

S-ProvFlow. Storing and Exploring Lineage Data as a Service

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ABSTRACT

We present a set of configurable Web service and interactive tools, *s-ProvFlow*, for managing and exploiting records tracking data lineage during workflow runs. It facilitates detailed analysis of single executions. It helps users manage complex tasks by exposing the relationships between data, people, equipment and workflow runs intended to combine productively. Its logical model extends the PROV standard to precisely record parallel data-streaming applications. Its metadata handling encourages users to capture the application context by specifying how application attributes, often using standard vocabularies, should be added. These metadata records immediately help productivity as the interactive tools support their use in selection and bulk operations. Users rapidly appreciate the power of the encoded semantics as they reap the benefits. This improves the quality of provenance for users and management. Which in turn facilitates analysis of collections of runs, enabling users to manage results and validate procedures. It fosters reuse of data and methods and facilitates diagnostic investigations and optimisations. We present S-ProvFlow's use by scientists, research engineers and managers as part of the DARE hyper-platform as they create, validate and use their data-driven scientific workflows.

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1. INTRODUCTION

The provenance of workflow executions, with its wealth of metadata, needs to be stored, processed, made comprehensible and productively used. The *S-ProvFlow*[®] system supports acquisition and exploration of lineage and provenance data from workflows. It includes a database, a Web service and two interactive tools. Myers *et al.* [1] demonstrated that productive use motivates adoption and improves metadata quality. This improves research objects as their metadata has been refined through use enabling accurate replication—essential for CWFR[®]. Users must be actively engaged through tools that improve their productivity. Much contemporary work enables researchers to author methods abstractly. Those methods are optimally (re) mapped retaining users' specified semantics as technology improves. We focus on the *reverse* information flow, from those evolving systems back to research developers and application experts. We satisfy similar demands for simplicity, comprehensibility and stability. This delivers FAIR benefits to all users by facilitating the access and use of the standardised and persistent provenance traces, and the data, workflows and components accessible via those traces. Our provided interactive tools show this potential. This can be exploited by many other tools, systems and workflow technologies. The metadata used is a mix of generic widely agreed terms, such as system and software identities and geo-spatial references, with discipline and community specific terms representing their knowledge infrastructure in which their work is framed by general (often global) hard-won agreements ([2], page xv). As we illustrate, this is essential for grounding the information in terms usable by them, their peers and successors via their working practices and digital systems. There is a corresponding spectrum of persistent identification. The traces once judged as valuable by domain experts can be allocated standard PIDs. The objects and components they touch will be identified in standard ways or in ways established and sustained by a community. The refinement of the metadata leading to fully described products and experiments can be conducted incrementally, producing updated and refined digital objects. To achieve this, the interactions occurring between data and workflows at different states of maturity should be evaluated within a collaborative and evolving ecosystem, as we will show in Section 4.1. This prototypes a path that other CWFR elements will need to follow to gain wide adoption incrementally in the research communities that have substantial intellectual, technical and political investments already.

Provenance standards are a lingua franca encoding information gathered from multiple layers of a computational workflow. Our comprehensive architecture encompasses generation, management and access to provenance data. In previous work [3, 4], we have already addressed how research developers tune the generation of provenance by injecting metadata instruction in the workflow's operators. This is achieved via an *Active* provenance framework that allows customisation of fine-grained lineage, fostering interaction between users and a workflow's provenance mechanisms [1]. The framework has been demonstrated in the context of a general purpose analysis library for data-streaming pipelines, *dispel4py* [5, 6]. Depending on the requirements, users can instruct a *dispel4py* workflow to extract metadata according to a kernel of

^① <https://gitlab.com/project-dare/s-ProvFlow>

^② <https://fairdo.org/wg/fdo-cwfr/>

agreed terms specified by initiatives within their domain, as we mention in Section 4, as well as experimental ones. These will be injected into the lineage, alongside those general purpose attributes that characterise the provenance model. In this follow-up paper we present instead a lineage web service and tools that develop understanding and fluency by delivering *immediate* benefits. We show this being used for active monitoring and retrospectively for diverse purposes.

