1	Deterministic 3D Ground Motion Simulations (0-5 Hz) and Surface					
2	Topography Effects of the 30 October 2016 M_w 6.5 Norcia, Italy Earthquake					
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24	ABSTRACT					

25 The M_w 6.5 Norcia, Italy earthquake occurred on 30 October 2016 and caused extensive damage 26 to buildings in the epicentral area. The earthquake was recorded by a network of strong-motion 27 stations, including 14 stations located within a 5 km distance from the two causative faults. We 28 used a numerical approach for generating seismic waves from two hybrid deterministic and 29 stochastic kinematic fault rupture models propagating through a 3D Earth model derived from 30 seismic tomography and local geology. The broadband simulations were performed in the 31 frequency range of 0-5 Hz using a physics-based deterministic approach modeling the earthquake 32 rupture and elastic wave propagation. We used SW4, a finite difference code that uses a 33 conforming curvilinear mesh, designed to model surface topography with high numerical 34 accuracy.

35 The simulations reproduce the amplitude and duration of observed near-fault ground motions. Our 36 results also suggest that due to the local fault-slip pattern and upward rupture directivity, the spatial 37 pattern of the horizontal near-fault ground motion generated during the earthquake was complex 38 and characterized by several local minima and maxima. Some of these local ground motion 39 maxima in the near-fault region were not observed because of the sparse station coverage. The 40 simulated PGV is higher than both the recorded PGV and predicted PGV based on empirical 41 models for several areas located above the fault planes. Ground motions calculated with and 42 without surface topography indicate that on average, the local topography amplifies the ground 43 motion velocity by 30%. There is correlation between the PGV and local topography, with the 44 PGV being higher at hilltops. In contrast, spatial variations of simulated PGA do not correlate with 45 the surface topography. Simulated ground motions are important for seismic hazard and 46 engineering assessments for areas that lack seismic station coverage and historical recordings from 47 large damaging earthquakes.

49 **INTRODUCTION**

A series of medium to large magnitude earthquakes occurred in central Italy between 2016 and 2017, causing casualties and severe damage to villages in the earthquakes source regions (Chiaraluce et al., 2017). The sequence started with the M_w 6.0 Amatrice earthquake on August 24, 2016, and continued with eight seismic events with $M_w > 5.0$. The 30 October 2016 M 6.5 Norcia earthquake was the largest and the last significant event in the sequence.

55 This earthquake was generated by a normal fault with a complex secondary fault rupture 56 mechanism (Chiaraluce et al., 2017; Scognamiglio et al., 2018). The faulting mechanism is 57 consistent with the extensional tectonic regime in the central Apennines, associated with the 58 Tyrrhenian back-arc basin opening. The central Apennines are part of a thrust-and-fold belt that is 59 subject to regional scale uplift and to a NE-SW extension (Chiaraluce et al., 2004). Individual high 60 mountain ridges are composed of Mesozoic carbonate rocks overlain by continental quaternary deposits (Di Naccio et al., 2019). This zone is one of the most seismically and tectonically active 61 62 regions in Italy (Galadini and Galli, 2000, 2003; Akinci et al., 2009; Akinci et al., 2010). In the 63 past few centuries, a series of large earthquake ruptures occurred along the central Apennine 64 Mountains, with magnitudes less than 7.0. For example, large earthquakes occurred in the same 65 area on October 7, 1639, January and February 1703 (Galli et al., 2005; Castelli et al., 2016), and 66 the 1859 Norcia earthquake. The M_w6.3 L'Aquila earthquake struck the region in 2009 and was 67 located 50 kilometers south of the 2016 Norcia earthquake (Chiarabba et al., 2009). The repeated 68 occurrence of strong shaking poses a severe threat to all structures, especially for the unreinforced 69 masonary residential buildings built in the last few hundred years, before the implementation of 70 modern building regulations.

71 The 2016 Norcia earthquake was recorded by many digital stations belonging to temporary and 72 permanent seismic networks deployed immediately after the Amatrice earthquake. Near-fault 73 strong ground motion station locations and corresponding horizontal and vertical component peak 74 ground acceleration (PGA) and peak ground velocity (PGV) are listed in Table 1. The 75 unprecedented density of near-fault seismic stations and the high quality of the recorded data made 76 the 2016 Norcia earthquake one of the best-recorded earthquakes in Italy. The highest PGA was 77 observed at station FCC in the earthquake's epicentral area, with the horizontal component of 0.8 78 g and the vertical component of 0.5 g. These ground motion levels are the largest ever recorded 79 during an Italian earthquake. The observed PGA was larger than the short-period spectral 80 acceleration considered in the building code spectrum, for an M 6.5 earthquake with a return period 81 of 475 years, (Iervolino et al., 2019).

The observation of building damage concentrated on hilltops above the fault rupture and the relatively large ground motions recorded at several sites above the fault indicate localized ground motion amplification. A combination of source effect and wave focusing due to topographic effects may have contributed to this localized amplification. We perform broadband ground motion modeling and simulations for the Norcia earthquake in an attempt to investigate these important effects, and explore the prospect of using physics-based ground motion simulations in the seismic hazard assessment in the region.

We build upon improving previous ground motion simulation of the 2016 Norcia earthquake (e.g.
Ojeda et al, 2021). Ojeda et al. (2021) used a hybrid method that applies a deterministic approach
for calculating the low-frequency part of the ground motion time history and a stochastic approach
for calculating the high-frequency part of the ground motion. Typically the hybrid methods (e.g.
Pitarka et al., 2000; Graves and Pitarka 2016; Pischiutta et al., 2021) are successful at predicting

94 the general characteristics of ground motion. However, the stochastic part of the approach is not 95 well suited for studying relationships between the ground motion variability and high frequency 96 source and wave propagation effects. There is an additional limitation at longer periods when 1D 97 velocity models are used instead of 3D models for computing synthetics seismograms. For 98 example, Ojeda et al. (2021) used a 1D layered model in their hybrid simulation of the Norcia 99 earthquake. While helpful in investigating the region-specific source and attenuation parameters 100 and their influence on the simulated ground motions, simulations using 1D models do not include 101 3D wave propagation effects caused by geologic structural complexities and surface topography 102 on the observed ground motion amplification pattern. This study is the first attempt to perform 103 deterministic 3D high-frequency modeling of the Norcia earthquake, using a physics-based rupture 104 model and a well-constrained local 3D velocity model that incorporates a detailed tomographic 105 model of the underground structure in the Norcia and Castellucio regions (Chiarabba et al., 2018). 106 We used high-performance computing and a fully deterministic approach to compute strong 107 ground motion in the frequency range 0-5Hz. Based on a 3D curvilinear-grid finite-difference 108 formulation, our anelastic wave propagation modeling code allows for accurate representations of 109 three-dimensional shallow structure and surface topography (Petersson and Sjogreen, 2015, 110 2018).

In the following sections, we describe the geology and the seismicity of the region, and introduce the local velocity model used in our simulations. After an introduction to the rupture model and simulation methodology, we present ground motion simulation results, followed by analysis of goodness of fit between recorded and simulated ground motion. The simulation is compared with observed records and ground-motion models (GMMs) in order to document the performance of the proposed simulation methodology. Next, we show results from our analysis of ground motion sensitivity to slip distribution and local topography. Finally, we summarize our findings and discuss of how the results might be relevant to seismic hazard assessment from normal-faulting earthquakes in central Italy.

