



## 20 **Abstract**

21 A detailed investigation of the interaction between a Martian regolith simulant and the foot  
22 of a seismometer (SEIS) recently deployed on the surface of Mars within the NASA InSight  
23 mission has been conducted. A specific device used to investigate the SEIS/ground  
24 interaction was improved to provide accurate measurements of low forces and  
25 displacements, with a higher system stiffness and appropriate thermal insulation. A series  
26 of tests were carried out with a 60 mm diameter disk and the SEIS foot (disk with a spike in  
27 the disk centre). The maximum disk penetration in the loose sand used as simulant under  
28 the SEIS weight (10 N) was between 400 and 600  $\mu\text{m}$ , with a tiny effect of the spike. Load  
29 cycles under various forces were performed to investigate the elastic interaction, with good  
30 reversibility and a linear change of the Young modulus with respect to the average vertical  
31 stress. The tests provided comparable values, showing that the Young modulus was around  
32 20 MPa, compatible with that of loose terrestrial sands and agreeing well with the seismic  
33 wave velocities at surface (from laboratory experiments and from measuring on the surface  
34 of Mars the travel times of waves received by the SEIS seismometer).

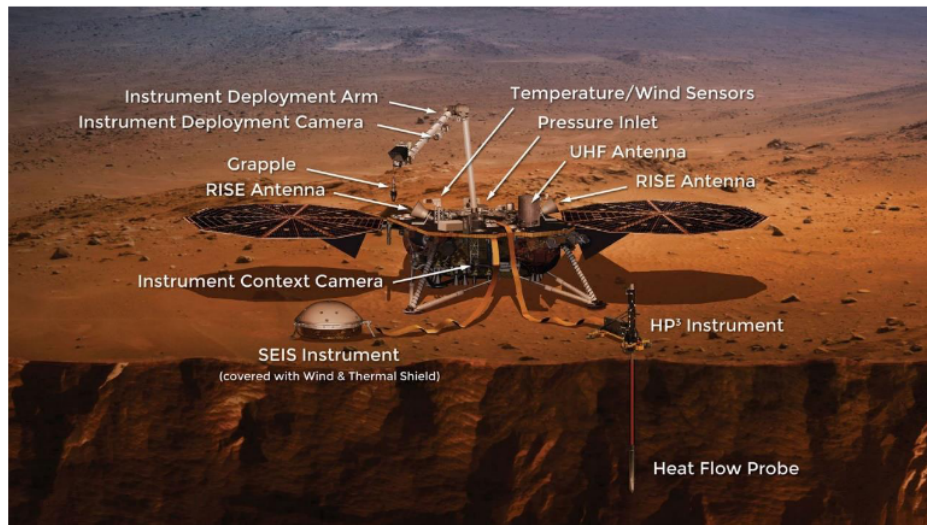
35

36 **Keywords:** Laboratory tests, sands, soil structure interaction

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## 38 **1. Introduction**

39 The Seismic Experiment for Interior Structure (SEIS, Lognonné et al. 2019, 2020) of the NASA  
40 (National Aeronautics and Space Administration) InSight mission on Mars (Interior  
41 Exploration using Seismic Investigations, Geodesy and Heat Transport, Banerdt et al. 2020)  
42 is the first seismometer ever deployed in direct contact with the surface of the planet Mars,  
43 in the footsteps of the Apollo Lunar Surface Experiments Package on the Moon (e.g. Latham  
44 et al. 1969, 1970; Bates et al. 1979) and 44 years after the landing of the two Viking  
45 seismometers in 1976 (these seismometers, placed on the landers with no direct contact  
46 with the ground, didn't provide any exploitable data). The InSight mission is a geophysical  
47 mission aimed at further understanding the structure of Mars and of the other terrestrial  
48 planets of the solar system. The main geophysical instruments deployed on Mars are a very  
49 high sensitivity seismometer (SEIS) funded by the French space agency (CNES - Centre  
50 National d'Etudes Spatiales) and the HP<sup>3</sup> instrument (Heat flow and Physical Properties  
51 Package), a self-penetrating dynamic probe (called the mole, 39.6 cm long and 2.7 cm  
52 diameter) funded by the German Institute for Planetary Research (DLR - Deutsches Zentrum  
53 für Luft- und Raumfahrt). The HP<sup>3</sup> instrument is designed to perform thermal conductivity  
54 measurements along the first 3 – 5 meters below the surface. The InSight lander that landed  
55 on Mars on 26 November 2018, is represented in the artist view of Figure 1, with both the  
56 SEIS (covered by a wind and thermal shield) and the HP<sup>3</sup> instruments deployed. Both are  
57 linked to the lander by tethers that transmit energy and data.



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*Figure 1. InSight NASA Lander concept and main instruments. Image Credit: <https://mars.nasa.gov/insight/spacecraft/instruments/summary/>*

61 The Figure also shows the 2 m long Instrument Deployment Arm (IDA) and its grapple that  
62 deployed both SEIS and HP<sup>3</sup> on the ground. The IDA also carries a high-resolution  
63 Instrument Deployment (colour) Camera (IDC). The lander is also equipped with an  
64 Instrument Context Camera (ICC), a meteorological station including temperature,  
65 windspeed and pressure sensors, two RISE antennas (Rotation and Interior Structure  
66 Experiment) providing a precise location of the lander to accurately monitor the  
67 movements of the planet from the Earth and an Ultra High Frequency antenna for data  
68 transmission. Energy is provided by two twin 1.8 m diameter solar panels with a power of  
69 700 W each, on clear days.

70 The average size of the regolith particles on Mars was determined from thermal inertia  
71 measurements by the Viking lander and the Themis orbiter (Arvidson et al. 1989). The  
72 microscopic imagers of the Mars Exploration Rovers (MER - Spirit and Opportunity, 2004),  
73 Mars Science Laboratory (MSL - Curiosity, 2012) and Phoenix lander (2008) evidenced

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74 sorted dark grey basaltic sub-rounded to rounded fine sand particles (due to wind saltation  
75 under a 750 Pa atmospheric pressure), with diameter between 80 and 200  $\mu\text{m}$ . This range  
76 is compatible with the average diameter (170  $\mu\text{m}$ ) derived from thermal inertia measured  
77 at the InSight landing site (160–230  $\text{J m}^{-2} \text{K}^{-1} \text{s}^{-1/2}$ , Golombek et al., 2020). Bright red dust  
78 particles (2 – 5  $\mu\text{m}$ ) of slightly chemically altered basalt are also observed (Arvidson et al.  
79 2004a et b, Golombek et al. 2006a and b and Goetz et al. 2010). Orbiter observations  
80 indicated that dust has been expelled by the rockets during landing at an average distance  
81 of 20 m around the InSight lander (Golombek et al. 2020).