S-ProvFlow exploits standard models [4, 3] to efficiently manage metadata thereby fostering comprehension, usability and *interoperability*. Parameters, intermediate outputs and final results are automatically annotated making the traces and results discoverable and actionable, thereby offering drill-down and FAIR access to a workflow's outcome and enactment history. The system is the provenance service of the DARE[®] platform [7, 8]. It was first released during the VERCE project[®]. In this paper we introduce its web API and the interactive tools. Thanks to the management of the customised provenance traces produced by the execution of the workflow, the interactive tools deliver understanding and control of “live” processes and encourage sharing and reuse of data and methods. We have demonstrated the practical value of provenance when evaluating the basis for evidence and for managing large numbers of runs. *S-ProvFlow* has given us eight years of experience working with domain experts, research developers and the teams who support their use of data and sophisticated computation. We draw on this experience to identify vital requirements for CWFR. These are essential for quality and sustainability of these multi-disciplinary professional collaborations that yield decision support we all depend on.

2. RELATED WORK

Methods for querying and visualising provenance have been developed [9, 10, 11], addressing specific scenarios, workflow systems, or more general mechanisms, such as *ProvStore* [12]. Their storage technologies are similarly specialised, e.g., to represent Directed Acyclic Graphs (DAG), *PBase* used Neo4j[®] for the ProvONE model. It enables queries on workflows' traces that were previously uploaded onto the system in VisTrails XML format. The interrogations include lineage and execution queries focusing on the involvement of processes within runs and on the relationships between data and processes. For our work we chose the well established document-store, *MongoDB* [13], to give priority to use cases that access the provenance information using data properties and process parameters. This enabled *S-ProvFlow*'s discovery functionalities to exploit the lineage produced via the *Active* framework [3], reflecting each user's context and their metadata. We took on challenges in [14, 15] also addressed by [10], where provenance is annotated with rich metadata and configuration parameters that rely on flexible vocabularies.

The interactive access to provenance data is determined by the quality of visualisation. *MapOrbiter* [16], for instance, summarises the DAG which can be expanded on demand. *S-ProvFlow*, instead, starts with a partial

[®] <http://www.project-dare.eu>

[®] <http://www.verce.eu>

[®] <http://neo4j.org>

visualisation of the lineage graph. It can be searched or browsed to show process outputs, allowing users to expand and navigate the derivation graph interactively. Alternative techniques, such as the *Sankey* diagram used by *PROV-O-Viz* [17] represent the magnitude of flows between activities. Others map the provenance graph to radial diagrams [18]. This technique, used for the analysis of parallel I/O [19], is also used by *InProv* [11], pioneering its adoption for provenance visualisation for recordings obtained by PASS (Provenance-aware Storage System) [20, 21]. Recently this has been combined with computer graphics to improve the visual efficiency [22]. It reduces visual clutter by bringing the most important nodes to the front. *ProvStore* [12, 23] offers radial diagrams to represent provenance relationships. In *S-ProvFlow* users compose queries to focus on specific metadata terms and values, customising views for single computations or for multiple users and runs. We believe that platforms that aim at the publication and reproducibility of research artifacts, such as *Whole Tale* [24], could benefit from the adoption of *S-ProvFlow*. This would complement their capability to manage preconfigured computing environments, where users re-execute the workflows, with services to monitor, review and better represent the results. For instance, by performing in *S-ProvFlow* key provenance queries that select and render portions of the outputs of the overall workflow.

3. S-PROVFLOW: ARCHITECTURE AND COMPONENTS

S-ProvFlow has multiple components delivering a comprehensive provenance infrastructure. It provides a Web API and tools for interactive exploration of lineage data by users (Figure 1). The underlying provenance model S-PROV[®] [3] builds on the PROV[®] and ProvONE[®] recommendations. This interoperable representation adds elements to encode complex lineage patterns to include process delegation, distribution and statefulness of the workflow operators. In the recent deployment for the DARE platform[®] [25], the components are organised as micro-services optimised by decoupling via message queues, delivering resilience to failures and support for authentication infrastructures.