120 GEOLOGY AND SEISMICITY OF THE REGION

121 The 2016 Norcia earthquake occurred in the Central Apennines region in Italy. The earthquake 122 was generated by predominantly normal faulting. The Central Apennines region is characterized 123 by the stacking of the Meso-Cenozoic sedimentary successions of the Lazio-Abruzzi calcareous 124 platforms and Umbria-Marche pelagic basins domains, which over-thrusted the calcareous 125 sedimentary successions of the more external Adria plate (Centamore et al., 1993, Bally et al., 126 1986). During a long history of complex tectonic activity the region has been affected by 127 alternating extensional and compressional regimes which resulted in a complex faulting system. 128 The first set of large-scale normal faults developed mostly during the Mesozoic, as a consequence 129 of the evolution of the Tethys ocean margins realms, while the second set of extensional faults is 130 commonly associated with the Miocene bending of the Adria paleo margin foreland domain before 131 the inset of Apennines compression (e.g. Bigi et al., 2013). During the Miocene-Pliocene 132 compressional phase, large-scale thrust developed and contributed to the segmentation and 133 disarticulation of the previous crustal structure (e.g. Barchi et al., 1998). Finally, during the Late 134 Pliocene and Quaternary till present, widespread extension propagated from west to east through 135 the belt, with the development of several intra-chain basins (Figure 1) (e.g., Mazzoli et al., 2000). 136 While the surface topography exhibits a complex fold-and-thrust belt system, a pervasive 137 extension with a predominant northwest-trending affects the whole area, featured by active fault 138 systems bounding the intermountain basins all along the chain (Bosi et al., 2003). Generally, 139 normal faults and thrusts inherited by previous phases, recognized both at the surface or depth,

show evidence of being repeatedly reworked during the orogen development, with subsequentepisodes of tectonic inversions (e.g. Buttinelli et al., 2018,).

142 Seismological and geophysical observations show that recent earthquakes are expressions of the 143 reactivation of pre-existing thrust and normal fault structures (e.g., Chiarabba and Amato, 2003, 144 Buttinelli et al., 2018, 2021), rupturing 10 to 25-km-long contiguous fault segments, and 145 reactivating a 150 km-long section of the belt. The central Apennines are characterized by a high 146 seismogenic potential, mainly expressed by shallow (5 to 15 km) earthquakes with predominant 147 normal faulting mechanism, with magnitudes up to 6.5-7. The observed seismicity pattern testifies 148 for a complex interaction between adjacent faults and triggering phenomena (Scognamiglio et al., 149 2018; Michele et al., 2020) such as static and dynamic stress transfer between faults and pore fluid 150 pressure migration (Chiarabba et al., 2009; Malagnini et al., 2012). Consequently, repeatedly 151 reactivated faults may cause additional fault segmentation, enhancing the overall structural 152 complexity, which in turn have a big impact on the dynamic rupture evolution of large shocks like 153 those recently observed (Cheloni et al., 2017; Scognamiglio et al., 2018).

Paleo-seismic evidence of surface faults, such as the faults that were activated during the Norcia earthquake, highlights the prominent role of normal fault complex networks in the seismic activity. In the past three decades, before 2016-2017, the central Apennines region has been affected by two major normal faulting seismic sequences, the 1997 M_w6.0 Colfiorito and 2009 M_w6.1 L'Aquila, respectively. The M_w6.5 Norcia earthquake represents the largest earthquake of a new sequence that began on August 24, 2016 with the M_w6 Amatrice earthquake.

160 VELOCITY MODEL

161 The regional 3D velocity model used in our simulations covers an area 102 km by 88 km and 162 extends to a depth of 31 km (Figure 2). It was constructed by embedding the velocity models of 163 Norcia basin developed by Di Giulio et al., (2020) and Castelluccio inter-mountain basins, 164 developed by Brozzetti et al. (2019), in the regional tomographic model of the upper crust 165 developed by Chiarabba et al., (2018) for the Amatrice-Norcia region using P and S arrival times 166 for about 44,000 aftershocks that occurred between August 24, 2016 and end of June 2017 in the 167 Amatrice-Norcia region. The central part of the tomographic model, where most of the seismicity 168 is located, has higher P-wave speeds compared to the surounding regions. At shallow depths (0-3 169 km), lower seimic wave velocities characterize the carbonate rocks of mountain ranges, associated 170 with Pliocene synorogenic formations on top of thrust units. The velocity model of the Castelluccio 171 basin was based on the interpretation of geological cross sections compiled by Pierantoni et al., 172 (2013). The Castelluccio basin is characterized by soft sediments with a maximum thickness of about 200 m-400 m. The V_p and V_s assigned to the sedimentary layers are 2.24 km/s and 0.80 km/s 173 174 respectively. The Norcia basin has a depth that varies between 100-200 m (Figure 2). The V_p and 175 V_s in the alluvial sediments are 1.16 km/s and 0.4 km/s, respectively. The quality factors were 176 computed by the relations $Q_s = 0.05V_s$ (for V_s in m/s), in the upper 2km, and $Q_s = 0.1V_s$ below 2 177 km, and $Q_p = 2Qs$ (Day and Bradley, 2001; Graves and Day, 2003).

The integration of the tomographic and basins models, into a single velocity model covering thecomputational domain was done in two steps:

In a first step we interpolated the original tomographic model on a rectangular grid, with variable spacing in the vertical direction. The grid spacing was set to 300 m and 250 m along the northsouth direction and along the east-west direction, respectively. The vertical grid spacing was set to 50 m, and 200 m for the depth ranges 0-1 km and 1-16 km, respectively. The vertical grid spacing was chosen to fully represent the depth resolution of both original velocity models. In a second step we embedded the basin models into the tomographic model using an interpolation scheme similar to that used in the tomographic inversion of Haslinger (1998) where the velocity at a given point is determined by a linear interpolation among the eight surrounding grid points. The final velocity model also includes the surface topography. Cross-sections of the assembled velocity model are illustrated in **Figure 2**. In the near surface layers we set the minimum grid spacing of 25 m and cap the minimum shear wave velocity to 0.6 km/s. With these parameters our finite-difference method produces accurate results of up to 5 Hz.

192 KINEMATIC RUPTURE MODEL AND GROUND MOTION MODELING METHOD

In this section we describe the kinematic rupture simulation of the Norcia earthquake. The simulation was designed to investigate the performance of our physics based simulation method at reproducing the recorded motion, and address the impact of the earthquake rupture and surface topography on the observed and simulated ground motion amplification patterns.

197 Kinematic rupture model

198 The kinematic rupture model used in our simulations was generated using the Graves and Pitarka 199 (GP) technique (Graves and Pitarka, 2016). The GP rupture generator uses spatial and temporal 200 kinematic rupture parameters that are calibrated using observed rupture kinematics. GP has been 201 validated through comparisons of simulated broadband ground motions against ground-motion 202 models, as well as through direct comparisons with a large number of crustal earthquakes in 203 California and Japan (Graves and Pitarka, 2010; Graves and Pitarka, 2016; Pitarka et. al., 2019). 204 The rupture process is randomly heterogeneous at different scale lengths. The resulting multi-scale 205 rupture model incorporates small and large-scale stochastic rupture variability, deterministic large 206 slip areas (e.g., Pitarka et al., 2019), and depth dependent rupture velocity and slip rate (Graves 207 and Pitarka, 2015). These fundamental rupture features, including their depth dependency, were

included in the kinematic rupture model used in this study. Detailed explanations of the spatial
variation of our kinematic rupture model parameters can be found in Graves and Pitarka (2016).