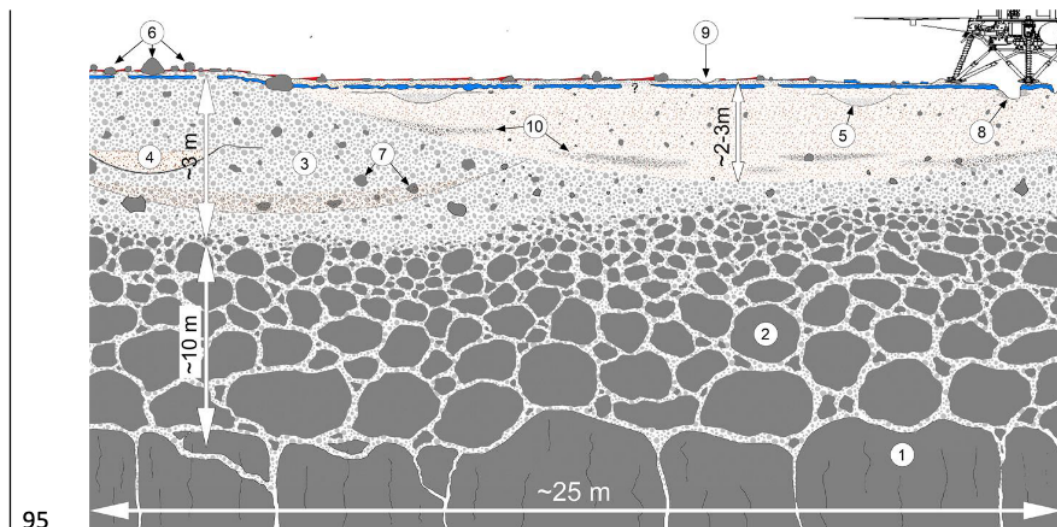
82 Figure 2 shows a high-definition IDC photo of the Martian surface in the InSight landing site,  
83 showing the sand-like appearance of the regolith, with also some pebbles on the surface  
84 around.



85  
86 *Figure 2. IDC photo showing the scoop of the Instrument Deployment Arm compressing the regolith*  
87 *above a hole developed around the self-penetrating mole during hammering. The scoop width is*  
88 *7.6 cm (image credit NASA – CalTech).*

89 A detailed geological description of the so-called “Homestead hollow” where the lander is  
90 located was presented by Golombek et al. (2020), from which the interpretative cross

91 section of the landing site of Figure 3 has been extracted. It shows a typical regolith profile,  
 92 with an around 3 m thick layer of relatively fine-grained impact generated regolith (3) that  
 93 likely grades with depth into coarse, blocky ejecta (2) that overlies fractured basalt flows  
 94 (1), with an estimated 10 m thick layer of blocky ejecta.



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 96 *Figure 3. Interpretative cross-section of the shallow surface beneath the InSight lander (Golombek*  
 97 *et al. 2020, Creative Commons CC BY license). 1: Fractured basalt flow; 2: Blocky ejecta; 3: Fine-*  
 98 *grained impact generated regolith; 4, 5: Overlapping craters; 6: Rockier area; 7: Rocks embedded*  
 99 *in regolith; 8: Pits opened by retro rockets during landing; 9: Surface divots; 10: Lens of ejecta from*  
 100 *other craters.*

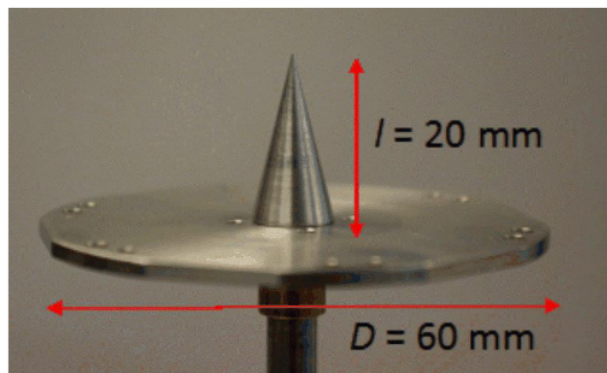
101 This paper is devoted to further investigating the elastic interaction between the SEIS foot  
 102 and a Martian regolith simulant, by using a specific device working at very low stresses and  
 103 strains. In a first approach, the investigation was carried out under terrestrial gravity ( $9.81$   
 104  $\text{m/s}^2$ ), higher than Mars' gravity ( $3.72 \text{ m/s}^2$ ). The aim of the program is to provide an  
 105 estimation of the value of the axial elastic spring constant of the regolith simulant to allow  
 106 for a theoretical modelling of the elastic interaction between the SEIS and the Martian  
 107 regolith (see Fayon et al. 2018). From a seismology point of view, the elastic parameters of

108 the surface regolith that can be derived from this experiment are also interesting to  
109 estimate the wave velocity in the near surface.

## 110 2. Material and methods

### 111 2.1. Introduction

112 The shape of the SEIS foot, designed based on a first series of tests carried out in a device  
113 developed at Ecole des Ponts ParisTech (Karakostas et al. 2013) is presented in Figure 4. The  
114 foot consists of a disk with 60 mm diameter and a 20 mm long conic spike (10 mm diameter  
115 at its base) in its centre. As further detailed in Section 2.3, the device was improved to get  
116 a better accuracy in terms of displacement and force measurements.

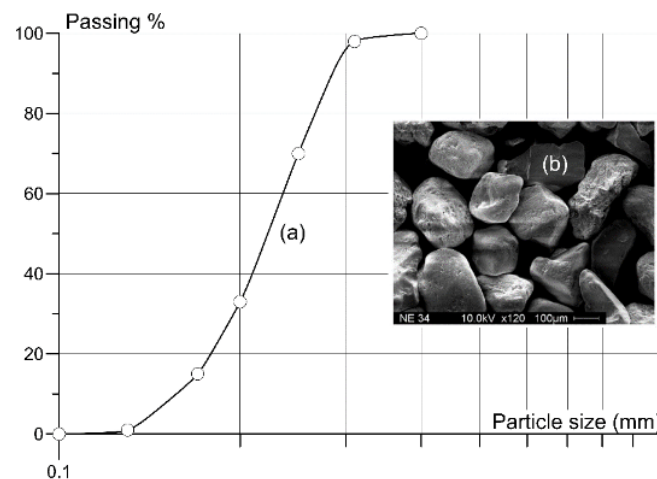


117  
118 *Figure 4. The shape of the SEIS foot. The conical spike has a maximum diameter of 10 mm.*

### 119 2.2. Martian simulant

120 The selection of a relevant Martian regolith simulant is a difficult task (Seiferlin et al. 2008).  
121 Given the data provided by the MER, MSL and Phoenix missions and by thermal inertia  
122 measurement (see above), it was decided to adopt the Fontainebleau sand (NE34), a well  
123 sorted rounded sand with an average grain diameter  $D_{50} = 220 \mu\text{m}$  (a little bit larger than  
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124 the 170  $\mu\text{m}$  estimated value at the InSight site) and a uniformity coefficient  $C_u = 1,57$  (the  
 125 maximum and minimum void ratios are  $e_{\min} = 0.54$  and  $e_{\max} = 0.86$ , respectively, Andria-  
 126 Ntoanina 2011). The grain size distribution curve and a SEM photo showing the rounded  
 127 shape of the grains are presented in Figure 5. As observed in Figure 2, it is possible that the  
 128 InSight regolith be less well sorted with more fine particles, but it is presently difficult to get  
 129 further precision about the exact in-situ grain size distribution. Observations from previous  
 130 missions also indicated a loose state of the regolith (Golombek et al. 2008) recently  
 131 confirmed by local thermal measurements conducted at the surface by the HP<sup>3</sup> mole (Grott  
 132 et al. 2021).



