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Assessing hazard and potential impact associated with volcanic ballistic projectiles: the example of La Soufrière de Guadeloupe volcano (Lesser Antilles)

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Abstract

The fallout of ballistic blocks and bombs ejected from eruptive vents has the potential to produce severe injuries to people and damage to infrastructure in areas proximal to volcanoes. The dimensions and dispersions of ballistic ejecta from explosive eruptions are pivotal parameters to forecast the potential impact associated with future eruptions based on the compilation of probabilistic hazard maps.

In this study, we propose a new probabilistic hazard quantification strategy to provide the probability of Volcanic Ballistic Projectiles (VBPs) to exceed some critical kinetic energy thresholds, considering a variability on the site of the eruptive vents and the effect of wind. La Soufrière de Guadeloupe (Lesser Antilles) is chosen as a test case, focussing on the most likely style explosive scenario associated with the eruption of an active lava dome (including phreatic, Vulcanian and Strombolian eruptions). Sensitivity analyses have guided the optimization of input parameters to balance the results stability and computational costs, showing that the topography is a pivotal factor when accounting for the spatial uncertainty on vent locations in the proximity of the dome area. Given an eruption within the adopted scenario, we provide maps showing the probability to exceed different energy reference thresholds for roof's perforation if at least one VBP falls in a target area. These maps are then combined with exposed elements to produce a qualitative exposure-based risk map. We compute the overall probability, conditional on the selected scenario, for roof perforation in a given area when a VBP is ejected. Results show probabilities varying from ca. 2% up to 40% within a few km from the volcano, quickly dropping away from the dome. However, when the probability to exceed the energy reference threshold is only conditional on falling of VBPs in a target area, most of Basse-Terre island would be affected by the 20-60% probability of roof perforation. This work confirms how the choice of a probabilistic approach is key to estimate the likelihood of occurrence of VBPs impacts as a first step towards the development and implementation of pro-active risk reduction strategies in volcanic areas.

Keywords: *probabilistic hazard assessment, ballistic impact, risk assessment, La Soufrière de Guadeloupe*

1. Introduction

Volcanic eruptions can be associated with a variety of hazardous phenomena, such as tephra dispersal and fallout, pyroclastic density currents, lava flows, gas emissions, debris avalanches, and lahars (e.g., Blong, 1984). The possible impacts associated with all these hazards mostly depend on the characteristics of the eruption and the distance from the vent (e.g., Blong, 2000; Manville et al., 2009; Selva et al., 2010; Jenkins et al., 2015). Tephra dispersal and sedimentation represent one of the main primary hazards associated with explosive eruptions with the potential to impact human life, various economic sectors and the ecosystem (e.g., Wilson et al., 2014; 2017; Jenkins et al., 2015; Bonadonna et al., 2021). Tephra is typically dispersed

from the buoyant plume and the umbrella cloud depending on the associated size, density and shape, with lapilli (diameter between 2 and 64 mm) mostly falling from the buoyant plume within tens of kilometres from the vent and volcanic ash (diameter < 2mm) travelling for hundreds to thousands of kilometres, draping the landscape. In addition, blocks and bombs (diameter > 64 mm) can either sediment from the plume margins or follow ballistic trajectories directly from the vent (e.g., Osman et al., 2019). Blocks and bombs represent a major hazard for human life and infrastructures within a few kilometres from the source (e.g., Booth, 1979; Blong, 1984; Wardman et al., 2012; Oikawa et al., 2016; Fitzgerald et al., 2017; Williams et al., 2017; Osman et al., 2019).

In the last decades, tephra hazard assessment has largely focused on ash and lapilli dispersal and fallout because of the potentially large area that could be affected in relation to structural collapse of buildings (e.g., Blong 1984; Tilling, 1989; Spence et al., 2005; Jenkins et al., 2015), potential disruption of viability on escape routes, damage of power lines and impact on water resources and farming (e.g., Spence et al., 2005; Sword-Daniels, 2011; Wilson et al., 2012; Sulpizio et al., 2014; Loughlin et al., 2015), and impact on airline traffic (e.g., Casadevall, 1994; Folch and Sulpizio, 2010; Biass et al., 2014; Scaini et al., 2014).

Ballistic ejecta (hereafter named Volcanic Ballistic Projectiles, VBPs) have the potential to impact significantly smaller areas with respect to ash and lapilli; nonetheless, VBPs represent a very frequent hazard as they are associated with almost all the typologies of explosive events, from phreatic explosions to Plinian eruptions. Various aspects of ballistic hazard have been analysed including field and experimental observations (e.g., Blong, 1981; Pomonis et al., 1999; Costa et al., 2009; Dellino et al., 2011; Biass et al., 2014, 2016; Fitzgerald et al., 2014; Tsunematsu et al., 2016; Williams et al., 2017; Taddeucci et al., 2017). The impact of VBPs in terms of roof's perforation has been typically related to the kinetic energy [J], taking into account the size and density of the dense blocks (e.g., Spence et al., 2005; Dellino et al., 2011; Biass et al., 2016; Williams et al., 2017). In general, the impact of a given VBP is mostly a function of size, mass, ejection speed, ejection angle and atmospheric characteristics including wind speed and direction. A large natural variability is expected for all these parameters; this variability needs to be considered in a comprehensive hazard assessment of VBPs. This may be achieved using a Probabilistic Volcanic Hazard Assessment approach (PVHA; e.g., Sobracelo and Martí, 2010; Jenkins et al., 2021; Del Negro et al., 2013; Sandri et al., 2014; Connor et al., 2015; Magu and Magill, 2017), which relies on the simulation of a large number of events by using a range of statistically representative Eruption Source Parameters (ESPs) in order to create a representative framework of the potential hazard. To guide the selection and the definition of the variability for each parameter, sensitivity studies are typically performed (e.g., Tierz et al., 2017; Selva et al., 2018). In quantifying the hazard posed by VBPs, the ESPs (i.e., density, diameter, exit velocity and ejection angle of VBPs) are generally expressed by Gaussian distributions centred on the mean, that can be regarded as a best-guess value (μ), and expressing the uncertainty using the standard deviation (σ) (e.g., Biass et al., 2016).

This study proposes a new probabilistic hazard assessment strategy based on the model of Biass et al. (2016) and aimed at investigating the probability of VBP impact to exceed critical kinetic energy thresholds that can cause significant damage and injuries in a selected area.

We have selected La Soufrière de Guadeloupe volcano (Lesser Antilles; Figs. 1a-b) as case study, focussing on a single eruptive scenario amongst those elaborated by the civil authorities in collaboration with the Observatoire Volcanologique et Sismologique de Guadeloupe (OVSG-IPGP) and listed in the emergency plan for volcanic phenomena that was adopted by the Préfet de Guadeloupe (Dispositions Spécifiques ORSEC de La Guadeloupe: phénomènes volcaniques, February 2018), which includes phreatic, Vulcanian and Strombolian style eruptions occurred during the last 9 ka (Hincks et al., 2014; Table 1).

La Soufrière de Guadeloupe is currently the second most active volcano in the Lesser Antilles island arc, after Soufrière Hills in Montserrat, and it experienced eruptions with different eruptive styles in the past (e.g., Feuillard et al., 1983; Komorowski et al., 2005; 2008; Legendre, 2012). A parallel study has been carried out in the context of the European Union's Horizon 2020 EUROVOLC Project to investigate the hazard associated with gas emissions at the same volcano (Massaro et al., 2021).

In the first part of this study we briefly show the test volcano and the scenario investigated. Thereafter, we describe the numerical model and the approach used to calculate the probability distribution of the VBP impact energy. In particular, we compare the model with existing data to check its calibration, and we provide a range of sensitivity tests aimed at assessing the best compromise between the reliability of results and computational costs, in relation to the grid resolution and the number of simulated VBPs. We also test the influence of wind on model outputs. As main results, we present the generated probability maps, which take into account the spatial uncertainty associated with the vent opening from the dome area. Finally, we combine hazard and exposed elements to produce a qualitative exposure-based risk map.

2. The case study: La Soufrière de Guadeloupe

2.1 Eruptive history

La Soufrière de Guadeloupe (hereafter indicated as La Soufrière) is an active explosive volcano formed during the last 0.2 Ma (Boudon et al., 1988) in the southern part of Grande-Terre island, located in the Lesser Antilles arc (Fig. 1a-b). La Soufrière has a homogeneous magma composition mostly represented by medium-K calc-alkaline basaltic-andesites and andesites. The existing lava dome was formed ca. 500 years ago, after eight dome collapses occurring in the last 8500 years (Fig. 1c) which were caused by blasts of hydrothermal fluids expanding laterally at estimated speeds of $100\text{--}230\text{ m s}^{-1}$ (Le Godinec et al., 2019). The historical eruptive activity has been characterised by persistent hydrothermal events (fumaroles, solfataras, hot springs; Boichu et al., 2011; Ruzié et al., 2012; Gaudin et al., 2013; Villemant et al., 2014; Allard et al., 2014; Brothelande et al., 2014; Gaudin et al., 2016; Tamburello et al., 2019) leading to intermittent phreatic eruptions (Moretti et al., 2020 and references therein).

Despite of numerous debates, the last phreatic eruption that occurred in 1976–1977 has been interpreted as a failed magmatic eruption (Villemant et al., 2010; Boichu et al., 2008, 2011; Ruzié et al., 2012) relating to a small andesitic magma batch stopped its ascent at ca. 3 km below the surface. Notably, this eruption is similar to the 2012 Te Maari eruption, Tongariro, New Zealand (Pardo et al., 2021; Breda et al., 2014). During 1991 degassing decreased before increasing again in 1992. Later, in 2014, a new active region appeared on the summit dome, likely due to new flow paths rearrangement caused by the progressive hydrothermal sealing of fractures. Moreover, the interplay between the hot fluids coming from the magma feeding system and the groundwater fed by the tropical rainfall regime favoured the birth of many thermal springs and fumaroles on the summit dome with intermittent or permanent activity (Komorowski et al., 2005; Fig. 1c).

2.2 Eruptive scenarios

VBPs represent one of the most significant hazardous phenomena associated with the eruptive activity at La Soufrière, which shows a variety of eruptive styles, including phreatic, Vulcanian, Strombolian and sub-Plinian eruptions (Hincks et al., 2014; Table 1). For the purpose of this study, we focus on phreatic, Vulcanian and Strombolian style eruptions, since the sub-Plinian scenario has already been investigated by Komorowski et al. (2008).

Phreatic eruptions take place in case of the driving fluid is the steam derived from the heated groundwater and show the absence of juvenile material (e.g., Rosi et al., 2018 and references therein).

These eruptions cause sudden eruptive phenomena (i.e., ash-venting, ejection of ballistics and dense mixed ash-and-vapor clouds) with limited or absent premonitory signs to new explosive phases. This is because

they are triggered by the injection of fluids and heat of magmatic origin into the aquifers and/or hydrothermal system, which becomes overpressurized (Moretti et al., 2020 and reference therein). Vulcanian eruptions may be associated with a wide range of magnitudes, depending on how much the upper feeding system of the volcano is emptied during transient eruptive phases. They are usually repetitive in time, and can last from months to several years (i.e., Vulcano island 1888-1890 eruption, Mercalli and Silvestri, 1891; Di Traglia et al., 2013; Selva et al., 2020; Montserrat, 1995-2005, Druitt et al., 2002). Associated main primary volcanic hazards include ballistic ejection and tephra sedimentation. Strombolian eruptions are associated with smaller magnitudes with respect to the Vulcanian ones. Their duration spans from short-lived to persistent activity (i.e., Stromboli volcano). Eruptive dynamics is dominated by small eruptive columns that deposit loose or welded scoria in the proximity of the source. Also, in this case, associated main primary volcanic hazards are ballistic ejection and tephra sedimentation even though characterised by a significantly smaller extension of impact with respect to Vulcanian eruptions. At La Soufrière, phreatic eruptions have been recurrent (e.g., Komorowski et al., 2005; Hinkcs et al., 2014; Moretti et al., 2020) and short-lived, even though some events posed significant disruption with partial evacuations for an extended period (Komorowski et al., 2005). Vulcanian and Strombolian eruptions also occurred in the historical activity of La Soufrière, even if less frequently with respect to phreatic eruptions (Hincks et al., 2014; Table 1).

For the sake of simplicity, in this study VBPs associated with a single averaged scenario of explosive eruptive style derived from phreatic, Vulcanian and Strombolian eruptions. In Table 2 we provide the ESPs (in particular exit velocity, diameter and density of ballistic blocks) related to the adopted eruptive scenario, taken from the representative initial conditions of phreatic, Vulcanian and Strombolian eruptions available in the literature. A detailed description of these parameters is reported in Appendix A.

3. Methods

3.1 Numerical model

GBF is implemented for the computation of hazard assessments of VBPs (Biass et al., 2016). It is based on the general numerical solution of the momentum equations, with gravity and air drag as the main forces acting on the object. The model takes into account the presence of a standard atmosphere, the influence of a constant wind along the vertical profile and a region of reduced drag in the proximity of the vent (Mastin, 2001). Every particle is assumed to be a sphere having a mass m , an average diameter D , a position r and a velocity v , calculated considering an inertial frame of reference on the ground. The VBP trajectory is described as follows:

$$\mathbf{u} = \mathbf{v} - \mathbf{w} \quad (1)$$

$$\ddot{r} = \dot{v} = \frac{-u |u| A \rho_a C_d}{2m} + \mathbf{g} \quad (2)$$

where A is the clast cross area, \mathbf{u} the velocity of the VBP relative to the wind \mathbf{w} , \mathbf{g} the acceleration gravity vector, C_d the drag coefficient and ρ_a the air density. C_d does depend on the altitude, velocity, shape, orientation, and roughness of the VBPs (e.g., Tsumematsu et al., 2019), while ρ_a only depends on the altitude. According to Biass et al. (2016), C_d is calculated through the particle Reynolds number which depends on the air characteristics and the diameter and speed of the VBPs.