210 The general form of the slip-rate function $\dot{s}(t)$ follows from Liu et al (2006) and is given by

211
$$\dot{s}(t) = \begin{cases} A[0.7 - 0.7\cos(\pi t/t_0) + 0.6\sin(0.5\pi t/t_0)] & t < t_0 \\ A[1.0 - 0.8\cos(\pi t/t_0) + 0.2\cos(\pi (t - t_0)/(t_d - t_0))] & t_0 \le t < 2t_0 , \quad (4) \\ A[0.2 + 0.2\cos(\pi (t - t_0)/(t_d - t_0))] & 2t_0 \le t < t_d \end{cases}$$

where t_d is the total duration, t_0 is the time at which the peak slip-rate occurs and A is scaled to produce the desired final slip amount. $t_0 = \beta t_d$. β is a fixed parameter that allows the t_0 , and consequently the shape of the source time function, to vary with depth. β has the following depth dependency

216
$$\beta = \begin{cases} 0.5 & z < 1 \text{ km} \\ 0.13 & z > 3 \text{ km} \end{cases}$$
(5)

with a linear transition between crustal depths of 1 and 3 km. This formulation allows the shape of the source time function to transition from "cosine-type", in the depth interval 0-1 km to "Kostrov-type" at depths > 3 km".

220 The kinematic rupture model uses a two segment fault model based on the rupture model proposed 221 by Scognamiglio et al. (2018) obtained through a joint inversion of geodetic and seismic data. In 222 our model the main segment has a fault length of 26 km, and a down-dip width of 15 km. This 223 fault length which is shorter than the fault length used in Scognamiglio et al. rupture modeling was 224 chosen to reflect the fact that slip was negligible in the northern portion of the main fault. The 225 second segment has a fault length of 10 km, and a down-dip width of 14 km. The depths to top of 226 the faults are 0.0 and 2.4 km, and the corresponding strikes of each segment are N155° and N210°, respectively. The hypocenter is located at 13.12° longitude, 42.84° latitude, and 9.5 km depth. The 227 228 maximum co-seismic slip of about 3 m is concentrated in two prominent patches, one on each fault 229 segment. The location and size of these lage slip patches in our model is based on the slip model

230 of Scognamiglio et al. (2018). We used an average rupture speed of 80% V_s , based on previous 231 studies of the earthquake. The earthquake focal mechanism was assumed to be predominantly 232 normal slip. The rake angle includes small spatially correlated random perturbations of up to 8%, 233 computed following the GP method. Table 2 provides details of the individual fault segments. 234 Figure 3 plots the slip distribution, peak slip rate, rise time, and rupture time contours. Note that, 235 constrained by observations and physics-based rupture modeling, the 'slip rise time and rupture 236 velocity are depth dependent. The longer rise time at shallow depths and shorter rise time at deeper 237 depths affect the long- and short-period seismic energy content generated by the earthquake 238 rupture.

239 Ground Motion Modeling Technique

240 The 3D numerical techniques of wave propagation modeling are capable of producing realistic 241 ground motion. They incorporate source's physics and solve the elastic and anelastic seismic wave 242 equation in a complex media. However, large-scale simulations require high-performance 243 computing (HPC) systems (e.g., Frankel et al., 2018; Toborda and Bielak, 2013; Pitarka et al., 244 2015; Rodgers et al., 2018). The latest developments in numerical methods and improvements in 245 computing power have led to reliable ground motion simulations of past and future earthquakes. 246 These simulations are contributing to rapid improvements of ground motion data bases for large 247 earthquakes, especially for short distances (<20 km) where the number of worldwide records is 248 relatively small (e.g., McCallen et al. 2020a; McCallen et al. 2020b; Petrone et al., 2021). 249 In this study, the ground motion velocity is computed using SW4, a time-domain 4th order accurate

250 in space and time finite difference code, based on the summation-by-parts principle (Sjogreen and

251 Petersson, 2012). SW4 is very efficient at modeling anelastic wave propagation in heterogeneous

252 media with anisotropy. It uses a near-surface curvilinear mesh with depth-dependent refinement

(Wang and Petersson, 2019) which allows for accurate implementation of free surface boundary conditions with surface topography (Petersson and Sjogreen, 2015), and accurate wave propagation modeling in heterogeneous media. The simulations were performed by running the parallelized CPU version of the code on Quartz supercomputer at Lawrence Livermore National Laboratory. Simulation with the local 3D velocity model and surface topography were performed on 108,000 CPUs, and required a wall time of 21 hrs. Simulations with flat free surface were performed on 3600 CPUs with a wall time of 4.5 hrs.

The model domain used in the simulations covers an area 102 km by 88 km and extends to a depth of 31 km. This region includes all of the 41 stations shown in **Figure 1** and a rectangular grid of fictitious stations with 1 km spacing covering the entire model area. We use a minimum grid spacing of 25 m and a minimum shear wave velocity of 1000 m/s, which yields reliable results up to a maximum frequency of 5 Hz. Anelastic attenuation is modeled using a constant-Q approximation (e.g., Graves and Day, 2003; Day and Bradley, 2001), and the ground motion acceleration time histories were obtained by differentiating the computed velocity time histories.

267

GROUND MOTION SIMULATION RESULTS

In this section we describe results of our ground motion modeling and simulations. We used ground motion recorded at 41 stations located in our study area, and ground motion predictions made with GMMs proposed for Central Italy to validate our modeling methodology. In our analysis we used direct waveform comparisons, as well as RotD50 (Boore, 2010) spectral acceleration responses to also investigate the impact of the slip distribution and topographic effects on observed and simulated ground motion in the frequency range 0-5Hz.

Figure 4 and Figure 5 compare simulated and recorded three-component ground motion velocity and acceleration, respectively, at 36 selected stations, low-passed filtered at 5 Hz. Given that the 276 slip distribution in our rupture model was obtained by combining deterministic features, such as 277 large-slip patches, and random small-scale variations, and no attempt was made to find the rupture 278 model that produces the best waveform fit, we recognize that the simulated motions cannot match 279 all the details of the observed waveforms, especially at high frequencies (> 2Hz). Nonetheless, the 280 simulation does well in matching the velocity time histories at several stations, including near-281 fault sites (e.g. CLO, FCC, NOR) as well as distant sites (e.g., FOPC, MDAR, NCR, SPD, SPM). 282 Stations FCC (A soil category site), and CLO (B soil category site), are located above the fault 283 rupture plane. The simulated ground motions in terms of averaged horizontal PGV for these sites 284 (FCC and CLO) are 50.9 cm/s, and 42.15 cm/s, while the observed values are 48.5 cm/s, and 52.19 285 cm/s respectively. Simulations at one of the intermediate distance stations MDAR (B soil category 286 site) at $R_{ib} = 27$ km resulted in PGV of 4.22 cm/s on the EW component, and 4.49 cm/s on the NS 287 component, while the observed values were 4.1 cm/s 4.29 cm/s, respectively. As for the 288 acceleration, overall, the simulation reproduces the frequency content, peak amplitude, and 289 duration of the observed waveforms. We note that, especially at long distances, the vertical 290 component of simulated acceleration is higher than the recorded acceleration. This single-291 component overestimation suggests that the velocity model used in our simulations may be 292 deficient in small scale heterogeneities. Small-scale structural heterogeneity, combined with wave 293 conversions and other surface topography effects can enhance near-surface wave scattering effects 294 which in turns reduces the amplitude of surface waves (e.g., Imperatori and Mai, 2015; Rodgers 295 et al., 2010; Hirakawa et al., 2016).