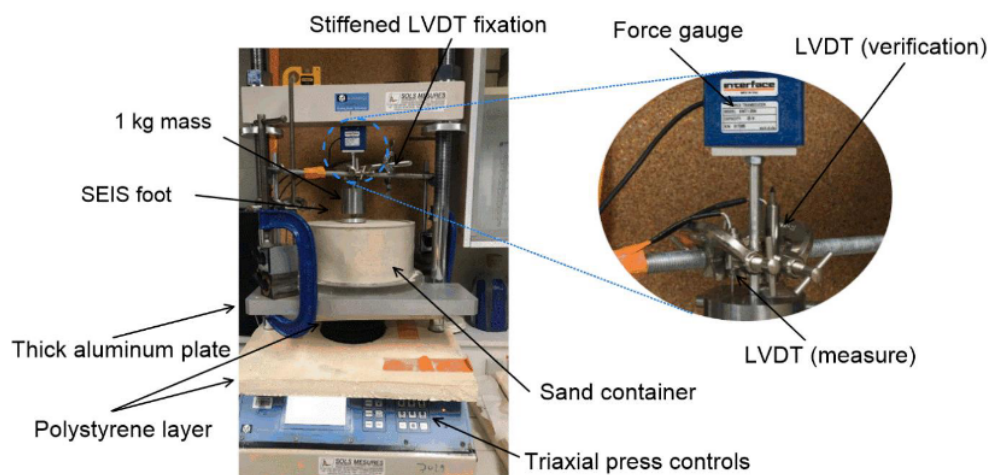
133  
 134 *Figure 5. NE 34 Fontainebleau sand: a) Grain size distribution; b) Scanning electron microscope*  
 135 *photo of the showing the subrounded grains (after Andria-Ntoanina 2011).*

### 136 2.3. Experimental set-up

137 The precision of the experimental device used by Karakostas et al. (2013) was significantly  
 138 improved by adopting high precision sensors for the measurements of both force and  
 139 displacement (0.1 $\mu\text{m}$ ), together with a stiff device designed to support the displacement



140 (6x10<sup>-4</sup> N) transducer and a thermal insulation device of the whole system. The device  
 141 (Figure 6) consists of a sand container of diameter 240 mm and height 120 mm placed on  
 142 the plateau of a standard 100 kN triaxial press, allowing for upwards displacement at  
 143 constant speed at 0.080 mm/min. The diameter of the container was constrained by the  
 144 space available between the two rods of the triaxial press.



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 146 *Figure 6. Experimental set-up, showing more details the fixation of the mass to the force gauge*  
 147 *and the LVDTs providing the vertical displacement.*

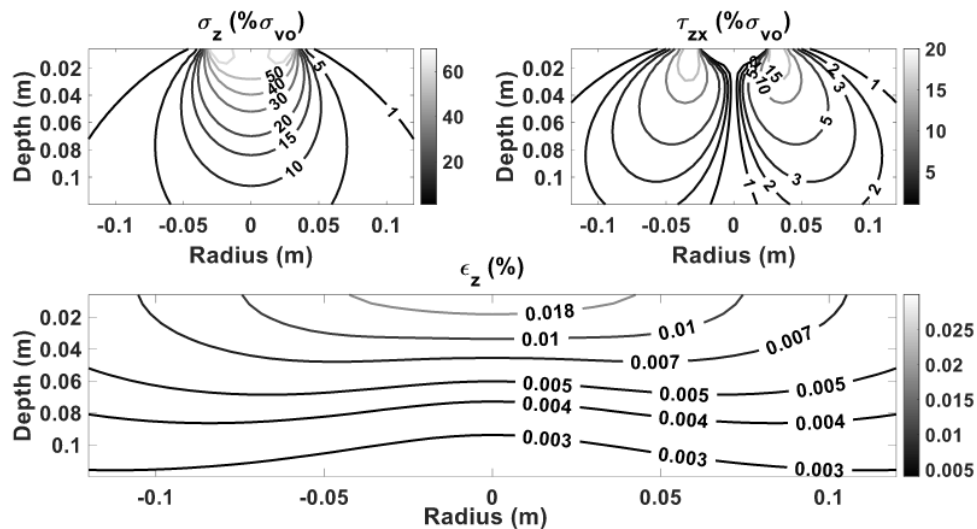
148 The relevance of the container dimensions with respect to boundary conditions was  
 149 examined through elastic calculations based on Sneddon (1946)'s solution of a rigid circular  
 150 plate on a semi-infinite elastic homogeneous space (see Appendix), with the following  
 151 expression of the vertical displacement  $\Delta z$ :

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$$\Delta z = \frac{\pi}{2} (1 - \nu^2) \frac{\sigma_v R}{E} = \frac{F}{2RE} (1 - \nu^2) \quad (1)$$

153 where  $R$  is the disk radius,  $F$  the applied force,  $\sigma_v = F / \pi R^2$  the average applied vertical  
 154 stress,  $E$  the Young modulus and  $\nu$  the Poisson coefficient. A Young modulus typical of loose  
 155 sands ( $E = 20$  MPa, Massarsch 2015) was adopted and  $\nu$  was taken equal to 0.22 (Delage et

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156 al. 2017). Equation 1 allows analysing stresses on the boundaries of the mould, as shown in  
 157 Figure 7. This analysis reveals slight changes in stress at the bottom (5% for  $\sigma_z$  and 2% for  
 158  $\tau_{zx}$  of the vertical stress applied on the surface) and at the periphery, leading to a vertical  
 159 strain  $\epsilon_z = 0.001\%$  at bottom (compared to 0.018% below the disk).



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 161 *Figure 7. Elastic calculations of the vertical and shear stress (presented as percentages of the*  
 162 *applied vertical stress) and of the vertical strain below the foot under the SEIS weight, based on*  
 163 *Sneddon (1946)'s elastic solution.*

164 Finite element elastic calculations were also carried out with the Plaxis code to account for  
 165 possible effects due to the dimensions of the mould. Calculations made with the mould (240  
 166 mm diameter and 120 mm height) were compared to those with a much larger container  
 167 comparable to a semi-infinite space (600 mm diameter and 550 mm depth). The differences  
 168 obtained between the vertical displacements were  $5.8 \times 10^{-4} \mu\text{m}/\text{N}$  on the lateral boundary  
 169 and  $0.04 \mu\text{m}/\text{N}$  in the middle axis. They were considered small enough to conclude that the  
 170 semi-infinite hypothesis used in Sneddon's approach was satisfactory for the mould used.  
 171 Compared to the  $220 \mu\text{m}$  average diameter of the sand grains, the small displacements

172 calculated would result in a tiny movement of the grains at the interface with the container,  
173 with no significant effect on the disk penetration in the centre.

