74 recordings ($2.1 \leq M \leq 5.5$; Fig. 1) collected from July 2000 until March 2004. These data were recorded at
75 twelve stations representative of the main soil categories present in the city (Fig. 2). The number of
76 earthquake data with a good signal-to-noise ratio ranges from 33 to 157 depending on the station. Seismic
77 data available at these sites were analyzed using classical site-reference (HHSR) (Borcherdt, 1970; Tucker
78 and King, 1984) and not-reference spectral techniques (HVNSR and HVSR) (Nakamura, 1989; Lermo and
79 Chavez-Garzia, 1994; Field and Jacob, 1995).

80 The present article is aimed at extending the analysis on local effects from few discrete sites to a large

81 sector of the urban area. Microtremor surveys are performed and results are interpreted taking into account
82 geological/geotechnical constraints. The distribution of noise measurements was chosen to give spatial
83 continuity to the information obtained at the twelve sparse sites. Seismic and geological data allow to
84 identify three main typologies of local response and define the spatial areas of the city to be associated with
85 each typology. The collection of weak earthquakes in seismogenic zones surrounding Benevento allowed the
86 application of the Empirical Green's Function method to derive response spectra of moderate and strong
87 magnitude (M 5.7 and 6.9) earthquakes at several sites. In this approach, the computed spectral ordinates
88 include the effects of near-surface properties and are compared with values prescribed by seismic codes.

90 **2. Geological Structure and Geotechnical classification**

91 Results from crustal geophysical exploration indicate that the main upper structure in the Benevento
92 area is a NW-trending syncline deforming a tectonic melange consisting of Miocene shales with sandstones
93 and marly limestones (Fig. 3, bottom panel). This syncline is filled by Middle Pliocene conglomerates,
94 sandstones and clays belonging to a typical regressive cycle. The city of Benevento spreads on the north-
95 eastern margin of the syncline, where Quaternary continental deposits reach their maximum thickness (about
96 100 m) and cover both Miocene and Pliocene terrains (Fig. 3). Shallow geology in the urban area is
97 extremely complex. Pescatore et al. (2005) recognizes a main ancient alluvial complex covering the pre-
98 Quaternary substratum. This complex dates to Late Pliocene-Early Pleistocene times and consists of three
99 facies: stiff cemented conglomerates (facies A), very dense gravels and sands (facies B) and palustrine fine
100 soils (facies C) (Table 1). The geological evolution has been dominated since Early Pleistocene times by
101 intense flooding-depositional and erosion alluvial cycles. The Calore and Sabato rivers, which encircle the
102 ancient town (Figs. 2 and 3), are indeed responsible for several alluvial and palustrine Quaternary
103 sequences, which are difficult to distinguish because of the variability of both grain size and cementation
104 degree within the same sequence.

105 We use a subsoil model of Benevento which is based on borehole data collected and interpreted during
106 previous studies (Marcellini et al., 1995a; Pescatore et al., 1996; Improta, 1998). About 250 boreholes
107 drilled for civil engineering purposes provide information on the structure down to about 30-50 m depth
108 (Fig. 3). The data set also includes boreholes specifically drilled for earthquakes hazard research projects,
109 which allow an accurate lithostratigraphic characterization (Pescatore et al., 2005) (Fig. 3). In particular,
110 seven 50 to 100 m deep drillings (Fig. 3) improve our knowledge on the hard-rock basement formations and
111 shallow soil conditions with respect to previous studies.

112 The velocities for the main geological units of Benevento (Table 1) are mainly defined by means of
113 cross-hole and down-holes surveys (Fig. 3). Only seismic refraction investigations are available for the
114 Middle Pliocene sandstones/conglomerates and for the Miocene *mélange*, which exhibit P-wave velocity
115 around 2600 m/s (Improta, 1998). We assign to these terrains a reference S-wave velocity of 1300 m/s
116 setting the V_p/V_s ratio to 2 as inferred from local earthquake tomography in the Sannio area (Bisio et al.,
117 2004). One cross-hole and 28 down-holes define the velocities for the near-surface geology (Table 1). The
118 cross-hole from 100-m-deep boreholes located in the southern part of the Benevento hill (Lanzo, 1996)

119 provides accurate S-wave velocities (V_s) for the Middle Pliocene clays and for Late Pliocene-Pleistocene
120 alluvial soils (Figs. 3 and 4). Three down-hole surveys (Fig. 4) from seismic hazard projects yield valuable
121 information on the weathered Middle Pliocene clays, recent alluvia and superficial infillings (D’Onofrio et
122 al., 2005). This information is complemented by results of 25 proprietary down-hole surveys from civil
123 engineering companies. All in-situ measurements performed in sparse sites of the city provide consistent
124 shear wave velocities within the same geological formations, indicating the reliability of V_s estimates (Fig.
125 4). A reliable soil column, complemented by a shear velocity profile inferred from the soil classification
126 (Table 1), can be constructed down to 30-50 m depth in most of the recording sites. Therefore a site
127 classification is possible in terms of shear-velocity for the uppermost 30 m (V_{s30}) according to the Eurocode
128 8 (EC8) prescription (CEN, 2003; ETC12, 2006) (Table 2).

129 The geological map of Figure 2 gives special emphasis to the near-surface soft layers (filling, colluvial soils,
130 debris, recent alluvia) since they strongly contribute to worsen soil conditions in the flood plains and along
131 the hill-side slopes. We complement this geological map with five geo-lithological cross-sections (Figs. 5a-
132 e) and with a V_s section (Fig. 5f). The geological map, the two geological sections aa’ and cc’ and part of
133 the section dd’ have been already introduced in the previous work of Improta et al. (2005). Geological
134 sections bb’ and ee’ and the V_s section are reconstructed in the aim of this study (Fig. 2). To construct the
135 sections we used boreholes within less than 200 meters from the geological sections (Fig. 3). The distance
136 can be larger for deep wells that constrain the pre-Quaternary substratum. In spite of the high density of
137 boreholes that provide abundant constraints to the sections, some uncertainties remain in correspondence of
138 lateral geological variations.

139 According to the geological structures, the city is divided into four sectors: the Capodimonte hill, the Sabato
140 river plain, the Benevento hill and the Calore river plain (Figs. 2 and 3). For each sector, we indicate the site
141 classification in terms of V_{s30} at the 12 stations used by Improta et al. (2005) (filled circles of Fig. 2; ARC1,
142 MUS1, CAP2, CAL1, CAL2, CAL3, CAL4, CRE2, SAB1, SAB4, SAB5 and TEA1).

143

144 *2.1 Capodimonte hill*

145 Miocene shales are exposed in few outcrops east of the urban area in the Capodimonte hill, which
146 corresponds to the north-eastern flank of the Pliocene syncline (Figs. 2 and 3). Borehole data indicate that
147 the Miocene substratum is characterized by a superficial weathered, up to 15 m thick layer with a rapid
148 improvement of the mechanical properties with depth (see the geological section of Fig. 5d). Among stations
149 that provided earthquake recordings, CAP2 is the only one located on the Capodimonte Hill and is
150 characterized by large V_s values ($V_{s30} > 800$ m/s according to Table 1; soil class A).

151

152 *2.2 Sabato river plain*

153 The Sabato river plain is a NNW-trending flat flooding plain about 1.5 km wide. The plain is bounded
154 by the Gran Potenza ridge to the west and by the Benevento hill to the east (see Figs. 2 and 3, and the
155 geological section of Fig. 5e). The Gran Potenza ridge is a quite regular NE-dipping monocline formed by
156 Middle Pliocene terrains, sandstones and conglomerates in the lower part and stiff clays with silty levels in

157 the upper part. In the southernmost Sabato river plain, the Pliocene substratum consists of stiff clays with a
158 near-surface weathered, several meters thick layer. In the northern part and along the eastern margin of the
159 alluvial plain, the clayey Pliocene substratum rapidly deepens beneath deposits of the ancient alluvial
160 complex (Late Pliocene-Early Pleistocene; Pescatore et al., 2005) (see the geological sections of Figs.
161 5a,c,e). This complex consists of a homogenous, some tens of meters thick layer of soft-to-consolidated fine
162 soils referable to the palustrine facies C (Table 1). Boreholes located along the western slope of the
163 Benevento hill document a lateral heteropic change from facies C to coarse alluvial deposits (facies A and
164 B) that form the Benevento hill (see the geological sections of Figs. 5d,e). This transition is very rapid and
165 causes strong Vs variations (Fig. 5f).

166 A shallow layer of Late Pleistocene-Holocene deposits (loose to dense coarse alluvia, filling, slope
167 debris and soft colluvial soils) covers the Pliocene clays or the ancient alluvial complex (Fig. 5e). This
168 superficial cover is characterized by poor mechanical properties (Vs as small as 200 m/s) and can exceed 15
169 meters of thickness along the boundaries of the plain.

170 The local soil conditions of sites TEA1, SAB1, SAB4 and SAB5 are characterized by V_{S30} ranging from
171 320 to 400 m/s, representative of soil classes B (SAB4) or C (TEA1, SAB1 and SAB5). Sites of class C
172 share a low-velocity, about 10 m thick layer above fine palustrine soils (facies C).

173

174 **2.3 Benevento Hill**

175 The Benevento hill is a NNW-trending ridge consisting of stiff cemented conglomerates with rare
176 sandy lenses (facies A). The conglomerates outcrop along the crest of the ridge (see the geological sections
177 of Figs. 5c,d,e). In the southern part of the Benevento hill, a 100-m-deep borehole well documents that the
178 ancient alluvia overlie the Middle Pliocene stiff clays (Figs. 5d and 5e). Conversely, no drilling penetrates
179 the pre-Pleistocene substratum in the old town and in the Cretarossa area. However, the thick formation of
180 the cemented conglomerates, with S-wave velocities ranging from 1000 to 1730 m/s (see Table 1), well
181 represents the bedrock formation of the Benevento hill in spite of its Pleistocene age.

182 In the northwestern sector of the hill, finer soils composed of very dense gravels and silty sands (facies
183 B) interfinger with the cemented conglomerates. The coarse alluvia reach a total thickness of 60-70 meters
184 and overlay consolidated palustrine soils (facies C).

185 In the eastern sector of the Benevento hill (e.g. Cretarossa terrace), the cemented conglomerates are
186 covered by a younger fluvio-lacustrine deposit dating to Lower-Middle Pleistocene (Fig. 2 and Figs. 5b, 5c,
187 5d, 5e). This deposit consists of soft to consolidated fine soils, with sandy and gravelly lenses, and shows a
188 progressive thickening moving north-eastward from the ridge crest (up to a thickness of about 25 m). Thick
189 and heterogeneous covers of near-surface soft soils, including also reworked pyroclastites and debris of old
190 buildings, are widespread on the Benevento hill. Fillings are negligible along the crest of Benevento hill that
191 corresponds to the uppermost old town, and attain 10 meters in the southern, western and eastern sectors of
192 the old town (see the geological sections of Figs. 5a,b,c). However, sudden thickenings of the superficial
193 filling can also occur in the uppermost old town in correspondence of natural or artificial depressions of the

194 conglomeratic bedrock. Slope debris (very soft fine soils or loose sandy gravels) and colluvial deposits
195 (often including reworked pyroclastites) encircle the hill-side slopes.

196 Site ARC1 and MUS1 are located in the uppermost old town on nearly outcropping conglomeratic
197 bedrock (soil class A). The former was chosen as reference site in the HHSR analysis by Improta et al.
198 (2005). CRE2 is on the Cretarossa terrace (soil class E).

199

200 *2.4 Calore river valley*

201 The pre-Pleistocene substratum in the Calore river valley consists of Middle Pliocene marine sediments
202 that are reached by a well at about 70 m a.s.l. (Figs. 5a and 5b). The substratum is covered by deposits of the
203 ancient alluvial complex: fine soils of facies C in the lower part and coarse soils of facies A in the upper
204 part. The cemented conglomerates referable to facies A represent the seismic bedrock in the Calore river
205 plain (Fig. 2 and the geological sections of Figs. 5a,b).

206 Sites CAL1, CAL2, CAL3, CAL4 (Fig. 2) in the Calore valley, similarly to CRE2, correspond
207 predominantly to class E being characterized by a soft layer 5 to 20 m thick with low S-velocity overlaying
208 stiff cemented conglomerates.

209

210 **3. The ambient noise survey**

211 Fig. 2 plots the HHSRs, relative to the reference bedrock site ARC1, and the HVNSRs for the twelve
212 stations that provided earthquake recordings. The comparison at the twelve stations between ambient noise
213 and earthquake data analysis (Improta et al., 2005) suggests the potentiality and the limit of noise techniques
214 for assessing site effects in Benevento. HVNSRs yield reliable information on the seismic response in areas
215 where bedrock consists of stiff conglomerates (Benevento hill, Cretarossa Terrace and Calore river Plain).
216 Flat HVNSRs (maximum amplification less than 2) are found indeed for sites ARC1, MUS1, and CAP2
217 which belong to the same soil class A (Fig. 2, see also the geological sections of Figs. 5b,c). Consistently,
218 the HHSRs of MUS1 and CAP2 do not show significant amplification relative to ARC1.

219 For sites CRE2, CAL1, CAL2, CAL3 and CAL4 the shapes of HVNSR and HHSR are also in good
220 agreement; the narrow high-frequency peaks (amplitude of about 5 at $f > 4$ Hz) are well explained by 1D
221 resonance of a soft layer above hard-rock basement (Fig.2, see also the geological sections of Figs. 5a,b,d).

222 Conversely, the sites of the Sabato plain (TEA1, SAB1, SAB4, SAB5 in Fig. 2, see also the geological
223 sections of Figs. 5a,c,d,e) show the largest discrepancies between HVNSR and HHSR; the noise ratios are
224 almost flat whereas earthquake data indicate moderate local effects in a large frequency band (amplification
225 up to 7 in the frequency band 1-10 Hz). However, weak bumps around 1-2 Hz are observed in the HVNSRs
226 of sites SAB4 and TEA1. These subtle bumps at low frequency could be dubitatively considered
227 representative of the fundamental resonance of the sites (we will discuss this feature later).

228 Encouraged by the indications at sparse sites obtained from the comparison between earthquake and ambient
229 noise analysis, and being confident on the knowledge of the shallow geology, we performed systematic
230 microtremors measurements. We investigated about 100 new sites aligned along 5 main profiles well
231 constrained by boreholes (Figs. 2 and 3). These profiles are tied to the 12 sites and cross the main geological

232 transitions. We use the HVNSR technique to map the spatial distribution of the resonance frequencies that
233 are then interpreted in terms of local geology. In detail, the microtremor surveys are aimed at achieving the
234 following targets:

235

236 - to explore the sensitivity of HVNSRs to the nature of surface covers and of substratum (Pleistocene
237 conglomerates and Middle Pliocene clays in the Benevento hill and in the Sabato river plain, respectively);

238 - for environments with conglomeratic bedrock, to discriminate between areas where the resonance of the
239 shallow low-velocity layers causes high-frequency amplification (i.e. narrow peaks in HVNSRs), and areas
240 where no significant amplification occurs because of bedrock outcrops (i.e. flat HVNSRs);

241 - to evaluate the resonance frequencies in the old town, where local effects depend on widespread filling
242 characterized by rapid variations of both thickness and shear-velocities. Moreover, to find out whether
243 inferences based on ambient noise, earthquake results and geological constraints are confirmed by the
244 damage distribution of the 1688 destructive earthquake;

245 - finally, to combine seismic and geotechnical/geological data in order to identify the spatial boundaries
246 between areas with a different seismic response.