Figure 6 compares recorded and simulated 5% damped RotD50 (Boore, 2010) pseudo spectral accelerations (SA). In order to compare 3D and 1D wave propagation effects on the simulated ground motion, in Figure 6 we also show response spectra calculated with the 1D model of the

299 Central Italy (CIA 1D) (Herrmann et al. 2011). As in the 3D simulation, the 1D simulation includes 300 the surface topography. A comparison of the CIA 1D model and the 1D velocity column obtained 301 from the 3D velocity model at the epicenter is shown in **Figure 7**. The simulated response spectra 302 indicate that the largest difference between the two sets of synthetics is mainly observed et periods 303 smaller than 1s. Depending on the stations location, the amplitude of synthetics obtained with the 304 1D model is 5%-15% higher than that of synthetics obtained with the 3D model. This relatively 305 small difference could be explained by the similar wave propagation effects produced by the two 306 models due to their similar velocities in the depth range 4-20 km, and the oevarll weak lateral 307 velocity variations in the shallow part (< 4km) of the 3D model.

To quantitatively evaluate the overall performance of our numerical simulations and the predictive capability of our method we calculated residuals between the recorded and simulated RotD50 spectral acceleration using the 3D model. We used the goodness-of-fit (GoF) (e.g. Graves and Pitarka, 2010) between the recorded and simulated 5% damped pseudo-spectral acceleration RotD50 value (Boore, 2010), with the residual for each site j as a function of period T_i given in the natural log domain as

314
$$r_j(T_i) = \ln[O_j(T_i)/M_j(T_i)],$$
 (1)

where and O_j and M_j are the observed and modeled responses, respectively. The model bias is then
given by

317
$$B(T_i) = \frac{1}{N} \sum_{j=1,N} r_j(T_i)$$
(2)

and the standard deviation by

319
$$\sigma(T_i) = \left\{ \frac{1}{N} \sum_{j=1,N} \left[r_j(T_i) - B(T_i) \right]^2 \right\}^{1/2},$$

320 where N=41 is the number of stations.

321 **Figure** 8 plots the model bias and standard deviation over the period range 0.2 - 10 s, averaged 322 over all 41 stations. We limit the shortest period to 0.2 s in order to be compatible with the period 323 range 0-5 Hz of our simulations. The near zero bias over a broad period range suggests that, on 324 average, our simulated ground motion matches the recorded one. The standard deviation of the 325 bias, indicated by the dotted line, is relatively higher at periods shorter than 3s and remains lower 326 at long periods (> 3s). This feature indicates that there is a non-negligible uncertainty in our ground 327 motion simulations in the short period response due to the unknown small-scale heterogeneities in 328 the earthquake rupture model and shallow crust velocity model.

329 Comparison with Ground-Motion Models

330 To examine how the ground motion characteristics compare to ground-motion models (GMMs) 331 for Central Italy (Bindi et al., 2011), in Figure 9 we show the comparison of PGA, PGV and SA 332 at 2.0 s (SA2.0) between the recorded and simulated data, low-pass filtered at 5Hz, and the GMMs. 333 The GMMs are calculated for M6.5, normal-faulting using estimates for different site conditions, 334 classified as A, B, and C, according to Eurocode 8, EC8 (CEN 2004), representing rock, hard soil, 335 and soft soil types, respectively. The comparison indicates that the GMMs predict well the PGA, 336 PGV and SA2.0 at very short fault distances. However for the type B and C sites the GMMs 337 overpredict both recorded and simulated ground motion at fault distances larger than 5km. The overprediction is more pronounced for the PGA and SA0.2 than for the PGV. Also the simulated 338 339 PGA is slightly lower than the recorded PGA. This is probably due to the limited high frequency 340 content in our synthetics imposed by the numerical accuracy of our simulations. It is important to 341 note the observed ground motion as well as the simulated ground motion is similar to the GMMs 342 predictions trend with distance. However significant differences are observed at very short 343 distances where the ground motion amplitude displays sharp spatial variations over the fault 344 plane's hanging-wall section. As it will be discussed below, high PGA values observed at sites 345 located above the fault could be an expression of combined effects of large slip patches and local 346 wave focusing due to surface topography.

347 Topographic Effects

348 Recent studies of the Norcia earthquake damage (Galli et al., 2017; Costanzo 2018; Rossi et al., 349 2018) have indicated that in the heavily damaged zone there was evidence of increased damage to 350 old masonry buildings in villages located on hilltops (Liberatore et al., 2019). Studies of recorded 351 (e.g., Celebi, 1987; Pitarka and Irikura, 1996; Spudich et al., 1996; Geli et al., 1988; Hough et al., 352 2010; Shafique et al., 2011) and simulated (Bouchon et al., 1996; Rodgers et al., 2010; Imperatori 353 and Mai, 2015; Lee et al., 2008) topographic effects have suggested that the ground motion 354 amplification and de-amplification patterns caused by the surface topography are expressions of 355 interferences of free-surface and near free-surface converted and reflected waves. Being dependent 356 on the frequency content of the incoming waves, size of topography, and near-surface material 357 properties, the surface expression of these interferences can have a complex pattern (e.g. Bouchon 358 et al., 1996; Geli et al., 1998; Imperatori and Mai, 2015). In this study we investigated the possible 359 implication of topographic effects in the spatial ground motion amplitude pattern observed during 360 the earthquake.

One common way of extracting the surface topography effects using strong ground motion modeling is by examining relative differences between ground motions computed with and without surface topography. This was applied to the whole modeled frequency range between 0 and 5 Hz. The comparison of simulated time histories of ground motion velocity at four selected stations FCC, PRE, CLF, and CIT, located in the epicentral area, is illustrated in **Figure 10**. The ground motion comparison at these selected sites sugests that the presence of surface topography enhances

367 the near-surface wave scattering which creates local PGV maxima and minima, caused by 368 constructive and deconstructive interferences of different types of waves. These effects are also 369 discernible in Figure 11 where we plot snapshots of the vertical component of wavefields 370 extracted from the simulations with (TOPO) and without (FLAT) topography, at 13.0s and 15.7s 371 into the earthquake. The isochromatic fringe patterns are different between the wavefronts 372 simulated without and with topography, with the TOPO case being more irregular. The distinct 373 difference in small-scale features between the two models suggests that the wave scattering effect 374 caused by the surface topography breaks the coherency of different wave fronts by creating small-375 scale spatial amplitude variations.

