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TECHNICAL REPORTS: METHODS

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Key Points:

- A new Python toolbox for the replenishment of incomplete seismic catalogs is developed
- The code is freely available, datadriven and minimizes the users' inputs
- Numerical and real-case tests are provided

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Missing Earthquake Data Reconstruction in the Space-Time-Magnitude Domain

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Abstract Short term aftershock incompleteness (STAI) can strongly bias any analysis built on the assumption that seismic catalogs have a complete record of events. Despite several attempts to tackle this issue, we are far from trusting any data set in the immediate future of a large shock occurrence. Here, we introduce RESTORE (REal catalogs STOchastic REplenishment), a Python toolbox implementing a stochastic gap-filling method, which automatically detects the STAI gaps and reconstructs the missing events in the space-time-magnitude domain. The algorithm is based on empirical earthquake properties and relies on a minimal number of assumptions about the data. Through a numerical test, we show that RESTORE returns an accurate estimation of the number of missed events and correctly reconstructs their magnitude, location, and occurrence time. We also conduct a real-case test, by applying the algorithm to the M_W 6.2 Amatrice aftershocks sequence. The STAI-induced gaps are filled and missed earthquakes are restored in a way which is consistent with data. RESTORE, which is made freely available, is a powerful tool to tackle the STAI issue, and will hopefully help to implement more robust analyses for advancing operational earthquake forecasting and seismic hazard assessment.

1. Introduction

It is well known that analyzing an incomplete seismic catalog could severely bias studies aimed to: (a) estimate the Gutenberg-Richter parameters, their uncertainty, together with their variation in space and/ or time (e.g., Knopoff et al., 1982; Schorlemmer et al., 2003; Woessner & Wiemer, 2005; Mignan & Woessner, 2012b; Marzocchi et al., 2020); (b) estimate the Epidemic-Type Aftershock Sequence (ETAS model: Ogata, 1988; Ogata, 1998) parameters by maximum-likelihood techniques (Helmstetter et al., 2005, 2006; Hainzl et al., 2013; Omi et al., 2014; Seif et al., 2017; Zhuang et al., 2017); (c) perform a statistical analysis of earthquake data (e.g., Helmstetter et al., 2006; Christophersen & Smith, 2008; Iwata, 2008; Brodsky, 2011; Felzer et al., 2015; Stallone & Marzocchi, 2019). The first two types of studies, in particular, have application in operational earthquake forecasting and seismic hazard assessment (Woessner et al., 2015), this implying that complete recording of seismic events is of primary importance in any analysis of this kind. Unfortunately, a careful estimation of the magnitude of completeness M_c is a necessary but not sufficient condition for a robust seismicity analysis. As a matter of fact, temporal changes in M_c can occur, mainly due to short term aftershock incompleteness (STAI; Ogata & Katsura, 1993; Kagan, 2004; Mignan & Woessner, 2012b; Omi et al., 2013), which arise from the under-reporting of small events after large earthquakes. These fluctuations, although transient, can severely alter the final results. For instance (Zhuang et al., 2017), demonstrate how severe can be the influence of short-term missing aftershocks on the estimation of the ETAS parameter α (which is linked to earthquakes triggering capability). A solution to this issue would be improving the detection of early aftershocks of a large earthquake. This is possible by implementing waveform-based techniques (Peng et al., 2006, 2007; Enescu et al., 2007, 2009; Peng & Zhao, 2009). However, even in these cases, the detection capability of the missing events is far from being optimal. A quick fix could be to draw out earthquakes occurred after a large shock, for as long as the time required to the magnitude of completeness to return to the average value estimated for the whole catalog. Alternatively, one could model the magnitude of completeness as a function of time $M_c(t)$ and keep only those events whose magnitude is $\geq M_c(t)$ (e.g., Helmstetter et al., 2006; Lippiello et al., 2012). However, these approaches are not trivial, since they rely on user-defined criteria for identifying the critical events to be removed. Furthermore, a cut-and-run strategy could yield to a severe diminishment of the analyzed data, which is not always desirable. More recently (Zhuang et al., 2017, 2019), have proposed a stochastic algorithm to replenish the portions of a seismic catalog where smaller events are missing. This approach is based on empirical distribution functions that