The GBF assumes $C_d \approx 0.1 - 0.5$, with a reduced drag for distances smaller than the reduced drag radius. The reduced drag is justified under the assumption that at the beginning of the explosion, clasts are ejected together with an expanding mass of gas. This results in a reduction of the effective drag around the object.

C_d is calculated through the particle Reynolds number which depends on the air characteristics and the VBP diameter and speed. C_d is set to 0.1 if Re is $< 3 \times 10^5$, otherwise is set to 0.5. Since VBPs are ejected together with an expanding mass of gas, C_d may be reduced following Eq. (9) in Biass et al. 2016. One of the main advantages of GBF relies on the capability of modelling a large number of VBPs in a short computational time. This aspect makes GBF suitable for a probabilistic investigation of VBP trajectories, impact energies and distances reached by VBPs. The initial conditions for each VBP are sampled stochastically from a Gaussian distribution on each input parameter (i.e., exit velocity, particle density and size, and ejection angles). The distribution of VBP size is assumed to be Gaussian in the Krumbein scale (also called the *phi scale*), resulting in a log-normal distribution on a linear scale on the size. It is also assumed that each VBP has a spherical shape: consequently, the mass can be immediately calculated from the diameter and the density (Biass et al., 2016).

It is important to stress that the assumed VBP size distribution affects the results of simulations because the VBP impact energy is fundamentally controlled by their size, mass and altitude. For this reason, in Figures 2d-e-f we provide the correlation between impact energy and diameter of the simulated VBPs. For each number of ejected clasts (10^5 , 10^6 , 10^7) we observe an exponential increase of the impact energy at increasing the diameter. Obviously, the VBP distribution is not known a priori but the uncertainties associated with the chosen size distribution need to be taken into account for quantifying the epistemic uncertainty in hazard assessment (even if it is out of the scope of this paper).

In our study, the simulated VBP locations obtained from a forward use of GBF are compared with the observed data from La Soufrière (Section 4.1). The procedure implemented to assess quantitatively the probabilistic hazard differs from the one proposed by Biass et al. (2016) as ours it is based on the independent evaluation of the probability of exceeding a given energy threshold and the probability of having clast fallout of a given size per cell (Section 4.2). This results in an alternative version of the post-processing routine which has been specifically coded in MATLAB for the purposes of this paper (see Supplementary Material).

In Biass et al. (2016), the probability P to exceed a given energy threshold E_t in the cell A_{ij} , conditional to clast ejection, is quantified as:

$$P_{(A_{ij}, E_t)} = \frac{\sum_{i,j} n_{A_{ij}, E_t}}{n_{VBP}} \quad (3)$$

where n_{A_{ij}, E_t} is the number of simulated VBPs falling into the cell A_{ij} overcoming E_t , and n_{VBP} is the total number of simulated VBPs.

In this study, the symbology to express the probability is indicated with θ . In particular, we split in two factors the probability shown in equation (3), recalling it as θ^e :

$$\theta^e_{(A_{ij}, E_t)} = \frac{\sum_{i,j} n_{A_{ij}, E_t}}{n_{VBP, A_{ij}}} * \frac{n_{VBP, A_{ij}}}{n_{VBP}} = \theta^{ec}_{(A_{ij}, E_t)} * \theta^f_{A_{ij}} \quad (4)$$

where $\theta^{ec}_{(A_{ij}, E_t)}$ defines the conditional probability to exceed a given threshold E_t when a VBP falls in the cell A_{ij} (i.e., $n_{VBP, A_{ij}}$), and $\theta^f_{A_{ij}}$ is the probability that a clast reaches that cell.

As energy reference thresholds, we consider the median values of the fragility function for residential buildings provided by Williams et al. (2017) and suited for the timber weatherboard, sheet material and reinforced concrete (Table 3), the most frequent materials for roof types existing in Guadeloupe (Spence et al., 2008).

3.2 Probability of vent opening

The spatial probability of vent opening for future explosive explosions is a very important aspect to take into account when the hazard assessment is quantified. In our case, this probability is derived on the base of existing literature data on the main geological structures, historical eruptive vents, past observed fumarolic activity and measurements of the present-day gas emission rates (Gaudin et al., 2013; Allard et al., 2014; Brotheland et al., 2014; Gaudin et al., 2016; OVSG-IPGP reports, 2018; Fig. 1c).

In this paper, we follow the approach by Selva et al. (2012) that considers indicators of the susceptibility to vent opening, ranking them in terms of importance and considering potential uncertainty on data. According to this approach, we quantify the conditional probability of vent opening, given an explosive event, in the generic k^{th} cell out of all possible cells (N) into which the volcanic domain was divided. In our case we design a domain grid of 98×98 cells, thus $N=9604$, and the cell spacing is about 40 m (Fig. 2a).

To each k^{th} cell, we assign a total score ω_k which equals the sum of five scores on the following features:

- i. a score of 1 if the k^{th} cell is inside the domain but outside the dome
- ii. a score of 2 if the k^{th} cell is inside the domain and inside the dome
- iii. a score of 3 if in the k^{th} cell there are main geological structures (fractures and faults)
- iv. a score of 4 if in the k^{th} cell there have been past observed fumaroles
- v. a score of 5 if in the k^{th} cell there is present-day fumarolic activity and/or significant gas fluxes.

This is a simple and preliminary approach; nonetheless, it represents the simplest approach allowing to embed current views on where a vent is more likely to open based on up-to-date indicators of potential future phreatic activity. We assume that the best guess probability of vent opening (θ^k) in k^{th} cell is proportional to its total score ω_k , and that the cells in the domain represent a set of complete and mutually exclusive potential vent positions ($\sum_{k=1}^N \theta^k = 1$). Therefore, the probabilities θ^k ($k = 1, \dots, N$) can be written as:

$$\theta^k = \frac{\omega_k}{\sum_{j=1}^N \omega_j} \quad (5)$$

To take into account the spatial uncertainty of the data and to avoid a scattered spatial distribution due to the limited sampling, we apply a Gaussian filter with $\sigma = 40$ m (Fig. 2b). This value is related to the maximum error on the position of the different data used to derive the map.

3.4 Exceedance probability accounting for vent position uncertainty

In this section we describe the approach used to calculate the probability to exceed the energy thresholds $E_{t,m}$ ($m = 1, 2, 3$) that are relevant for roof perforations at La Soufrière (Williams et al., 2017; Table 3). For the sake of clarity, we provide a flowchart of the model design in Figure 3 showing each step of the following procedure. Recalling equation (4), both the probabilities $\theta^e_{(A_{ij}, E_t)}$ and $\theta^{ec}_{(A_{ij}, E_t)}$ are investigated.

To fully explore the uncertainty on vent position, a large amount of VBPs should be ejected from each k^{th} cell defined in the previous section. However, given our computational resources, this is too expensive in terms of computational time. To reach a balance between computational feasibility and accuracy, we first focus on the dome area which is the most likely zone for phreatic events in the future, due to past eruptive vent locations and the on-going degassing activity. Thereafter, we identify four macroareas of equal size (480m x 480m) covering the dome ($A1, A2, A3, A4$; Fig. 2c). The vent opening probability associated to each

j -th macroarea (ω_j , $j = 1, \dots, 4$) is the sum of the probabilities of vent opening θ^k (equation 5) of the N_j cells of the finer vent-grid belonging to that macroarea, that is:

$$\omega_j = \sum_{k=1}^{N_j} \theta^k \quad (6)$$

In this work, we assume that the location of the next eruptive event for the adopted scenario will be on the dome (Fig. 2c), and thus such probabilities should be normalized to this area:

$$\omega'_k = \frac{\omega_k}{\sum_{j=1}^4 \omega_j} \quad (7)$$

for $k = 1, \dots, 4$.

We run GBF launching a large (but tractable) number of VBPs (see Section 4.1 for details on such number) from the centres of the four macroareas on the dome (Fig. 2c), assuming that these simulations are representative of the whole macroarea. Under these assumptions and considering the total probability theorem, the probability $\theta^f_{A_{ij}}$ that a clast reaches that cell and the probabilities $\theta^{ec}_{A_{ij},m}$ and $\theta^e_{A_{ij},m}$ to exceed E_{t_m} ($m = 1, 2, 3$), conditional on the ejection of a clast during an eruption within the adopted scenario and from any vent within the dome area, can be computed as:

$$\theta^f_{A_{ij}} = \sum_{k=1}^{k=4} \omega'_k \left[\theta^f_{A_{ij},k} \right] = \sum_{k=1}^{k=4} \omega'_k \left[\frac{n_{VBP,A_{ij},k}}{n_{VBP}} \right] \quad (8)$$

$$\theta^{ec}_{A_{ij},m} = \sum_{k=1}^{k=4} \omega'_k \left[\theta^{ec}_{A_{ij},E_{t_m},k} \right] \quad (9)$$

and

$$\theta^e_{A_{ij},m} = \sum_k \omega'_k \theta^e_{A_{ij},E_{t_m},k} = \sum_k \omega'_k \left[\theta^{ec}_{A_{ij},E_{t_m},k} * \theta^f_{A_{ij},k} \right] \quad (10)$$

where we use the same notation as in equation (4), adding an index k to the summands to highlight the contribution to the final probability given by the simulations from each of the four different macroareas. It is important to stress that equation (9) defines the conditional probability to exceed a given energy threshold E_t when a VBP falls in the cell A_{ij} , while equation (10) defines the probability that a clast reaches the cell A_{ij} and that its impact energy exceeds the given threshold E_t , in all cases summing the contribution of all macroareas. In Appendix B, we show further simulations carried out from the centres of six peripheral irregular macroareas around the dome.

As the peripheral macroareas are very large, we do not deem the simulations from their centers as representative for the whole macroarea. For this reason, we do not include the results of these simulations in the probability maps: we think this would need to run many more simulations, beyond our computational capability, from other locations inside these macroareas (not only from their centers).

4. Comparison with field data and sensitivity analyses

4.1 Comparison between model results and field observations at La Soufrière

Although La Soufrière experienced different eruptive styles (see Table 1), phreatic eruptions represent the most frequent eruptive phenomena based on the historical record (occurred in 1690; 1798-98; 1812; 1836-37; 1956 and 1976-77) and on an extrapolation over the last 15 kyr for which other eruption type return rates have been determined (Komorowski et al., 2005).

Phreatic eruptions can be isolated events or precursory activities of magmatic phases (Rosi et al., 2018 and reference therein) which can generate lethal phenomena such as VBPs fallout and pyroclastic density currents (PDCs) (e.g., Sheridan and Malin, 1983; Fitzgerald et al., 2014; Tanaka et al., 2018), as for the 1976-77 crisis case where an injection of magma at depth was invoked as a triggering mechanism (e.g., Ruzie et al., 2012). In particular, the 14th September 1976 blast (Sheridan, 1980; Hincks et al., 2014) showed similarities with the blast phase of the Vulcano's Breccia di Commenda eruption (phase 2a; Rosi et al., 2018), which produced numerous violent explosions with an asymmetric fallout of ballistic blocks during the emplacement of a lithic-rich, blast-like pyroclastic density currents.

In Figure 4 we provide the available field observations on the distribution of VBPs associated with the 1956 and 1976-77 eruptions (Komorowski, 2015). In the case of the 1956 eruption, two ballistic fields have been identified at the summit dome (dark blue contour; Fig. 4a) and along the South-Eastern flank (light blue contour; Fig. 4a) with a maximum clast mass of 10 kg. For the 1976-77 eruption, the clast mass ranges from 0.1 to 1 kg with a wider dispersion angle (dark blue contour; Fig. 4b). For 1956 ballistic fields, we observe that the maximum radial distance reached by ballistic clasts R_o , is ca. 30 m. For 1976-77 case, R_o is ca. 1200 m. The parameters inferred for 1956 and 1976-77 eruptions are taken from Komorowski (2015) and reported in Table 4a.

Field observations of VBPs have been compared with the results from the GBF simulator. For computational efficiency reasons, simulations eject 10^5 VBPs from a single vent located on the summit dome (643820 E; 1774316 N, UTM coordinate system) in absence of wind. Considering the density of ballistics (composed mainly by andesitic juvenile and accidental lithic clasts) varies between 2500 and 2600 kg m⁻³ (Komorowski et al., 2008), we fix two maximum clast diameters of 20 cm and 10 cm, in order to match the maximum mass of the ballistics mapped in the field for 1956 and 1976-77 eruptions, respectively (Table 4a). We set two exit velocities (30 m s⁻¹ and 150 m s⁻¹) as the range provided by Mastin (1995) for La Soufrière de Guadeloupe considering the observed data in La Guern (1980). These data are also in agreement with the source parameters of phreatic eruption reported in literature (Kilgour et al., 2010; Tsunematsu et al., 2016). To compare the model results and the field observations, we use the ESPs shown in Table 4b.