247

248 The spacing between adjacent measurement sites varies from about 100 m up to values as large as 500
249 m; the former is adopted in the old town and along the hill-side slopes affected by strong lateral variations,
250 the latter in the central part of the Sabato plain which is a rather simple layered structure. All recording sites
251 were far as much possible from sources potentially affecting the quality of noise recordings (industry,
252 railway stations, underground parking, etc. etc.). We use a homogenous set of 6 stations composed of
253 MarsLite digitizers equipped with Lennartz 3D-5s velocimeters using a sampling rate of 125 Hz. A time
254 series of at least half-hour has been recorded at each site. The HVNSR has been computed following the
255 processing procedures developed within the SESAME project (Site Effects Using Ambient Excitations
256 [SESAME, 2004]). For each noise record, the stationary portion of the signal was selected adopting an anti-
257 trigger algorithm to remove strong transients inside time histories. This selection provided, at each site, a
258 number of at least 10 time windows 40 sec long. The time windows were detrended and 5% cosine tapered.
259 The Fourier amplitude spectra were computed and then smoothed following Konno and Omachi (1998),
260 with the parameter b set to 40. The two horizontal components spectra were combined into quadratic mean
261 before dividing by the vertical component spectrum. The final geometric mean of the HVNSR and standard
262 deviation are obtained by averaging the H/V ratios from all windows. Details on the software are in the
263 SESAME deliverable no. D09.03 ([http://sesame-fp5.obs.ujf-
264 grenoble.fr/SES_TechnicalDoc.htm](http://sesame-fp5.obs.ujf-grenoble.fr/SES_TechnicalDoc.htm)). The fundamental resonance frequency and the associated
265 amplitude were visually selected from the HVNSR curves. The field-experiment took two days
266 characterized by calm meteorological conditions.

267

268 **4. Results of ambient noise data**

269 The spatial distribution of the fundamental resonances resulting from the overall dataset of HVNSRs
270 depends on the nature of geologic substratum. Figure 6 reveals that two areas can be roughly defined on the
271 basis of the fundamental resonance frequency at high- or low-frequency. The first area includes large sectors
272 of the Calore river plain, the Benevento hill and the Cretarossa Terrace, where HVNSRs show an evident
273 spectral peak occurring above 3-4 Hz. In these sectors, superficial soft deposits cover the stiff basement
274 composed of Pleistocene cemented conglomerates. The second area includes the Gran Potenza ridge, the
275 Sabato river plain and the western slope of the Benevento hill (Fig. 6). Here, many sites show a HVNSR
276 with a weak broad bump (amplitude less than 3) in the low frequency range (0.6-3 Hz) and the stiff Middle
277 Pliocene clay can be assumed as substratum.

278 In Figures 5a-e we show, for each geological section, the contouring of HVNSRs linearly interpolated
279 at adjacent sites. Moreover, we compare HVNSRs with S-wave 1D theoretical transfer functions (Haskell,
280 1960) for those sites where accurate subsurface information allows constructing realistic soil columns (upper
281 frame of Figs. 5a-e). This comparison is aimed at assessing the reliability of the resonance frequency
282 inferred from HVNSRs (Bonney-Claudet et al., 2006; Gueguen et al., 2007).

283 Section aa' crosses from north to south the Calore river plain, the westernmost part of the old town and
284 the Sabato river plain (Figs. 2 and 5a). In the first two sectors, the noise spectral content shows maxima at
285 high frequencies that shift from about 10 to 8 Hz proceeding southward according to a thickening of shallow
286 soft layers. The largest amplitude (about a factor of 5) of HVNSR occurs at site BB21 where soft fillings up
287 to 10 m thick directly overlie cemented conglomerates (Fig. 5a). Moving further into the Sabato river plain,
288 the peaks in HVNSRs jump to 3-5 Hz (sites BB3 and CAL4) and vanish afterward.

289 Sections bb' and cc' cross the old town and the Cretarossa terrace from west to east (Fig. 2). As shown
290 in figures 5b and 5c, the HVNSRs are very sensitive to the thickness variation of fillings in the old town.
291 Fillings are responsible for sharp peaked spectral ratios in the westernmost old town (CAL1, BB21, BB06).
292 Flat HVNSRs are instead observed on the crest of the hill where the bedrock is nearly outcropping (BB07,
293 ARC1, MUS1, BB20). However, maxima in the HVNSRs can occur in few isolated sites of the uppermost
294 old town where depressions of the bedrock are filled by very soft materials including ruins of ancient
295 collapsed buildings (i.e. site BB10, Fig. 5c). Sites located on the fluvio-lacustrine sediments of the
296 Cretarossa Terrace exhibit high-frequency amplifications (sites BB22, BB11, BB14, BB16, CRE2; Figs.
297 5b,c). The sudden change in the shape of the HVNSRs at the edge of the old town (e.g. compare BB11 and
298 MUS1 in Fig. 5b) is strictly related to local soil condition and well matches the margin of the Cretarossa
299 Terrace. A correlation between the frequency where the HVNSR peak occurs and the depth of the
300 conglomeratic bedrock is evident (e.g. compare site BB14 with BB16, Fig. 5b). HVNSRs do not show
301 significant amplification in the southern part of the old town spreading on the Sabato river plain (Fig. 5c).

302 Section dd' extends from the Capodimonte hill to the northern-eastern edge of the Sabato river plain
303 (Figs. 2 and 5d). No amplification is observed where Miocene rocks are outcropping. Moving westward into
304 the Cretarossa terrace, HVNSRs confirm high-frequency resonance as shown by profiles bb' and cc'. The
305 resonances shift from 4 to 8 Hz in good agreement with the rise of the bedrock (sites CC04, CC06, CC07,
306 CC09; Fig. 5d) that crops out along the crest of the Benevento hill (site CC12).

307 Section ee' extends from the Gran Potenza Ridge to the slope of the Benevento hill across the Sabato
308 river plain (Fig. 2). Noise spectral ratios of Fig. 5e present amplification only at four sites located at the
309 boundaries of the Sabato river plain near the Gran Potenza Ridge (CC26, AA15) and on the hill slopes
310 (CC18, CC19). Their HVNSRs show high-frequency maxima (4-7 Hz) superimposed on the low-frequency
311 weak bump suggesting a complex layered structure with velocity contrasts at very different scales.
312 Figures 5a-e and the spatial distribution of the fundamental resonance frequency (Fig. 6), which is the most
313 relevant parameter in estimates of site effects, suggest a strict correlation between the shapes of the HVNSR
314 curves and the shear-wave velocity local structure. To better illustrate the dependence of HVNSRs on the
315 impedance contrast, in Fig. 5f we present a Vs section for a profile extending from the Cretarossa terrace to
316 the Sabato river plain. The Vs section, partly overlapping the geological section dd' (see Fig. 3), has been
317 obtained by linear interpolation of the 1D Vs profile associated to each borehole based on the soil
318 classification. The comparison between Vs section and corresponding HVNSR contouring (Fig. 5f and 5d,
319 respectively) confirms that i) a strong impedance contrast at shallow depth is responsible for high-frequency
320 noise resonances observed in Cretarossa terrace; ii) nearly outcropping stiff conglomerates are consistent
321 with flat HVNSRs observed in the upper part of the Benevento hill, and, iii) more ambiguous HVNSR
322 curves are observed where the impedance contrast is weak, as in the Sabato river plain. A further comment
323 concerns the comparison between HVNSRs and 1D theoretical transfer functions (Haskell, 1960). The best
324 match between HVNSRs and 1D model is found for sites with narrow peaks at high frequencies ($f > 4$ Hz),
325 as expected for uniformly layered structures with an impedance contrast larger than 3 (Bard, 1999;
326 Bonnefoy-Claudet, 2004). According to Table 1 and Fig. 5f, the presence of very soft surface soils over
327 shallow cemented conglomerates (8-15 m deep) can produce a velocity contrast larger than 5. This kind of
328 sites shows a resonance at the highest frequencies ($f \geq 7$ Hz) (sites AA4, BB21, BB6, BB10 in Figs. 5a,b,c
329 consistently with results obtained at CAL1, CAL2 and CAL3 in Fig. 2). The spectral noise peak appears
330 broader and at lower frequencies where consolidated fluvio-lacustrine or dense coarse deposits are
331 interspersed between shallow soft soils and bedrock. This causes a lower velocity contrast in most sites of
332 the Cretarossa Terrace (e.g. sites BB11, BB14, BB16, CC7, CC6, CC4 consistently with CRE2) (Figs. 5b,d)
333 and in a few sites in the northernmost Sabato river plain (e.g. sites BB3, CAL4) (Fig. 5a).
334 Borehole data and 1D modeling indicate that the fundamental resonance frequency ($f < 3$ Hz, see Fig. 6)
335 observed in the Sabato plain can be associated to a deep, weak velocity contrast (< 2) existing in the
336 Pliocene synform between clays and deeper sandstone/conglomerates (Table 1). Therefore, weak spectral
337 bumps around 1-2 Hz (i.e. sites BB2, AA11, CC28 and CC29, Figs. 5c,e; sites TEA1 and SAB4 of Fig. 2)
338 are diagnostic of the Pliocene stiff clays that represent the substratum of the Sabato river plain. The higher
339 frequency content observed at sites along the hill-side slopes (i.e. CC26, AA15, CC19, CC18, Fig. 5e) is
340 related to soft colluvial soils and debris (Vs around 200 m/s), which cover the large-scale layered structure
341 of the Sabato river plain (Fig. 5f).
342 In general, the comparison at the 12 sites (Fig. 2) among HVNSR, 1D modelling and HHSR, shows a
343 similar level of amplitude at the fundamental resonance frequency, as discussed in Improta et al. (2005). In
344 spite of this similarity, no clear agreement has been established in literature concerning the accuracy of the

345 level of HVNSR peak as site amplification factor. The most recent explanations link the amplitude of
 346 HVNSR peak to the effects of converted waves (Parolai and Richwalski, 2004) and to the presence of Love
 347 waves within the noise wavefield (Bonney-Claudet et al., 2007). From a quantitative point of view, a more
 348 reliable estimate of the site amplification factor is obtained through the S-wave transfer function computed
 349 on earthquake data, this technique having a stronger theoretical basis than HVNSR (nevertheless the
 350 selection of an appropriate reference site is crucial). Within these limitations, the amplitudes inferred from
 351 ambient noise give indication on the reliability of the resonance frequency but cannot be considered as a
 352 direct estimate of the amplification factor of sites (Sesame project, 2004).

353

354 **5. Simulations of ground motion in Benevento using Empirical Green's Functions**

355 The waveforms of small magnitude earthquakes located in seismogenic zones around Benevento (Fig.
 356 1) and recorded at twelve sparse sites of the city (Improta et al., 2005) are now used as a catalogue of
 357 Empirical Green's Functions (EGF) representative of seismogenic sources of interest for the city. The
 358 technique consists in generating synthetic seismograms of larger earthquakes in far-field approximation
 359 using co-located low magnitude earthquakes (Hartzell, 1978; Joyner and Boore, 1986; Ordaz et al., 1995;
 360 Calderoni et al., 2005). The basic assumption is that the small event represents the Green's function for all
 361 points of the rupture area of the large earthquake. Following the Wennerberg (1990) approach, we assume a
 362 probability density function of the time delays over the rupture duration T of the target event. If the source
 363 spectra obey the omega-squared spectral model (Aki, 1967), the spectrum of the target earthquake [Targ] can
 364 be written as a random summation of the spectrum of the small event [subev]

365

$$366 \quad \text{Targ}(\omega) = w \cdot \text{subev}(\omega) \sum_{j=1}^N e^{-i\omega t_j} \quad (1)$$

367

368 where t_j is the shift time distributed in a random way within the rupture duration T , w and N are the scale
 369 factor and the number of stack, respectively. Their expressions are:

370

$$371 \quad w = \left(\frac{M_{0,T \text{ arg}}}{M_{0, \text{ subev}}} \right)^{-1/3} \left(\frac{\Delta\sigma_{T \text{ arg}}}{\Delta\sigma_{\text{ subev}}} \right)^{4/3} \quad (2)$$

372

$$373 \quad N = \left(\frac{M_{0,T \text{ arg}}}{M_{0, \text{ subev}}} \right)^{4/3} \left(\frac{\Delta\sigma_{T \text{ arg}}}{\Delta\sigma_{\text{ subev}}} \right)^{374}$$

376 where $\Delta\sigma$ and M_0 are the Brune stress drop (Brune, 1970) in bar and the seismic moment in dyne-cm,
 377 respectively. They are related to the corner frequency of the event (f_c):

$$378 \quad f_c = 4.9 \cdot 10^6 \beta \left(\frac{\Delta\sigma}{M_0} \right)^{1/3} \quad (3)$$

379

380 where β is the S-wave velocity (3.5 km/s). The duration T is assessed through $(f_c)^{-1}$.
381 Under the assumptions of the method, this approach can be used to derive synthetics for a potential rupture in
382 a seismogenic area starting from recordings of co-located small earthquakes. The method cannot be applied
383 to close sub-events (source distance R must be significantly larger than the fault length L) because the
384 omega-squared spectrum is a far-field model. We restrict the sub-event selection to low-magnitude events
385 falling within the mesoseismal area of two recent moderate-to-large magnitude earthquakes: the Mw 5.7,
386 2002 Molise (Valensise et al., 2004) and the Ms 6.9, 1980 Irpinia earthquakes (Westaway and Jackson, 1987;
387 Bernard and Zollo, 1989). These two cases are particularly suited because of the occurrence of two
388 favourable conditions: i) distance from the faults was large enough to minimize the near-field terms, and ii)
389 the level of excitation in Benevento was low minimizing the role of nonlinearity that might become
390 significant at those magnitudes. For the two earthquakes, the availability of main-shock seismograms in
391 Benevento allowed us to validate the technique of ground motion prediction for moderate-to-large magnitude
392 earthquakes using weak events. After computing synthetics of the two target events through (1), we compare
393 the acceleration response spectra on different geological formations with the shape of design spectra
394 prescribed by seismic codes.

395

396 *5.1 Mw 5.7, 2002 Molise earthquake*

397 On October-November 2002 a seismic sequence, about 60 km north of Benevento, struck the southern
398 part of Molise (Fig. 1). The two main shocks of October 31 and November 1, 2002 were characterized by a
399 pure-lateral strike slip over a east-west striking fault and attained Mw 5.7 (Valensise et al., 2004).
400 Macroseismic MCS intensity of Benevento was IV. The twelve stations installed in Benevento recorded the
401 entire sequence. Unfortunately, the seismograms of the two largest shocks were clipped at ± 0.25 cm/s due to
402 the digital saturation. Despite the limitation of clipping, these data can be suitably used for many research
403 applications because: i) saturation did not affect the first 10-12 sec of the recordings, where is concentrated
404 the largest part of the high-frequency content of the mainshocks; ii) at the rock reference station (ARC1), the
405 amplitude underestimation due to saturation was of the order of 5% (a detailed discussion can be found in
406 Calderoni et al., 2007). Therefore, the spectral estimate on the entire record of ARC1 was not significantly
407 affected by clipping. However, we computed the conventional spectral ratios (with ARC1 as reference site)
408 for the two Molise mainshocks using the unclipped portion of the seismograms. These spectral ratios overlap
409 the ± 1 s.d. interval estimated through weak motions (Improta et al., 2005). This confirms that, as expected,
410 nonlinear effects did not occur at such low ground shaking level (less than 10 gals).