376 We used results from our simulations with and without topography to investigate the frequency 377 range of ground motion amplification caused by the surface topography. Similar to the goodness 378 of fit plot shown in Figure 8, in Figure 12 we show the 5% SA RotD50 goodness of fit using 379 acceleration response spectra computed with a flat free surface. It is clear that in this case the 380 synthetics underestimate the ground motion. The positive shift of the bias caused by the spectral 381 acceleration misfit indicates that the neglected topographic effects account for about 35% of the 382 recorded ground motion amplitude at frequencies higher than 1Hz. The rather broad frequency 383 range of the surface topography effect obtained here may concern a variety of structures with 384 response periods shorter than 1s.

The bias observed at periods longer than 5s could be caused by a probable inaccurate representation of the wave propagation attenuation in our 3D velocity model, or inadequate long period seismic energy generated by the proposed rupture model. The fact that the discrepancy is stronger in the presence of the topography (see **Figure 8**) suggests that it may be linked to the wave propagation model, including the attenuation, rather than the source model. Note that the 390 3D model with flat topography was obtained from the original 3D velocity model by simply 391 flattening the free surface. The free surface flattening changes the depth of layers interfaces relative 392 to the free surface which consequently may alter 3D wave propagation effects, even at longer 393 periods.

394 We investigated the spatial variation of the PGA and PGV and their possible correlations with 395 topography features such as local peaks and troughs. Using our Norcia earthquake simulations 396 with and without surface topography we computed synthetic ground motion on a dense grid of 397 stations with 1km spacing covering the entire computational domain. Then at grid points along 398 two orthogonal lines A-A'- and B-B', crossing the epicenter, we computed the ratio between 399 ground motions obtained with and without topography, for PGV and PGA, respectively. The ratios 400 are shown in Figure 13, together with the corresponding ground surface elevation, high-pass 401 filtered at the elevation variation length of 10 km. The filtering was used to remove large-scale 402 ground elevation variations with lengths longer than 10km. The comparison shows that the PGV 403 correlates with local variations of surface topography, regardless of the site location. The local 404 maxima of the PGV ratio correspond with local topography peaks, and PGV ratio local minima 405 correspond to local topography troughs.

To better demonstrate and quantify the spatial distribution of topographic effects on PGV in **Figure 14** we show maps of the simulated horizontal PGV, computed with the reginal 3D model with and without the surface topography. Also shown is a map of the ratio of their respective PGV overlying the surface topography. The map of the PGV ratio shows deamplifications (PGV ratio <1) in most of the valleys and amplifications with various strength of up to 2.5 on mountains top. As suggested by other studies, one of the main reasons of peak velocity amplifications could be the topography resonance for intermediate-periods waves carrying the PGV (~1s) in hills with a width similar or longer than the corresponding wavelength of 1km (Marzorati et al., 2011; Massa
et al., 2010; Spudich et al., 1996; Geli et al., 1998).

415 The simulated PGA does not correlate as well as the PGV with topography variations. It is difficult 416 to explain this result without a more detailed analysis of wave propagation scattering using careful 417 designed numerical experiments for the Norcia region. One possible explanation is that each 418 topographic feature (small hill or small valley) acts as a high frequency scatterer. A rough 419 topography can create short-wavelength spatial interferences of free surface-generated waves, with 420 local maxima and minima that do not necessarily reflect individual geometrical characteristics of 421 the topographic element, where the receiver is located. Instead, the high frequency part of the 422 seismograms that carries the PGA may represent the integrated effect of the surrounding 423 topography. Our conjecture is slightly different from the conclusion reached by Maufroy et al., 424 (2015) in their study of ground motion variability due to surface topography. Based on analysis 425 of synthetic waveforms, they found that the amplification caused by focusing and defocusing 426 effects of body waves is correlated with the local curvature of the earth surface. Therefore, the 427 frequency-scaled curvature of the Earth's surface and local Vs can be used to predict the 428 topographic site-effect amplification.

429 *PGV Maps*

Being generated on two relatively low dip angle faults with a predominantly normal slip mechanism, the near-fault ground motion variability for the Norcia earthquake is expected to be sensitive to the slip distribution pattern. To better assess the free-surface ground motion pattern and implication of the slip distribution on the PGV amplification pattern we used our simulations with surface topography to compute ground motion velocity at 8712 virtual receivers located on a regular grid covering the entire study area, with a 1 km spacing. Deterministic broad band 436 simulations like the ones performed here have the ability to provide a full picture of the ground 437 motion spatial variability on a broad frequency range (0-5 Hz), which cannot be achieved by hybrid 438 methods that rely on a simplistic stochastic representation of high frequency ground motion. The 439 effects of the slip distribution were investigated using two sets of synthetic ground motion obtained 440 with two rupture models. The first data set was obtained with the $M_w 6.5$ Norcia earthquake rupture 441 proposed in this study (Model 1), and the second data set was obtained with Model 2 a rupture 442 scenario similar to Model 1, but with slightly different locations of the main slip patches. Model 2 443 is shown in Figure 15. The purpose of the second simulation was to demonstrate the sensitivity 444 of the PGV spatial distribution to location of large slip areas for a Norcia type scenario earthquake 445 rupture.

446 Figure 16 compares maps of the horizontal PGV computed with the two rupture models. The map 447 covers an area centered on the fault region. It is clear that the ground motion amplification pattern 448 depends on the relative location of the large slip patches. The Norcia earthquake PGV map is 449 dominated by four areas with relatively large PGV, located above the faults surface projection. In 450 these areas PGV reaches values of up to 200 m/s. Three of the large PGV areas, located to the east, 451 south and south east of the epicenter, in which the damage during the earthquake was also high, 452 are most likely created by the upward and lateral rupture directivity effects, as expected for a 453 normal faulting rupture initiated near the bottom of both fault segments. We do not have a clear 454 explanation for the fourth high PGV area located west of the epicenter, other than focusing due to 455 the earthquake radiation pattern.

The location of the high PGV areas and their amplitude change depending on the location of the large slip areas in the model. Due to a drop in peak ground velocity the large PGV areas become more connected to each other and the large PGV area to the south of the epicenter increases in 459 size. Produced by potential rupture directivity effects for this type of rupture (e.g. Tinti et al., 460 2016), these PGV features are a direct consequence of the location of the large asperity areas. 461 These results clearly show that, although affected by the local topography, the lateral extent of the 462 PGV mainly reflects the upward rupture directivity effect, which in the case of a shallow dipping 463 fault makes the ground motion amplification more correlated with the fault's large slip pattern. A 464 similar conclusion was reached by Paolucci et al. (2015) based on long period ground motion 465 simulations of the M_w6.0 2012 May 29 Po Plain, Italy earthquake. Our PGV maps demonstrate 466 that for future earthquakes in the central Apennines the near-fault ground motion velocity is 467 expected to be higher above the fault, especially at hill tops located near large slip patches.

468 Figure 17 demonstrates the large near-fault fluctuations of the observed and simulated peak 469 ground velocities computed with the TOPO and FLAT models, for both horizontal and vertical 470 ground motion components. In this figure we show the median ground motion and its standard 471 deviation obtained for all receivers on the regular grid, and for fault distances up to 48 km. It is 472 important to note that there is a sharp increase in ground motion variability in the fault distance 473 range 2-10 km. A similar trend is seen in the recorded PGV, although the wavefield spatial 474 sampling with strong motion stations is very sparse. The simulated near-fault ground motion 475 variability suggests that due to its insufficient spatial density, the network of stations that recorded 476 the main shock may have missed the largest ground motion generated during the Norcia 477 earthquake. These PGV plots suggest that for a normal slip earthquake on a dipping fault the 478 ground motion variability is expected to be high in regions above the fault. Figure 17 also shows 479 that, as discussed before, at fault distances larger than 6 km, the simulated vertical PGV is higher 480 than the recorded PGV. Since this discrepancy is only observed on the vertical component of 481 motion and becomes more pronounced away from the fault, we speculate that it has to do with the 482 misrepresentation of shallow wave scattering in our velocity model. Another explanation has to do 483 with the rather uniform normal slip focal mechanism adopted in our rupture model which could 484 affect the vertical component of the simulated ground motion.