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approximately describe the time-magnitude range of data where the catalog is assumed to be complete. Furthermore, it cannot be easily extended to the spatial domain and the detection of the area where the record is incomplete is based on visual inspection. Here, we present RESTORE, a Python toolbox based on a stochastic gap-filling method, which reconstructs missing events in the space-time-magnitude domain and implements an automatized recognition of the critical regions with missing events (no input required from the user). RESTORE is built on well-known empirical properties of earthquake data and relies on a fully data-driven approach, which severely minimizes the number of assumptions and approximations about the data

2. The Algorithm

RESTORE (REal catalogs STOchastic REplenishment) allows to generate time, location, and magnitude of those earthquakes that have not been detected by the seismic network due to the overlap of earthquake signals in seismic records after the occurrence of a large earthquake. Given the transient characteristic of STAI, the replenishment of missing data only pertains to limited portions of the catalog, that is, those being affected by the occurrence of a large event. First, the temporal variability of M_c is assessed by means of a sliding overlapping windows approach, which collects estimates of M_c at the end of each window. Since the window has a fixed number of events k and its shift δk is constant, estimates of M_c are elapsed by δk events. The algorithm implements a statistic-based approach to pinpoint those time intervals where a threshold value for the magnitude of completeness M_a^* is significantly exceeded ("STAI gaps" from now on). For each interval, fluctuations in the completeness magnitude, represented by the δk -shifted moving-window estimates of M_c , are accounted for to reconstruct the missing earthquakes: the higher the estimated M_c , the higher the number of earthquakes to be replenished. It follows that the moving-window approach is functional for both the identification of STAI gaps and for their discretization. The latter is essential for a high-resolution temporal reconstruction of M_c inside the STAI gaps. The algorithm evaluates, for each magnitude bin in each step, the difference between the observed counts and the counts predicted by the Gutenberg-Richter relationship. This approach returns the simultaneous estimation of both the number and magnitudes of missing events at the bin level: the first is derived from the difference between observed and estimated counts, whereas the second is derived from the magnitude value in the bin. Occurrence time and location of the simulated events are reconstructed implementing Monte Carlo sampling techniques (inverse method; Devroye, 1986). More specifically, occurrence times are simulated from a uniform distribution whose support are the time limits of the δk -step. The latter is based on the assumption that earthquake detection rate can be assumed constant within intervals including few events, that is, within very short time intervals. In other words, the probability of missing events within a δk -step can be considered time-independent if the step width is much shorter than the whole STAI gap width. As regards the spatial information, latitude, and longitude of missing events are assigned with a probability that increases as the average rate of earthquake increases, the latter being derived from a Gaussian smoothing kernel. In the following, we examine the algorithm steps in more detail.

2.1. Query User Inputs

The user is required to load the catalog as a csv file, in ZMAP format (i.e., Longitude, Latitude, Year, Month, Day, Magnitude, Depth, Hour, Minute, and Second). Alternatively, he/she can download it from web services based on FDSN specification, by providing the parameters listed in Table 1, left column. There are two main requirements for the correct implementation of RESTORE. First, the magnitude type in the seismic catalog must be the moment magnitude M_W (a bin size of 0.1 is assumed by default). This is required since magnitude scales other than the moment magnitude are inappropriate for rigorous statistical analyses (Kagan, 2013). Second, the catalog should include a seismically quiet period before the onset of one or more relatively strong seismic sequences. This is necessary for the estimation of the reference value for the magnitude of completeness (M_c^*), which must not be affected by STAI. The parameters that need to be set for running RESTORE are reported in Table 1, right column. They will be explained in more detail in the subsequent sections.