411 Thus, the random summation method is used to construct synthetic ground motions at stations where
412 the recordings were clipped. Synthetic seismograms for the Mw 5.7 shock have been generated starting from
413 two of the largest aftershocks (Mw 3.7 and 3) of the Molise sequence recorded in Benevento. We have
414 applied the technique of Ordaz et al. (1995), constraining low- (through seismic moments) and high-
415 frequency (through stress drop) amplitudes. The analyzed frequency band was 0.5-10 Hz. According to the
416 scaling law found by Calderoni et al. (2007) we use a value of 8 bars for the mainshocks and 4 and 2 bars for
417 two subevents. We first checked the suitability of the method by comparing true (clipped) and synthetic

418 ground motions for the stations in Benevento. The same clipping (0.25 cm/s) of real data has been applied to
419 synthetics. Figure 7 shows a good consistency in amplitudes and duration between clipped synthetics and
420 real seismograms for stations in Benevento that recorded the aftershocks. The synthetic seismogram that best
421 reproduced the duration of clipping and the amplitudes of the real seismograms was selected as
422 representative of ground motion of the Mw 5.7 earthquake in Benevento. This allowed us to use the best
423 (unclipped) synthetics of the Mw 5.7 earthquake and to compute the corresponding elastic spectra. Figure 8
424 shows the 5% damped acceleration response spectra of the synthetics of the Mw 5.7 earthquakes for the two
425 horizontal components. These spectra are compared with the Sabetta and Pugliese (1996) expectations for
426 stiff and shallow alluvium (dashed-dotted and dashed curves in Fig. 8, respectively) computed at the same
427 magnitude and source distance (Mw 5.7 and R 60 km). The design response spectra prescribed by the
428 National code (“Norme Tecniche per le Costruzioni” of September 23, 2005), for the different soil classes
429 (Table 2), are also included. The Italian building code prescribes i) to anchor the shape of the design spectra
430 to the maximum horizontal ground acceleration on bedrock (PGA), and, ii) to adopt a different PGA for
431 class1 and class2 buildings (probability of exceedance of 10% and less than 5% in 50 years, respectively).
432 We first anchored the shape of design response spectra at T=0 sec to the PGA predicted at stiff-site by the
433 Sabetta and Pugliese (1996) regression for that magnitude and source distance. Figure 8 (left panel) shows
434 that amplitudes of the two Mw 5.7 Molise shocks are significantly smaller than both the statistical
435 expectations of Sabetta and Pugliese (1996) and the National code prescriptions. This effect is due to the low
436 energy release of 2002 Molise events which are about 10 times smaller in stress drop than moderate-
437 magnitude normal-faulting earthquakes in the Apennines, as discussed in Calderoni et al. (2007).

438 To emphasize relative variations of response spectra between stations, we have anchored the design
439 spectra at T=0 sec to the PGA value (about 4 gals) estimated for the rock reference site (ARC1). Figure 8
440 (right panel) indicates that the shapes of response spectra vary consistently with the local geology. The soft
441 sites TEA1, CAL4 and SAB5 show, at short periods ($T < 1$ sec), larger spectral ordinates than the rock site
442 ARC1, thus exceeding the design response spectrum of soil class B and C (representative of soft sites).

443

444 5.2 Ms 6.9, 1980 Irpinia earthquake

445 A second check on the reliability of the EGF approach deals with the Ms 6.9, 1980 Irpinia earthquake.
446 It was recorded in Benevento by an SMA-1 analog accelerograph located at the southern limits of the
447 Benevento hill (see cross symbol in Figs. 2, 3 and Fig. 4). The accelerograph was installed on very dense
448 gravely soils and consolidated clays, which belong to the facies B of the ancient alluvia formation. The
449 cross-hole of Fig. 4 (Lanzo, 1996) documents a $V_{S30} > 800$ m/s (soil class A according to EC8). The
450 available recording in Benevento shows a PGA of about 50 gal for this event characterized by a local MCS
451 intensity of VI (Boschi et al., 2000).

452 Two co-located small events of magnitude around 3 were recorded by our stations. Their epicentres are
453 located within the seismogenic area of the 1980 event (Fig. 1). These two events were used to generate
454 synthetics in the frequency band $0.5 < f < 10$ Hz, where signal-to-noise ratio of the weak motions resulted to
455 be larger than 3. The weak motion of co-located events, recorded in several sites of the city, allows us to

456 derive the Ms 6.9 synthetics for the different soil categories. We use a stress drop of 100 bars (Cocco and
457 Rovelli, 1989) for the mainshock and 30 bars for the subevents. Figure 9 (upper frame) compares the
458 response spectra of the accelerogram recorded in Benevento with those simulated using the weak motions of
459 ARC1 and MUS1, which share the soil class A being located on stiff cemented conglomerates. The
460 agreement of response spectra between Ms 6.9 recorded and Ms 6.9 simulated is satisfactory, with a
461 maximum discrepancy of about 30% limited to a very narrow interval of periods (around 0.2 sec). Figure 9
462 (bottom frame) also shows the acceleration response spectra for the Ms 6.9 synthetic seismograms at the
463 stations in Benevento that recorded the M 3 earthquakes. The response spectra simulated using EGFs are
464 overimposed to the design spectra of the national ordinance anchored to the PGA predicted by Sabetta and
465 Pugliese (1996) for rock site (Fig. 9). Adopting this PGA anchoring, the spectral ordinates of sites in the
466 Sabato river valley largely exceed the design spectra of class B and C especially for periods less than 1 sec.
467 The response spectra of the Ms 6.9 synthetics at sites ARC1 and MUS1 agree, on the average, with the
468 shapes of response spectra prescribed by the National code for soil class A (Fig. 9). A good fit is also
469 observed for site CRE2 (soil class E), although the spectral ordinates at $T=0.2$ sec slightly exceeds the
470 plateau of design response spectra. The comparison between Fig. 9 and Fig. 8 (right panel) shows a general
471 agreement, in terms of local amplifications at the different sites, between results inferred from the synthetics
472 of the Molise and Irpinia earthquakes.

473 In order to assess the role of soil nonlinearity in modifying the spectral amplification, we consider
474 nonlinear models applied to the soil types of Benevento. We include variation of shear modulus and damping
475 ratio with shear strain following Marcellini et al. (1995a) and Lanzo (1996), who assigned mechanical
476 properties to the soil classes in Benevento through cyclic and dynamic laboratory tests and correlation with
477 index properties. The cyclic soil behaviour is described assuming a linear-equivalent approach according to
478 the Ramberg-Osgood-Masing model (Silvestri, 1991). We consider the soil nonlinearity for SAB5 since this
479 site shows the largest spectral ordinates (see Fig. 9). We assume that the Ms 6.9 seismograms recorded in the
480 Benevento hill can be adopted as bedrock-input-motion for site SAB5 located in the Sabato river plain.
481 Therefore we convolve the seismogram of the Ms 6.9 earthquake recorded at the stiff site with the soil
482 column of SAB5 (see Fig. 2) using an equivalent-linear approach (EERA code, Bardet et al. 2000). At each
483 type of soil of SAB5, a corresponding curve of shear modulus and damping ratio is assigned. Fig. 10 shows
484 the acceleration response spectra of the Ms 6.9 accelerogram recorded at the cross-hole stiff site in
485 Benevento for the two horizontal components. Fig. 10 also shows the response acceleration spectra of
486 synthetics computed through convolution of stiff site seismograms with the soil column of SAB5. The
487 convolution was performed both taking into account the soil nonlinearity and adopting a constant shear
488 modulus with no damping variation. As shown in Figure 10, we observe that the main effect of soil
489 nonlinearity is to decrease of about 15% the largest spectral ordinates at about 0.2 sec on the EW component.
490 The negligible amplitude depletion induced by soil nonlinearity at low periods is consistent with the
491 relatively low ground shaking level caused by a Ms 6.9 event at 50 km of distance (about 50 gal at rock
492 sites).

493

494 **6. Inferences on site effects during the 1688 destructive earthquake**

495 The results previously discussed emphasize a strong dependence of ground motions on local geology, in
496 the range from ambient vibrations up to 50 gals. We now use seismic and geological information to
497 investigate a possible role of site effects in increasing the damage during an earthquake with destructive
498 effects in Benevento. The damage pattern of the 1688 Sannio earthquake (Me 6.7; Boschi et al., 2000),
499 which is the most destructive one experienced by the city (local MCS intensity of X, Fig. 1), can shed light
500 on this issue. Starting from detailed historical chronicles of this event, Castenetto and Romeo (1992)
501 reconstructed the spatial distribution of the damage in the old town (Fig. 6 and Fig. 11). The most damaged
502 zone, with more than 75% of buildings collapsed, was the south-western one extending towards the Sabato
503 river plain (Fig. 11). Damage was lower (less than 50% of buildings collapsed) along the crest of the hill
504 whereas intermediate damage (50-75 % of building collapsed) was reported for the north-western and
505 eastern sectors, the latter corresponding to the Cretarossa Terrace.

506 Recordings of small magnitude earthquakes are available for four sites of the historical area (Fig. 11):
507 TEA1 and CAL4 in the most damaged zone, ARC1 and MUS1 in the area that experienced the lowest level
508 of damage, whereas no earthquake data are available in the zones of intermediate damage.

509 The lower level of ground motion observed at the hard-rock sites ARC1 and MUS1 for weak
510 earthquakes is consistent with the lowest damage. The HHSRs at soft sites TEA1 and CAL4 (using ARC1 as
511 reference site) document both broad-band and high-frequency effects in the mostly damaged zone. Similar
512 site effects are also shown by HHSRs of sites CAL1, SAB1 and SAB4 (Figs. 2 and 11). Although these sites
513 are outside the target area, they surround the zone that suffered the strongest damage toward the west and
514 the south, respectively.

515 Ambient noise data are available at numerous sites in the old town (Fig. 11). In the lowest damage zone,
516 the HVNSRs show a predominant flat shape. In intermediate damage zones, HVNSRs indicate a distinct 1D
517 resonance. In the most damaged sector, HVNSRs identify fundamental resonance both at low- and high-
518 frequency (broad spectral bumps and narrow peaks, respectively) (Fig. 11).

519 However, HHSR and HVNSR provide a relationship between site effects and damage that is only qualitative
520 and cannot be applied, a priori, for destructive earthquakes because of possible nonlinear behaviour of soil.
521 This aspect is now faced by means of a real record of the Ms 6.9, 1980 Irpinia earthquake. We use the strong
522 motion accelerogram of the hard-rock station of Sturno located about 30 km south of Benevento (Fig. 11).
523 Recordings show PGA of 0.25 and 0.36 g for the NS and EW horizontal components, respectively. We
524 adopt the same procedure explained above for Fig. 10. The Sturno accelerograms are first assumed as input-
525 bedrock-motion in Benevento and then are convolved with the non-linear response of the soil column of
526 sites using an equivalent linear approach. After the convolution we compare the acceleration response
527 spectra of sites in the historical area with the design spectra. According to the National decree ("Norme
528 Tecniche per le Costruzioni" of September 23, 2005) that assigns the PGA value of 0.35 g to class 1
529 buildings in the whole area of Benevento, we anchor the design response spectra at T=0 sec to this value.
530 The acceleration response spectra (Fig. 11) in the lowest damage zone of stiff sites (ARC1 and MUS1; i.e.
531 soil class A) are below the design spectra, on the average. In the intermediate and most damaged sectors, the

532 spectral content is well above the design spectra especially for $T < 0.5$ sec. At periods between 0.5 and 1
533 sec, the response spectra of TEA1 show a spectral content larger than the other sites (Fig. 11).

534 To sum up, the lowest damage zone of the 1688 earthquake corresponds to the uppermost area of the old
535 town where stiff conglomerates are nearly outcropping (soil class A) and consistently we found not
536 significant site effects (see also Fig. 5f). In the intermediate damage zone, a spectral increase at high-
537 frequencies with respect to the hilltop is related to the resonance of superficial filling and fluvio-lacustrine
538 soft deposits. The response spectra, computed including the near-surface soil properties, document narrow
539 high peaks (amplitude > 2 g) at periods < 0.5 sec. In the southern sector of the old town, which experienced
540 the most severe damage, we found spectral magnification in the largest frequency band (1-10 Hz) (Fig. 11).
541 Furthermore, building vulnerability must be taken into account in relating damage to ground motion. During
542 the 1688 earthquake, the vulnerability was likely rather uniform in the urbanized area but for potential future
543 large earthquakes vulnerability could play an important role. The existing urban settlement is very
544 heterogeneous showing typologies of construction that range from medieval to modern age. Recent and very
545 densely populated areas spread in the Sabato river plain. Part of this plain was urbanized between the 1940s
546 and 1960s, with popular buildings mostly of rectangular shape (3-5 stories) and composed of reinforced
547 concrete of poor quality (Pecce et al., 2004). For this kind of structures, an estimate of damage scenario in
548 the Sabato plain yields a large percent of collapses in case of a strong earthquake (Cosenza et al., 2005).
549 Recent buildings were built with reinforced concrete in the zones of Cretarossa Terrace and Calore river
550 valley, whereas old masonry and stone structures are common in the old town. The soil resonance often
551 observed at $f \geq 4$ Hz could be reasonably close to the resonance frequency of small structures at 3-5 stories
552 widespread in Benevento.

553 Finally, we want to characterize quantitatively the different typologies of seismic response during significant
554 earthquakes. We consider the integral of the acceleration response spectra within periods 0-2 seconds at sites
555 where we have estimated numerically strong ground motions. An amplification factor (AF) at each site is
556 assessed through the ratio

$$557 \quad AF = \frac{\int_{T=0}^2 S(T) dT}{\left(\int_{T=0}^2 S(T) dT \right)_{STIFF_SITE}} \quad (4)$$

558 where S is the acceleration response spectrum computed using synthetics of the simulated cases (the Mw 5.7
559 Molise event, the Ms 6.9 Irpinia earthquake and the scenario using the Sturno recordings; see Figs. 8, 9 and
560 11, respectively). The results are shown in Table 3. As expected, the significant role of surface geology is
561 confirmed in terms of response spectra with variations from AF close to 1 at stiff sites (soil class A) up to
562 more than 2 at sites in the Sabato river valley.

563

564 7. Conclusions

565 We first mapped the spatial variations of the fundamental resonance frequency within the city by means
566 of noise data analysis. Then, we focused on five profiles well-constrained by boreholes and crossing the

567 main geologic environments to explore the sensitivity of microtremors to the variations of near-surface
568 properties. We found a clear dependence of the noise spectral ratios on the shallow soil conditions and the
569 type of stiff-rock formation. These results, combined with the indication given by earthquake recordings at
570 twelve sites, legitimated us to define the spatial boundaries between zones that should undergo a different
571 seismic response. Seismic analysis and local geology consistently discriminate three main typologies of
572 seismic response during weak-to-moderate ground motion (Fig. 12): (I) relative low amplification (light grey
573 in Fig. 12), (II) 1D resonance with a sharp high-frequency ($f \geq 4$ Hz) peak (intermediate grey), and (III)
574 moderate amplification in a wide frequency band (1-10 Hz) (dark grey).

575 The three kinds of local response are representative of the soil classes within the city depending on V_{S30}
576 and velocity contrasts. Behaviour of type I characterizes areas where hard-rock formations (Pleistocene
577 cemented conglomerates or Miocene rocks) are nearly outcropping. This is the case of the uppermost old
578 town spreading along the crest of the Benevento hill where V_{S30} exceeds 800 m/s (soil class A) (Fig. 5f).

579 Spectral peaks of type II are observed in the northwestern and eastern sectors of the city consistently with a
580 strong velocity contrast at shallow depth (Fig. 5f). These sites belong predominantly to soil class E
581 widespread in the Calore river valley and in the Cretarossa Terrace.

582 A response of type III is typical of the Sabato river plain. It is likely controlled at low frequency ($f < 2$ Hz)
583 by the weak velocity contrast between the Pliocene stiff clays and the underlying sandstones/conglomerates.
584 The resonance of shallow soft soils contributes to amplify ground motion at higher frequencies. Local soil
585 conditions in the Sabato river plain are indicative of soil class B or C.

586 Interestingly, the spatial distribution of the seismic responses in the old town (Fig. 12) well correlates with
587 the damage pattern caused by the strongest historical earthquake experienced by Benevento (1688, local
588 MCS intensity of X).