[®] <http://purl.org/s-prov-v1-dev>

[®] <http://www.w3.org/TR/prov-dm/>

[®] <https://purl.dataone.org/provone-v1-dev>

[®] <https://gitlab.com/project-dare/s-ProvFlow/-/tree/master/docker>

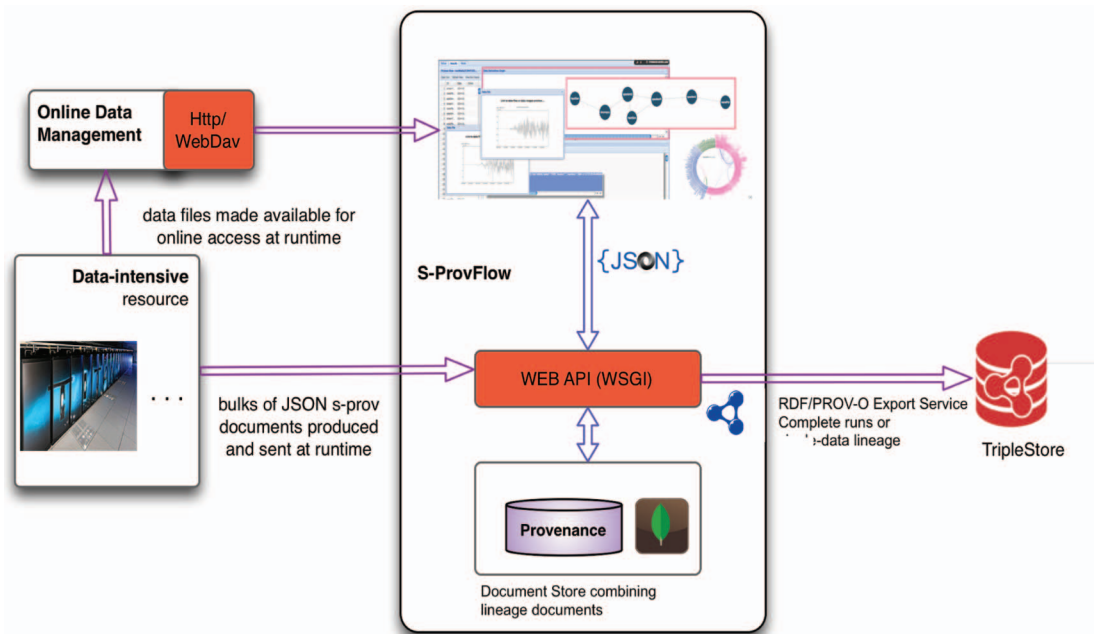


Figure 1. Schematic architecture exploiting the *S-ProvFlow* system for lineage acquisition and exploration. The graphical interface supports direct access to result data, while the API offers an export service to disseminate provenance information, adopting interoperable formats, to general purpose databases, such as triple stores.

3.1 Lineage API

To facilitate the exploitation of the lineage data, *S-ProvFlow* exposes a set of high-level interrogation methods. We present the use cases and relevant implementation details.

Layered Workflow Activity: The execution of a workflow can be examined at different levels of detail, from high-level views based on the classification and functional grouping of workflow elements down to a single element with multiple processing instances. Clients can easily switch between views. We use the recommended *MongoDB* data denormalisation to exploit its powerful aggregation framework[®]. Every time a process is invoked, it also generates a lineage document. Each document contains the same detailed metadata, e.g., the location of the execution, the characteristics of the software and the role of the process as a component of a higher-level function, which may be composed of more operators. This goes alongside dynamic metadata, such as execution time, data volumes, reference values and domain-metadata as specified for the run or process. When queried, the information is aggregated without joins, obtaining complete processing and functional information. The dynamic data is processed and aggregated to deliver the level of abstraction clients have selected. The more documents that are aggregated, in respect to a property of a process, the lower the granularity of the information presented [4].

[®] <https://docs.mongodb.com/v4.0/aggregation/>

Search: The API enables the discovery of data and experiments by performing searches on metadata terms, as well as on the semantic and functional abstractions characterising processes. Intuitive metadata expressions are used to combine value-lists and value-ranges to search for data and workflow executions. To accelerate metadata searches we use compound indexes[®] on dictionaries of the form {key:<term>, value:<val>}. This allows us to efficiently query dynamic vocabularies, without hitting the limit of the maximum number of indexes allowed.

Data Lineage: The API allows users to navigate the data derivation graph interactively by specifying how much depth should be retrieved at each step. Also in this case, the denormalised approach to the storage combined with linked lists, to represent the PROV *wasDerivedFrom* relationship between data entities in different documents, allows us to easily obtain information about the processes, while navigating through the data derivations. Clients combine graph traversals with metadata filters to view data whose ancestors' properties match their requirements.

Aggregations: The API provides high-level summary methods to extract comprehensive information about single runs or collections of runs. One method covers processing dynamics of a single run, showing data transfers between processes at a configurable granularity. Another reveals collaborative dynamics across runs, such as data-reuse between workflows, infrastructures and users (see Section 4.1). One last method extrapolates information on the metadata in the archive, summarising their role and occurrence for users and workflows. This is used in interfaces to produce personalised recommendations on the terms that could be used in the queries, as shown in Figure 2. All of these methods depend on the powerful aggregation and map-reduce capabilities of the *MongoDB* technology.