485 The rupture model used in our simulations of the Norcia earthquake is randomly heterogeneous at 486 different scale lengths (see Figure 3). It incorporates deterministic features of large slip areas, 487 constrained by inverted fault slip, and large and small-scale stochastic perturbations. In order to 488 assess the sensitivity of the simulated ground motion to small-scale random rupture variations, we 489 performed an additional simulation with a new rupture model, named Model 3. Compared with 490 the original rupture model Model 1, Model 3 contains similar large slip patches but different small-491 scale variations of the slip, rupture time, rise time, and rake angle, generated with a different 492 random seed. Model 3 and the simulation results, including waveform comparisons, the PGV map 493 and SA RotD50 goodness of fit plot computed as the ratio between Model 1 and Model 3, averaged 494 over all the stations considered in this study, are shown in Figure 18. The very small bias between 495 the two models and its small standard deviation at all periods suggests that the the choice of small-496 scale random perturbations in our rupture generator does not significantly affect the overall 497 characteristics of the simulated ground motion.

498

499 DISCUSSION AND CONCLUSIONS

500 The objective of this study is twofold: (1) investigate the performance of physics-based fully 501 deterministic ground motion simulations at reproducing the observed ground motion of the $M_w 6.5$ 502 Norcia earthquake. (2) demonstrate that the combined effects of rough topography and fault slip 503 complexity during the earthquake contributed to near-source ground motion variability and

504 amplification, especially at sites above the fault plane. This study is the first attempt to simulate 505 the near-fault ground motion from the 2016 Norcia earthquake, based on a kinematic fault model 506 and a newly developed local 3D velocity model. The simulated ground motion time histories were 507 compared with the recorded ones in the frequency range 0-5 Hz at 41 strong motion stations, 508 located within 30 km of the fault. The simulations were carried out on high-performance computers 509 at Lawrence Livermore National Laboratory in Livermore, California, using a 3D anelastic finite 510 difference method, and a seismic velocity model based on the local geology and the tomographic 511 model of Chiarabba et al.(2018). The near-surface curvilinear grid with a minimum spacing of 512 25 m used in sampling the surface topography and near-surface underground structure allowed for 513 accurate wave propagation modeling for frequencies up to 5 Hz.

The simulation proved to be reliable in reproducing the recorded ground motion time histories and spectral amplitudes in the modeled frequency range, with a tendency to overestimate the amplitude of the ground motion vertical component. The overestimation can be partially attributed to features of the 3D velocity model, such as lack of granularity and heterogeneity, assumed linear and isotropic soil properties, and partially to the frequency-independent radiation pattern adopted in our kinematic rupture model. Nevertheless, our study confirms that the local wave propagation effects can be reproduced by the 3D wave propagation model used here.

521 Our simulations suggest that earthquakes on shallow dipping normal faults, like the Norcia 522 earthquake, can produce relatively large ground motion, especially in areas located above the fault, 523 and in proximity to large slip patches. The combination of these rupture propagation effects with 524 the amplification due to local topography can result in large ground motion amplifications with 525 complex spatial variability. This observation is well supported by the characteristics of ground 526 motion recorded by the near-fault stations. 527 In addition to testing our modeling capability, we carried out numerical experiments aimed at 528 investigating potential topographic effects on the observed amplification pattern. The topographic 529 effects were analyzed by comparing ground motions computed with the local velocity model, with 530 and without surface topography. The contribution of surface topography effects to ground motion 531 amplification was then isolated by computing the ratios of PGV and PGA derived from the models 532 with and without topography, respectively. We found that the spatial variation of the PGV 533 correlates better than that of the PGA with the local variations of topography, with the PGV being 534 higher at mountain peaks and ridges. A plausible explanation of this finding is that, due to short-535 wavelength spatial interferences of free surface-generated waves, affected by the topography, the 536 high frequency part of the seismogram carrying the PGA at a given location may be shaped by the 537 integrated wave propagation effects of the surrounding topography. Therefore the resulting PGA 538 amplification does not allways reflect the geometrical characteristics of the topographic element, 539 where the receiver is located. Our simulations also suggest that local topography effects can result 540 in ground motion amplifications of up to 30% for periods shorter than 1.5 s. The wide spread 541 topographic effect, mainly manifested as ground motion amplification at hill tops, is likely a 542 significant contributor to the seismic hazard in the region. This conclusion is in agreement with 543 the concentration of severe damage observed during several past earthquakes in Central Italy. 544 Damage inspections for the May 6th 1976, M_w6.4 Friuli, September 26th 1997, M_w6.0, Umbria 545 Marche, and April 6th 2009, M_w6.3, Aquila earthquakes reported that the largest level of shaking 546 and heaviest damage to masonry buildings were mostly observed on hilltops. (e.g. Bramati et al., 547 1980; Marzorati et al., 2011; Pischiutta et al., 2010; Massa et al., 2010; Magnoni et al., 2014). 548 Although topographic effects using observed ground motion have been the subject of several 549 studies, their investigation has mostly been focused on explaining the damage pattern observed 550 during damaging earthquakes. The recent development of high efficiency numerical methods, like 551 the one used in this study, has opened the way to quantitative estimating of topographic effects, 552 and to building predictive capabilities needed in the seismic hazard assessment in regions with 553 rough topography (e.g.Maufroy et al., 2015). Being controlled by the rupture process (relative 554 locations of the fault and large slip areas, frequency content and direction of the incoming motion) 555 and geotechnical and geometrical characteristics of the topography (material heterogeneity, 556 topography size and roughness) the topographic effects are region dependent. Therefore the 557 transportability of quantitative estimates of topographic effects from one region to another may 558 not always be reliable.

559 In an effort to demonstrate the sensitivity of near-fault ground motion variability and amplification 560 pattern to fault slip pattern we performed a simulation with a scenario rupture model on the same 561 faults that ruptured during the Norcia earthquake in which the large slip areas in both fault 562 segments were purposely shifted by several kilometers (see Figure 15). We observed that the 563 location of large PGV areas is linked to the location of large slip areas. This result suggests that 564 the ground motion amplification pattern of the Norcia earthquake was controlled by both rupture 565 process and surface topography. The highest ground motion is obtained at hilltops in areas above 566 the fault trace. The largest horizontal PGA and PGV observed during the Norcia earthquake were 696 cm/s² and 58 cm/s, respectively. At the same location the corresponding simulated values are 567 568 512 cm/s² and 48 cm/s, respectively. The slightly lower simulated peak values are due to the 569 limited frequency range used in the simulations. Meanwhile, the largest simulated PGV is 150m/s. 570 It corresponds to a mountain top location. This is much higher than the highest value of the 571 observed PGV. Similarly, at several sites the synthetic PGV is higher than the highest observed 572 PGV. This result suggests that the sparse network of stations that recorded the Norcia earthquake
573 most likely missed the maximum ground motion generated by the earthquake.