589 Besides contributing to the microzonation of the city, the waveforms of weak earthquakes recorded in
590 Benevento were used to describe shaking features of moderate-to-large magnitude earthquakes using the
591 Empirical Green's Function (EGF) technique. Calibration tests adopting the random summation method by
592 Ordaz et al. (1995) were performed for the Irpinia 1980 (M_s 6.9) and Molise 2002 (M_w 5.7) earthquakes,
593 whose recordings are available in Benevento. We obtain a satisfactory fit between observed and synthetic
594 seismograms. This allows us to extend our discussion on local effects in terms of design response spectra
595 that are computed for the soil categories present in Benevento. The Irpinia and Molise synthetics consistently
596 show large amplifications at soft sites (Table 3). A difference is found in terms of absolute amplitudes of
597 acceleration response spectra. Using the anchoring PGA predicted by the attenuation law usually adopted in
598 Italy (Sabetta and Pugliese, 1996), the Irpinia earthquake results to exceed at low periods the design spectra
599 especially at sites of the Sabato river valley (Fig. 9). In contrast, the spectral ordinates of the low stress drop
600 Molise event are well below the design spectra (Fig. 8 left). In order to speculate on a larger level of shaking,
601 we assume the seismograms of a near-source rock-station (Sturno) of the Irpinia earthquake as input for
602 Benevento. The acceleration response spectra, computed including soil nonlinearity (Fig. 11), confirm that
603 the largest discrepancies with design spectra still occur for sites with seismic response of type II and III,
604 especially for periods < 0.5 sec. If we group the stations of Table 3 according to the three seismic response

605 typologies and compute the average amplification factor ($\langle AF \rangle$) and the 1 standard deviation interval, we
606 found that amplification increases from type I to type III, with a large average value (more than a factor of 2)
607 that is found for the southern part of downtown Benevento and the Sabato river plain (Fig. 12). The values of
608 $\langle AF \rangle$ for each typology with their statistical uncertainties are shown in the inset of Fig. 12. It is worthy of
609 note that the one standard deviation intervals around the mean are well separated among the three
610 kind of seismic responses.

611 The case study of Benevento shows that a combined analysis of geological and seismological data can be
612 useful to investigate the seismic response and to discriminate the near-surface soil conditions. These
613 indications are of clear interest, in urban areas, to the local authorities and to the civil engineering
614 community dealing with strategies for seismic risk mitigation (taking in account the seismic codes). From a
615 practical point of view, the collection of earthquake data at sites sampling different geological environments
616 of an urban area is a difficult task, especially in regions with a low rate of seismicity. Hence seismic
617 microzonation studies largely employ the single-station noise technique because of its low cost, low time-
618 consuming and simplicity of use. As well-known in the literature, the HVNSR yields the best evidences of
619 site effects in presence of strong velocity contrast caused by soft layer above a stiff basement. In this case,
620 the HVNSRs show a clear resonance peak (response of type II in the present paper) which is, for 1D
621 structures, closely related to the thickness and V_s of the soft soil. Recent studies (Panou et al., 2005; Cara et
622 al., 2007) assess the spatial distribution of soft sediments in urban environments using a large number of
623 noise measurements, finding a promising correlation between HVNSR results and damage pattern caused
624 by past earthquakes of engineering importance. However, it is important to stress that the H/V noise method,
625 in presence of complex geological conditions or weak velocity contrast (such the Sabato river plain), can
626 lead to erroneous interpretation in evaluating the depth or the average shear-wave velocity of deposits. The
627 reliability of noise measurements should be therefore validated by independent
628 geological/geophysical/seismological approach when the 1-D model assumption is not fully verified.

629

630

631 **Acknowledgements**

632 This research was conducted with INGV internal research funds and the contribution of the MIUR Prosis
633 Project. The seismic network in Benevento was financed by the GNDT Traiano Project. We are grateful to
634 Fabrizio Cara, Angela Chesi, Giovanna Cultrera, Carlo De Santis, Rosalba Maresca and the students of the
635 University of Sannio for their help during the noise measurements. Special thanks are due to the Associate
636 Editor and to two reviewers for their constructive comments. We thank Maria Rosaria Senatore, Tullio
637 Pescatore, Modestino Boscaino and Massimiliano Romito for useful discussion on the Benevento geology.
638 We also thank Anna D'Onofrio who kindly provided down-hole data.

639

640

641

642

643 **Figure captions**

644

645 **Figure 1** - Epicentres of the small magnitude ($2.1 \leq M \leq 5.5$) earthquakes (open circles) recorded by 12
646 seismic stations in Benevento, used by Improta et al. (2005) to estimate the local response. The black
647 continuous and dashed lines correspond to isoseismals of large historical earthquakes that struck the city in
648 1688 ($I_0=XI$ of the MCS scale), 1702 ($I_0=X$), 1732 ($I_0=X$) and 1805 ($I_0=X$). Focal mechanisms and
649 epicentres (white stars) of the 1980 M_s 6.9 Irpinia (1) and of the 2002 M_w 5.7 Molise earthquakes (2) are
650 also shown.

651

652 **Figure 2** - Geologic map of Benevento (redrawn from Improta et al., 2005). The geological units are
653 described in Table 1. The dashed black lines indicate the five geological sections (aa', bb', cc', dd', ee'). The
654 red line bounds the old town. The filled stars and circles show sites of the microtremor survey and location
655 of 12 seismic stations, respectively. For each of the 12 stations we draw the previous results by Improta et al.
656 (2005) plotting i) the HHSR (± 1 standard deviation around the mean value) using the site ARC1 as
657 reference for the earthquakes of Fig. 1, and, ii) the HV noise spectral ratio. We also plot the 1D theoretical
658 transfer function (dashed lines). We also indicate the soil class as defined by National decree ("Norme
659 Tecniche per le Costruzioni" of September 23, 2005) (Table 2). For Type E soil, the average shear velocity
660 of the surface alluvium layer is indicated as $V_{S_{BED}}$.

661

662 **Figure 3** - (Upper panel) Position of the boreholes (modified after Improta et al., 2005) used in this study to
663 construct the five geological sections (black lines). Boreholes are grouped on the basis of the available
664 information: (1) only stratigraphy; (2) stratigraphy and Standard Penetration Test (SPT); (3) stratigraphy and
665 laboratory measurements; (4) stratigraphy, SPT and laboratory measurements; (5) Down-hole surveys; (6)
666 Cross-hole survey; (7) deep ($50 < z < 90$ m) exploration wells with detailed core analysis. The dashed line
667 bounds the old town. The dotted grey line indicates the V_s section of Fig. 5f.

668 (Lower panel) Schematic geologic section of the upper crust in the Benevento area based upon commercial
669 reflection profiles, refraction and gravity data modelling.

670

671 **Figure 4** – Comparison of V_s vertical profiles for down-hole and cross-hole surveys carried out in different
672 geological environments of Benevento. The inset map shows the location of boreholes. Down-holes DH1
673 and DH2 were performed for civil engineering investigations, DH3 in the framework of a seismic
674 hazard research project (Anna D'Onofrio, personal communication), cross-hole CH1 is redrawn
675 from Lanzo (1996). Note the consistency of V_s values within the same geological formations (as
676 described in Table 1).

677

678 **Figure 5a-e** - Geological cross-sections and coloured contouring of HVNSRs (mean). The geotechnical
679 classification is described in Table 1 and the sections traces are reported in Figures 2 and 3. The vertical
680 black lines in the geological sections indicate the positions and depth of boreholes. The 12 seismic stations

681 with earthquake data are shown as black filled triangles. Sites of microtremor measurements with an accurate
682 subsurface characterization are indicated as grey circles. The H/V noise spectral ratios (± 1 standard
683 deviation around the mean) of these sites are plotted in the upper frame. All sites are classified according to
684 the EC8 code. For soft sites (soil classes B, C, E), the noise spectral ratios are compared with 1D S-wave
685 theoretical transfer function (thick dashed lines) using the soil column on the left. Geological sections of Fig.
686 5a, 5c and 5d are modified after Improta et al. (2005).

687

688 **Figure 5f** – Shear-wave velocity section across the Sabato river plain and the Cretarossa terrace. The section
689 trace is reported in Figure 3 as dotted grey line. The V_s section has been obtained by linear interpolation of
690 1D V_s profile associated to each borehole based on the soil classification. The arrows indicate the projections
691 of the used boreholes.

692

693 **Figure 6** - Fundamental resonance frequencies and associated amplifications estimated through H/V noise
694 ratios (upper and lower panel, respectively). The size of triangles is proportional to the values of frequency
695 (top) and amplification (bottom). Squares depict nearly flat H/V ratios (i.e, without clear peaks; amplitude
696 values less than 2) or H/V ratios showing unclear low-frequency bumps. Grey symbols refer to the twelve
697 sparse seismic stations. The traces of the geological sections are also shown. The latitude and longitude
698 values are in Gauss-Boaga projection. The thin black lines, in the upper frequency map, bound the sectors
699 that suffered a different level of damage during the 1688 earthquake (after Castenetto and Romeo, 1992).

700

701 **Figure 7** - Real seismograms (at sites ARC1, TEA1, SAB5 and CAL4) of the October 31, 2002, Molise
702 mainshock (upper waveforms) of the two horizontal components are compared with two synthetic
703 seismograms modelled from weak motions through Eq. 1 (EGFs). We have applied an artificial clipping at
704 the synthetics using the same saturation threshold of the real data: the consistency between real and synthetic
705 seismograms guarantees the reliability of results.

706

707 **Figure 8** - The thin black lines depict the 5% damped acceleration response spectra of the two horizontal
708 components computed from synthetics of the Mw 5.7 Molise earthquakes for a source distance of $R=60$ km.
709 (Left) The design acceleration spectra (as prescribed by National decree “Norme Tecniche per le
710 Costruzioni” of September 23, 2005 for class 1 building and for different soil classes) are plotted as thick
711 grey curves and their shapes are anchored at $T=0$ sec to the PGA predicted by the Sabetta-Pugliese
712 regression for stiff site. The Sabetta-Pugliese regressions for deep and shallow alluvium are plotted as
713 dashed-dotted and dashed curves, respectively. Note the spectral deficit of the 2002 Molise earthquakes.
714 (Right) The shapes of design spectra are anchored to the PGA of the reference rock site (ARC1).

715

716 **Figure 9** – (Top) Acceleration response spectra of the Ms 6.9, 1980 Irpinia earthquake for a stiff-site
717 accelerogram recorded in Benevento (black and grey continuous lines for NS and EW components,
718 respectively) are compared with the response spectra computed from synthetics of MUS1 and ARC1 (dashed

719 lines). Synthetics were generated through EGFs of co-located weak-motion earthquakes. Note the significant
720 consistency between real and synthetic data for stiff sites. (Bottom) The response spectra of synthetics for the
721 1980 Irpinia earthquake computed for both hard-rock and soft-soil sites are compared with the shape of
722 design response spectra (National decree ‘‘Norme Tecniche per le Costruzioni’’ of September 23, 2005); the
723 latter are anchored to the PGA of the Sabetta-Pugliese regression for stiff-site. The grey areas confine the
724 envelopes of response spectra computed on the two horizontal components of synthetics.

725

726 **Figure 10** - Response spectra of the Ms 6.9, 1980 Irpinia earthquake computed from a stiff-site accelerogram
727 recorded in Benevento (EW and NS components) are compared with response spectra after convolution with
728 the soil profile of SAB5. The modelling was performed both with and without soil nonlinearity.

729

730 **Figure 11** – (Top) Horizontal components of the Ms 6.9, 1980 Irpinia recorded at the station of Sturno.
731 (Bottom) Map of the old town with the damage variations caused by the 1688 earthquake (modified from
732 Castenetto and Romeo, 1992). The largest, intermediate and smallest damage zones are in heavy,
733 intermediate and light grey, respectively. The dashed-thick black line shows the extension of the town during
734 Roman times; the absence of grey shadowing indicates the sector where no damage information is available.
735 Recording sites are classified according to the shape of the H/V noise ratios: sharp peaks at $f > 3$ Hz (filled
736 triangles), weak low-frequency bumps (open triangles), nearly flat ratios (filled squares). HHSRs, HVNSRs
737 and response spectra of the Sturno-convolved-seismograms (continuous and dashed lines for EW and NS
738 components, respectively) are also shown for sites having earthquake data available.

739

740 **Figure 12** - Light grey: sector of Benevento with no-significant site effects characterized by a nearly
741 outcropping bedrock (seismic response of type I). Intermediate grey: sector characterized by mainly 1D high-
742 frequency ($f \geq 4$ Hz) resonance of shallow layers overlaying the conglomeratic bedrock (type II). Dark grey:
743 zones characterized by moderate broad-band (1-10 Hz) amplifications related to a thick layering of soft-to-
744 consolidated fine soils overlaying stiff Pliocene terrains (type III). For each type of seismic response, the
745 average of amplification factor (as defined in Eq. 4) and the 1 standard deviation are reported in the inset.
746 The old town is bounded by a dashed black line.

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TABLE 1. For each geological class, we indicate the range of shear-wave velocities (V_s) and a weighted value ($\langle V_s \rangle$) used in 1D numerical modelling. Density values of continental deposits and Middle Pliocene clays are those reported by Marcellini et al. (1991) and Improta (1998) based on standard laboratory tests. The density assigned to the Middle Pliocene sandstones/conglomerates and to the Miocene melange has been proposed by Improta et al. (2003) based on gravity data modelling performed in the Sannio region.

CLASS	LITHOLOGY	ρ (t/mc)	V_s (m/s)	$\langle V_s \rangle$
Near-surface Soils: Filling (F), Colluvial soils (Cl) and Slope Debris (Db)	Very soft fine soils with organic content, weathered pyroclastites (Cl) and debris of masonry (F). Loose sandy gravels and very soft silty clays (Db).	1.7-1.8	200÷330	270
Late Pleistocene- Holocene Alluvia (Al _{2A,B})	Loose to dense sandy gravels and silty sands.	1.8-2.0	330÷430	380
Lower-Middle Pleistocene fluvio- lacustrine soils (Al ₁) and Fine Ancient Alluvia (palustrine facies C - AA _C)	Soft to consolidated silty clays, with sandy lenses and peats.	1.9 2.0	shallow 320÷440 deep 430÷610	360 520
Coarse Ancient Alluvia (facies B - AA _B)	Dense to very dense sandy gravels and silty sands.	2.2	shallow 460÷600 deep 860÷1210	530 1090
Coarse Ancient Alluvia (facies A - AA _A) Weathered Conglomerates (AA _{AW})	Stiff Cemented conglomerates. Very dense gravels.	2.3 2.2	1000÷1730 -	1410 810
Pliocene Clays (P _{ag})	Stiff silty clays.	2.1	600÷800	710
Superficial weathered Pliocene Clays (P _{agW})		1.9	330÷540	350
Pliocene Sandstones (P _s) Miocene Melange (M)	Sandstones with conglomerates. Shales, sandstones, marly limenstones.	2.35		1300

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780 **TABLE 2.** Soil class as defined by EC8. V_{s30} is the average shear velocity in the uppermost 30 meters,
781 computed as $V_{s30} = 30/(\sum_i h_i/v_i)$; N_{spt} are the numbers of hits for standard penetration test and c_u is the
782 undrained cohesion. This classification is the one adopted by the national code (“Norme Tecniche per le
783 Costruzioni” of September 23, 2005).

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Class	Soil Description	Physical parameters 785
A	Rock or other rock-like geological formation, including at most 5 m of weaker material at the surface	$V_{s30} > 800$ m/s
B	Deposits of very dense sand, gravel, or very stiff clay, at least several tens of m in thickness, characterized by a gradual increase of mechanical properties with depth	$360 < V_{s30} < 800$ m/s $N_{spt} > 50$ $c_u > 250$ KPa
C	Deep deposits of dense or medium-dense sand, gravel or stiff clay with thickness from several tens to many hundreds of m	$180 < V_{s30} < 360$ $15 < N_{spt} < 50$ $70 < c_u < 250$ KPa
D	Deposits of loose-to-medium cohesionless soil (with or without some soft cohesive layers), or of predominantly soft-to-firm cohesive soil	$V_{s30} < 180$ m/s $N_{spt} < 15$ $c_u < 70$ KPa
E	A soil profile consisting of a surface alluvium layer with average shear wave velocity* values of class C and D and thickness varying between about 5m and 20 m, underlain by stiffer material with $V_{s30} > 800$ m/s	

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787 *In the definition of Type E soil we follow the indication of ETC-12 Evaluation Committee for the
788 Application of EC8 (Athens workshop, January 20-21, 2006).