3.2 Interactive Tools

Research-developers and administrators may use the API for different purposes. For instance, while the former may validate and analyse the lineage of their experimental results, the latter may monitor how infrastructures and data are exploited by users and applications. *S-ProvFlow* provides tools tailored for both use cases.

The Monitoring and Validation Visualiser (MVV), assists the users in the fine-grain interpretation of the provenance records in order to understand dependencies. It allows them to select and configure viewpoints by specifiable searches over domain metadata, offering data previews and navigation of data dependency graphs. Detailed run-time diagnostics differentiate between stateless and stateful processes, the latter highlighting data retention by operators such as accumulators and mergers. The visual components of the tool are depicted in Figure 2, showing the lineage of a particular workflow in seismology. Users search for workflow executions and data elements by formulating queries using a simple syntax that facilitates metadata searches over ranges or lists of values. Terms may refer to standard vocabularies or be introduced experimentally to evaluate specific applications. Advanced filters on the search operate upon request,

[®] <https://docs.mongodb.com/v4.0/core/index-compound/>

reducing the results based on the metadata values of the ancestors in each data derivation tree. The search results can be investigated interactively browsing through the metadata describing both products and processes. Products can be volatile, thereby only described by their metadata. However, when the provenance is associated with actual resources, the tool will show this by offering users preview and download pop-up functions. Every search is assisted through hints, that are updated via the incremental analysis of the whole provenance archive via one of the API's aggregation methods (Section 3.1).

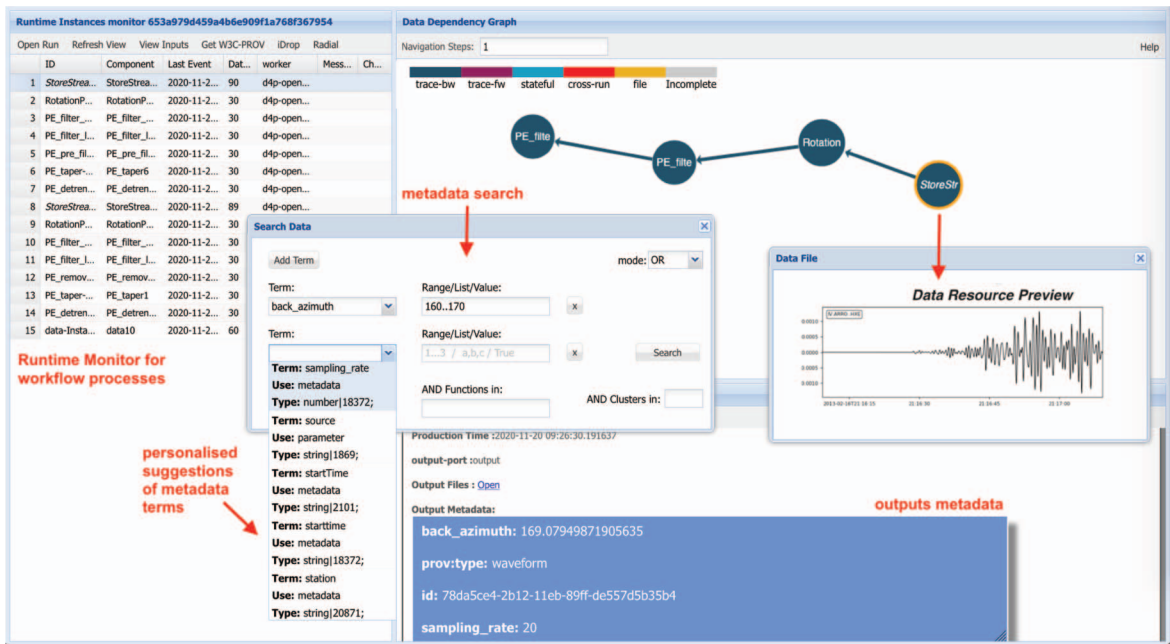


Figure 2. Monitoring and Validation Visualiser combined access to data products and metadata. This example represents the interaction with the provenance information of a run of the *Waveform pre-processing* workflow introduced in Section 4. The *Runtime Monitor* shows the list of active processes. It reports the quantity of data produced, the working node, system or application messages. In the *Data Dependency* graph the yellow circle indicates that the provenance entity links to a concrete data resource. Runs and products can be searched by using metadata expressions.