In Figure 4c, we show the frequency histogram of the number of VBPs fallen at different distances from the vent, for the 1956 eruption model. The 21% of the simulated VBPs is included within the maximum observed distance $R_o = 30$ m considering 150 m s⁻¹ as ejection velocity. This frequency rises to 35% if we consider 30 m s⁻¹ (Fig. 4d). In Figures 4f-g, the frequency histogram for 1976-77 eruption model is shown by using both 150 and 30 m s⁻¹ as ejection velocity. In this case we observe that 100% of the simulated VBPs is within the maximum observed distance $R_o = 1200$ m. We therefore conclude the GBF simulator is able to approximately catch the observed natural dispersal areas of VBPs and thus can be considered suitable for reproducing the ballistic field associated to selected eruptive scenarios (i.e., phreatic). This also makes us confident of the suitability of the GBF for the construction of probabilistic hazard maps for VBPs.

It is important to stress that, even if the observed VBP distribution showed a clear directionality in both eruptions (Figs. 4a-b) as typical at La Soufrière (the vent is on the flanks of the dome, adding a directed lateral component to the ejected blocks), in our modelling the VBP sedimentation is symmetrical with respect to the vent in order to ensure a maximum clast dispersion: the directionality shown in Figures 4a-b has not been explored here due to lack of data.

4.2 Sensitivity analysis on the number of simulated VBPs

We performed a sensitivity analysis to constrain the minimum number of clasts that are required to have a stable energy distribution in each of the four cells under analysis, here used as a test-area (Fig. 5a). In order to define the Gaussian distributions for the VBP diameter, density and exit velocity, we compute the mean value μ and the standard deviation σ of the corresponding values reported for the above selected eruptions (Section 2.2), given in Table 2. The conditions of a standard atmosphere, no wind and a reduced drag radius of 200 m are used to calculate drag forces (e.g., Mastin, 2001; Biass et al., 2016).

To test the sensitivity to the number of simulated VBPs, we vary such number between 10^5 and 10^8 , with multiplicative increment of 10, and test the effect on the four cells (c_1 , c_2 , c_3 , c_4) surrounding the dome, the Hospital Les Nouvelles Eaux-Vives and the centre of St. Claude village. The cells are located respectively ca. 500, 2600, 4000 and 5200 m from the vent (Fig. 4a). The grid resolution is set to $260\text{m} \times 220\text{m}$ (corresponding to 100×100 cells).

The first step is to verify the existence of a plateau in the impact-energy probability as the number of simulated VBPs increases (from 10^5 to 10^8). In Figure 5b, we show the impact-energy probability in the four selected cells as a function of the number of simulated VBPs (i.e. number of VBPs fallen in the cell with impact energy above a given threshold divided by the total number of VBPs released).

As expected, results show that, no matter what the number of simulated VBPs is, the number of fallen VBPs in the four cells decreases with distance from the vent. Moreover, when the number of the released VBPs is 10^5 , the probability distribution shows scattered frequency peaks, especially in c_3 and c_4 . Smaller fluctuations are instead observed when the released VBPs is 10^6 . Using a released VBPs number of 10^8 or 10^7 (corresponding to the violet and yellow bins, respectively), a stability in the impact-energy probability can be observed for each cell.

To better highlight the fluctuations observed in each cell, we represent the absolute difference between the exceedance probability for each energy class associated to 10^8 , and 10^5 , 10^6 , 10^7 released VBPs, respectively (Fig. 5c). The probabilities related to $|10^8-10^5|$ (red line) show several spikes for the areas nearest to the vent (cells c_1 - c_2). The probabilities related to $|10^8-10^6|$ (blue line) reveal a slightly flattened trend, with scattered spikes only in few bins showing differences less than 0.005% in c_1 , 0.015% in c_2 , 0.07% in c_3 and 0.35% in c_4 with respect to the probabilities related to $|10^8-10^7|$ (black line). This confirms a marked flat trend in all investigated cells.

This test demonstrates that the normalized energy probability in the cells under analysis stabilizes when the total number of released VBPs is at least 10^7 (black line; Fig. 5c). This implies that any higher number of VBPs (i.e. $> 10^8$) will likely produce the same normalized energy distributions in each cell, but at a higher computational cost.

We conclude that VBPs in the range of 10^7 - 10^8 VBPs can be reasonably used as a good compromise to produce stable probability energy distributions in each cell that do not depend on the number of total VBPs ejected from the vent. However, simulating a number of 10^7 - 10^8 VBPs would still imply a considerable computational effort both in terms of cpu and memory space. Therefore, a strategy is needed for the reduction of total VBPs without affecting the final accuracy and precision of the results. This can be obtained by reducing the spatial resolution of the domain and concentrating only on the highest energy levels.

Considering the results shown in Figure 5, we check the stability of exceedance probability of VBPs impact energy for the chosen energy thresholds E_t (Table 2) in each cell, as a function of the released VBPs in every simulation (Fig. 6). In this case, we vary the grid resolution of the domain ($260\text{m} \times 220\text{m}$, $516\text{m} \times 445\text{m}$, $860\text{m} \times 752\text{m}$, corresponding respectively to 100×100 cells, 50×50 cells, 30×30 cells) in order to set the best strategy for the compilation of the hazard assessment. As a matter of fact, when using $260\text{m} \times 220\text{m}$ grid resolution (Fig. 6a), releasing less than 10^6 VBPs does not ensure stable probabilities for any of the energy thresholds considered, while releasing more than 10^6 VBPs shows a plateau only for the energy levels

corresponding to the timber weatherboard and sheet material (E_t 360 J and 650 J, respectively). Better results can be observed using coarser grid resolutions of $516\text{m} \times 445\text{m}$ (Fig. 6b) and $860\text{m} \times 752\text{m}$ (Fig. 6c), where a stable exceedance probability is achieved when the number of released VBPs is larger than 10^6 for all E_t values.

In the end, to balance computational cost and result stability in relation to impact-energy probability, 2×10^6 clasts is taken as the optimum number of VBPs to be released from the vent in each model run (a simulation is completed in ca. 45 minutes on Intel i5). This number is twice the one used in Biass et al. (2016).

4.3 Sensitivity analysis on wind

In order to assess the effect of the wind on simulation results, we take into account the minimum and maximum wind speeds with their associated direction (minimum values: $W_s = 2 \text{ m s}^{-1}$, $W_d = 279^\circ$; maximum values: $W_s = 25 \text{ m s}^{-1}$, $W_d = 343^\circ$) measured during 2017-2018 from the local meteorological station “Piton Sanner” located at the summit dome (ca. 1467 m; Fig. 1d). We fixed a $860 \text{ m} \times 752 \text{ m}$ (30×30 cells) grid resolution, and 2×10^6 simulated VBPs ejected from a single vent in the central part of the dome (643664 E, 1774624 N, UTM coordinate system). In Figure 7a we show the relative difference in the conditional exceedance probability $\theta^{ec}(A_{ij}, E_t)$ to overcome the selected energy thresholds (Table 3) obtained by comparing results with minimum wind conditions and in absence of wind. The differences are less than 5% for E_{t1} and E_{t2} (panels i-ii), and reach values up to 15% for E_{t3} (panel iii) in few cells, showing unstable relative differences (incoherent pattern for adjacent cells) at greater distances. Very similar results are obtained considering the maximum and absent wind conditions Fig. 7b).

Considering this, we conclude that wind does not significantly affect the probability results within a few km from the vent. This is in agreement with Biass et al. (2016) who simulated a mean wind with a constant velocity and direction, justified by the typically low altitudes reached by VBPs (<2 km on Vulcano island, Italy). Their results show that the final probabilities are not significantly affected by wind conditions since the smallest VBPs are the most influenced by wind, and fall near the vent due to the *caprock assumption* which is referred to the ejection of magma as a coherent plug (caprock) accelerated by the gas expansion up to a maximum velocity that breaks the plug in individual ballistic blocks (e.g., Self et al., 1979; Fagents and Wilson, 1993). As a result, the proximal probabilities are dominated by VBPs which are not strongly affected by wind advection. Moreover, the very few large VBPs can reach more distal areas but the wind has no influence on them, therefore their additional displacement is not able to alter the final probability values in distal cells.

4.4 Sensitivity analysis on the vent position

In this Section, we provide the sensitivity analysis to the position of the vent on the computational domain.

In Figure 8 we show the comparison between the conditional exceedance probabilities $\theta^{ec}(A_{ij}, E_t)$ for E_{t3} (2750 J; Table 3) derived from *i)* assuming one hypothetical scenario of a single vent (for which we assume to be certain about position on the dome; Figs. 8a-b) and *ii)* considering the uncertainty on vent position, combining more vents (Fig. 8c). All results are provided in absence of wind.

Figures 8a and b show the probability maps obtained by using two different single vents located on the dome (without considering the spatial uncertainty). Despite the two vents being positioned a few meters apart, a very different VBP dispersion pattern is observed, likely due to the effect of the local topography. For example, considering the south-west sector of the volcano (where the two most densely populated towns close to La Soufrière: St. Claude and Basse-Terre), the observed probabilities differ significantly in the two

cases. In one case, indeed, we observe the released VBPs are strongly directed to the northern sector (Fig. 8a), leading to E_{t_3} negligible probability in the south west sector. On the contrary, in the case of Fig. 8b, the VBPs are radially more dispersed, leading to a non negligible probability in this sector.

Figure 8c shows the conditional probability $\theta^{ec}_{A_{ij},m}$ to overcome E_{t_3} taking into account the uncertainty of vent opening (according to equation 9). Here, the exceedance probabilities of ca. 50-60% affected the major part of the Bass-Terre island, also showing lower values (ca. 20-30%) within ca. 5 km from the dome area. Only a limited area in the northern sector of the domain is affected by higher probability (ca. 80-90%). It is worth noting that the uncertainty on the vent position (Fig. 8c) “blurs” the resulting hazard or probability maps (e.g., Sandri et al., 2016); however, it represents more “honestly” our degree of knowledge on future eruptions (for which we actually do not know the effective vent position), leading to spatially unbiased probability maps.

5. Results

5.1 Spatial probability of vent opening

The sensitivity analysis to the position of the vent (Section 4.4) highlights how the spatial variability of vents opening is pivotal in this hazard assessment study since the resulting impact could affect the surrounding community at multiple scales in case of the adopted scenario.

The best-guess probability map for future vent opening at La Soufrière that we achieve is shown in Figure 2a. This map shows that, while vents may be expected over very large areas, the probability that vent opens within the dome area is ca. 70%. For this reason, to limit the computational effort, we preliminary focus on this area.

In Figure 2c, we report a zoom on the dome area showing the local variation of the spatial probability due to the most frequent historical and present-day vent openings close or along to the reactivated fractures and faults. In particular, in the northern sector of the dome are located numerous fractures (e.g., the 1960 fracture du Nord-Est, the 1797-98 fracture du Nord-Ouest and Faujas, the 1809-12 Fente du Nord fracture along Ty fault, reactivated during 1976-77; Fig. 1c), past thermal springs (e.g., 1836-37), craters (e.g., cratère Dupuy) and new high-flux fumaroles appeared since April 2018 and March 2019 (Fig. 1c). The central and the southwestern sectors host about twenty recent fumaroles (active from 2007 to 2018), few acid boiling pounds and six sites of hydrothermal fluid resurgence occurred during hydrothermal-phreatic eruptions (1797-98, 1836-37, 1956, 1976), mainly displaced along the Cratère Sud, 1956, 8/07/1976, Lacroix fractures (Fig. 1c). Along the flanks of the dome traces of other fumaroles and thermal springs are also observed (active since 1976-77 and 2017-2018; Fig. 1c).

The selected four macroareas (A1, A2, A3, A4; Fig. 2c) mark off these features, having in their centres the vent locations used for the GBF simulations that we carry out to provide the following hazard maps.

5.2 VBP hazard results

In this section, we provide the hazard results from the GBF simulations. The computational domain resolution is set to 30×30 cells (i.e. $860\text{m} \times 752\text{m}$) while the number of simulated clasts is set to 2×10^6 (Section 4).

Figure 9a shows the overall exceedance probability $\theta^e_{A_{ij},m}$ for the selected energy thresholds, in absence of wind, conditional on the ejection of a clast during an eruption within the adopted scenario and from the

dome, according to equation (10). In red contours on a Log_{10} scale, the component $\theta_{A_{ij}}^f$ which is the probability that a VBP reaches the cell A_{ij} (weighted by ω'_k). This factor is not known a priori for each eruptions, therefore it does not make possible the calculation of probability conditional on the eruption (with a high number of VBPs).

Figure 9b shows the exceedance probability $\theta_{A_{ij},m}^{ec}$ for the three energy thresholds E_{t_1} , E_{t_2} , E_{t_3} (Table 3) in absence of wind, conditional on the ejection of a clast during an eruption within the adopted scenario and from the dome, according to equation (9).

$\theta_{A_{ij},m}^e$ describes the product between the probability that a clast reaches the cell A_{ij} and that its impact energy exceeds the given threshold E_t , weighted by ω'_k (equation 7). These two components have opposite trends in space. In Figure 9a, we observe that $\theta_{A_{ij},m}^e$ varies from ca. 2% up to 40% for E_{t_1} (360 J) and E_{t_2} (650 J), exclusively within a few km around the dome but negligible elsewhere. For E_{t_3} (2750 J) it varies up to 20%.