574 The separation of topographic effects from source and wave propagation effects and their 575 individual quantification requires a step-by-step procedure that includes simulations of source, 576 near-surface underground structure and surface topography effects, using models with gradually 577 increasing complexities. Although very important, these quantitative analysis are beyond the scope 578 of this study. Our study is mainly focused on providing simulation-based evidence for ground 579 motion amplification at mountain tops and deamplification in valleys, due to local topography. 580 Our investigation can be helpful in explaining the near-fault building damage pattern observed 581 during the Norcia earthquake.

582 In conclusion, we found the topography effect to be an important factor that influenced the ground-583 motion amplification pattern during the Norcia earthquake. We also found that the recorded ground 584 motion variability at near-fault stations was strongly affected by the large slip areas along the fault 585 rupture. This suggests that both the 3D surface topography and large slip areas location are key 586 modeling parameters in seismic hazard assessments for scenario earthquakes in the region. Ground 587 motions calculated using a fully deterministic approach can be used to improve our 588 understanding of the seismic hazard and refine the corresponding seismic risk estimates for 589 scenario earthquakes in the central Apennines.

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600 DATA AND RESOURCES

601 The unprocessed acceleration time histories are obtained from the Rete Accelerometrica Nazionale 602 (RAN), managed by the Department of Civil Protection (DPC; http://ran.protezionecivile.it/, last 603 accessed April 2018) and from the INGV International Federation of Digital Seismograph 604 Networks (FDSN) web service (http://webservices.rm.ingv.it/, last accessed April 2018). The 605 processed strong-motion data and station metadata are obtained from the Engineering Strong-606 Motion (ESM) database (http://esm.mi.ingv.it, last accessed April 2018). A number of figures were 607 created using the Generic Mapping Tools version 4.5.3 (Wessel et al., 2013). Seismic signal 608 analysis was performed using SAC (Seismic Analysis Code) version 101.6 (Goldstein et al., 2003; 609 Goldstein & Snoke, 2005). SW4 computer code was performed to solve the seismic wave 610 equations in displacement formulation using a node based finite difference approach, developed 611 at the Lawrence Livermore National Laboratory (https://geodynamics.org/cig/software).

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- **Table 1** Strong motion stations used in this study, and corresponding PGV and PGA recorded the
- M_w6.5 Norcia earthquake. The last column indicates the site classification according to EC-18 and
 NTC18 (CEN, 2004).

No.	Station Code	Lat (°)	Lon (°)	R _{jb} (km)	PGA_N (cm/s²)	PGA_E (cm/s²)	PGA_Z (cm/s²)	PGV_N (cm/s)	PGV_E (cm/s)	PGV_Z (cm/s)	EC8
1	ACC	42.696	13.242	0.00	387.15	456.00	561.14	38.50	42.15	21.63	Α
2	FCC	42.755	13.193	0.00	847.80	1008.31	841.37	44.83	69.25	52.75	Α
3	CLO	42.829	13.206	0.00	614.99	406.93	744.12	52.93	60.70	73.40	В
4	CNE	42.894	13.153	0.00	302.91	433.14	586.12	23.90	42.05	17.82	В
5	T1214	42.760	13.209	0.00	431.99	665.85	618.15	73.10	24.43	14.83	В
6	T1201	42.657	13.251	1.00	467.79	355.83	224.24	41.38	54.99	27.28	В
7	NRC	42.793	13.096	1.21	324.51	441.99	365.02	38.24	45.24	21.56	В
8	NOR	42.792	13.092	1.52	312.78	307.61	271.52	43.23	52.99	25.77	В
9	T1299	42.634	13.282	1.72	466.39	450.27	313.68	27.99	23.01	23.07	В
10	T1244	42.757	13.298	1.78	188.33	278.34	339.17	27.10	21.64	18.96	А
11	PRE	42.879	13.033	1.81	310.95	257.24	200.00	15.96	11.19	6.72	В
12	AMT	42.632	13.286	2.04	425.43	565.02	322.51	31.21	29.48	31.78	В
13	T1213	42.725	13.126	2.22	874.74	802.73	828.15	33.54	49.62	30.29	В
14	T1216	42.891	13.019	2.34	264.21	256.49	161.91	13.28	13.62	6.48	Α
15	CIT	42.594	13.163	5.83	211.92	333.05	140.86	14.34	16.51	8.99	В
16	T1212	42.752	13.045	6.97	252.60	269.73	166.05	24.01	24.65	12.70	В
17	MCV	42.993	13.001	9.63	365.42	307.65	433.39	11.27	7.43	6.73	В
18	MMO	42.899	13.327	10.60	192.27	194.03	143.57	13.93	10.88	10.49	В
19	CSC	42.719	13.012	10.89	155.07	160.18	161.47	10.48	11.70	6.04	А
20	ACT	42.771	13.413	10.93	386.88	257.26	260.03	10.40	5.27	6.38	С
21	PCB	42.558	13.338	11.32	241.76	138.04	59.12	9.00	9.28	8.21	В
22	MNF	43.060	13.184	12.55	114.64	126.19	106.50	7.00	7.00	6.06	А
23	MSC	42.527	13.351	14.91	95.58	93.81	49.32	9.21	8.15	8.26	В
24	MSCT	42.527	13.351	14.92	99.06	98.02	51.78	9.46	8.49	8.52	В
25	T1219	43.056	13.005	15.79	264.57	156.21	145.76	9.73	6.14	6.81	В
26	SNO	43.037	13.304	15.81	115.66	80.75	70.73	6.46	6.60	5.29	В
27	SPD	42.515	13.371	16.78	106.00	77.68	47.53	7.80	6.72	7.33	А
28	CLF	43.037	12.920	17.00	164.81	114.86	94.79	9.82	10.70	5.75	В
29	T1217	42.712	12.931	17.22	111.26	107.68	93.16	7.87	4.19	5.33	В
30	FOC	43.026	12.897	17.30	333.05	370.75	224.58	7.18	12.20	4.84	С
31	T1215	42.802	12.869	17.64	85.84	76.19	64.05	4.68	6.99	4.52	А
32	T1220	43.110	13.089	18.59	258.52	234.42	142.52	15.69	16.33	7.79	В
33	FOS	43.015	12.835	20.21	119.21	83.97	50.94	6.06	5.31	2.85	С
34	LSS	42.558	12.969	21.65	53.05	44.44	41.03	3.73	3.96	3.70	А
35	TERO	42.623	13.604	22.88	122.23	87.49	57.19	8.58	6.20	3.66	В
36	PZI1	42.436	13.326	23.26	58.00	68.88	30.30	6.93	5.82	5.24	А
37	TRE	42.877	12.736	24.96	122.42	120.71	65.71	8.71	6.65	4.50	С
38	ANT	42.418	13.079	25.99	49.25	41.59	23.91	6.10	6.54	2.63	А
39	MDAR	43.193	13.143	27.05	69.81	88.08	58.99	4.22	4.49	2.62	В
40	FOPC	42.970	12.703	28.12	93.00	114.08	51.38	4.98	6.90	3.03	В
41	TRL	42.461	12.932	29.76	97.32	70.69	32.51	7.85	8.23	2.59	В

Table 2. Fault Segment Parameters for the Kinematic Rupture Model of the M_w6.5 October 30th
2016, Norcia Italy earthquake.