The Bulk Dependency Visualiser (BDV) offers broader perspectives on computational characteristics as well as collaborative interactions. It combines radial diagrams with configurable grouping and hierarchical edge bundle techniques [22]. It allows its users to dynamically adjust viewing and grouping controls to uncover aspects of the distribution of the processing. This is obtained thanks to the underlying S-PROV model. Its core provenance data model encompasses system level information in the context of the particular application and workflow's operator semantics, providing an interoperable representation of the workflow's resource-mapping to a target cluster. The BDV uses these capabilities of the model to aggregate data and accommodate views that provide insights on the workflow's enactment at a configurable level of detail, as shown in Figure 3. Besides the exploration of single executions, the BDV can also produce overviews of

large experiments that involve more researchers who reuse and exchange data via different workflows, with the progressive refinement of the metadata. This visualisation technique could be applied to evolving FDOs to accommodate views that put in the forefront experiments whose metadata has been incrementally refined by peers, to better characterise the methods and the results that contribute to a particular study. This last use case is discussed in Section 4.1 in the context of the particular seismological application.

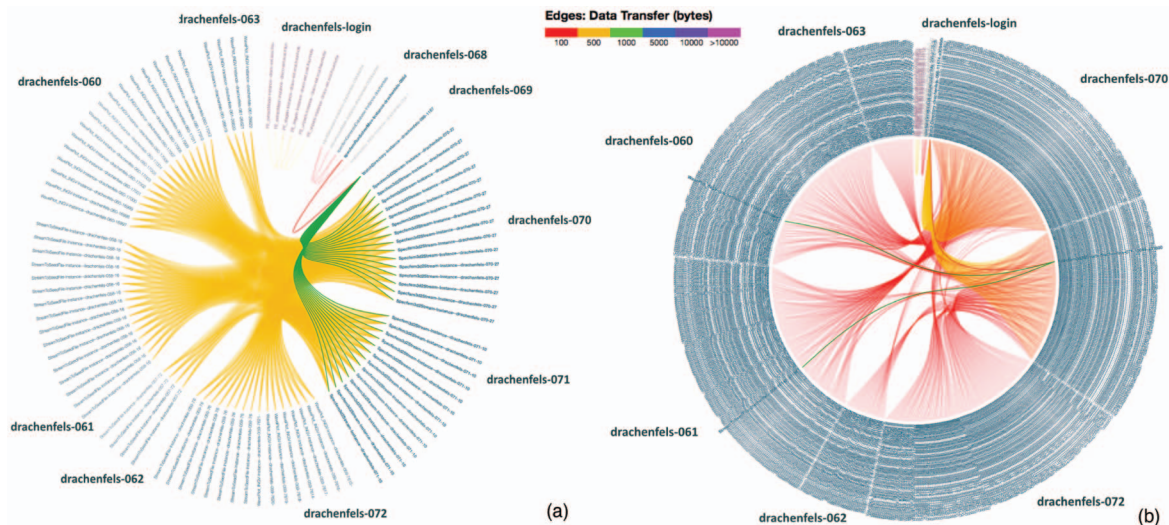


Figure 3. BDV Single workflow visual analytic. Fine-grained radial perspectives for an earthquake simulation workflow. The diagrams indicate overall data transfer between (a) workflows’ processes, as well (b) their single invocations in a streaming execution. The colour-coded legend describes the amount of data transferred. The vertices are labeled respectively with processes and invocations ids and are grouped by the computational node they run in the HPC cluster (*Drachenfels* at Fraunhofer SCAI). By hovering on the nodes, incoming (red) and outgoing (green) streams are highlighted.

4. TEST CASE: SEISMIC RAPID ASSESSMENT

Computational seismology is presently facing the challenge of managing increasing amounts of recorded and simulated data, downloaded from rich data archives or simulated by accurate and computationally sophisticated tools. To analyse and exploit these, users need to easily customise the available methods to adroitly explore new opportunities and to meet urgent challenges. Robust provenance-driven tools are needed to smartly organise storage of the data and related metadata, and to encourage their exploration, combination and reuse. This promotes reproducibility and error detection in scientific experiments, and relates well to the general efforts of international organisations handling the wealth of Earth science data[®]. These needs become critically urgent after large seismic events, since reliable and immediate outcomes are fundamental to guide emergency response. In this context, the rapid assessment of seismic ground motion

[®] e.g., <http://www.orfeus-eu.org/data/eida/>; <https://www.fdsn.org/>; <http://ds.iris.edu/ds/>

(RA) is a key application in computational seismology, exposing requirements that embody all the aforementioned needs. It also represents a good example to highlight the applicability of our framework to different scientific contexts (e.g., [26]).