These values appear much lower than the conditional probability $\theta_{A_{ij},m}^{ec}$ shown in Fig. 9b, indicating that a large portion of Basse-Terre island would be affected by hazard potentially leading to roof perforation with a probability in the range of 40-60% for E_{t_1} and E_{t_2} (panels i-ii). Smaller probabilities (from ca. 20 to 40%) are shown for E_{t_3} (panel iii). As seen in Section 4.4, the uncertainty on vent position causes higher exceedance probability on the northern sector of the domain with respect to the choice of a single vent (Figs. 8a-b). However, these high probabilities are balanced by the fact that only very few and very-high energy VBPs are able to land very far from the vent.

5.3 Exposed elements and analysis of the potential impact

The built environment in Basse-Terre island has been described in Spence et al. (2008) where an integrated multi-risk impact analysis for the 1530 AD sub-Plinian eruption scenario is discussed (Boudon et al., 2008; Komorowski et al., 2008). Twenty building classes were identified (from BDTOPO digital database, National Institute of Geography IGN; Spence et al., 2008) for different impact zones in order to describe the differences between buildings, age and stories. Data by Spence et al. (2005) and Pomonis (2006) show that the buildings surrounding the volcano (not inclusive of all the area that could be potentially impacted) are made by reinforced concrete type for 57%, by masonry MW (medium weak) type for 30% and by timber MW to WE (weak) type for 13%. In particular, the most frequent types in St. Claude and Basse-Terre include masonry, timber and reinforced concrete materials (see Fig. 2 in Spence et al., 2008).

The last updated information we found about inhabitants is referred to 2018 indicating 88,300 people living within a radius of 15 km from the volcano (Leone et al., 2018).

In this section, we use a first-order approach to combine the exposed elements (i.e., schools, hospitals and clinics, towns, villages, and the airport) with the probability maps in absence of wind (Fig. 9). The probability maps shown in Figure 10 provide an opportunity to identify the main urban areas likely to be impacted in case of an eruption of the adopted scenario from the dome area.

Only considering the impact energy, in Figure 10 (panels a-b-c) we overlap the exposure map with the probability $\theta_{A_{ij},m}^{ec}$ to overcome the investigated energy thresholds in the most important urban centres of the Basse-Terre island. In particular, St. Claude shows higher probabilities (ca. 50-60%) which in some few areas appeared $\geq 60\%$ (as two residential agglomerates belonging to St. Claude Municipality located in the proximity of volcanic edifice, Matouba at ca. 3.4 km and Papaye at ca. 2.9 km from the dome; Komorowski et al., 2008). Towards the coastline, Basse-Terre is characterised by the probability to exceed the energy thresholds of 30-40%, not covering the whole town.

In Figure 10 (panels d-e-f), the frequency of the fallen clasts in the cell A_{ij} is also taken into account therefore we overlap the exposure map with the overall probability $\theta_{A_{ij},m}^e$ to overcome the investigated

energy thresholds, showing that only a limited area (<3 km from the dome) is affected by the probability to overcome the energy thresholds, from ca. 2 up to 40%. In this case, only sparse inhabited areas would be exposed to the VBP hazard. The same observation can be made for the other towns along the coastline (i.e. Baillif, Vieux-Habitants and Capesterre) and the urban connections along both sides of the coast, with the exception of Vieux-Fort and a large part of Trois-Rivieres which result not affected by VBPs.

However, as described in Fitzgerlad et al. (2014), the infrastructures at risk of ballistic impact not only include buildings (including hospitals, clinics, commercial and residential properties, schools) but also footpaths, unpaved tracks and paved roads. These latter around La Soufrière are very busy with tourists, guides and OVSG-IPGP operators during a large part of the year. Further work is required to characterise accurately the number of visitor (estimated in 2011 at 76000-134000 per year; <https://guadeloupe-parcnational.com/IMG/pdf/communiqu  -de-presse.pdf>) and to quantify the exposure of people to the VBP's impact.

6. Discussion

6.1 Vent opening and VBP hazard for Guadeloupe

Defining likely locations of future vents is a challenging goal of volcanology and a pivotal element for volcanic hazard assessment. Therefore, a vent opening map is key to provide adequate hazard maps, in particular for volcanic fields and calderas where the uncertainty on location of a future vent is much larger (e.g., Connor et al., 2000; Orsi et al., 2004; Rougier and Devien, 2013).

In the last decades, many probabilistic maps have been provided for calderas through quantitative analysis based on geophysical, geological and geochemical parameters or by Bayesian inference procedures (Campi Flegrei; e.g., Alberico et al., 2002; Selva et al., 2012, Okataina Volcanic Centre, New Zealand; Thompson et al., 2015). Further probabilistic analyses have been also used, including the main sources of epistemic uncertainty about the volcanic system through a structured expert elicitation (e.g., Campi Flegrei; Bevilacqua et al., 2015; Somma-Vesuvius; Tadini et al., 2017).

No similar study has been conducted in Guadeloupe, overlooking the identification of likely future vent locations even though La Soufrière was formed within the edifice-collapse depression of the Grande Découverte–Soufrière volcanic complex (e.g., Komorowski et al., 2005).

Here, for the first time, we compile a spatial probability map of vent opening by incorporating the up-to-date information on the distributions of past vents, faults and fractures as well as the past and present-day observed fumaroles (Fig. 1c). In this framework, this kind of maps represent a crucial input information for a future development of quantitative (VBP) hazard and risk maps of eruptive phenomena at La Soufrière.

Moreover, our hazard results can be compared with probabilistic maps based on the 1888-90 AD Vulcanian eruption at Vulcano island (Italy) proposed by Biass et al. (2016), where urban areas are located within a radius of 1 km around the most active vent (La Fossa). In that case, the impact energies are in the range of $0.06 - 4 \times 10^6$ J at distances between 1-1.5 km from the vent. Hazard and vulnerability aspects together produce a pre-event impact assessment showing the potential number of affected buildings by extrapolating the tephra fallout vulnerability curves for European roofs (Spence et al., 2005) to the impact of VBPs. Slight differences in the final probability values are shown for the energy thresholds of 60 J (related to the perforation of weak tile roofs) and 8000 J (related to the perforation of strong armoured roofs). The urban agglomerates of Porto (1.3 km N of the vent) and Lentia (1.8 km NW of the vent) are the most exposed areas having probabilities to overcome the selected thresholds of ca. 10^{-2} % and ca. 5×10^{-3} %, respectively. Other more distant settlements (as Il Piano and Vulcanello) located at ca. 2.4 km SW and 2.6 km N of the vent show lesser probabilities of 7×10^{-4} % and 4×10^{-4} %, respectively.

For La Soufrière, the exposure-based risk maps shown in Figure 10a reveal that the overall probability to

overcome the energy thresholds (Table 3) is in the order of 10^{-2} % within 1-2 km from the dome area, similar to the exceedance probabilities around Porto and Lentia, at Vulcano island. Finally, as demonstrated in Section 4.3, in the GBF model the influence of wind on ballistic trajectories is negligible since only a few large VBP impact more distal areas.

6.2 Comparison with other eruption scenarios at La Soufrière

The most relevant eruptive scenario for clasts is the 1530 C.E.-like sub-Plinian scenario (as reported in the analysis by Hincks et al. (2014), which was already investigated by Komorowski et al. (2005, 2008) and Esposti-Ongaro et al. (2020). Komorowski et al. (2008) provided an assessment of the overall risk levels that can be reached for different areas of Saint-Claude and Basse-Terre, showing a very high risk level over short distances from the vent. For instance, around Matouba (St. Claude Municipality, 3.4 km from the vent) there is a 81% probability that the isomass threshold (138 kg m^{-2}) will be exceeded considering a set of daily winds randomly sampled in 5 years. On the contrary, in Saint-Claude (15 km from the vent) the same exceedance probability is sharply reduced to 38%, corresponding to a static pressure load of 2 kPa, that is a critical value to start the damage on the weakest roofs.

Recently, Esposti-Ongaro et al. (2020) assessed the factors controlling PDCs (i.e., propagation and hazards) in case of a subplinian eruption scenario at La Soufrière by using a deterministic approach, revealing that subplinian eruptions can display a wide range of eruptive styles with different impacts from associated PDCs although within a short range of mass eruption rates. This outcome represents an important contribution to the quantitative assessment of volcanic hazard and risk at La Soufrière, taking into account the present-day unrest of the volcano.

Komorowski et al. (2005) presented a multi-hazard map based on five likely eruptive scenarios. Three of these are the most likely: i) *scenario 2* including phreatic eruptions which would be the most likely as the most frequent in the last 15 kyr, ii) *scenario 3* about the edifice collapse eruptions which could involve both the SW and SE flanks of the volcano affecting the populations of Saint-Claude, Basse-Terre, Gourbeyre and Trois-Rivières for a total estimated population of ca. 39.000 and up to ca.58.600, and iv) *scenario 4* regarding the dome eruptions such as the 1530 C.E. eruption, would also affect the major southern part of Basse-Terre island. The multi-hazard map includes four hazard zones for the southern Basse-Terre island (see Figure on pag. 96 in Komorowski et al., 2005), showing the areas most likely impacted by the five eruptive scenarios for vent opening on or within 1 km the dome, and the presence of easterly trade winds between 0 and ca. 7 km altitude. In particular, “Zone 2B” (see Figure on pag. 96 in Komorowski et al., 2005) includes the hazard zones for debris avalanches occurred in the last 15.000 years and those likely to be covered by VBPs.

In this framework, our probabilistic hazard assessment is based on the occurrence of phreatic, Vulcanian and Strombolian eruptions, and it could be used to quantify the VBPs impacts with a good level of confidence within “Zone 2B”, which is where the highest level of hazards are superimposed (see Figure on pag. 96 in Komorowski et al., 2005). The initial conditions are referred to an averaged scenario of explosive styles (including phreatic, Vulcanian and Strombolian eruptions) in order to explore a large set of input parameters. Although the most recurrent eruptions at La Soufrière were phreatic, Vulcanian and Strombolian eruptions also occurred between 6535 BCE to 1635 characterised by VEI 2-4, with dome growth, blast and edifice collapses (Boudon et al., 1988, 2007, 2008; Komorowski et al., 2005, 2008; Siebert and Sminik, 2002-2011). According to Komorowski et al. (2005), our first-order exposure analysis (Fig. 9) shows that the buildings affected by roof perforations are within ca. 3 km the La Soufrière dome. This implies that the urban agglomerates as Papaye, Matouba and the northeastern part of St. Claude would be affected. On the contrary, the “Zone 3” and “Zone 4” (see Figure on page 96 in Komorowski et al., 2005) correspond to areas where the exceedance probabilities are not reliable, and given their moderate and low hazards, it is unlikely that

they can be affected by VBP impacts.

7. Conclusions

Our results represent the very first study to quantify the hazard posed by VBP impacts associated with the occurrence of phreatic, Vulcanian and Strombolian eruptions at La Soufrière, considering the spatial uncertainty on vent opening. Moreover, the proposed hazard assessment could be an important factor to be considered in the framework of the “blue-sky” eruptions (i.e., unexpected or not preceded by any recognized increase in activity; Doherty, 2009) since their recognition implies a significant risk to people living near, or on the volcano at the eruption time.

Following the model of Biass et al. (2016), we provide a new MATLAB routine for the GBF post-processing (see Supplementary Material) based on a new approach for calculating the occurrence probability of VBP impacts that exceed selected energy thresholds (Table 3) hazardous for the built environment of Guadeloupe (i.e., Spence et al., 2005, 2008; Williams et al., 2017). In the following, a brief summary of the main outcomes of this work is reported:

- 1) A spatial map of vent opening, conditional on the occurrence of a volcanic eruption from the adopted eruptive scenario, has been provided following the approach in Selva et al. (2012). The estimates are based on the geological information, historical eruptive vents and observed fumarolic activity;
- 2) Sensitivity analyses have been carried out to explore the best number of simulated VBPs, the effects of wind and the position of the vent on model results. The tests show that:
 - 2×10^6 is the optimum number of VBPs that may be released on 30×30 cells (i.e. $860\text{m} \times 752\text{m}$) resolution grid balancing the result stability and computational costs;
 - the final hazard maps are not significantly affected by the wind advection within a radius of 5 km from the vent. This is also in agreement with Biass et al. (2016);
 - remarkable differences are observed *a)* when simulations account for a single vent as hypothetical scenario and *b)* for the uncertainty on vent opening from the dome area;
- 3) A new approach has been proposed to calculate the probability to exceed the energy thresholds $E_{t,m}$ ($m = 1,2,3$) that are relevant for roof perforations, conditional to the ejection of a clast during an eruption within the adopted scenario and from the dome. We separate the conditional probabilities in two components:
 - the conditional probability $\theta_{A_{ij},m}^{ec}$ to exceed a given threshold E_t when a VBP falls in the cell A_{ij} ;
 - the overall probability $\theta_{A_{ij},m}^e$ that a clast reaches the cell A_{ij} and that its impact energy exceeds the given threshold E_t .

The conditional probability and its components are computed by accounting for uncertainty in vent location, that is, the probabilities from each single vent are “weighted” for the vent opening probability ω'_k (which is normalized to the dome area).

- 4) Hazard and exposure aspects have been combined to produce an exposure-based qualitative risk map. Considering $\theta_{A_{ij},m}^{ec}$, the results show that a large portion of the Basse-Terre town would be affected by the VBP impacts that exceed the energy thresholds for roof perforation with a probability in the range of 20-60%, with the exception of a limited sector showing a higher probability (>80%).