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Table 1 RESTORE Input Parameters ^a	
Catalog Parameters (optional)	Input Parameters
Minimum magnitude	Moving-window size
Minimum longitude	Moving-window step
Maximum longitude	Spatial map domain limits
Minimum latitude	t_{seq}
Maximum latitude	<i>b</i> -value
Maximum depth	α (Lilliefors test)
t _{start}	
t _{end}	

^aLeft: Catalog parameters (to be provided only when downloading the catalog from web services based on FDSN specification) – t_{start} : String representing the start time of the catalog in a recognizably valid format; t_{end} : string representing the end time of the catalog in a recognizably valid format. Right: RESTORE parameters – t_{seq} : Starting time of the seismic sequence (i.e., end of the seismically quiet period).

2.2. Reference Value for the Magnitude of Completeness

The reference value M_c^* must be evaluated for the seismically quiet period preceding the onset of one or more relatively strong seismic sequences. By default, it is estimated as the first magnitude value such that the hypothesis of exponentially distributed data cannot be rejected at a significance level α (Lilliefors test; Lilliefors, 1969; Clauset et al., 2009). Alternatively, the user could input his/her own value for M_c^* , based on a priori information. RESTORE relies on Mc-Lilliefors, a Python routine which returns a robust and rigorous estimation of the magnitude of completeness by the Lilliefors test (Herrmann & Marzocchi, 2020a, 2020b). From now on, we always mean that the magnitude of completeness estimation has been performed by the Mc-Lilliefors routine.

2.3. Temporal Variations in M_c

RESTORE implements a moving-window approach to analyze the variation of the magnitude of completeness as a function of time. By default, the window size is k=1000 events (following Mignan & Woessner, 2012a), but it could be increased or decreased, depending on both the catalog size and the resolution the user needs to achieve. Intuitively, a small window highlights short-term variation in M_c , but it could return a biased estimate of M_c if the sample size is too small (due to the decreased power of the Lilliefors test); on the contrary, a larger window returns a faster and more robust estimate of M_c , but it is less sensitive to its transient fluctuations. The window is shifted by a step of δk events. By default, $\delta k=250$ (following Mignan & Woessner, 2012a). The same considerations made for a larger/smaller window apply for a larger/smaller step. M_c is estimated and its values are collected at the end of each window. Since the window has a fixed number of events k and its shift δk is constant, estimates of M_c are elapsed by δk events.

2.4. Automatic Detection of STAI Gaps

STAI gaps are identified as those where $M_c \ge M_c^* + 2\sigma$, that is, where M_c is significantly larger than the reference value. The bootstrap method (Efron, 1992) is implemented to estimate the uncertainty σ about the estimate of M_c^* returned by the Lilliefors test. Specifically, σ is obtained from 200 bootstrap samples, as suggested in (Woessner & Wiemer, 2005). The onset time of each gap is set equal to the time of the largest earthquake in the first step. Intuitively, it is the one responsible for the raise of the magnitude of completeness among the δk events. The end time of each gap is coincident with the occurrence time of the last event in the last step. In order to account for statistical fluctuations of the magnitude of completeness, small gaps – defined as those with a number of events < 2 * δk – are removed.

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2.5. Simulation of Missing Earthquakes

RESTORE implements a multi-scale approach for addressing the inherent problem of multidimensionality of the seismic process:

- 1. Small scale: magnitude bin-level estimation of the number and magnitudes of missing events (Section 2.5.1);
- 2. Medium scale: step-level estimation of the occurrence times of missing events (Section 2.5.2);
- Coarse scale: short term aftershock incompleteness (STAI) gap-level simulation of missing events epicenters (Section 2.5.3).