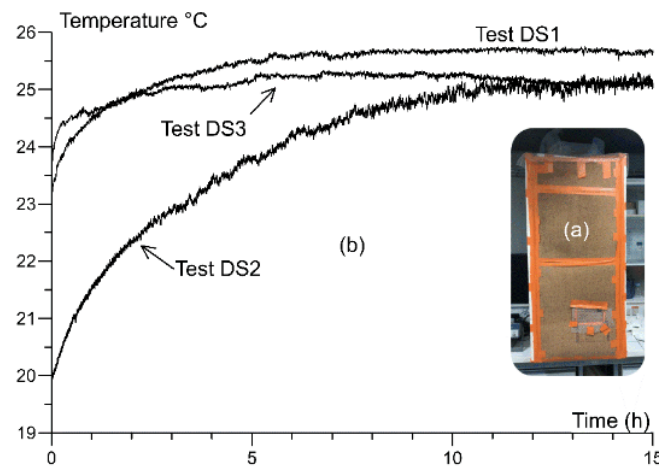
174 The option adopted in the work was to keep the real (Martian) foot dimensions by reusing  
175 the foot model used during the SEIS foot design (Karakostas et al. 2013), by putting it in  
176 contact with a simulant with grain size and shape comparable (albeit slightly larger) to those  
177 of the InSight regolith, and by loading it up to the force supported by each foot on Mars.  
178 The length scale used is 1 (e.g.,  $L^m/L^p=1$ , where  $L^m$  is the length of the model and  $L^p$  is the  
179 prototype's length), leading to a disagreement in the similitude scale for stresses, that can  
180 be analysed by splitting stresses into those due to the seismometer weight  $\sigma_s$  (with a scale  
181 relationship of 1, e.g.,  $\sigma_s^m/\sigma_s^p=1$ ) and those due to the self-weight of the soil  $\sigma_g$ , which is  
182 2.64 times greater than on Mars. The match is not perfect along the earlier part of  
183 penetration, where the stresses due to soil self-weight predominates. However, once the  
184 load grows, the issue with similitude on stresses decreases because the induced stresses  
185 beneath the foot dominates over the self-weight stresses.

186 The SEIS foot is fixed at the bottom of a cylindrical mass of 1 kg, corresponding to the load  
187 supported by one SEIS foot under Mars' gravity, fixed to the horizontal rigid top beam of  
188 the press through a force gauge. The experiment is carried out by putting the spike of the  
189 foot in contact with the surface of the sand, prior to activating the upwards movement of  
190 the press. This allows for the progressive penetration, at a constant displacement rate, of  
191 the foot within the sand mass, until reaching the contact between the disk and the sample  
192 surface. The spike penetration is monitored through the displacement rate of the press that  
193 has been carefully calibrated. Once the disk contacts the sand, a high accuracy in

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194 displacement monitoring is achieved by using a LVDT of 4.5 mm range with 0.1  $\mu\text{m}$   
195 resolution.

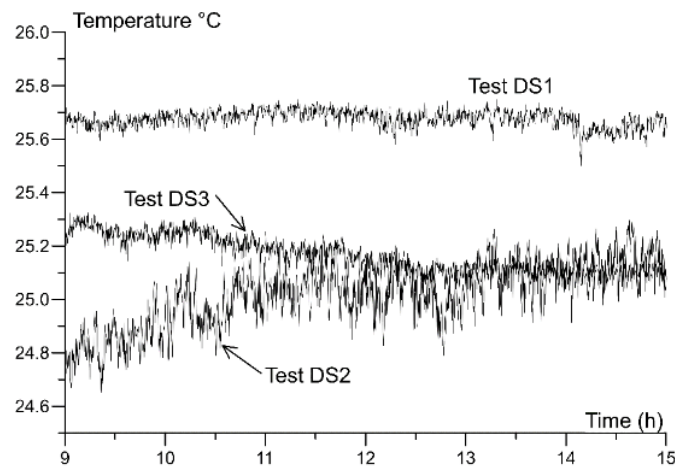
196 The force measurement was improved by using a 25 N range force gauge (Interface SMT1-  
197 25N). To reduce the noise in displacement measurements, a stiffer fixation system for the  
198 LVDT was adopted. As seen in Figure 6, the system is made up of two vertical rods strongly  
199 fixed on a thick aluminium plate placed on the plateau of the press along a diameter of the  
200 container, and of a horizontal rod strongly fixed to the two vertical ones. The 4.5 mm LVDT  
201 was fixed on this system, with its stem in contact with the upper side of the 1 kg mass.  
202 Another LVDT with a larger range of 20 mm, seen in Figure 6, has also been used for tilt  
203 verification. To the same aim, an insulation system from temperature changes and air  
204 movements has been set up, with particular attention paid to thermally insulate the two  
205 vertical rods of the triaxial press, because of the possible perturbations due to their thermal  
206 expansion/contraction on the displacement measurements. The insulation system  
207 consisted of a light prismatic box made up of 5 cm thickness expanded polystyrene and cork  
208 layer, placed around the device, as seen in Figure 8a, with a small access made through the  
209 box for the control system.



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*Figure 8. a) Thermal insulation of the device; b) temperature stabilisation is reached after 10 hours for tests DS1, DS2 and DS3 (see Table 1).*

213 The performance of the insulating system was verified by performing several day/night  
214 temperature measurements carried out by means of a thermocouple (able to detect change  
215 in temperature smaller than 0.1°C, see Figure 9) inside the box during up to three days. As  
216 shown in Figure 8b, thermal stabilization was attained one day after the box was placed  
217 around the device. Also, the sand container was insulated from possible thermal  
218 perturbations from the press by placing a thick polystyrene plate between the plateau and  
219 the thick plate. As observed in Figure 8b and Figure 9, the resulting stabilization after 14  
220 hours reduces temperature variations to the order of 0.1°C, which is found quite  
221 satisfactory.



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Figure 9. Temperature stabilization in tests DS1, DS2 and DS3 (see Table 1) made possible by the thermal insulation of the device, is of the order of 0.1°C.

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#### 2.4. Setting-up the low-density simulant specimen

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Given that the low unit mass of the regolith (1400 kg/m<sup>3</sup> or even less, Golombek et al. 2008, Delage et al. 2017, Morgan et al. 2018, Grott et al. 2021), a dry funnel deposition procedure, already used by various authors (including Tatsuoka et al. 1979, Zlatovic and Ishihara 1997, Lade and Yamamuro 1997, Yamamuro and Wood 2004, Flitti et al. 2019) was adopted. To do so, the sand was gently poured at the centre of a container by using a funnel, keeping a zero falling height between the bottom end of the funnel and the top of the cone. The funnel was gently lifted by hand as the pile rises. An upper Plexiglas ring (8 cm in height) with the same diameter was placed on top of the container. Once the sand pile reached the right height, the ring was removed and the sand in excess was carefully erased to get a smooth horizontal upper plane surface. To minimise any disturbance, the sample was prepared close to the press and to a scale, on a mechanically insulating polystyrene layer. Once prepared, it was very carefully placed (no walking) on the scale for weighing, prior to be placed on the platen of the press. Once on the platen, the erased top surface of the sand

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239 was inspected to make sure that it stayed at the top of the container, which was the case.

240 The unit mass of the deposited sand samples was found to be between 1.403 and  
241 1436 kg/m<sup>3</sup> (see Table 1). It corresponds to an average density index  $I_d = 6$  %, indicating a  
242 very loose state of the specimen.

### 243 2.5. Starting the test

244 Once the sample placed on the platen of the press, the thermal insulation box was placed  
245 and data acquisition started. As already mentioned, almost one day was necessary to reach  
246 temperature stabilization, a mandatory condition for proper displacement measurements.

247 The plateau was moved at a low velocity of 0.08 mm/min to adequately monitor all relevant  
248 parameters. Various loading and unloading cycles were applied at different forces to  
249 investigate the dependence of the elastic response with respect to stress (see Table 1).