On the contrary, when the overall probability $\theta_{A_{ij},m}^e$ is accounted for, the probability is exclusively restricted to a few kilometres from the dome area and shows lower values to overcome the selected energy thresholds (from ca. 2% up to 40%). This means that in areas where urban agglomerates are within a few km from the vent such is the case at La Soufrière, the choice of a probabilistic approach is key to estimate the likelihood of occurrence of VBPs impacts as a first step towards the development and implementation of pro-active risk reduction strategies.

Figure 1 – a) Map of Guadeloupe showing the active volcano La Soufrière, and the major towns (St. Claude, Basse-Terre, Pointe-a-Pitre). Inset map showing the location of Guadeloupe in the Antilles region (modified from Chenet et al., 2014). b) Digital Elevation Model (DEM) of the computational domain, including the southern part of the Basse-Terre island. The main urban centres are indicated with black-red dots. The DEM resolution is set to 10 m; c) Location map of the main structures, historical eruptive vents, observed fumarolic activity on La Soufrière lava dome (modified from the OVSG IGP report, October 2018); d) Windrose diagram showing the daily wind conditions at La Soufrière during 2017-2018 from the “Piton Sanner” meteorological station located on the top of the dome.

Figure 2 - a) Best-guess probability map of vent opening; b) Gaussian filter with $\sigma = 40$ m applied to consider the spatial uncertainty of the data and to avoid a scattered spatial distribution due to the limited sampling; c) Magnification of the spatial map displaying the probability of vent opening within four regular macroareas (A1, A2, A3, A4). The corresponding vents are located in the centre of each macroarea (red dots; A1: 643451 E, 1774608 N, altitude: 1344 m; A2: 643451 E, 1774316 N, altitude: 1273 m; A3: 643820 E, 1774748 N, altitude: 1426 m; A4: 643820 E, 1774316 N, altitude: 1345 m). Plots of the VBPs diameter vs impact energy are shown in: d) fixed VBPs = 10^5 , e) fixed VBPs = 10^6 , f) fixed VBPs = 10^7 . The diameter of the simulated clasts ranges between -9.89 - 1.66 μ .

Figure 3 – Flowchart of the logical process describing how to calculate the overall exceedance probability accounting for the vent position uncertainty.

Figure 4 - Maps showing the distribution of various volcanic phenomena (ballistic fallout, pyroclastic density currents, rockfall, lahars, gas emissions) and impacts (i.e., vegetation destruction, acid rains) associated to a) 1956 and b) 1976-77 eruptions at La Soufrière (from Komorowski, 2015). Percentage of VBPs sedimented at varying distance from the vent with respect to the total number of VBPs released (10^5), considering c) a clast diameter of 20 cm, ejected with a tilt angle = 0° at an exit velocity of 150 m s^{-1} ; d) a clast diameter of 20 cm, ejected with a tilt angle = 0° at an exit velocity of 30 m s^{-1} ; e) a clast diameter of 10 cm, ejected with a tilt angle = 0° at an exit velocity of 150 m s^{-1} ; f) a clast diameter of 10 cm, ejected with a tilt angle = 0° at an exit velocity of 30 m s^{-1} (see Table 4a). R_o represents the maximum radial distance of the observed ballistic clasts (from Komorowski, 2015).

Figure 5 – a) Map showing the Log_{10} of the number of VBPs fallen in each cell of the domain, in the case of 10^7 clasts ejected. ESPs are from Table 3. In red the location of the four cells (c_1 , c_2 , c_3 , c_4) selected for the sensitivity analysis. b) Probability (%) of ballistic impact energy for 20 energy classes for different numbers of VBPs launched in simulations: cell c_1 , dome area, ca. 500 m from vent; cell c_2 , Hospital, ca. 2600 m from vent; cell c_3 , centre of St. Claude, ca. 4000 m from vent; d) cell c_4 , point at ca. 5200 m from the vent. The cell coordinates referred to the grid with resolution of 100×100 are reported in bracket; c) Probability (%) curves showing the absolute difference between the probability associated to different numbers of released VBPs ($|10^8-10^5|$ red line, $|10^8-10^6|$ blue line, and $|10^8-10^7|$ black line), for cells c_1 , c_2 , c_3 , c_4 . The cell coordinates referred to the grid with resolution of 100×100 are reported in brackets.

Figure 6 - Sensitivity of the probabilistic hazard assessment strategy for the number of released VBPs with respect to the resolution of the grid used to quantify the probability (%) of VBPs exceeding a given energy threshold E_t : a) 100×100 cells; b) 50×50 cells; c) 30×30 cells. The four lines represent the four cells on which probabilities were calculated (cell c_1 , orange, cell c_2 , blue, cell c_3 , green, cell c_4 , violet) at different grid resolutions. The cell coordinates referred to a)-b)-c) resolution grids are reported in brackets.

Figure 7 – Sensitivity analysis on wind conditions showing the relative difference between the exceedance probabilities $\theta^{ec}_{A_{ij},m}$ referred to $E_{t_1} = 360$ J (panel i), $E_{t_2} = 650$ J (panel ii), and $E_{t_3} = 2750$ J (panel iii), in case of a) minimum ($W_s = 2 \text{ m s}^{-1}$, $W_d = 279^\circ$) and absent wind conditions. The same test was carried out in considering b) maximum ($W_s = 25 \text{ m s}^{-1}$; $W_d = 343^\circ$) and absent wind conditions. For all tests, the vent is located at the centre of the dome area (star).

Figure 8 – Probability maps $\theta^{ec}_{A_{ij},m}$ to exceed E_{t_3} (2750 J) considering the hypothetical scenario of a single vent located at a) 643820 E; 1774748 N (altitude: 1416 m), and b) 643451 E; 1774316 N (altitude: 1273 m); c) Probability map $\theta^{ec}_{A_{ij},m}$ to exceed E_{t_3} (2750 J) considering the uncertainty on vent opening through the combination of more vents on the dome area.

Figure 9 – a) Probability maps $\theta^e_{A_{ij},m}$ of VBPs exceeding energy thresholds (Table 3; panels i-ii-iii) in absence of wind, according to the equation (10). Red contours represent the Log_{10} of the component $\theta^f_{A_{ij}}$ which is the probability that a VBP reaches the cell A_{ij} weighted for ω'_k . All probabilities are conditional to the ejection of a clast during an eruption within the adopted scenario and from the dome; b) Probability maps $\theta^{ec}_{A_{ij},m}$ of VBPs exceeding energies thresholds (Table 3; panels i-ii-iii) in absence of wind, according to equation (9).

Figure 10 – Exposure-based risk analysis considering the conditional probability $\theta^{ec}_{A_{ij},m}$ (a-b-c) and the overall probability $\theta^e_{A_{ij},m}$ (d-e-f) of VBPs exceeding selected energy thresholds (Table 3). All probabilities are conditional to the ejection of a clast during an eruption within the adopted scenario and from the dome, in absence of wind. Symbols in legend: yellow star: La Soufrière volcano; red cross: hospitals and clinics; two-houses: towns (i.e., St. Claude, Basse-Terre) and villages (i.e., Matouba and Papaye); running children: schools; airplane: airport). The location of each element has been identified at <https://www.google.it/maps>.

Table 1 - Main events occurred at La Soufrière de Guadeloupe classified as: non-magmatic, non-explosive edifice collapses (E); magmatic explosive (M); phreatic events (P), or failed magmatic (F), as in 1976. A question mark indicates the eruption date is uncertain. The last confirmed major magmatic eruption of La Soufrière de Guadeloupe was 1530 CE (CE: Common Era; from Hincks et al., 2014).

Start date	Type	Description
6535 BCE	E	Edifice collapse - not magmatic, not explosive
4000 BCE ?	M	VEI 2 explosive Strombolian
3600 BCE ?	M	VEI 2 explosive Vulcanian
3360 BCE	M	VEI 3 magmatic dome eruption, possibly explosive
2400 BCE ?	E	Edifice collapse - not magmatic, not explosive
1625 BCE	M	VEI 3–4 explosive magmatic with edifice collapse and blast (possible cryptodome?)
1400 BCE	M	VEI 3–4 explosive magmatic with edifice collapse and blast (cryptodome)
1065 BCE ?	E	Edifice collapse - not magmatic, not explosive
980 BCE	M	VEI 3 magmatic dome eruption, possibly explosive
465 BCE	M	VEI 3 explosive magmatic dome eruption with edifice collapse and blast
310 CE	M	VEI 2 explosive Strombolian
605 CE	E	Edifice collapse - not magmatic, not explosive

Start date Type Description

1530 CE	M	VEI 2–3 explosive Subplinian and dome magmatic eruption with edifice collapse
1635 CE?	M	VEI 2 explosive magmatic, possibly Vulcanian
1690 CE	P	VEI 1 Phreatic - not magmatic but explosive (Komorowski et al., 2005)
1797 CE	P	VEI 1 Phreatic - not magmatic but explosive (Komorowski et al., 2005)
1812 CE	P	VEI 1 Phreatic - not magmatic but explosive (Komorowski et al., 2005)
1836 CE	P	VEI 1 Phreatic - not magmatic but explosive (Komorowski et al., 2005)
1956 CE	P	VEI 1 Phreatic - not magmatic but explosive (Komorowski et al., 2005)
1976 CE	F	VEI 1 failed (still-born) magmatic explosive (Komorowski et al., 2005)

Table 2 – Eruption Source Parameters (ESPs) used in the sensitivity analysis. Density, diameter and exit velocity of the clasts are expressed as Gaussian distributions with mean and standard deviation. These values are the averages of the source parameters taken from the reference eruptions (Tsunematsu et al., 2016; Kilgour et al., 2010; Rosi et al., 2018; Fagents and Wilson, 1993; Druitt et al., 2002; Clarke et al., 2002; Formenti et al., 2003; de Michieli Vitturi et al., 2010; Alatorre-Ibargüen et al., 2012; Vanderkluisen et al., 2012; Maeno et al., 2013; Biass et al., 2016; Houghton et al., 2017; Appendix A).

		Unit	μ	σ
Source	Density	kg m ⁻³	2500	100
	Diameter	m	0.55	0.45
	Exit velocity	m s ⁻¹	90	60
	Tilt angle	deg	0	
	Spread angle	deg	90	
Wind	Speed	m s ⁻¹	0	
	Direction	deg	0	
Drag	Pressure	hPa	1.01×10^5	
	Temp at sea level	K	298	
	Thermal lapse	°C km ⁻¹	-6.50×10^{-3}	
	Reduced Drag radius	m	200	

Table 3 – Energy thresholds (E_t) representing the median values for fragility functions for timber weatherboard (E_{t_1}), sheet material (E_{t_2}) and reinforced concrete (E_{t_3}). These values are referred to the maximum damage state (Williams et al., 2017).

fragility function suite	E_t [J]
timber weatherboard	360
sheet material	650
reinforced concrete	2750

Table 4 – a) Ballistic parameters inferred for the 1956 and 1976-77 eruptions (from Komorowski, 2015); b) Eruption Source Parameters (ESPs) used in the GBF simulations carried out to reproduce the observations of the 1956 and 1976-77 eruptions. The simulations eject 10^5 VBPs from a single vent located on the summit dome (643820 E; 1774316 N, UTM coordinate system) in absence of wind. Two maximum clast diameters of 20 cm and 10 cm are fixed, considering a density of ballistics varies between 2500 and 2600 kg m⁻³ (Komorowski et al., 2008), in order to match the maximum mass of the ballistics mapped in the field for 1956 and 1976-77 eruptions, respectively. The exit velocities are in agreement with the source parameters of phreatic eruptions reported in literature (Kilgour et al., 2010; Tsunematsu et al., 2016).

a)

max VBP mass kg	VBP density DRE kg m ⁻³	max VBP volume m ³	VBP diameter cm
1956-eruption			

10	2600	0.0038	19.44
10	2500	0.004	19.69
<i>1976-77-eruption</i>			
1	2600	0.0004	9.02
1	2500	0.0004	9.14

b)

Source	VBP density	2500-2600	kg m ⁻³
	VBP diameter	0.2 – 0.1	m
	Ejection velocity	30-150	m s ⁻¹
	Tilt angle	0	deg
	Spread angle	90	deg
Drag	Pressure	1.01×10^5	hPa
	Temp at sea level	298	K
	Thermal lapse	-6.50×10^{-3}	°C km ⁻¹
	Reduced Drag radius	200	m

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Term

Definition

Conceptualization	Ideas; formulation or evolution of overarching research goals and aims
Methodology	Development or design of methodology; creation of models
Software	Programming, software development; designing computer programs; implementation of the computer code and supporting algorithms; testing of existing code components

Term	Definition
Validation	Verification, whether as a part of the activity or separate, of the overall replication/ reproducibility of results/experiments and other research outputs
Formal analysis	Application of statistical, mathematical, computational, or other formal techniques to analyze or synthesize study data
Investigation	Conducting a research and investigation process, specifically performing the experiments, or data/evidence collection
Resources	Provision of study materials, reagents, materials, patients, laboratory samples, animals, instrumentation, computing resources, or other analysis tools
Data Curation	Management activities to annotate (produce metadata), scrub data and maintain research data (including software code, where it is necessary for interpreting the data itself) for initial use and later reuse
Writing - Original Draft	Preparation, creation and/or presentation of the published work, specifically writing the initial draft (including substantive translation)
Writing - Review & Editing	Preparation, creation and/or presentation of the published work by those from the original research group, specifically critical review, commentary or revision – including pre-or postpublication stages
Visualization	Preparation, creation and/or presentation of the published work, specifically visualization/ data presentation
Supervision	Oversight and leadership responsibility for the research activity planning and execution, including mentorship external to the core team
Project administration	Management and coordination responsibility for the research activity planning and execution
Funding acquisition	Acquisition of the financial support for the project leading to this publication

Supplementary materials

Appendix A) Literature data about VBPs used to estimate ESPs in Table 2.