2.5.1. Simulation of Number of Missing Events and Magnitudes

For a given STAI gap, the algorithm stores as many M_c estimates as the number of δk -steps in the gap. This information is used to evaluate the number of expected events at the magnitude bin level by means of the following equation, which relies on the Gutenberg-Richter frequency-magnitude relationship (we refer to Appendix 1 for its derivation):

$$N(M \ge M_{IR}) = N(M \ge M_{UR}) \cdot 10^{b \cdot mbin},\tag{1}$$

where: (a) M_{LB} (M_{UB}) is the lower (upper) bound of the magnitude bin mbin, with mbin = 0.1 by default; (b) $N(M \ge M_{LB})$ is the expected number of events with magnitude $M \ge M_{LB}$; (c) $N(M \ge M_{UB})$ is the observed number of events with magnitude $M \ge M_{UB}$. Equation 1 allows to extrapolate the expected number of events with magnitude $M \ge M_{LB}$, given the complete recording of events at magnitudes $M \ge M_{UB}$. It is then straightforward to retrieve, for each bin, the expected number of events with magnitudes $M = M_{LB}$ ($M_{LB} \le M < M_{UB}$), given the complete recording of events at magnitudes $M \ge M_{UB}$:

$$\begin{split} N(M = M_{LB}) &= N(M \ge M_{LB}) - N(M \ge M_{UB}) \\ &= N(M \ge M_{UB}) \cdot 10^{b \cdot mbin} - N(M \ge M_{UB}) \end{split} \tag{2}$$

Finally, the number of missing events in the bin is derived from the difference between the expected number of events in the bin, $N(M=M_{LB})$, and the observed number of events in the bin. RESTORE recursively implements Equation 1 and Equation 2 in order to estimate the number and magnitudes of missing events for all the bins between M_c^* – the reference value for the magnitude of completeness – and M_c^S , the magnitude of completeness estimated within the step. The algorithm starts at the bin whose upper bound is M_c^S ; since magnitudes in the step are complete above M_c^S , Equation 1 and Equation 2 are implemented for the estimation of the number of missing events in the preceding bin. Then, variables are updated and the algorithm proceeds with the next preceding bin, following the recursive approach explained in Algorithm 1.

```
Algorithm 1: Magnitude simulation
ı for each STAI gap do
       for each step in the gap do
           M_{UB} = M_c^*;
           M_{LB} = M_{UB} - mbin;
            N(M \ge M_{UB}) \longrightarrow \text{Counts of } M \ge M_{UB} \text{ in the step}
            for each bin in the step do
               N(M \ge M_{LB}) = \hat{N}(M \ge M_{UB}) \cdot 10^{b \cdot mbin}
                 N(M = M_{LB}) = N(M \ge M_{LB}) - N(M \ge M_{UB}) \longrightarrow \text{Expected}
                 number of magnitudes in the bin
                n(M = M_{LB}) \longrightarrow \text{Observed number of magnitudes in the bin}
                N_{ghost} = N(M = M_{LB}) - n(M = M_{LB}) \longrightarrow \text{Number of missing events}
10
                M = [M_{LB}] * N_{ghost} \longrightarrow Vector of missing magnitudes in the bin
11
               Update variables:
               M_{UB} = M_{LB};
12
               M_{LB}=M_{UB}-mbin; \\
13
               N(M \ge M_{UB}) = N(M \ge M_{LB})
14
15
           end
16
       end
```

2.5.2. Simulation of Occurrence Times

Occurrence times are simulated from a uniform distribution whose support are the time limits of the δk -step. As already discussed, earthquake detection rate can be assumed constant within intervals including few events, that is, within very short time intervals. The main steps are summarized in Algorithm 2.