Segment	Top center	Top center	Length	Strike	Dip	Average
	Longitude	Latitude	(km)			Rake
1	13.21	42.86	26	152	40	-90
2	13.18	42.78	10	210	45	-30



Figure 1. Geological map of the Norcia region. Yellow stars indicate the epicenter of seismic events with a magnitude larger than 5.0 (INGV catalog, ISIDE 2016) that occurred during the 2016-2017 earthquake sequence. Triangles with different colors indicate the location of strong motion stations considered in this study. The color scheme of the geological units is based on the NTC-18 V_{s30}site classification. The black rectangles indicate the surface projection of the two segment fault model of the October 30th, 2016 M6.5 Norcia earthquake (Scognamiglio et al.,2018).



Figure 2. Topography map showing the study area indicated by the red rectangle (top panel), and vertical cross sections of the 3D velocity model used in the simulations (left panels) along A-A' and B-B' lines, indicated by dotted lines on the map. The black star indicates the epicenter of the Norcia M_w6.5 30th October 2016 earthquake. The surface projection of the causative fault with its secondary fault segment is showed by the black rectangles. Red triangles indicate the strong motion stations used in this study. The 1D Vs profile shown next to the velocity cross section A-A' presents the shear-wave velocity extracted from the 3D model at the epicenter, along the vertical black line indicated in both vertical cross sections.



Figure 3. Kinematic rupture model for the M_w6.5 Norcia earthquake adopted from Scognamiglio
et al., (2018) using a hybrid approach (Pitarka et al., 2019) that combines deterministic large slip
patches with rectangular shape, with random perturbations (Model 1). Left panel shows the main
fault rupture model, and the right panel shows the secondary fault model activated during the
Norcia earthquake. Top panels: Slip distribution and rupture time contours at 2 s. Middle panels:
rise time. Bottom panels: peak slip rate computed from the slip rate function low pass filtered at 4
Hz.



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Figure 4. Comparison of recorded (black traces) and simulated (red traces) three-component time
histories of ground motion velocity of the 2016 Norcia earthquake, low pass-filtered at 5Hz. The
station's name and its closest distance to the fault are indicated in each panel.







Figure 5. Comparison of recorded (black traces) and simulated (red traces) three-component time
histories of ground motion acceleration of the 2016 Norcia earthquake, low pass-filtered at 5Hz.
The station's name and its closest distance to the fault are indicated in each panel.







Figure 6. Comparison of recorded (black traces) and simulated 5% damped RotD50 pseudo
spectral acceleration of the 2016 Norcia earthquake, using the 3D model (red traces) and 1D model
(green traces).



1029Figure 7. 1D velocity, V_s models and 1D quality factor, Q_s models of the Central Italian Apennine1030(CIA) region (thin line) and the corresponding 1D profile obtained from the local 3D model at the





Figure 8. Norcia earthquake goodness-of-fit plot showing the model bias (solid line) and standard deviation (dashed lines) of residuals between the recorded and simulated RotD50 spectral acceleration values in the period range 0.2 to 10 s, averaged over 41 stations. The synthetic ground motion was computed using a 3D velocity model with topography and source Model 1.





Figure 9. Comparison of recorded (crosses) and simulated (circles) ground motion with M_w6.5 Central Italy GMMs (Bindi et al., 2011) generated for a normal faulting M_w6.5 earthquake, for three different site conditions rock (green), hard soil (blue), and soft soil (red) type, for the PGV (top left panel), SA2.0s (top right panel), PGA low-passed at 5Hz (bottom left panel, and PGA using unfiltered raw recorded data (bottom right panel).





Figure 10. Waveform comparison illustrating topography effects on time histories of ground
motion velocity computed at four selected strong motion stations, CLF, PRE, FCC, and CIT,
located in the near source region. Blue traces are seismograms computed with surface topography
and green traces are seismograms computed using with flat free surface and using rupture Model
1.



1055Figure 11. Snapshots of the vertical component of $M_w 6.5$ Norcia earthquake wave fields computed1056with surface topography (TOPO) and with flat free surface (FLAT), at 13.0s and 15.7s into the1057earthquake. Star indicates the epicenter of the earthquake.



Figure 12. Norcia earthquake goodness-of-fit (GoF) plot showing the model bias (solid line) and standard deviation (dashed lines) of residuals between the recorded and simulated RotD50 spectral acceleration values in the period range 0.2 to 10 s, averaged over 41 stations. The synthetic ground motion was computed using a 3D velocity model with flat free surface and source Model 1.





Figure 13. Ratio (black traces) between ground motions obtained with and without topography (Topo/Flat), for PGV (a) and PGA (b) along Line A-A' and Line B-B' indicated in **Figure 10**. In each panel the red trace is the ground surface elevation, high-pass filtered at elevation variations lengths of 10 km. This filter removes ground elevation variations with lengths longer than 10 km. 1081





Figure 14. Maps of simulated horizontal PGV for Norcia earthquake, computed with the reginal
3D model and surface topography (left panel), computed with the reginal 3D model and flat earth

1088 surface (middle panel) and their respective ratio (right panel). Red rectangles indicate the free

1089 surface fault projection, and the star indicates the location of the rupture initiation point.



1092 **Figure 15.** Kinematic rupture model for an $M_w6.5$ Norcia earthquake-type rupture scenario used 1093 in sensitivity analysis of simulated ground motion (Model 2). Left panel shows the main fault 1094 rupture while the right one shows the second fault segment. Top panels: Slip distribution and 1095 rupture time contours at 2 s intervals. Middle panels: rise time. Bottom panels: peak slip rate 1096 computed from the slip rate function low pass filtered at 4 Hz.

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Figure 16. Maps of horizontal PGV computed with the M_w6.5 Norcia earthquake rupture model (left panel) and an M_w6.5 Norcia type earthquake rupture scenario Model (right panel). Dotted rectangles indicate the surface projections of the fault segments, solid lines indicate the surface projection of the top of the fault segments, and black star indicates the epicenter location. White triangles indicate the strong motion stations location. Note that the ground motion amplification pattern depends on the relative location of the large slip patches shown in **Figure 3** and **Figure 15**, for the rupture Model 1 and rupture Model 2, respectively.



Figure 17. PGV computed on a grid of 8120 receivers with 1km spacing, covering the entire computational domain, plotted as a function of fault distance. Left panel corresponds to the horizontal PGV, and right panel corresponds to the vertical PGV. The red solid line indicates the median PGV computed with the 3D model with surface topography, and the green trace corresponds to the median PGV computed with the 3D model with flat free surface. The dotted lines correspond to median +/- one standard deviation. Black dots indicate the recorded PGV at 41 strong motion stations.



Figure 18. a) Strong motion simulation using rupture model Model 3 of the Norcia earthquake, b) Comparison of ground motion velocity at selected stations computed with rupture models Model 1 (blue traces), and Model 3 (green traces). The stations name is indicated on each panel, c) Map of simulated horizontal PGV, d) goodness-of-fit plot showing the bias (solid line) and standard deviation (dashed lines) of residuals between the simulated RotD50 spectral acceleration values computed with Model 1 and Model 3, in the period range 0.2 to 10 s, averaged over 41 stations.