Appendix B) Probability $\theta_{Aij,m}^{ec}$ maps (%) of VBPs exceeding energy thresholds (E_{t_1} , E_{t_2} , E_{t_3}) in absence of wind, considering six vent openings outside the dome area. The vents are located in the centre of the macroareas A1, A6, A7, A8, A9, A10.

Script for spatial probabilities:

The Python script for spatial probabilities on vent opening and the MATLAB post-processing routine for calculating θ^{ec} and θ^e are open-source on a GitHub repository at:

https://github.com/silfromitaly1/probabilistic_hazard_assessment_for_ballistics

Supplementary data

Supplementary material 1

Supplementary material 2

Supplementary material 3

Supplementary material 4

Supplementary material 5

Supplementary material 6

Supplementary material 7

Supplementary material 8

Supplementary material 9

Supplementary material 10

Supplementary material 11

Supplementary material 12

Supplementary material 13
 Supplementary material 14
 Supplementary material 15
 Supplementary material 16
 Supplementary material 17
 Supplementary material 18

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Highlights

- New hazard quantification strategy to provide the probability of ballistics to exceed some critical kinetic energy thresholds;

- The choice of a probabilistic approach is key to estimate the likelihood of occurrence of VBPs impacts as a first step towards the implementation of pro-active risk reduction strategies in volcanic areas;
- Sensitivity analyses have guided the optimization of input parameters to balance the results stability and computational costs.

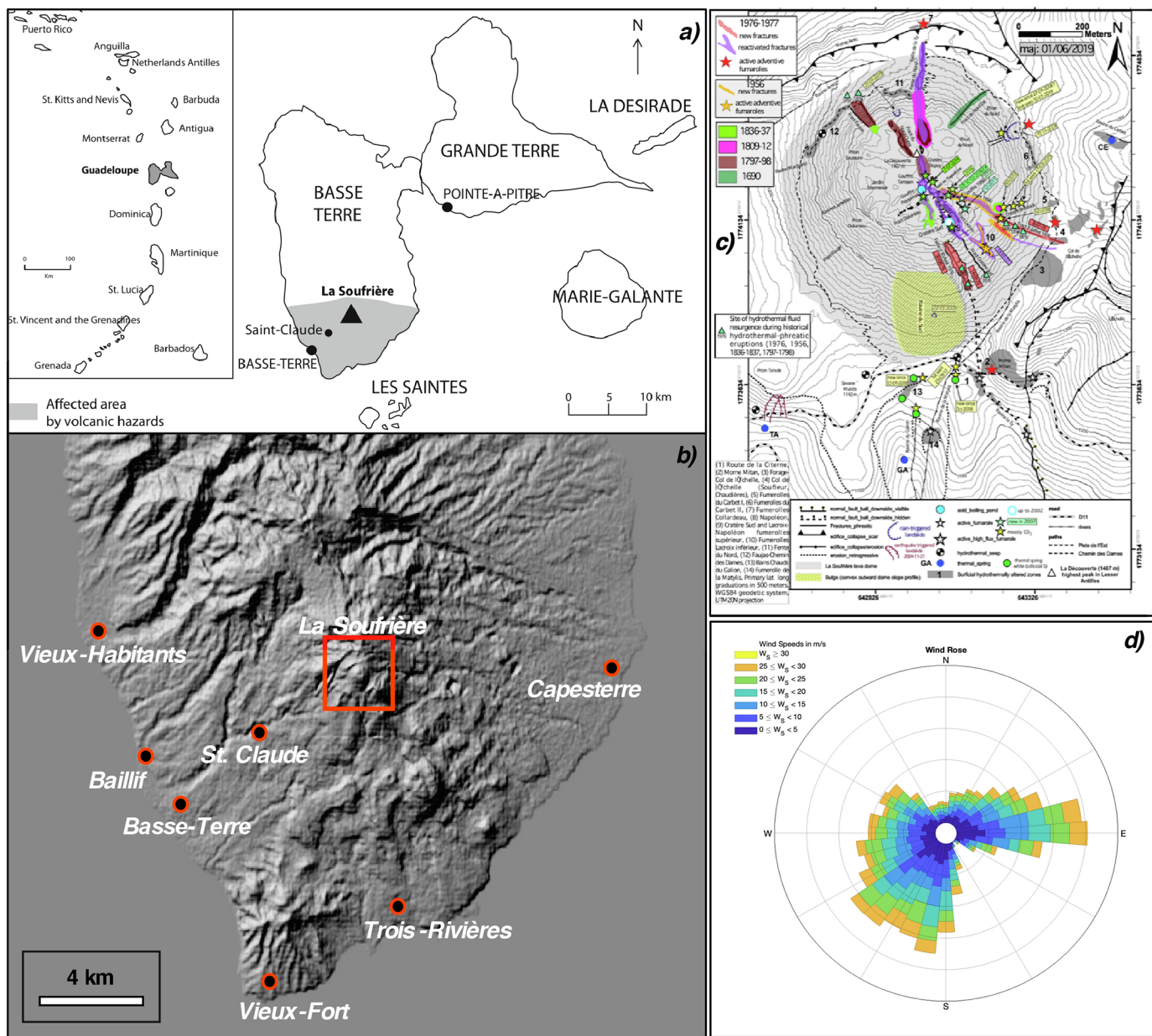


Figure 1

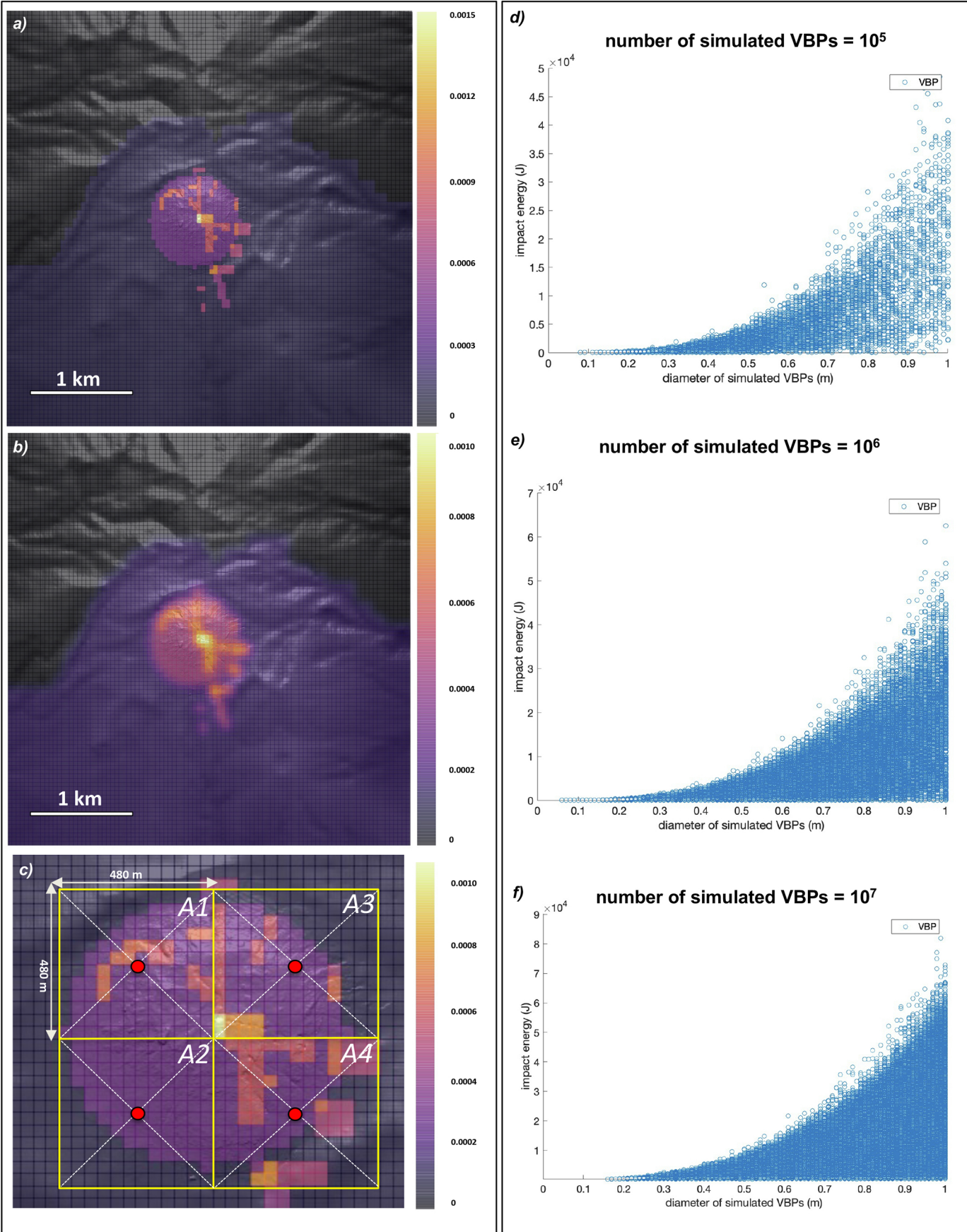


Figure 2

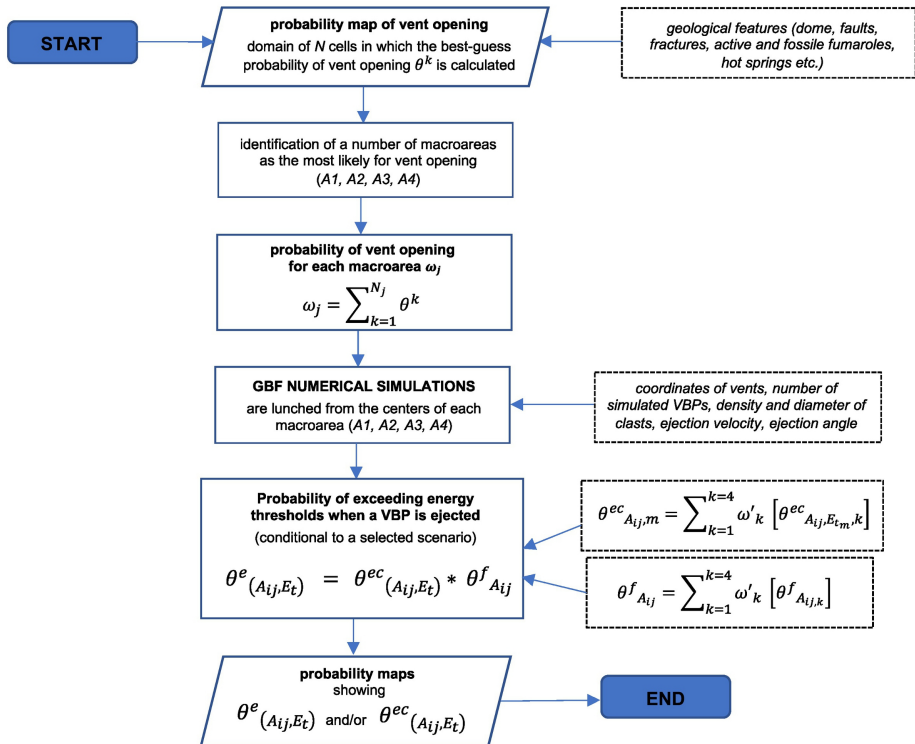
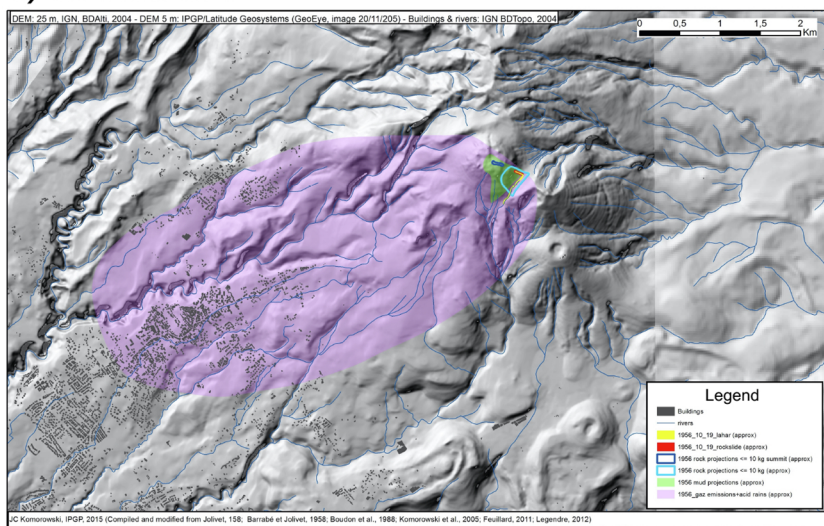
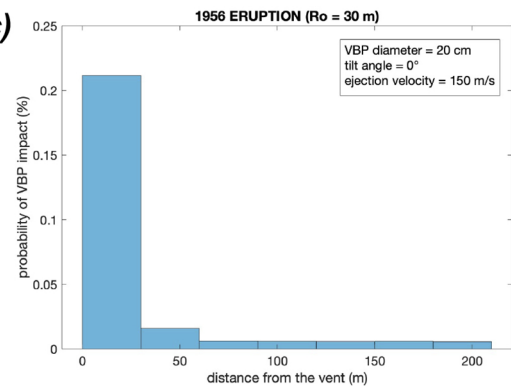