After a large earthquake it is essential to rapidly simulate the propagation of seismic waveforms in surrounding areas and quantitatively estimate specific ground motion parameters to assess the earthquake’s impact. Then, comparing and integrating synthetic information with recorded ground motion data improve the understanding of ground response to the earthquake.

The RA theoretical foundations and applicable procedures are well established, and can be exemplified by the high-level steps shown in Figure 4 and detailed in [3, 27, 28]. Some steps are more specific for this seismological test case, some others can be reused (with needed adaptations) in other fields (e.g., volcanology, climate sciences; [26]). All the steps of this application require to be traceable and to have descriptive and explorable metadata in order to support the research scientists in checking, reusing and sharing their methods and findings.

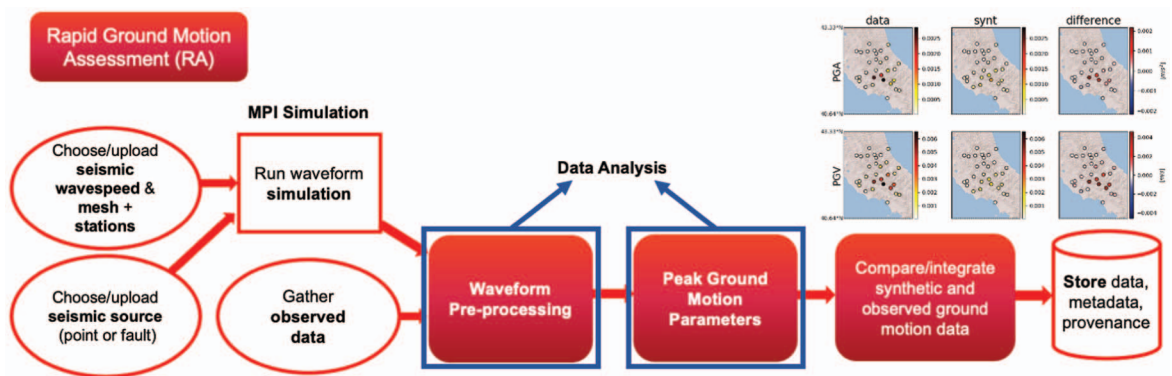


Figure 4. The Rapid Assessment (RA) method analysing the impact of an earthquake composes re-usable tasks that run at different scales and require human supervision and intervention. The image highlights RA data-analysis steps that exploit the benefits of provenance generation and exploitation.

A fundamental step of the RA workflow is the *Waveform pre-processing* stage, which prepares seismological data by making simulated and recorded traces consistent and comparable. It is used in many other seismological applications (with possible variants in the sub-steps) such as inversion for seismic source parameters, seismic tomography and noise cross-correlation analyses (e.g. [29, 30, 31]), and similar processing steps are required for numerous geophysical, and in general scientific, applications (e.g., geodesy, climate sciences, etc.). The system presented in this work guarantees that the results have associated provenance information about all the preprocessing steps (with related parameterisations) that they went through (Figure 2), including data properties after each step. These enable the detection of errors in analyses, and the comparison of different ways of preparing the data and of their effects on the produced results such as the ground motion parameter estimates.

After pre-processing, the RA analysis requires the extraction of the ground motion parameters from both the synthetic and recorded seismograms for subsequent comparison. In Figure 5 we present the *PGM* (Peak Ground Motion) *Parameters* workflow for a single seismic station and channel. Our implementation applies the combined analysis in parallel on both synthetic and observed data, keeping track of the fine-grain steps they went through and of the acquired metadata. This allows researchers to trace the processing back in case of an error, to combine and compare large amounts of data, and to discover intermediate step results.