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808 **TABLE 3.** Amplification factor (AF) as defined by Eq. 4. For each site we also indicate the soil class.
 809 The last column shows the typology of seismic response as discussed in the text.
 810

Site	Soil Class	Amplification Factors			Type of Seismic Response
		Molise (EGF)	Irpinia (EGF)	Irpinia (input Sturno)	
ARC1	A	1	1	1	I
MUS1	A		1.2	1.0	I
CAL1	E			1.5	II
CAL3	E			1.4	II
CAL4	B	1.5		1.8	II
CRE2	E		1.3		II
TEA1	C	1.5		2.0	III
SAB1	C		2.7		III
SAB4	B		2.0		III
SAB5	C	1.5	2.9		III

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Figure1

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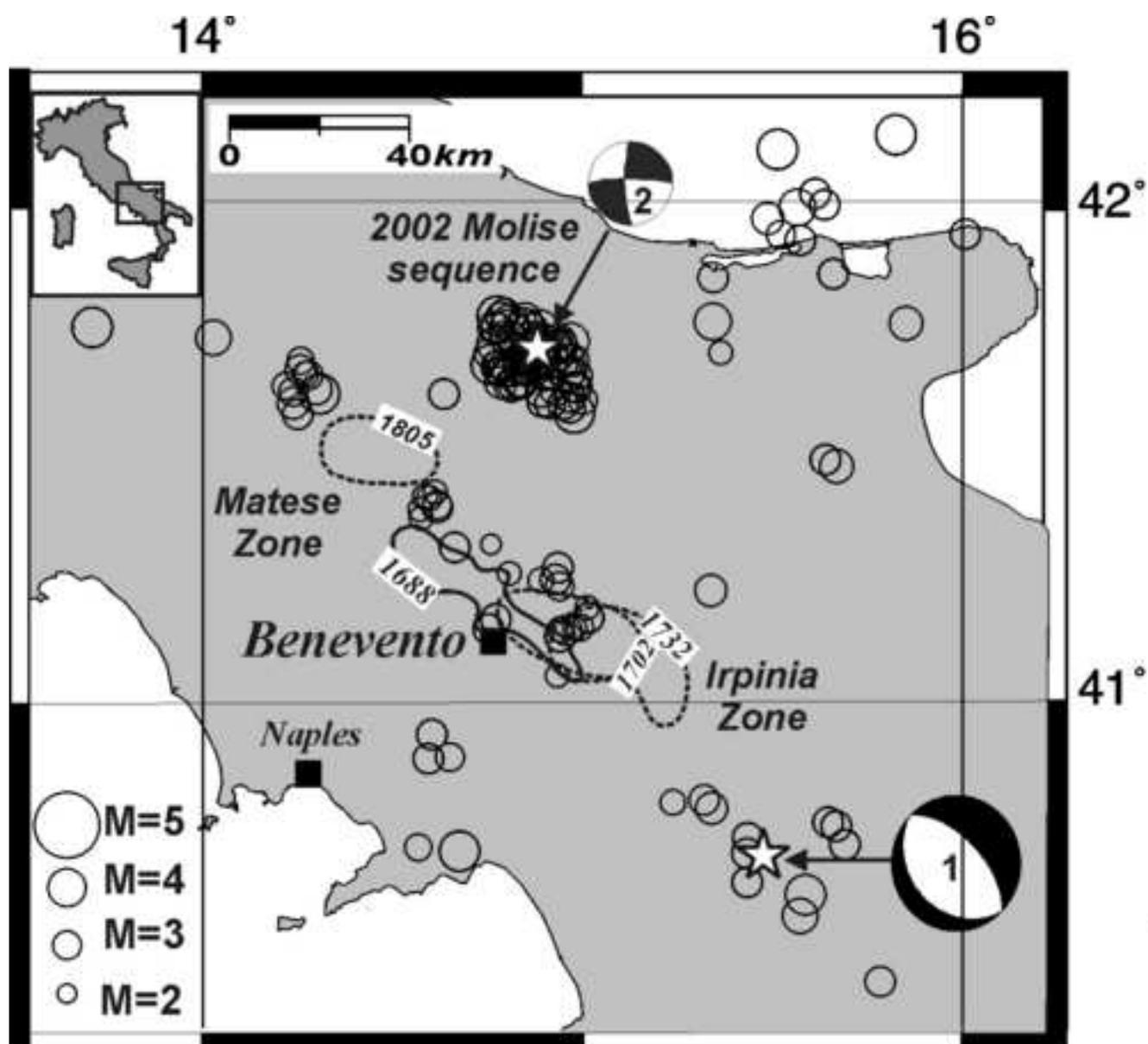


Figure2

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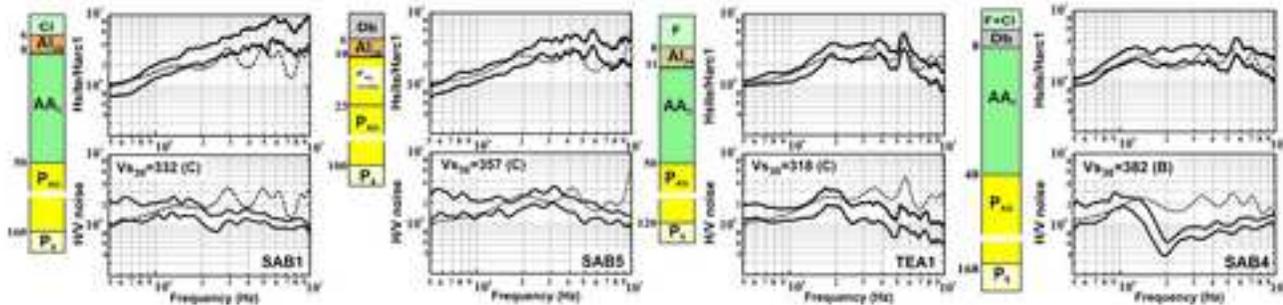
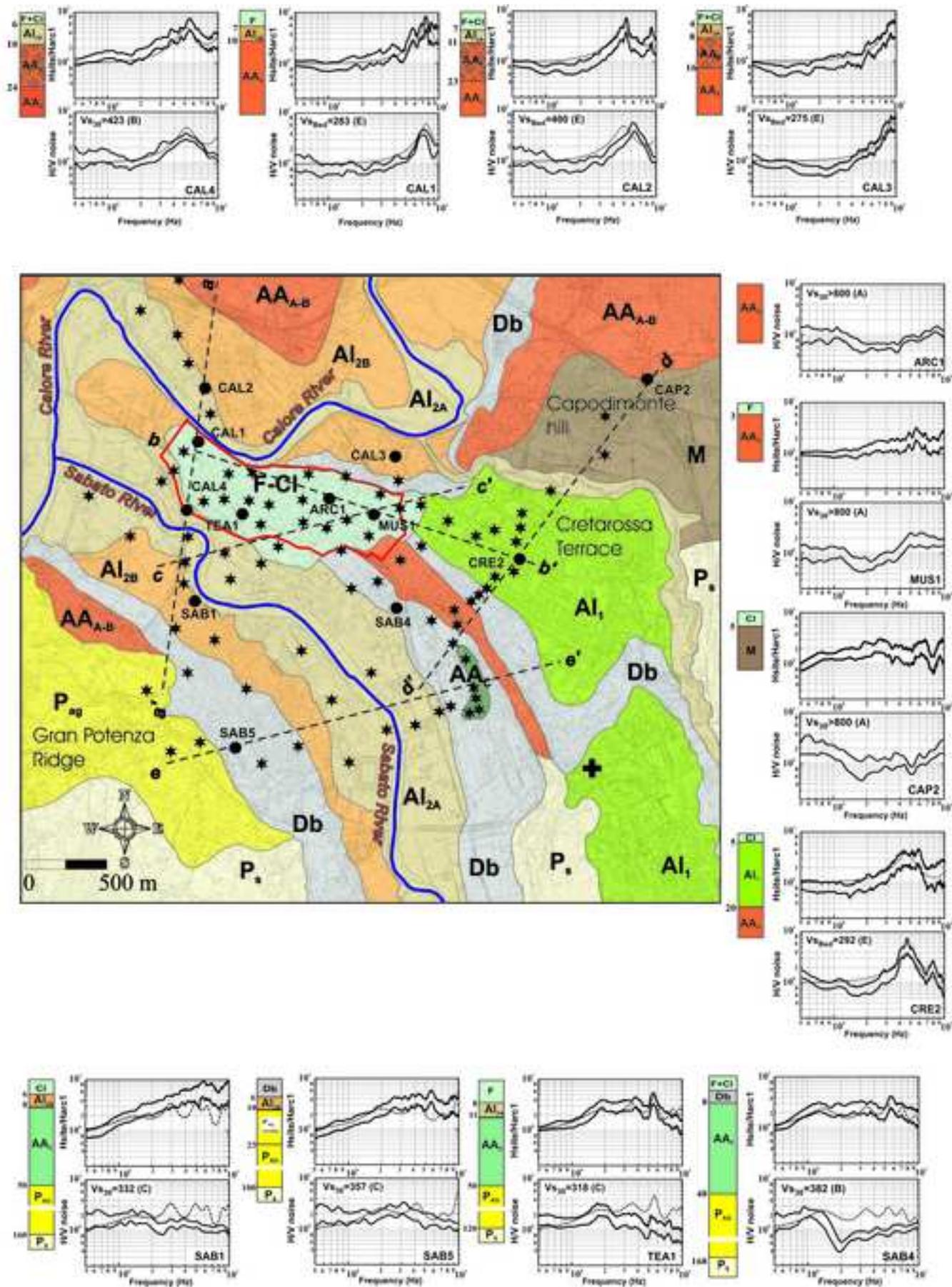


Figure3
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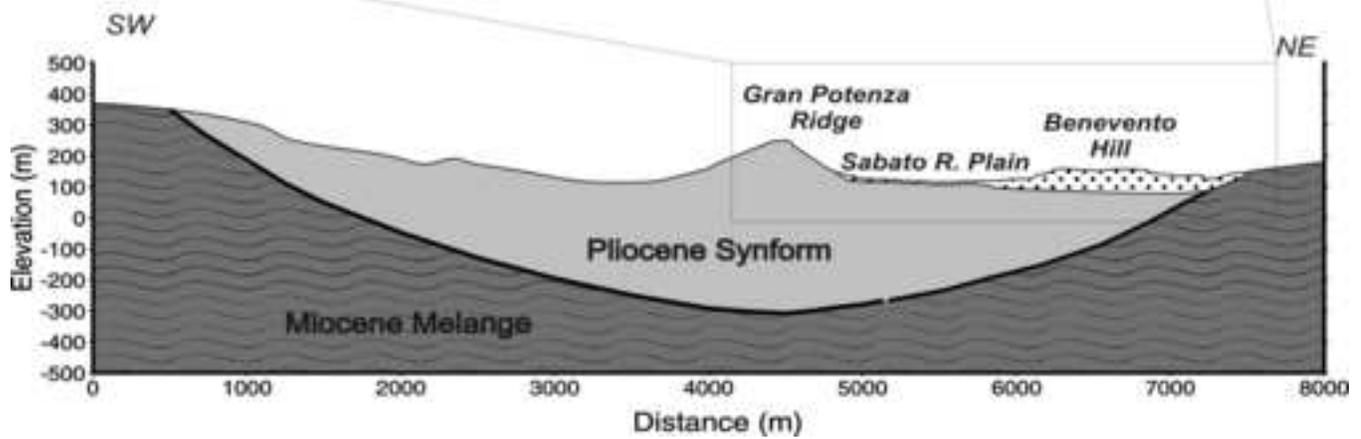
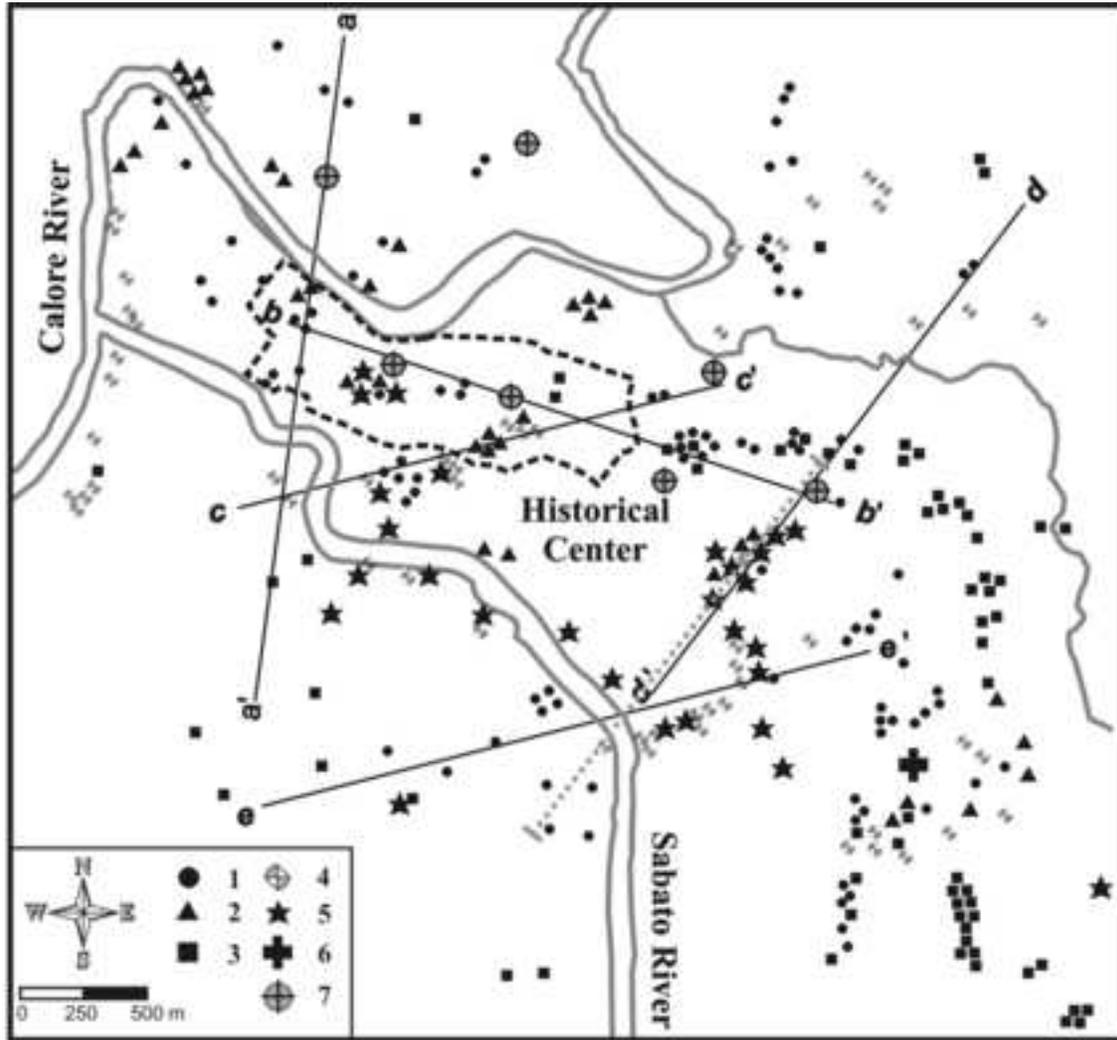