Figure 3

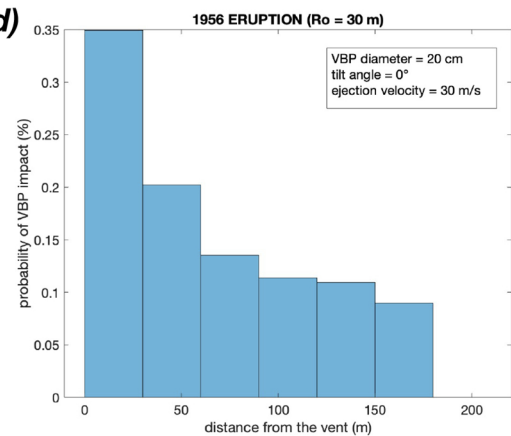
a) 1956 ERUPTION



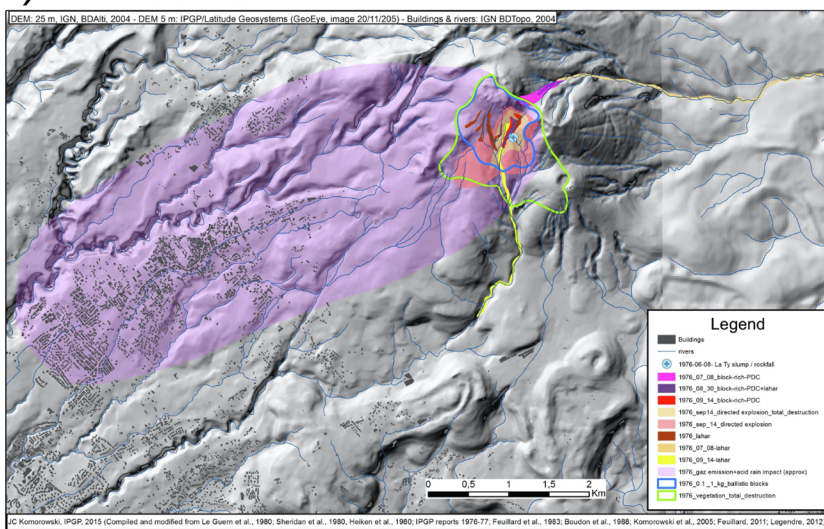
c)



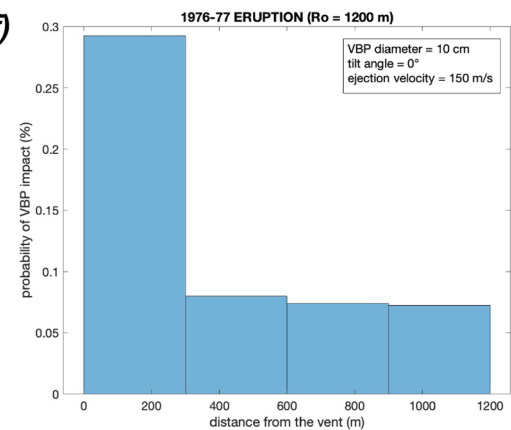
d)



b) 1976-77 ERUPTION



f)



g)

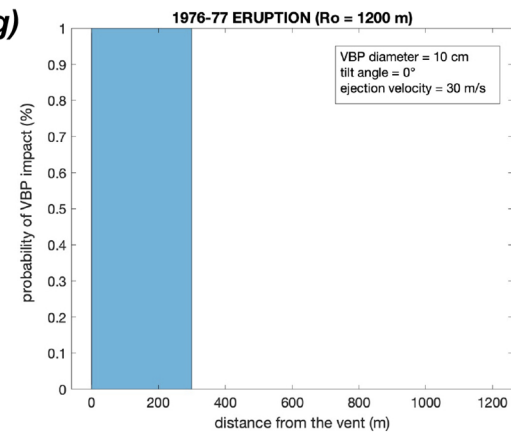


Figure 4

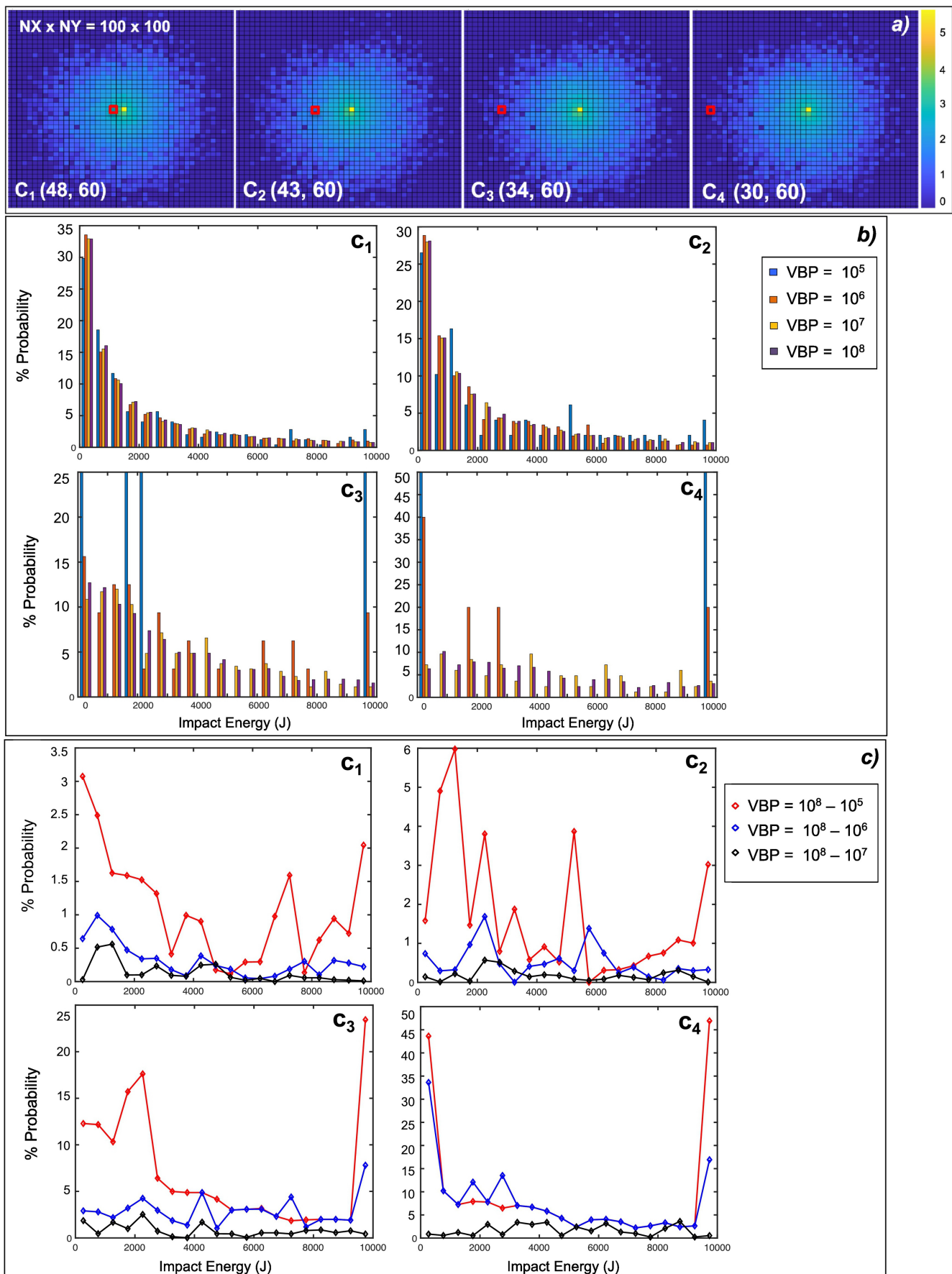
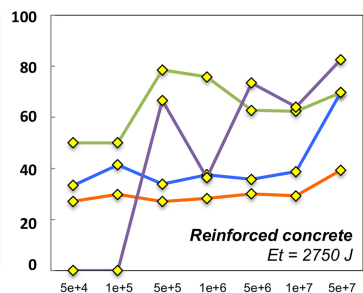
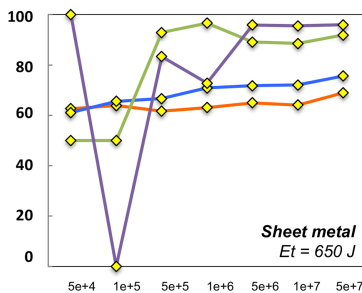
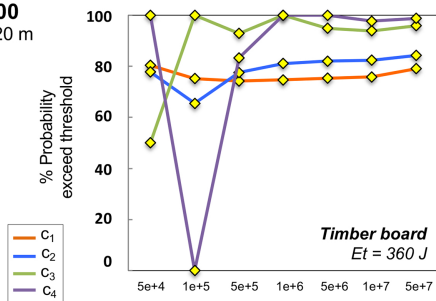


Figure 5

GRID: 100 x 100
cell size: 260 x 220 m

cell positions:
cell 1 (48,60)
cell 2 (43, 60)
cell 3 (34,60)
cell 4 (30,60)

vent position:
(50, 60)

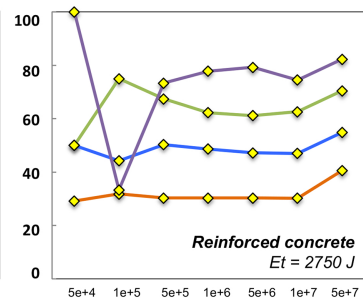
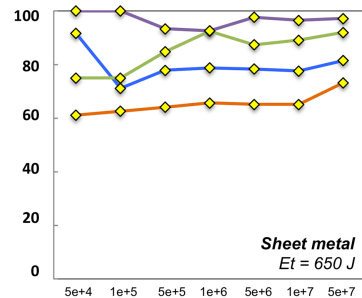
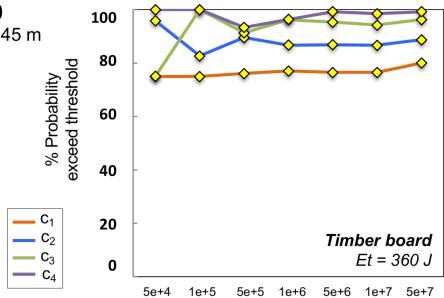


a)

GRID: 50 x 50
cell size: 516 x 445 m

cell positions:
cell 1 (24,30)
cell 2 (20, 30)
cell 3 (17,30)
cell 4 (15,30)

vent position:
(25, 30)

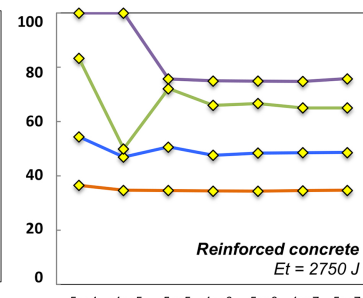
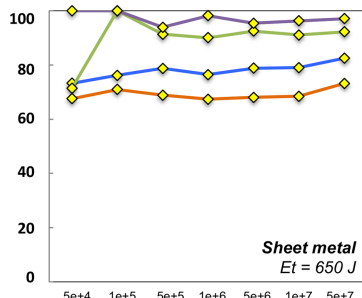
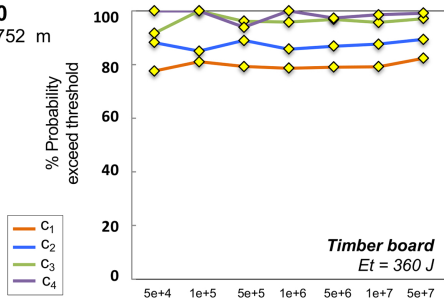


b)

GRID: 30 x 30
cell size: 860 x 752 m

cell positions:
cell 1 (14,18)
cell 2 (12,18)
cell 3 (10,18)
cell 4 (9,18)

vent position:
(50, 60)



c)