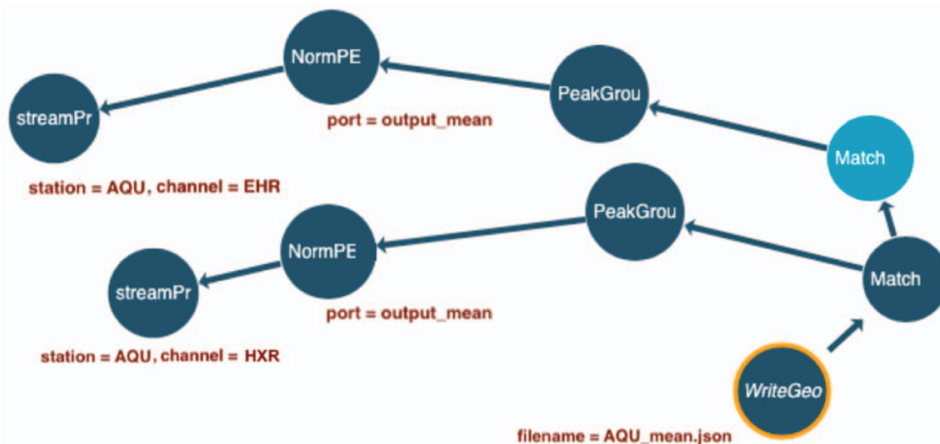


Figure 5. Lineage Precision: The image shows the lineage of a file `AQU_mean.json` (yellow circle) produced by the `WriteGeo/JSON` process as a result of the *PGM Parameters* workflow. The `wasDerivedFrom` relationships (arrows) show that the file was correctly derived from the mean norm values of the observed and synthetic data channels of the same seismic station `AQU`, respectively, `EHR` and `HXR`. The light blue circle indicates a *stateful derivation*, revealing that the `Match` operator had stored the input data produced by a `PeakGroundMotion` process in its internal state, before matching the data.

Drawing on a strong scientific background, the *Waveform pre-processing* and *PGM Parameters* workflows benefit significantly from *S-ProvFlow*, by having intermediate results properly managed and described by usable metadata. These are typical seismological metadata, which adhere to the recognized standards in the field and are also linkable to well-established infrastructures in the wider Earth science field (e.g., [32, 33], EIDA-ORFEUS[®], FDSN[®], IRIS[®]).

Moreover, there are new, user-customized metadata learned from the workflow executions, providing a database continuously enriched and up-to-date. Users can thus check the results of each step even if outputs are not stored. The results and executed steps are traceable in the context of the generating process and

[®] <http://www.orfeus-eu.org/data/eida/>

[®] <https://www.fdsn.org/>

[®] <http://ds.iris.edu/ds/>

workflow. This fosters their retrieval to allow their comparison and reuse, and to diagnose, validate and fine-tune new experimental analyses. All this makes our metadata and provenance management approach deeply customizable for specific applications and, at the same time, easily adaptable to a multiplicity of scientific workflows in diverse scientific fields, also for operational scenarios [28].

4.1 Collaborative Interactions and Metadata Refinements

The workflow introduced above involves scenarios where collaborative interactions are established between seismologists, by means of reusing data between different stages of the analysis. Figure 6 shows a radial diagram that displays runs executed by two users. The right half of the diagram shows interlinked workflows organised into separated radiants, according to their conceptual tasks. These were described by specifying concepts and metadata to contextualise the methods involved. In contrast, the left side has a poor conceptual characterisation, typical of the early phase of exploration, that results in chaotic and harder to visually analyse provenance graphs. The customisation of the view based on metadata allows users to visually restrict the scope to particular data properties, suggesting, for instance, the reuse of the results of some runs or, depending on the circumstance, the discard of those that show little contribution. The combination of connections and colours make all these different interactions evident. Interactively adjusting viewing parameters with immediate feedback enables users to tune the display until they see what they are looking for. Such visualisation suggests ways to help communities and research managers of computational infrastructures obtain an immediate overview of the interactions across different sites. Especially in the context of CWFR framework, it also shows how the metadata used in a study have been extended and refined over time, towards final and fully described experiments. These comprehensive diagrams are a significant help when coordinating a long-running or extensive research campaign. They may be used for public outreach and within official reports, providing a sensible and tangible perception of the actual exploitation of a distributed data-intensive platform, serving yet another category of consumers through the same underlying provenance model.