## 250 3. Experimental results

251 Most preliminary tests were aimed at establishing the validity of the device and at checking  
252 repeatability. To do so, it was found simpler to carried out tests with the 60 mm disk of  
253 diameter (without spike), because it allowed for an easier detection of the first sand/disk  
254 contact. As seen in Table 1, 10 tests were conducted with the disk. Once the performance  
255 of the system assessed, it was found that only 3 tests with the foot (disk + spike) were  
256 enough. The data obtained are presented in Table 1, including the values of the spring  
257 coefficient  $K_c$  determined from load cycles under various forces, and  $K_f$  from the final  
258 unloading and the Young moduli ( $E_c$  and  $E_f$ ). The latter was derived under the hypothesis of  
259 elastic semi-infinite homogeneous half-space, as commented later on.

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Table 1. Experimental program carried out.

N°	Unit mass kg/m <sup>3</sup>	Max. $p_i^*$ μm (± 0.1)	Max. force N (± 0.01)	Load cycles min – max N (± 0.01)	Slope $K_c$ cycle MN/m (± 0.07)	Slope $K_{fu}$ final unload MN/m (± 0.07)	Young modulus $E_c$ cycle MPa (± 1.1)	Young modulus $E_{fu}$ final unload MPa (± 1.1)
Disk only								
D1	1421	412.6	10.48	8.14 - 9.63	1.32	1.19	20.89	18.8
D2	1432	534.1	10.39	2.72 - 1.57 7.51 - 5.53	0.28 1.31	1.47	4.46 20.76	23.31
D3	1413	419.6	10.47	9.30 - 8.06	1.33	1.09	21.11	17.21
D4	1389	605.9	10.15	4.00 - 2.83	0.37	1.43	5.84	22.68
D5	1421	485.5	10.27	3.01 - 2.07 5.67 - 3.32	0.27 0.82	1.36	4.23 12.94	21.57
D6	1436	438.2	10.	9.46 - 7.87	1.23	1.47	19.53	23.31
D7	1423	392.5	10.01	6.92 - 5.62	1.03	0.93	16.38	14.71
D8	1409	605.4	10.97	3.12 - 1.77 5.53 - 3.02	0.63 1.15	0.76	10.03 18.17	11.97
D9	1428	348.6	9.80	8.77 - 7.44	1.16	1.53	18.37	24.27
D10	1435	408.3	10.31	8.98 - 6.37	1.47	1.37	23.25	21.73
Disk + spike								
DS1	1428	607.4	10.6	8.55 6.49	1.11	1.087	17.59	17.24
DS2	1403	598.4	9.91	8.81 - 6.77	1.26	0.825	20.02	13.09
DS3	1418	611.3	10.56	- 7.40	1.18	0.936	18.65	14.85

261 \*  $p_i$ : penetration262 **3.1. Penetration tests with the disc**

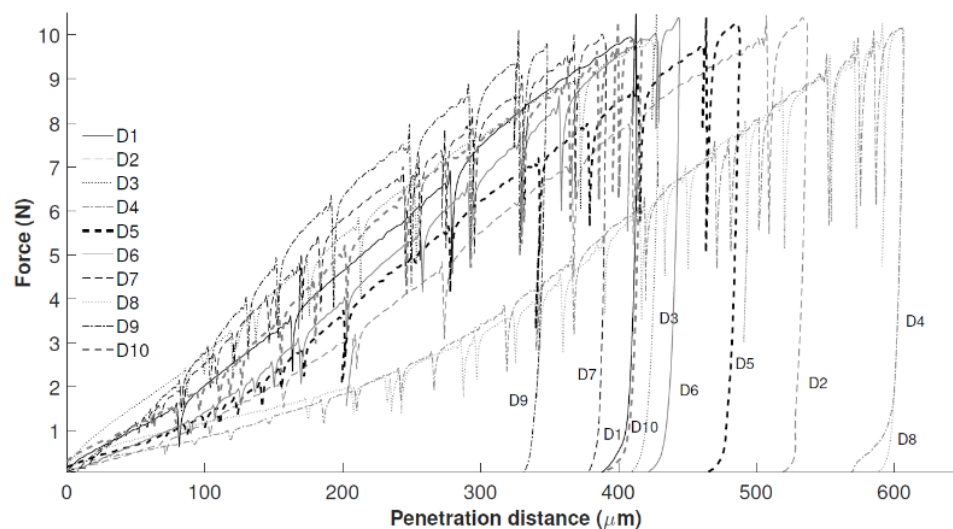
263 10 tests were carried out with the disk up to a maximum force of 10 N, i.e., the force applied  
 264 on the ground by each SEIS foot on Mars. Half of them were performed to determine,  
 265 through a load cycle, the elastic response under 10 N. 5 others were performed under  
 266 smaller stresses to characterize the variation of the elastic response with stress. All final  
 267 unloading sequences were also used to determine the  $K_f$  coefficients and the  $E_f$  Young  
 268 moduli, as indicated in Table 1.

269 Figure 10 shows the penetration curves of the 10 tests in terms of force (N) with respect to  
 270 the penetration distance (μm) monitored by the 4.5 mm range LVDT. The Figure shows

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271 some variability in the maximum penetration observed that ranges between around 400  
 272  $\mu\text{m}$  for 7 tests and around 600  $\mu\text{m}$  for the other 3. The responses at the beginning of the  
 273 series of the 7 tests show a stronger increase in force with respect to the displacement. A  
 274 comparable slope is however reached for the three other tests after a penetration of 300  
 275  $\mu\text{m}$ .



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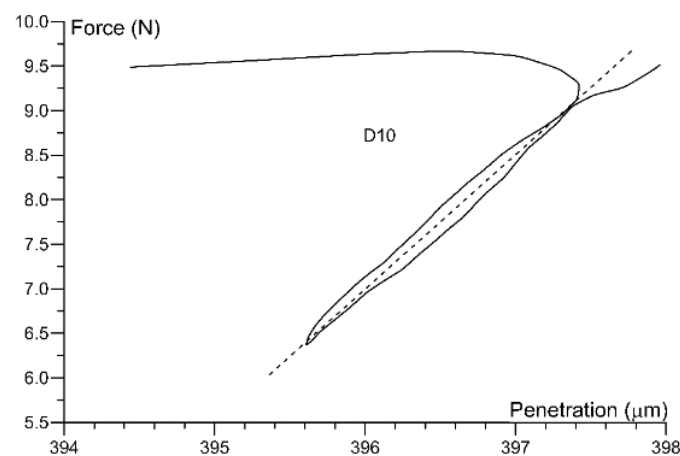
*Figure 10. Force-penetration curves for the 10 tests performed with the disc.*

278 All curves show many spikes corresponding to sudden decreases in force, followed by a  
 279 force recovery along several micrometres that brings back the curve along the initial one  
 280 that are typical of slip and stick phenomena (Cain et al. 2001).