Figure4
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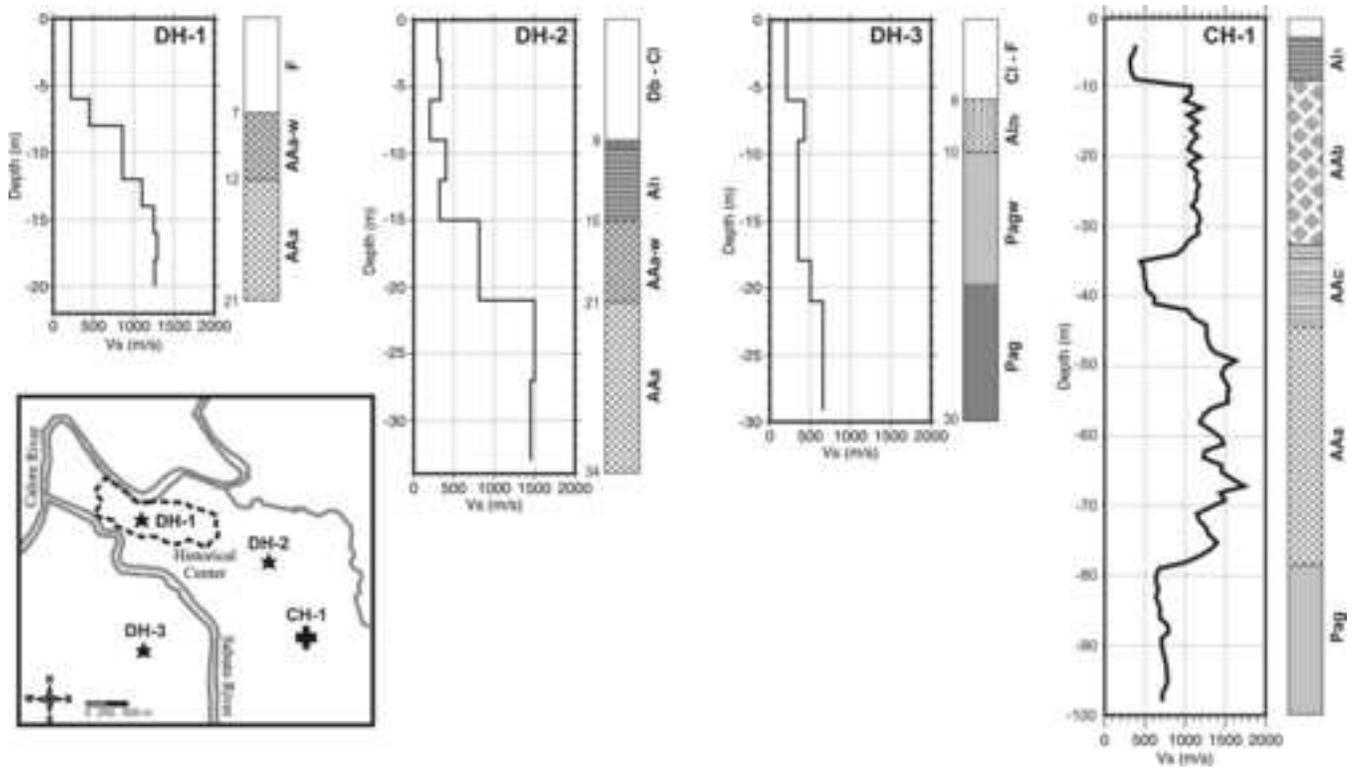


Figure 5a

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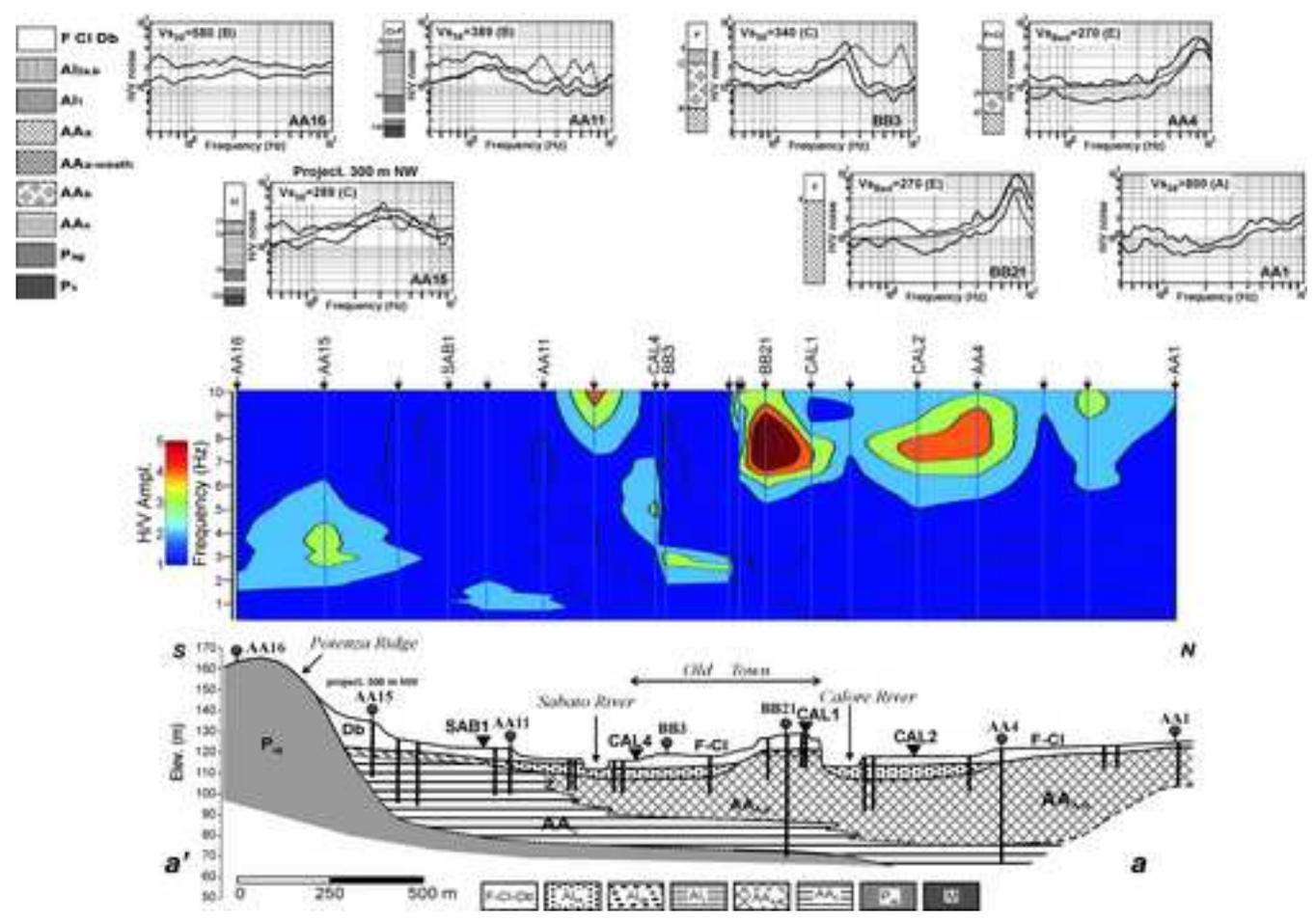


Figure5b
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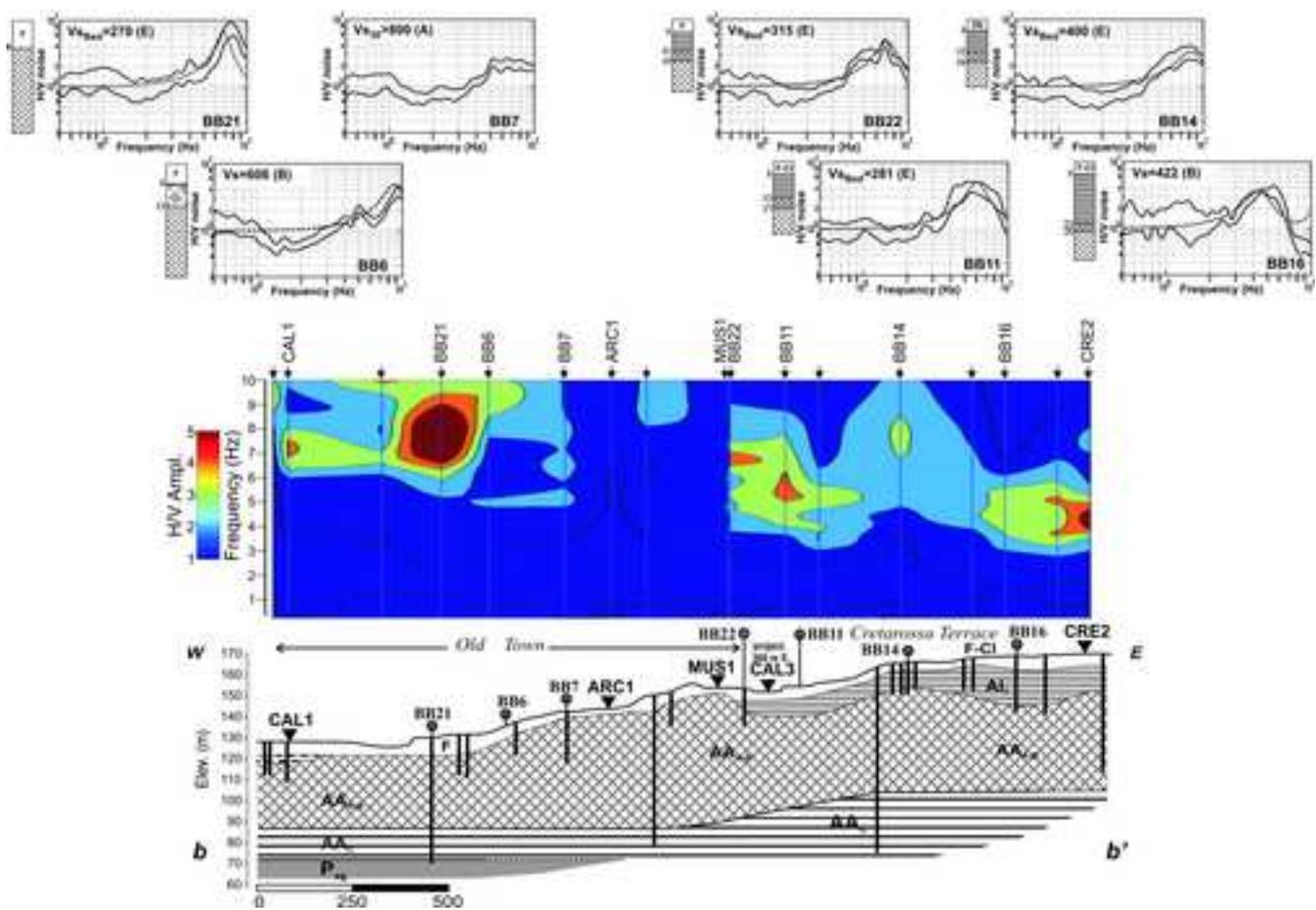


Figure5c
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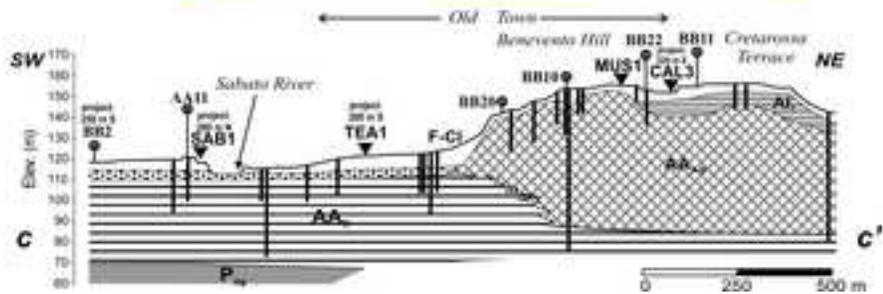
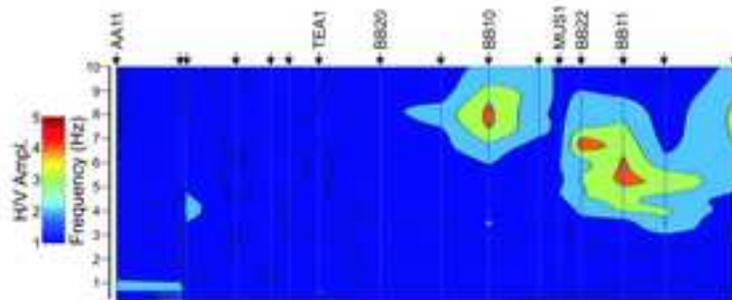
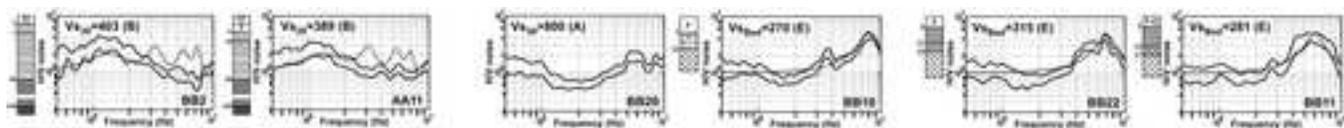


Figure5d

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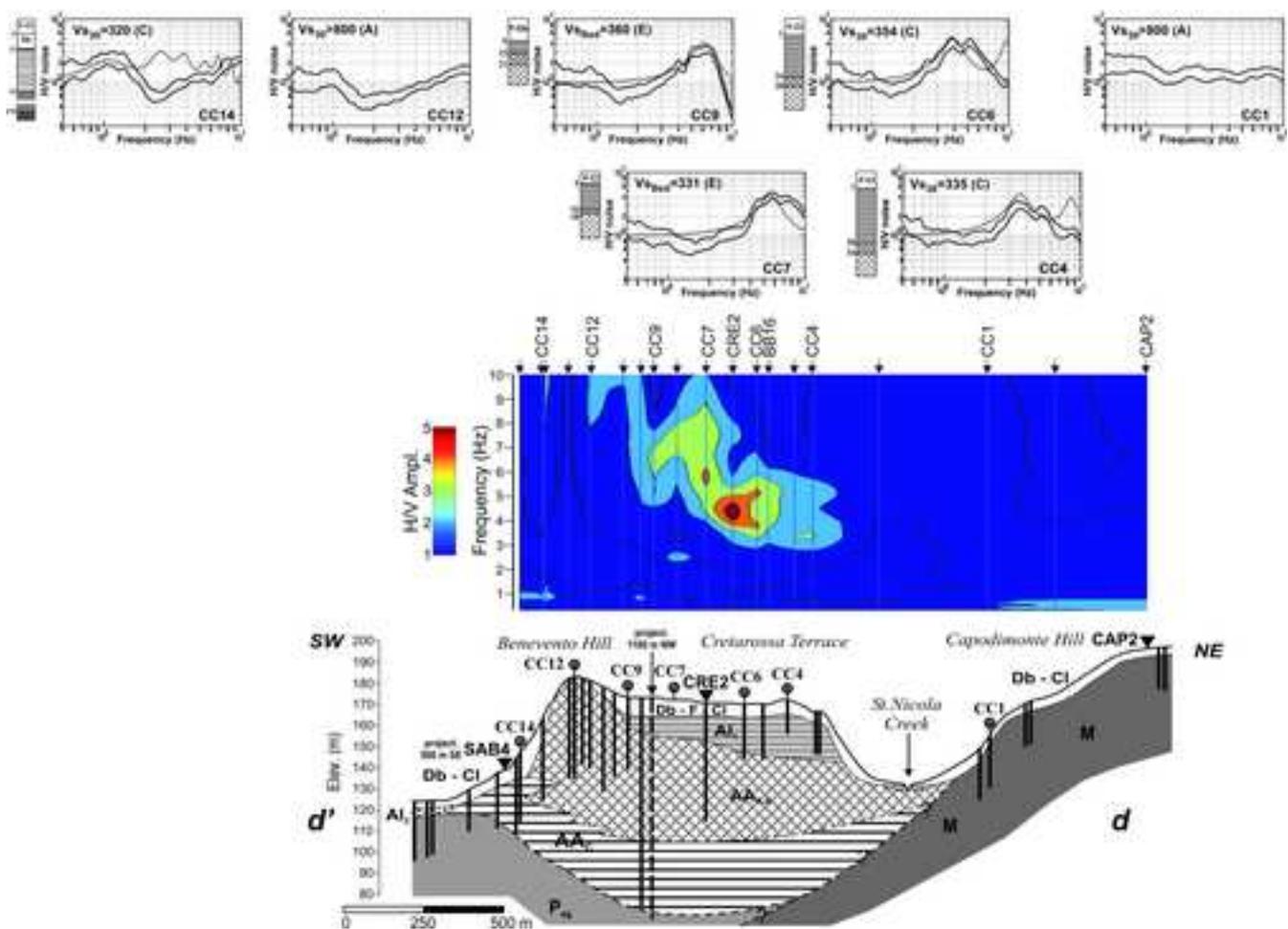