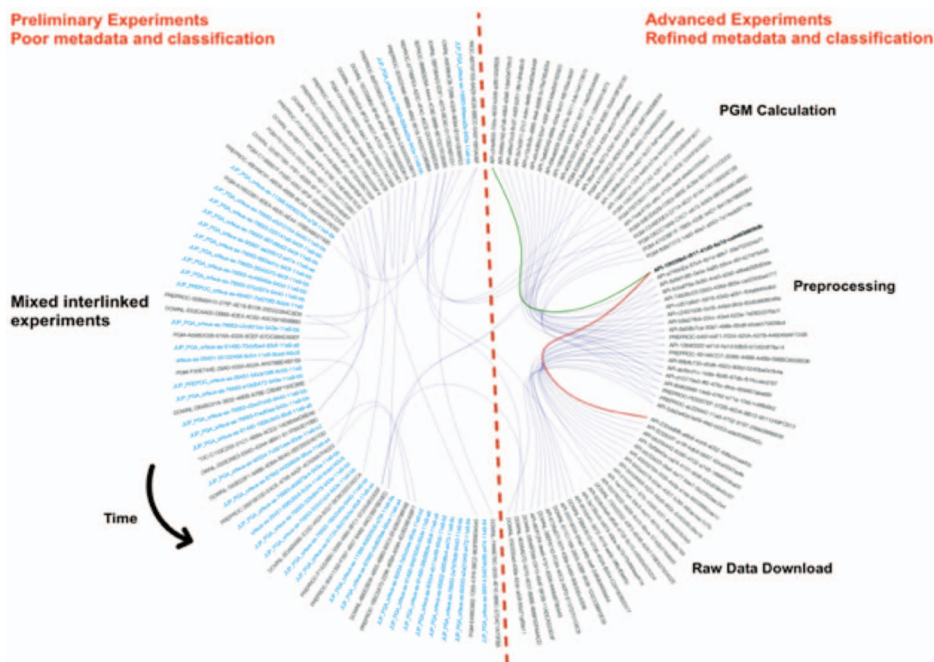


Figure 6. BDV Radial diagram highlighting data reuse between different workflows of the RA use case and refinement of the associated metadata. The runs were selected by interactively querying the provenance archive to find those using a common set of seismic stations. The runs were performed by two different users (blue and black vertex). The right half presents interlinked workflows with a refined contextualisation of the lineage. It shows how the improvements of the metadata applied over time by one of the users, yield more informative content and better visualisation.

5. CONCLUSIONS AND FUTURE WORK

The incremental improvement of the relevance and usefulness of provenance increases confidence in the possibilities for its exploitation, thereby promoting awareness of its importance. The provenance traces themselves are candidate FDOs [34]. They also provide critical actionable information about the workflows, e.g., to assess their validity, usefulness and efficiency, and about each enactment and its data products, all of which may eventually be FDOs, but this depends on stimulating adoption of CWFR standards, which will inevitably be an incremental process following the kinds of path we have pioneered. Through the active participation of the experts, provenance is ready made for validation and results' management use cases. We explored technical solutions and standard models to be applied to the next generation of WMSs [35], which have to encompass the challenges, identified by the FDO®, concerning the evaluation and traceability of experimental results. This depended on co-design and co-development with domain experts in communities that had long-established global knowledge infrastructure with corresponding standards and agreed

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practices. In this paper, we have focused on our work with computational seismologists. In a companion paper [36] we report how provenance management empowers the reproducibility of interactive workspaces, beyond workflows, with use cases which also include climate scientists. Edwards [2] makes clear the extensive and complex knowledge infrastructure that has taken over a century to incrementally develop. The standards and technologies we produce have to prove their value alongside those established systems before they will begin to penetrate into their *established* working practices. We consider that improving the quality and use of provenance by delivering immediate benefits to a broad range of users will encourage adoption and engagement. Their improved productivity and the improved quality of decision support will motivate the investment in sustainably collecting and preserving vital provenance records with sufficient content. It will have long-term benefits for the quality of research procedures and the evidence they produce that underpins life-critical decisions. We have adopted services and tools developed around our framework to demonstrate its effectiveness. The developed interactive tools provide easy access, visualization and navigation through the provenance information and metadata, also offering direct links to physical data resources. Researchers exploit these tools to improve their science, by quickly detecting and solving anomalies, and optimizing the combination of multiple runs and data for complex applications. Research engineers and developers are facilitated in improving the resource and data exploitation (Section 4.1.) We illustrated many of these capabilities in relation to the use cases of a real application in seismology. In future work, we want to address and improve the preliminary results [25] of enabling the import of *CWLProv* into *S-ProvFlow*, in order to scale the benefit of *S-ProvFlow* to a wider collection of WMSs.

Similar interdisciplinary co-development demonstrating immediate benefits will test and develop the other aspects of the canonical workflow technologies and incentivise widespread experimental adoption leading to sustained growth in quality, capabilities and adoption. We therefore anticipate collaborations embedding in many research contexts to improve the standard including the provenance, developing the tools and work environments it enables, to build momentum for its adoption. This will deliver more FDOs corresponding to all aspects of the supported research and extend the use of FDO standards and representations deeper into the established practices.

AUTHOR CONTRIBUTIONS

A. Spinuso (spinuso@knmi.nl) and M. Atkinson (Malcolm.Atkinson@ed.ac.uk) contributed to the research, design and implementation of the system. F. Magnoni (federica.magnoni@ingv.it) verified its capabilities by implementing the use case that took advantage of the framework. All authors contributed to the writing of the manuscript.

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