281 As indicated in Table 1, the elastic response under the SEIS weight (10 N) and thus very small  
 282 stresses was investigated through cycles in force. Figure 11 shows a cycle performed during  
 283 test D10 between 8.98 and 6.37 N (force amplitude of 2.61 N), with a resulting penetration  
 284 response between 395.65 and 397.4  $\mu\text{m}$  (amplitude of 1.75  $\mu\text{m}$ ). The curve shows that the  
 285 decrease in force, resulting from stopping and reversing the direction of the movement of

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286 the plateau, is not instantaneous, with a progressive decrease in force between 9.67 and  
 287 9.29 N occurring along 0.8  $\mu\text{m}$ , followed by a frank linear decrease in both force and  
 288 displacement. A very slight hysteresis is observed, with a difference of 0.2  $\mu\text{m}$  between the  
 289 two points at 8 N, showing quite a good reversibility and linearity of the response. A value  
 290 of an elastic spring constant  $\Delta F/\Delta d$  of  $1.47 \times 10^6$  N/m is derived from the graph.

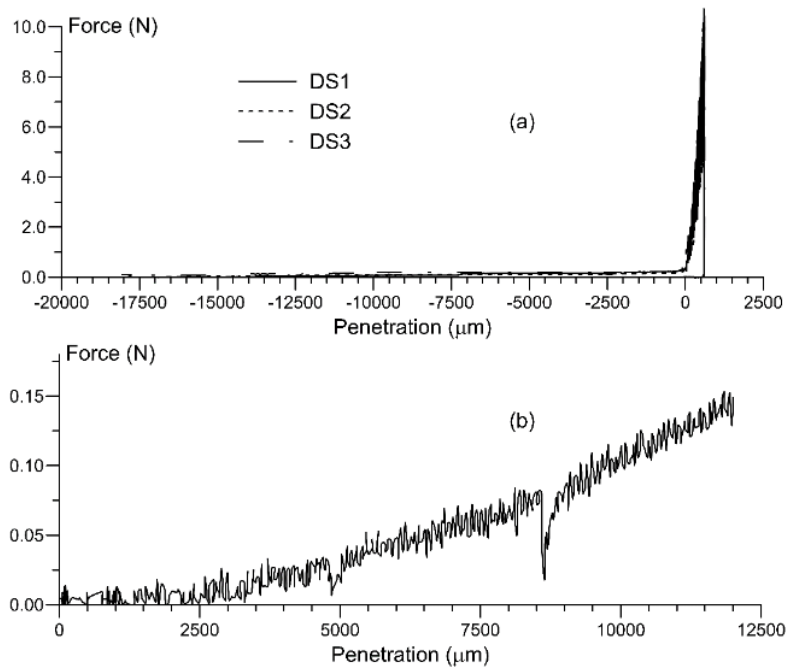


291  
 292 *Figure 11. Load-unload cycle performed between 8.98 and 6.37 N in test 10 (disk only). The*  
 293 *stiffness coefficient given by the slope is  $k = 1.47 \times 10^6$  N/m.*

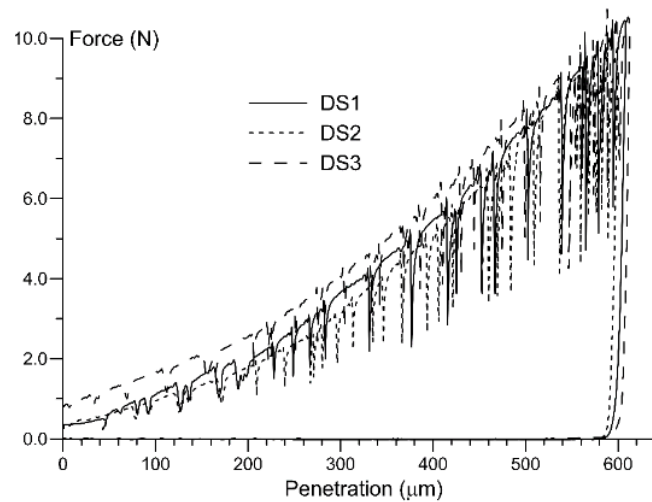
### 294 3.2. Penetration tests with the foot (disk + spike)

295 The difficulty was to accurately determine the first contact between the spike and the sand,  
 296 an impossible task if based on force measurement. Since it was easier to detect the contact  
 297 between the disk and the sand, it was decided to set this point at zero and to plot back the  
 298 penetration of the spike, as shown in Figure 12a. The curve shows that the penetration of  
 299 the spike along the first 17 mm (17 000  $\mu\text{m}$  to compare to the 400 – 600  $\mu\text{m}$  of disk  
 300 penetration) provides no significant added effect of the spike in the loose sand (added  
 301 contribution between 0.14 and 0.54 N). The Force/Penetration curve of test DS1 is  
 302 presented in Figure 12 a and b, showing no significant force mobilisation below 3 mm and  
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303 a maximum force of 0.14 N obtained just before the sand/disk contact is reached. The  
 304 monitored forces also give an idea of the force gauge accuracy that can be estimated  $\pm 0.01$   
 305 N. The sudden drops in force previously observed with the disk and typical of stick and slip  
 306 are also seen with the cone (at 5 200 and 9 100  $\mu\text{m}$ ).



307  
 308 *Figure 12. a) Force-penetration curves for the 3 tests performed with the foot (disk+spike). Note*  
 309 *that the force significantly increases once the disk contacts the sand surface. The start of the*  
 310 *disk/sand contact is taken as 0 for the x-axis, and the curves are started at a point obtained by*  
 311 *subtracting the length of the spike (- 20 000  $\mu\text{m}$ ).* b) *Detailed force penetration curve of the spike*  
 312 *alone for test DS1, with a maximum force of 0.14 N reached just before sand/disk contact. Two*  
 313 *stick-slips are observed at around 4800 and 8600  $\mu\text{m}$ .*



314  
 315 *Figure 13. Force penetration curves for the 3 tests carried out with the foot (disk + spike) once the*  
 316 *spike has fully penetrated the sand and the disk is in contact with the sand.*

317 The curves illustrating the interaction between the foot and the sand (Figure 13) are similar  
 318 to those obtained without the spike, confirming the tiny effect of the spike. A maximum  
 319 penetration of 600  $\mu\text{m}$  was obtained in these tests, like in three of the tests with the disk  
 320 only (Figure 10). Reversible load cycles similar to that presented in Figure 11 were also  
 321 observed, confirming little effect of the spike on the elastic response.

## 322 **4. Interpretations and discussion**

### 323 **4.1. General considerations**

324 The challenge of setting up an experimental device able to deal with very low stress and  
 325 strain has been met, thanks to special care devoted to the thermal insulation and to the  
 326 stiffness of the device, resulting in a precision of around 0.1  $\mu\text{m}$  in displacements. The  
 327 maximum disk penetration obtained with our device under the weight of the SEIS (under  
 328 Mars gravity - around 10 N) is between 400 and 600  $\mu\text{m}$ , whereas the average grain

329 diameter of the Fontainebleau sand, 220  $\mu\text{m}$ , is around two to three times smaller. The low  
330 stress in the area affected by the disk penetration hence results in quite small plastic strains  
331 that correspond to the displacement of a limited number of grains below the sample  
332 surface, illustrated by the slope of the curves of Figure 10. The data of Figure 10 and Figure  
333 13 show that the force reached at a displacement corresponding to the average grain  
334 diameter is between 2 and 7.5 N. This shows that the beginning of the curve is influenced  
335 by the roughness of the surface, that is controlled by the arrangement of the grains. Besides  
336 possible effects of local changes in density, this may be the explanation for the less  
337 pronounced start of the curves reaching 600  $\mu\text{m}$ . Conversely, the reversible load cycles  
338 illustrate a small elastic response of 1.3 – 2  $\mu\text{m}$  in vertical displacement, to be compared to  
339 the 220  $\mu\text{m}$  average diameter of the grains, indicating a limited reorganisation of the grain  
340 assembly. The elastic response should mainly be governed by the reversible stress  
341 release/recompression at grain contacts, following the Hertz-Mindlin contact theory (Hertz  
342 1882, Mindlin 1949).