Figure 5e

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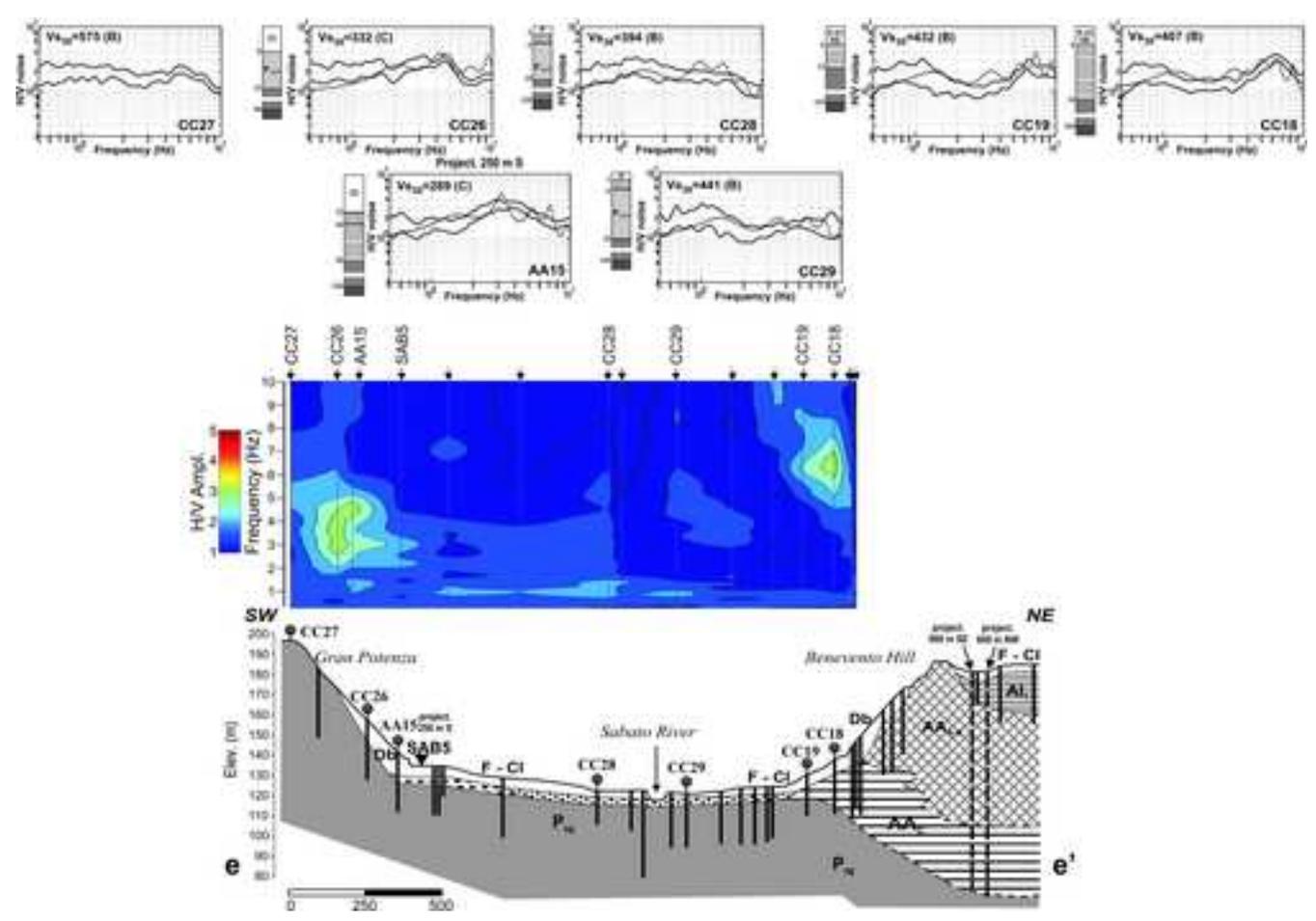


Figure5f
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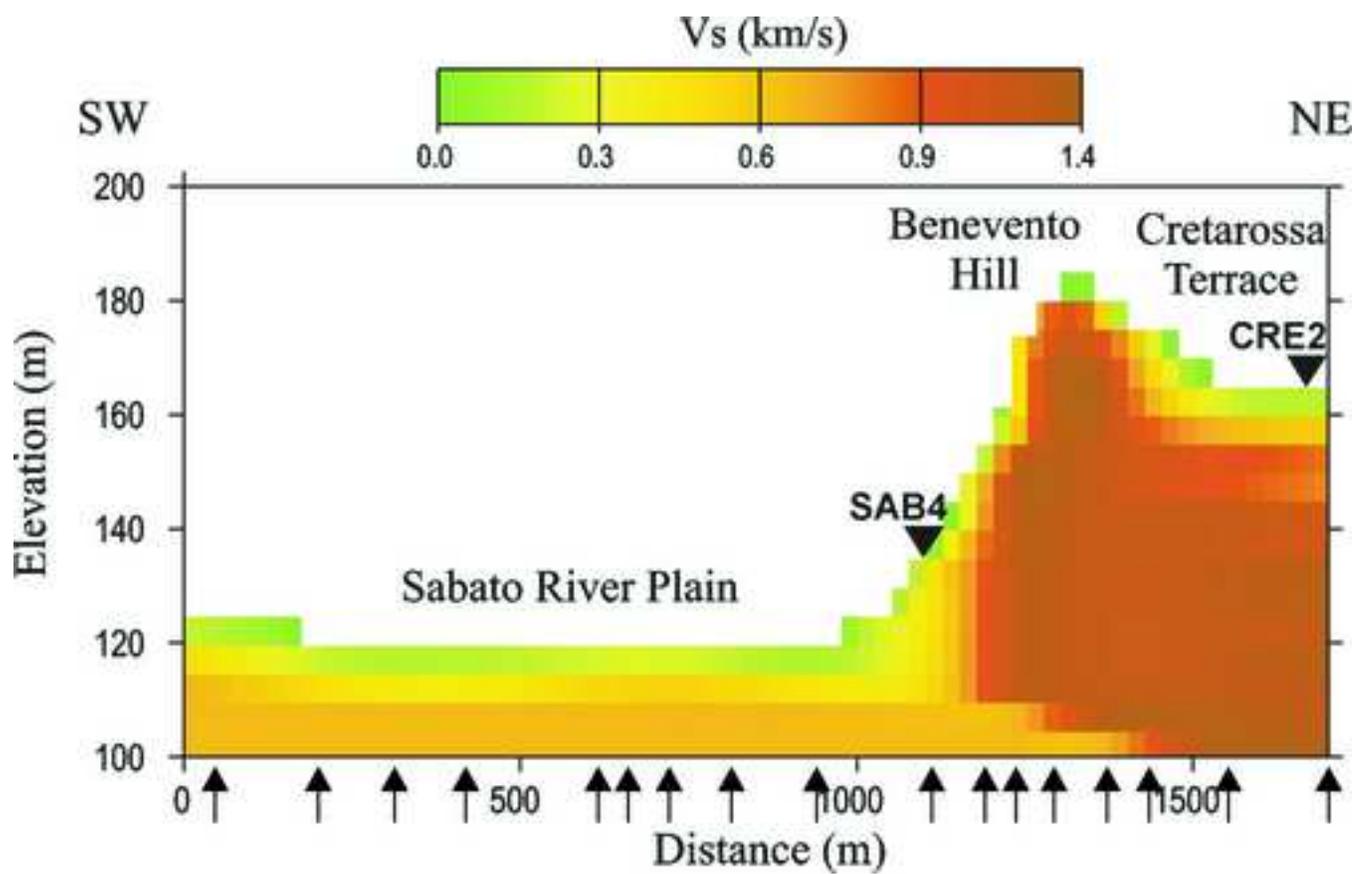


Figure6
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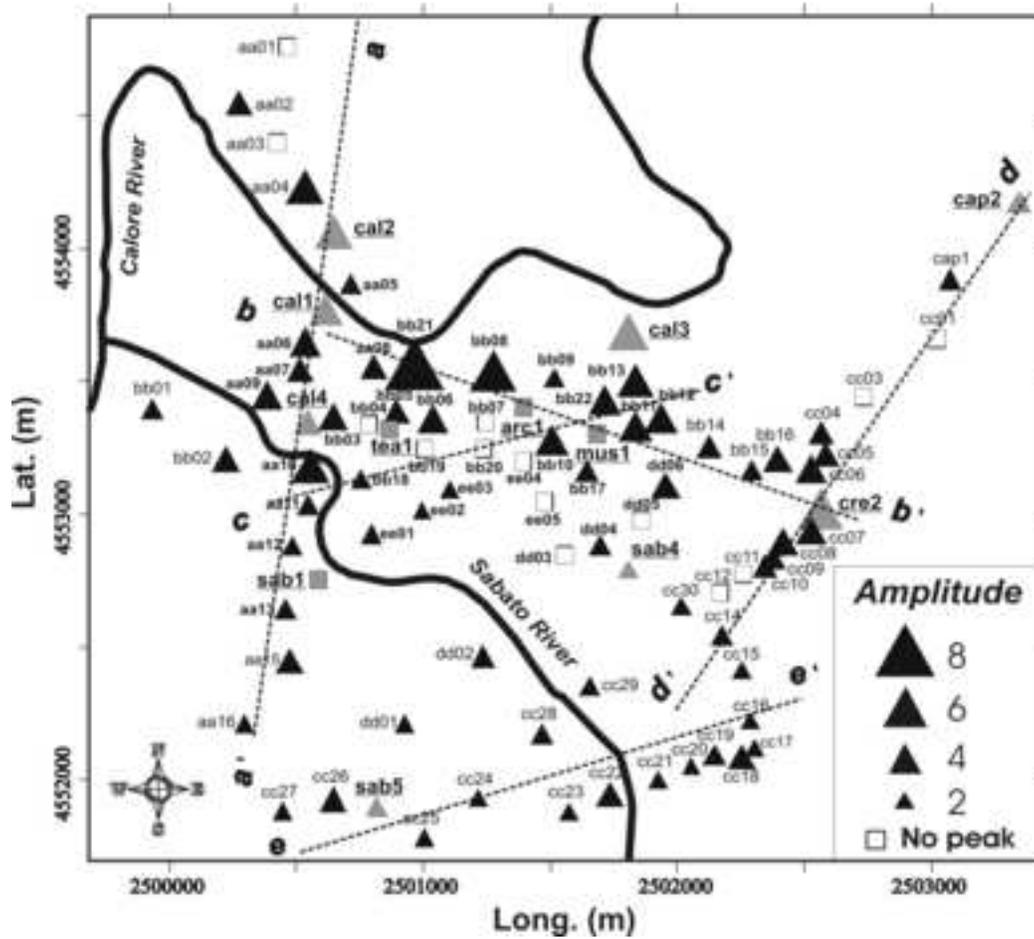
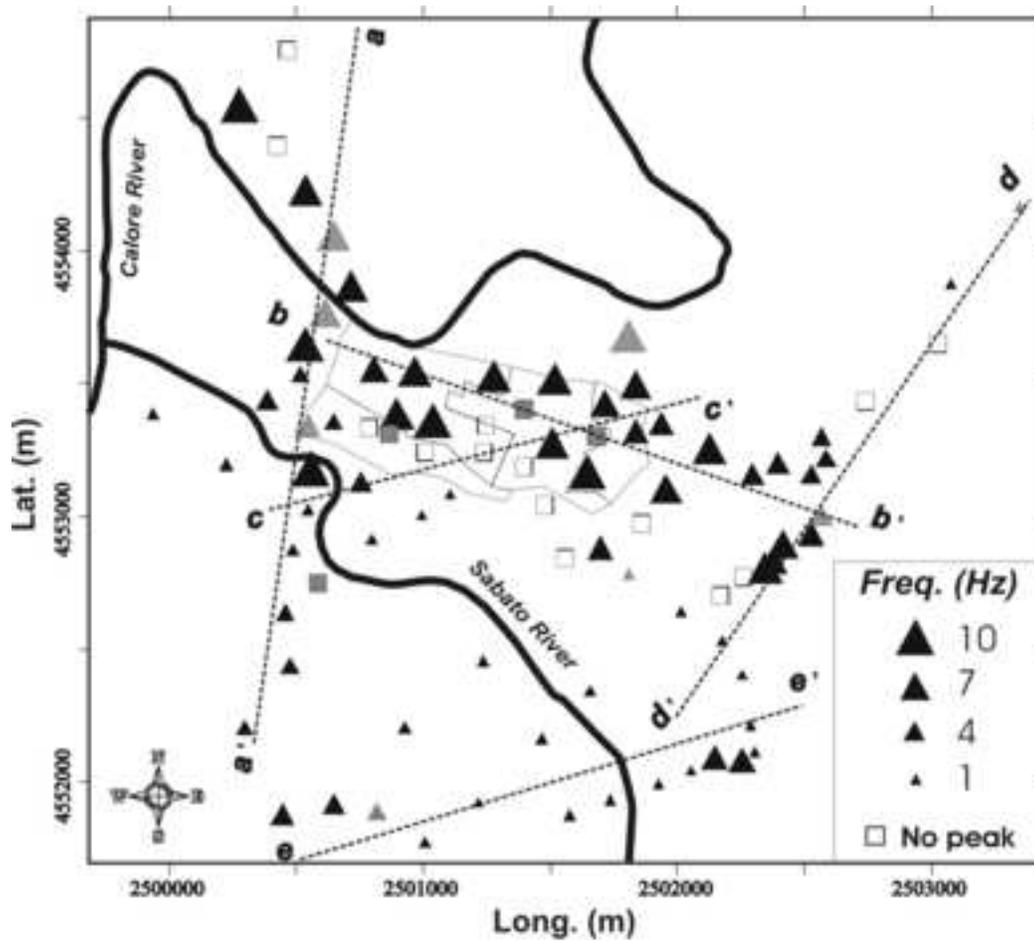