Figure 6

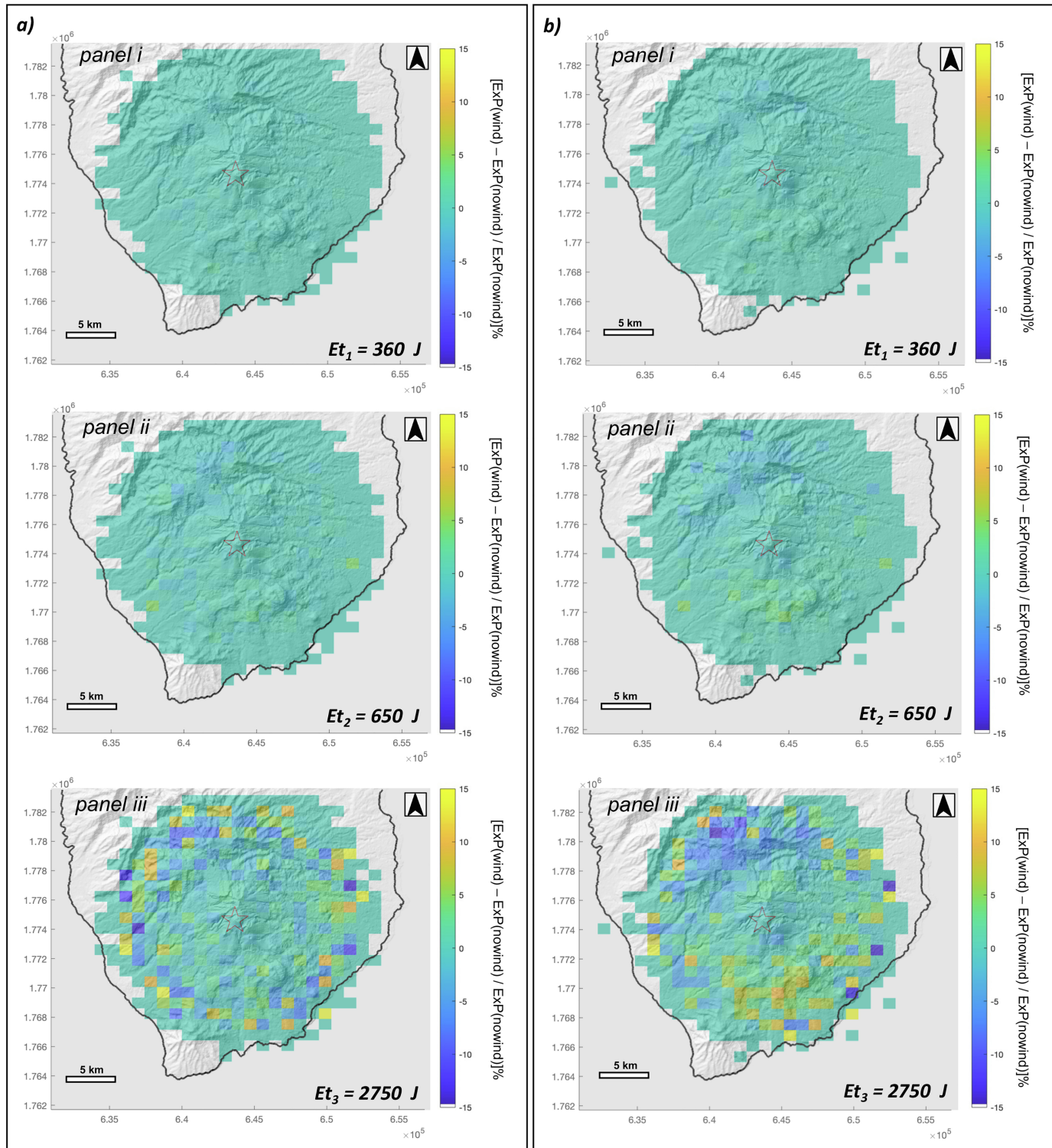


Figure 7

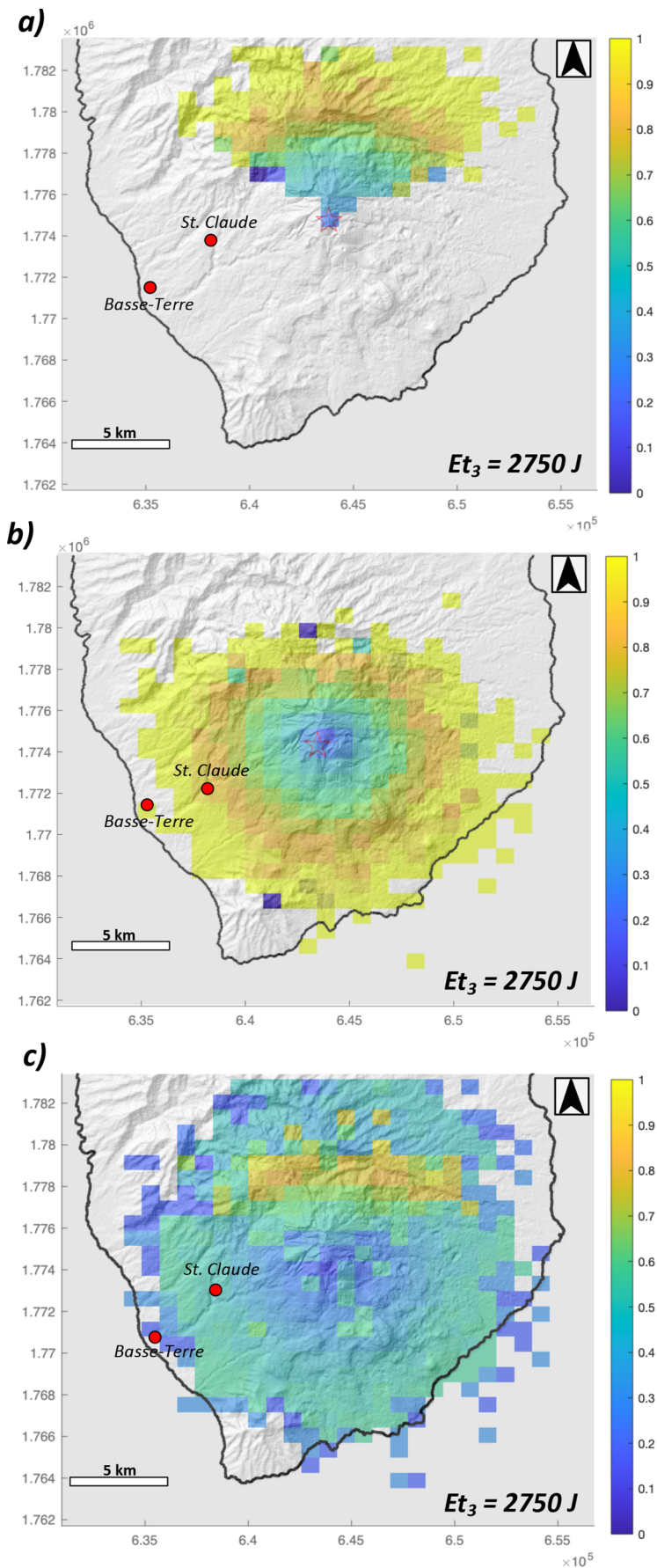


Figure 8

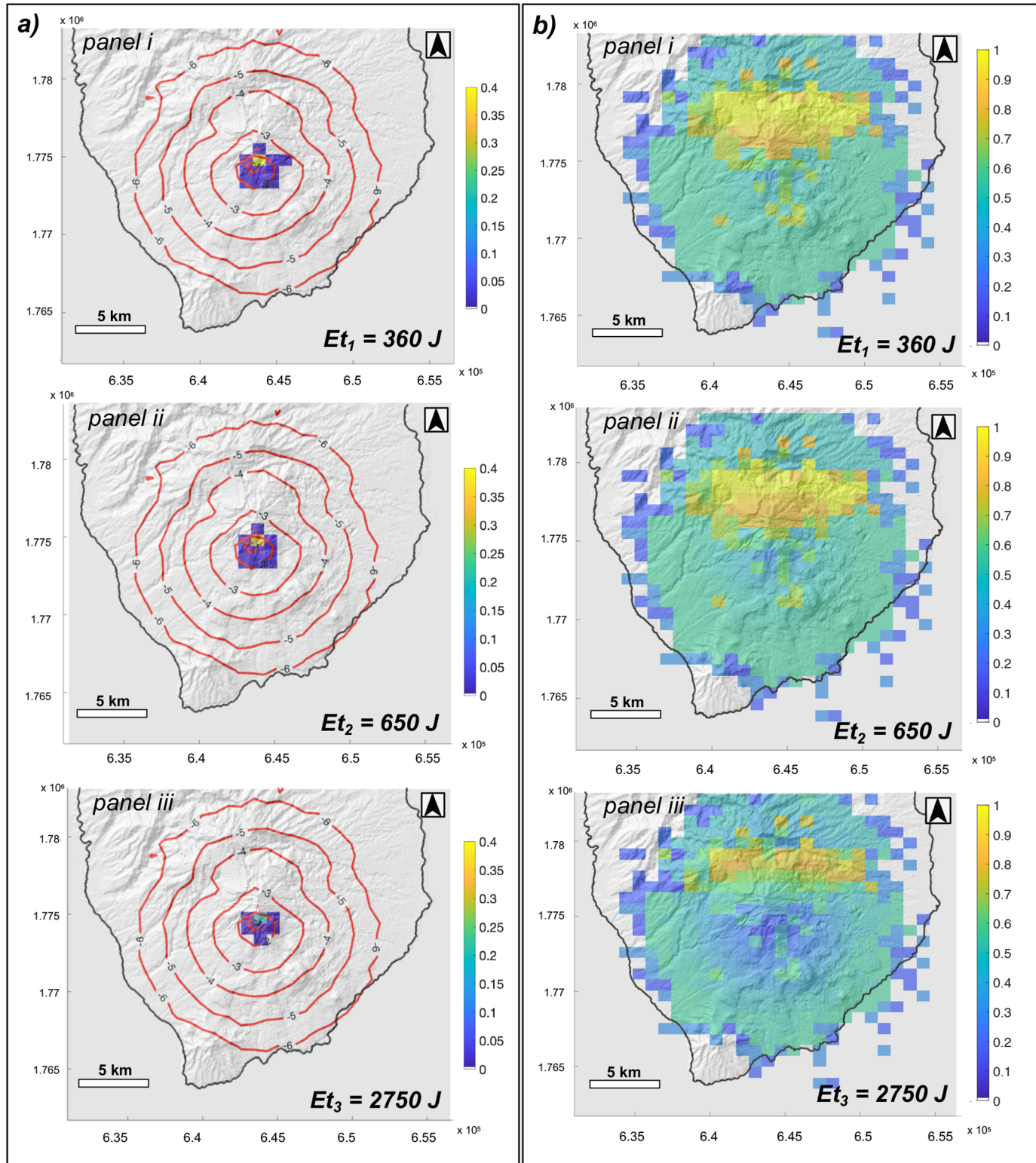


Figure 9

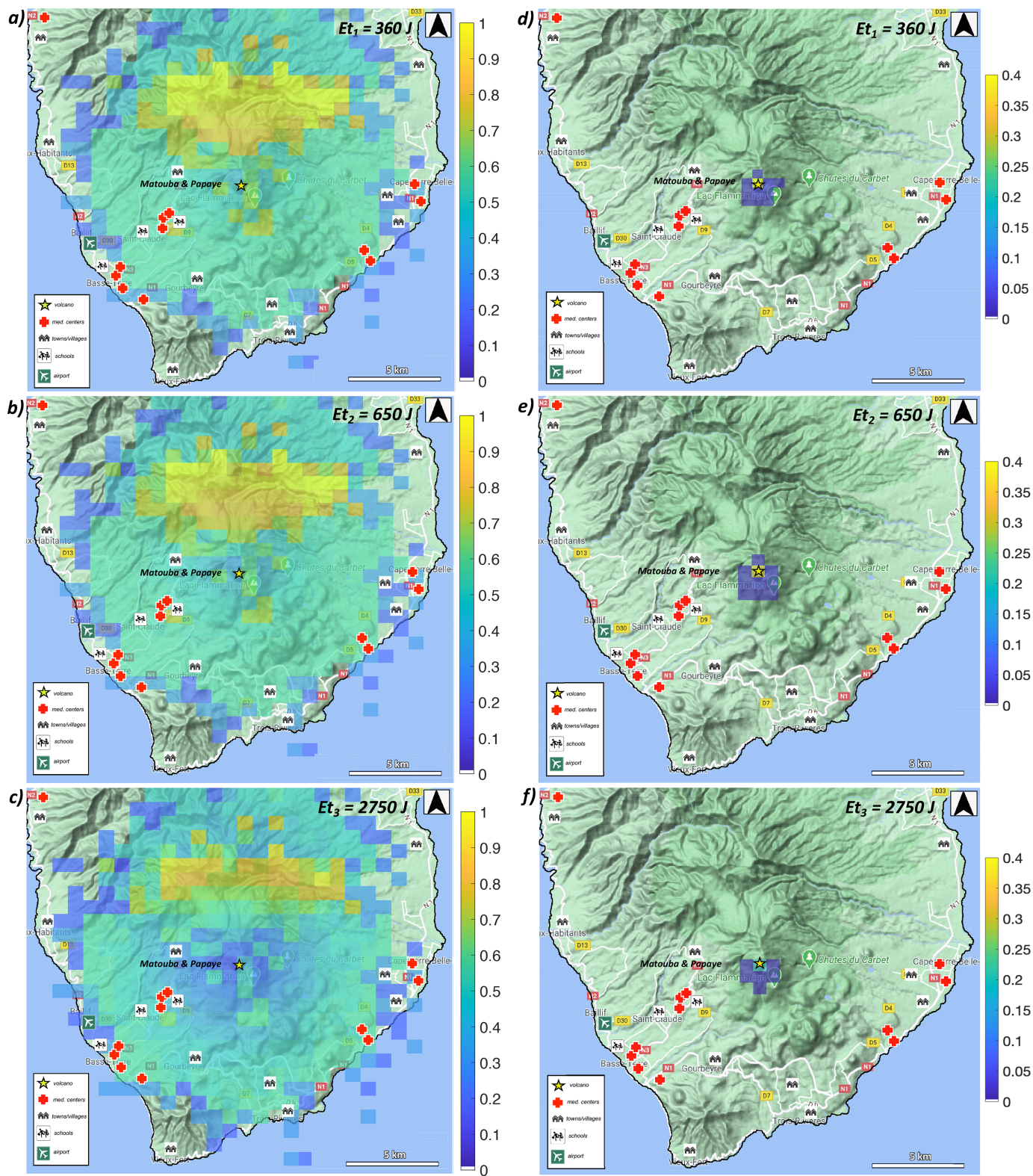


Figure 10