343 When comparing the penetration curves and the close values of the elastic spring constants  
344 of the foot and the disk only (Table 1), no significant added effect of the spike was observed,  
345 showing that there is space enough in the loose contracting sand to accommodate the thin  
346 spike without any added strength. This probably also holds for the InSight regolith, the low  
347 density of which has been confirmed by both the development of a pit around the mole at  
348 the start of the penetration of the HP<sup>3</sup> instrument (Golombek et al. 2020) and by some in-  
349 situ thermal conductivity measurements that provided estimated unit mass values around  
350 1200  $\text{kg}/\text{m}^3$  (Grott et al. 2021). Some pebbles, like those observed in Figure 2, have also

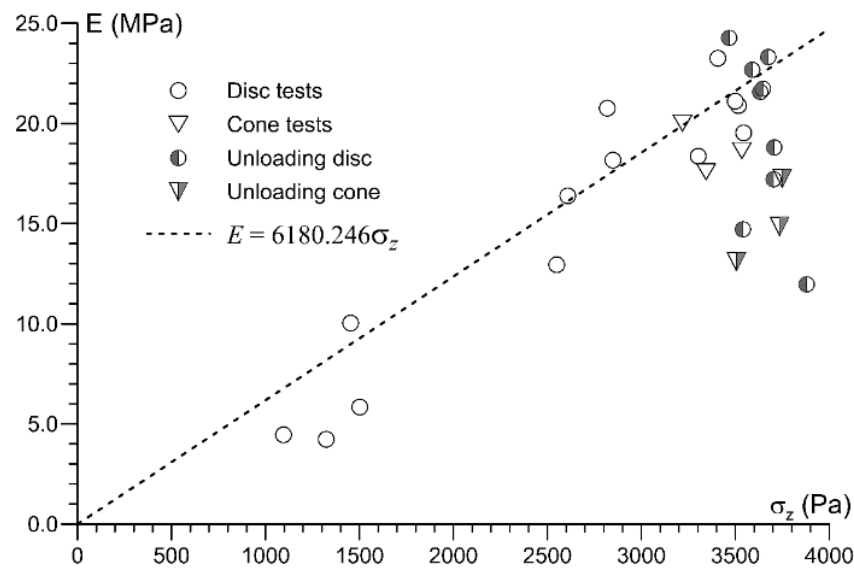
351 been observed in the excavations created by the rockets during landing and in the mole pit.  
352 The possibility of not having full penetration of one of the SEIS feet due blocking by a pebble  
353 cannot be completely eliminated. However, the thin shape of the spike is optimum in this  
354 regard, allowing easier deviation of the spike along a small enough pebble, and full  
355 penetration in the loose regolith.

#### 356 4.2. Elastic interpretation of the response

357 Based on the measurements of elastic spring constants  $K_v$  presented in Table 1 and on the  
358 simplifying assumption of homogeneous linear and isotropic behaviour of the sand, one  
359 derived the Young moduli for all the cycles performed (see Table 1) based on the following  
360 expression derived from Sneddon's Equation (1):

$$361 \quad E = \frac{k_v(1-\nu^2)}{2R} \quad (2)$$

362 The elastic strain increment corresponding to the force cycle of Figure 11 is equal to  $6 \times 10^{-5}$   
363 <sup>5</sup>, showing that the Young moduli are determined at small deformations, not far from the  
364 range of those derived from bender element measurements ( $10^{-5}$  -  $10^{-6}$ ), as done in Delage  
365 et al. (2007). The changes in Young modulus with respect to the average vertical stress at  
366 surface are presented in Figure 14 (given the negligible effect of the spike, the calculations  
367 for the foot have also been made by using Sneddon's solution).



368  
369 *Figure 14. Changes in Young modulus with respect to the average vertical stress at surface. Data*  
370 *are derived from load cycles tests and from the final unloading step.*

371 In the area corresponding to the SEIS weight (around 3.5 kPa), some dispersion is observed,  
372 with  $E$  values derived from load cycles between 17.59 and 23.25 MPa. This is an indication  
373 of possible changes in local density of the sample at the contact area with the disk/spike,  
374 probably enhanced by the small number of grains mobilised by the low applied stress, or  
375 for other reasons that are not yet clear, including possible hysteretic material behaviour of  
376 the sand. Averaging the values between 3 and 3.5 kPa yields an average  $E$  value of 20.07  
377 MPa (note that, for some reasons, some of the monotonic unloading coefficients at  
378 maximum force stay well below the line, with values as small as 11.97 – 14.85 MPa). Possible  
379 effects of changes in Young modulus with depth, suggested by the data of Figure 14, were  
380 investigated by carrying out finite elements calculations with a linear change of  $E$  with  
381 depth, in a model composed of 12 layers of 1 cm thickness. The difference in settlement at

382 surface was found equal to 0.0468  $\mu\text{m}/\text{m}$ , indicating negligible effects of changes in Young  
 383 modulus with depth.

384 The linear changes in Young modulus with respect to changes in average vertical stress (a  
 385 value easy to calculate under a rigid plate) observed in Figure 14 are distinct from the well-  
 386 known power law that relates the Young modulus with the confining stress, defined by the  
 387 two following equations (e.g. Santamarina et al. 2001):

$$388 \quad V_p = \alpha \left( \frac{p}{1 \text{ kPa}} \right)^\beta \quad (3)$$

389 and

$$390 \quad E = \rho V_p^2 \left( \frac{3-l^2}{l^2-1} \right) \quad (4)$$

$$391 \quad \text{with } l = \sqrt{\frac{2(1-\nu)}{1-2\nu}}$$

392 where  $p$  is the mean stress, and  $\alpha$  (m/s) and  $\beta$  (-) two fitted parameters. Delage et al. (2017)  
 393 obtained  $\beta=0.3$  and  $\nu=0.22$  on three different (more angular) Martian simulants. Following  
 394 Santamarina et al. (2011), it was found more relevant to adopt, for subrounded grains, a  
 395 slightly smaller value of 0.28, which results in having a power law with  $\beta = 0.56$ , slightly  
 396 larger than the 0.5 value commonly adopted for sands (e.g., Hardin and Black 1966,  
 397 Oztoprak and Bolton 2013). The significant difference with the linear changes observed in  
 398 Figure 14 is related to the use of average vertical stress  $\sigma_z$  instead of mean stress ( $(\sigma_z + 2 \sigma_x$   
 399  $/3$ , since  $\sigma_x = \sigma_y$ ). Inspection of the Sneddon's expressions of  $\sigma_z$ ,  $\sigma_x$  and  $\sigma_y$  (see Appendix)  
 400 shows that the relation between  $\sigma_z$  and  $p$  is far from being simple, with the added difficulty  
 401 of properly estimating the relevant layer thickness needed to estimate  $p$  under the disk.