Figure7

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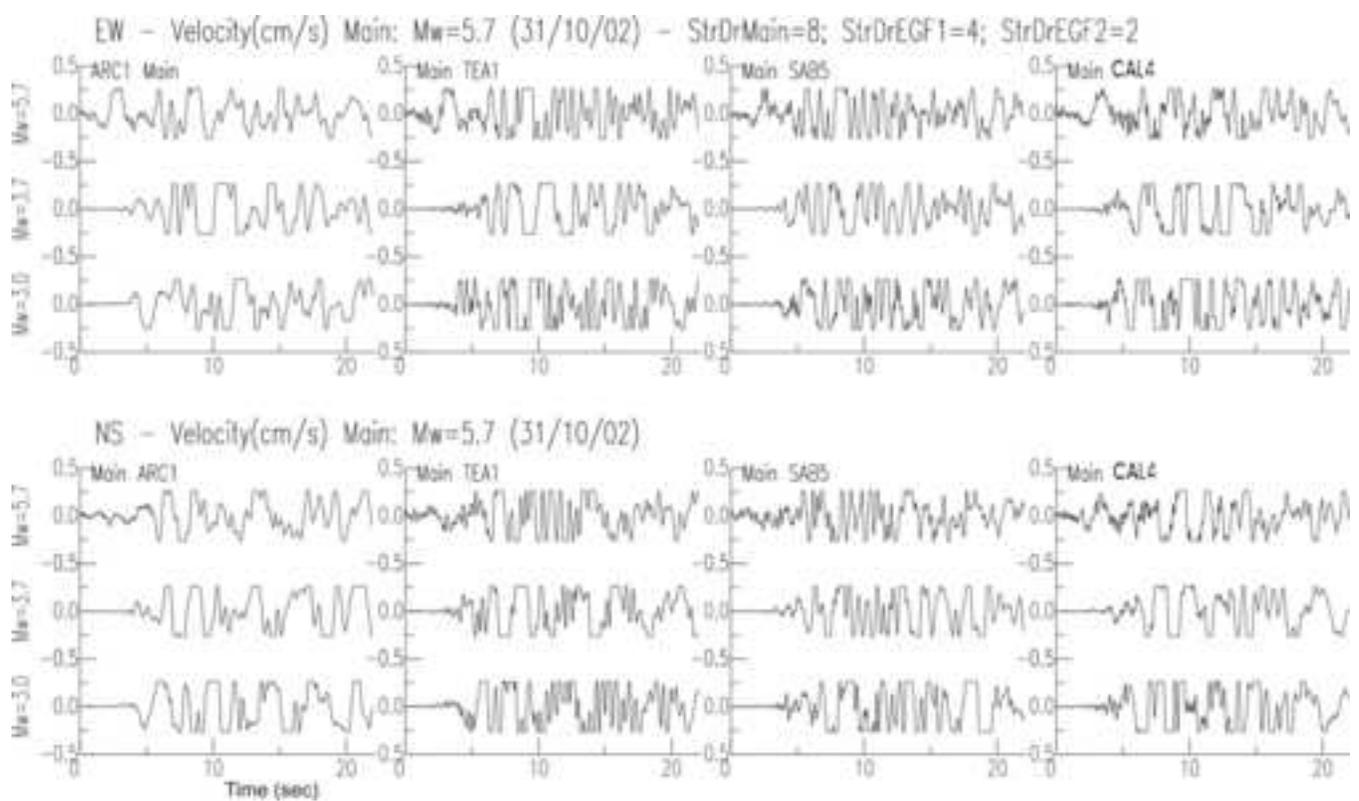


Figure8

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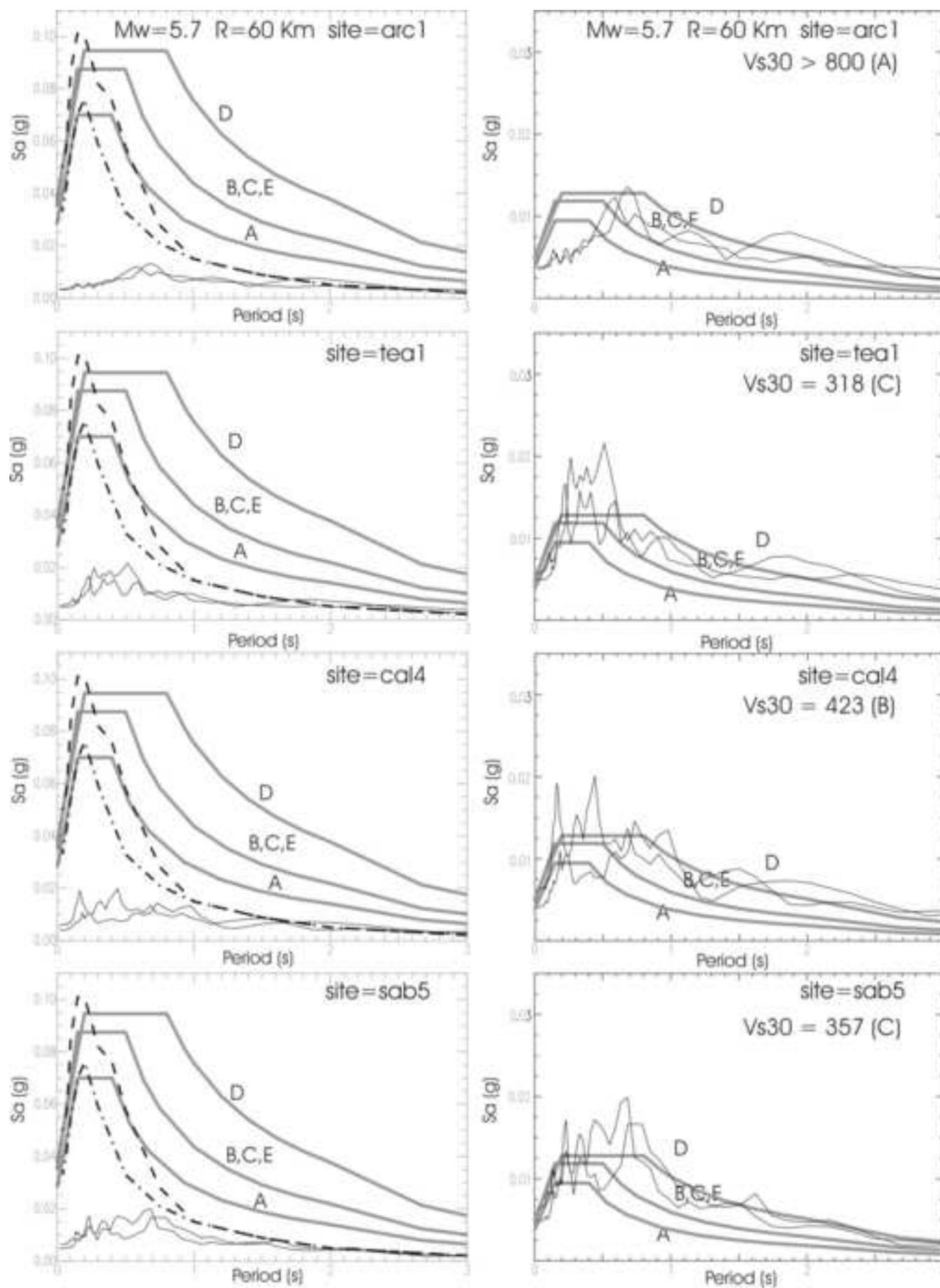


Figure9

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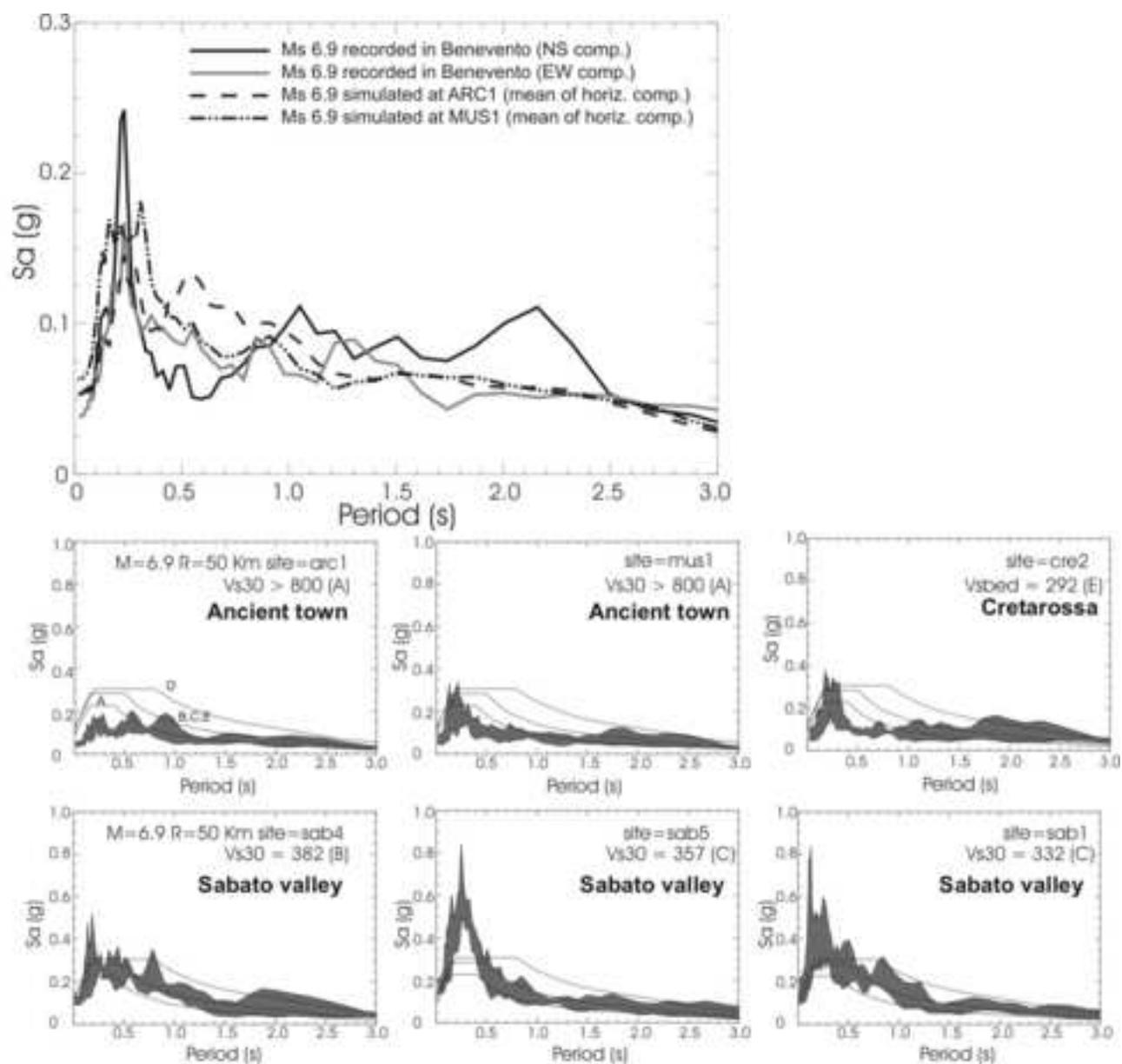


Figure10
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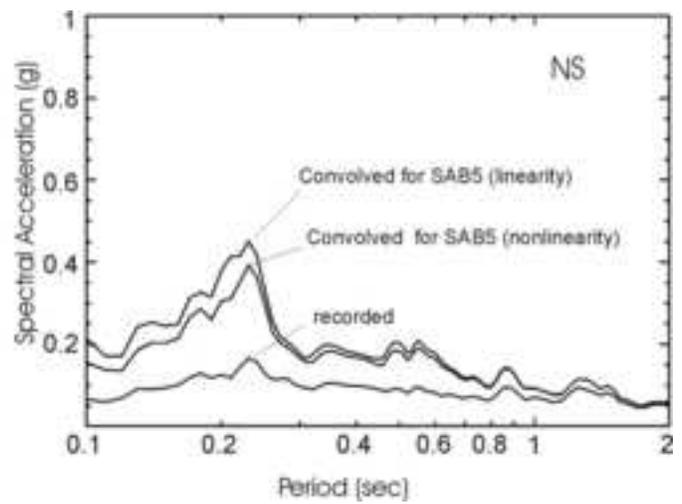
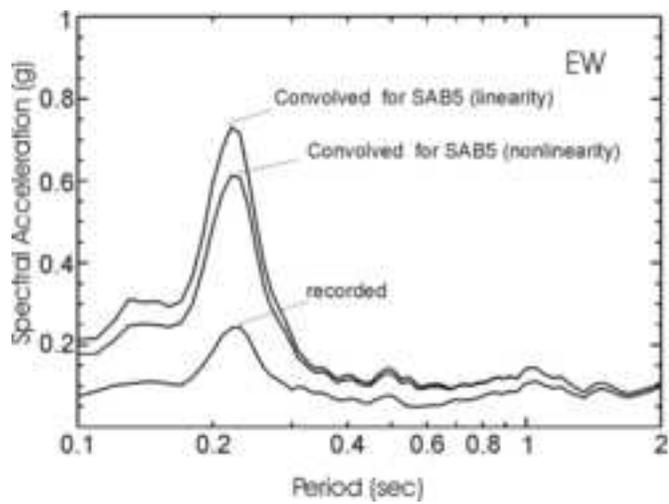


Figure11
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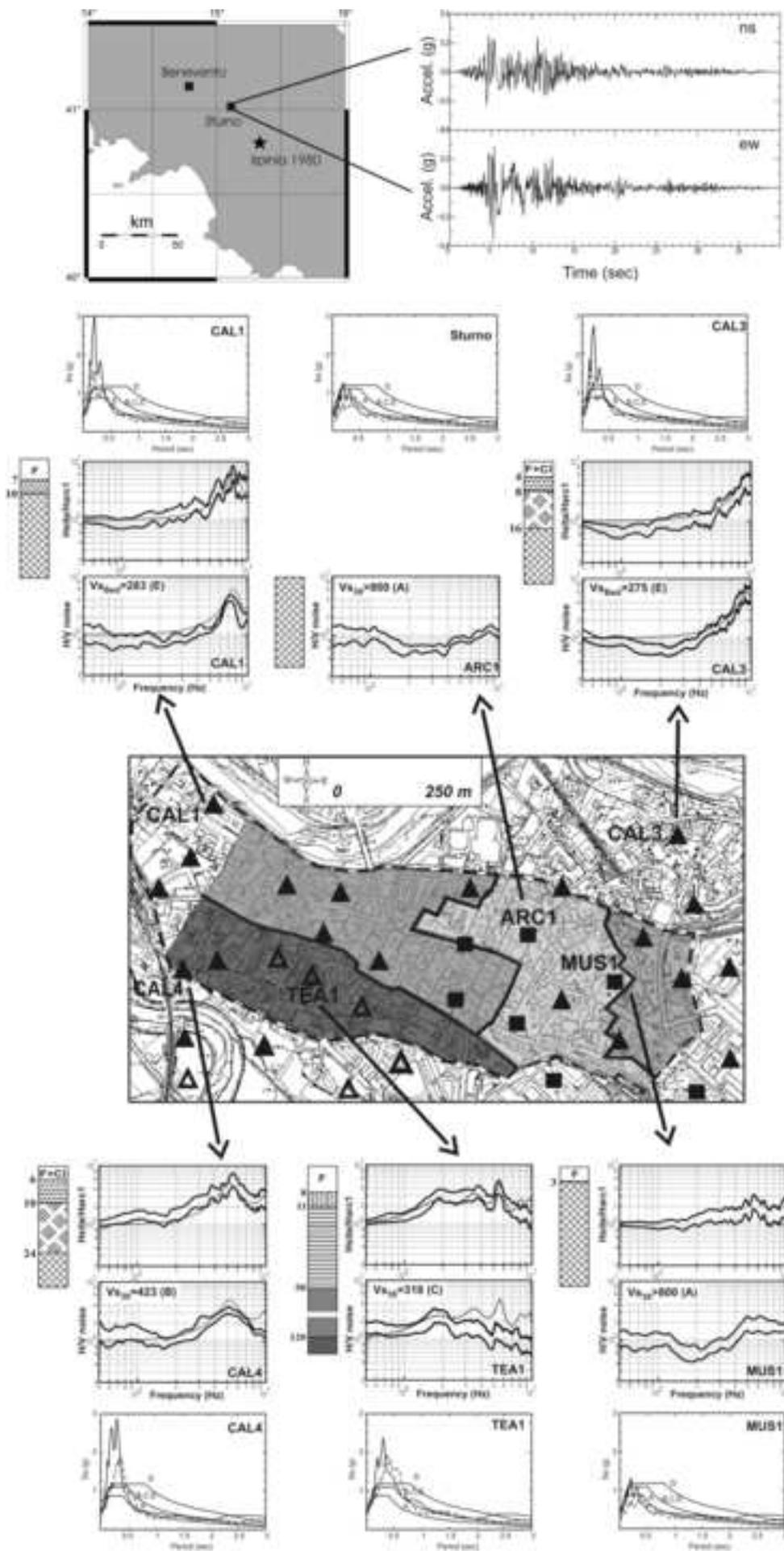


Figure12
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