402 Knowing  $E$  allows for the calculation of the other parameters governing the SEIS/ground  
 403 interaction used in Fayon et al. (2019) in their modelling of the SEIS ground interaction, i.e.,  
 404 the horizontal spring coefficient  $k_h$  and the torque  $C_h$  (Poulos and Davis 1974):

$$405 \quad k_h = \frac{16 ER(1-\nu)}{(7-8\nu)(1+\nu)} = 1.17 \times 10^6 N/M \quad (5)$$

$$406 \quad C_h = \frac{4 ER^3}{3(1-\nu^2)} = 756.6 N.m \quad (6)$$

407 Given that the Young modulus has been derived at a strain of  $6 \times 10^{-5}$ , another interesting  
 408 seismic parameter that can be derived is the compression wave velocity at surface,  
 409 according to the following equation:

$$410 \quad V_p = \sqrt{\frac{E(1-\nu)}{\rho(1+\nu)(1-2\nu)}} \quad (7)$$

411 in which  $\rho$  is the regolith unit mass. Adopting  $E = 20$  MPa,  $\rho = 1.400$  kg/m<sup>3</sup> and  $\nu = 0.22$   
 412 yields a value of compression wave velocity of 128 m/s, in the expected range for sands  
 413 under low confinement. Interestingly, this is also in a good agreement with the in-situ  
 414 velocities at the surface of Mars derived from analysing the seismic signals received by SEIS  
 415 from HP<sup>3</sup> hammering at around 0.3 m deep, and 1.1 m away, equal to  $118 \pm 34$  m/s for a  
 416 surface layer approximately 30 cm thick (Lognonné et al. 2020).

417 **However, note** that these satisfactory agreements do not necessarily prove that our  
 418 experiment exactly reproduces the situation on Mars. Some limitations have been discussed  
 419 above in this regard, both in terms of simulant and scale effects. The calculations here are  
 420 also **made** based on a simplifying assumption of an equivalent homogeneous isotropic semi-

421 infinite medium, whereas it is known that there is an increase of the elastic modulus of  
422 granular media with increased mean stress, as shown in Figure 14. Beside the detailed  
423 geological examination of the surface (Golombek et al. 2020), further investigations are  
424 presently carried out in this regard within the Near Surface Working Group of the InSight  
425 Science Team, based on visual and geological analyses, on the local measurements of  
426 thermal inertia, on the seismic signals received by SEIS and on the thermal data provided  
427 by HP<sup>3</sup>. Based on thermal inertia measurement, a possibility could be to have a finer  
428 material with some cohesion, which would also be compatible with the very low density  
429 suspected.

## 430 5. Conclusions

431 Some improvements were made on the specific device that allowed to design the final  
432 shape of the SEIS foot (Lognonné et al. 2019) and to provide the first estimation of the  
433 elastic parameters governing the interaction between a Martian simulant and the SEIS foot  
434 as analysed in Fayon et al. (2018). To successfully carry out accurate tests in conditions of  
435 very low stresses (< 4 kPa) and displacements (< 600  $\mu\text{m}$ ), particular care was taken in  
436 optimising measurements by using a stiff system to support the container and the LVDT  
437 gauges, by using an adapted force gauge and a complete thermal insulation device, allowing  
438 to get rid of any perturbation that could result from the thermal expansion/contraction of  
439 the loading system, provided a time period of 24h was waited for to ensure a constant  
440 temperature with variations smaller than 0.5°C. This high precision device allowed to  
441 successfully carry out interaction tests between both a disk and the foot. The tests showed

442 that, under the SEIS weight, the maximum penetration in the simulant adopted was around  
443 600  $\mu\text{m}$ , i.e., less than the thickness of a layer made up of 3 grains of Fontainebleau sand, a  
444 well graded rounded sand with an average grain diameter of 220  $\mu\text{m}$ . As a consequence,  
445 the start of the penetration curves was affected by the roughness of the specimen surface,  
446 which resulted in having maximum penetration between 400 and 600  $\mu\text{m}$ , i.e., around twice  
447 the diameter of a grain. The large number of tests conducted on loose specimens with dry  
448 unit mass around 1400  $\text{kg}/\text{m}^3$  (density index of 6%) showed reasonable repeatability, with  
449 however some dispersion in measured modulus related to possible changes in local density.  
450 The elastic response was investigated by conducting stress cycles at various force levels that  
451 provided fairly reversible responses with small hysteresis. A simplified analysis considering  
452 a homogeneous elastic isotropic semi-infinite medium was carried out to determine the  
453 dependency of the Young modulus with respect to the average vertical stress. The linearity  
454 observed was distinct to the power law observed with respect to the mean stress, a value  
455 difficult to estimate in our case. It was also shown that the effect of the spike in a loose  
456 regolith was not significant. The order of magnitude of the Young modulus under the SEIS  
457 foot is 20 MPa, a reasonable value for loose sand on the Earth. This value was found  
458 compatible with previous laboratory estimations of the surface compression wave velocity  
459 and also with in-situ seismic measurements carried out on the InSight landing site by SEIS,  
460 from the hammering sessions of HP<sup>3</sup>. The data obtained from this improved system will  
461 allow for a better determination of the SEIS – regolith interaction and of the resulting  
462 resonance frequencies (Fayon et al. 2018). They of course could be improved in the future,  
463 once better knowledge about the regolith simulant is obtained. They can also be used for

464 the resonance frequencies of the lander itself, that appeared to also have significant effects  
465 on the SEIS measurements.

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## 8. Appendix

The normal and stress fields presented in Figure 7 are based on Sneddon's solution for a cylindrical shaped indenter. The expressions are presented in more detail below.

Sneddon (1946) provided, from an elastic analysis based on Boussinesq's solution, the following expressions for the stress and strain field under a rigid loaded plate:

$$\sigma_z = \frac{3F}{2\pi} \cdot \frac{z^3}{R^5} \quad (8)$$

$$\sigma_x = \frac{3F}{2\pi} \cdot \frac{x^2 z}{R^5} - \frac{m-2}{3m} \left[ -\frac{1}{R \cdot (R+z)} + \frac{(2R+z)x^2}{(R+z)^2 R^3} + \frac{z}{R^3} \right] \quad (9)$$

$$\sigma_y = \frac{3F}{2\pi} \cdot \frac{y^2 z}{R^5} - \frac{m-2}{3m} \left[ -\frac{1}{R \cdot (R+z)} + \frac{(2R+z)y^2}{(R+z)^2 R^3} + \frac{z}{R^3} \right] \quad (10)$$

$$\tau_{xy} = \frac{3F}{2\pi} \cdot \frac{xyz}{R^5} - \frac{m-2}{3m} \left[ \frac{(2R+z)xy}{(R+z)^2 \cdot R^3} \right] \quad (11)$$

$$\tau_{xz} = \frac{3F}{2\pi} \cdot \frac{xz^2}{R^5} \quad (12)$$



$$\epsilon_z = \sigma_z/E \quad (13)$$

$$p_t = \frac{1+\nu}{2\pi E} \cdot \left[ \frac{z^2}{R^3} - \frac{(1-2\nu)x}{R} \right] \cdot F \quad (14)$$

in which  $F$  is the force applied on the disk,  $z$  the depth,  $x$  and  $y$  the horizontal distance

from the axis,  $R = \sqrt{x^2 + y^2 + z^2}$ ,  $p_t$  the penetration and  $m = 1/\nu$ .