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```
Algorithm 2: Occurrence times simulation
 1 for each STAI gap do
       for each step in the gap do
           while count \leq Number of earthquakes missing in the step do
               t_{i-1} = \text{start time of the step};
 4
               t_i = \text{end time of the step};
 5
               U = RAND(0,1);
 6
               T = t_{i-1} + U \cdot (t_i - t_{i-1});
 7
               count +=1;
 8
 9
10
       end
<u> 11 end</u>
```

2.5.3. Simulation of Epicenter Coordinates

Latitude and longitude of missing events are assigned with a probability that increases as the rate of earthquakes increases, that is, as the distance from the large event diminishes. The rationale is based on kernel smoothing techniques, commonly implemented to forecast the density of future seismicity given the spatial distribution of past events (e.g., Frankel, 1995; Helmstetter et al., 2006; Zechar & Jordan, 2010). Specifically, a Gaussian kernel (Zechar & Jordan, 2010) is used, which is a function of the smoothing distance σ only. For each STAI gap, RE-STORE extracts the pertaining subset from the catalog, that is, all the events meeting the following two criteria: (a) their occurrence times range between the start and end time of the STAI gap; (b) their epicenter coordinates fall within a rectangular grid representing the large shock "influence area." As a proxy for the latter, the algorithm uses the estimation of the subsurface rupture length through the relation proposed by Mai and Beroza (2000):

$$M_o = 10^{\frac{3}{2}(M_w + 10.7)} \cdot 10^{-7}; \tag{3}$$

$$R_I = 10^{-5.20 + 0.35 \cdot log(M_O)} \tag{4}$$

The grid is discretized in cells, whose width depends on the bin in the latitude and longitude direction sbin (sbin = 0.01deg in both the directions, by default). The smoothing kernel is defined as follow:

$$K_{\sigma} = \frac{1}{2\pi\sigma^2} exp\left(\frac{-R^2}{2\sigma^2}\right),\tag{5}$$

where σ is the smoothing distance (set to 1 by default) and R is the distance of a given earthquake from a given grid node. The kernel smoothing technique offers an intuitive representation of seismicity clustering in space: as a matter of fact, events that are close in space will mainly contribute to the same (few) nodes in the grid. The events count at each grid node is estimated by summing up the contributions from all the events in the grid to that specific point. Normalizing the smoothed rate by the total rate yields the expected earthquake density over all the grid nodes. The latter is used as the basis for assigning epicenter locations to a given grid point, that is, with a probability that is proportional to the expected earthquake rate at that location. This is achieved by simply applying the discrete version of the inverse method to the cumulative distribution of the normalized smoothed rate. Once an epicenter has been linked to a specific grid point XY, its latitude (longitude) is simulated from an uniform distribution whose support is ([lat(XY) - sbin, lat(XY) + sbin][lon(XY) - sbin, lon(XY) + sbin]. Main steps are summarized in Algorithm 3:

```
Algorithm 3: Epicenters latitude and longitudes simulation
   input: CUMSUM: Cumulative sum of the (sorted) smoothed rate
 1 for each STAI gap do
       \mathbf{while} \ count \leq \textit{Number of earthquakes missing in the STAI gap} \ \mathbf{do}
           U = RAND(0,1);
           \mathbf{for} \ each \ grid \ point \ XY \ \mathbf{do}
              if CUMSUM(XY - 1) \le U < CUMSUM(XY) then
 5
 6
                  U2 = RAND(0,1);
                  LON = LON(XY - 1) + U2 \cdot sbin;
 7
                  LAT = LAT(XY - 1) + U2 \cdot sbin;
              end
 9
10
          end
          count + = 1:
11
12
       end
13 end
```

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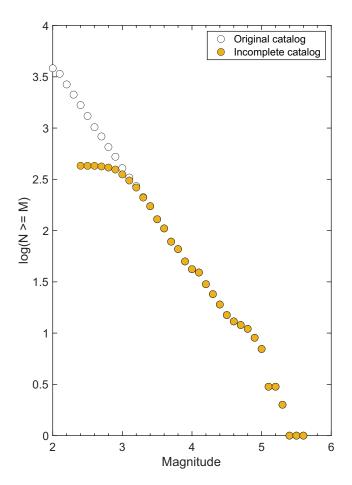


Figure 1. Frequency-magnitude distribution for the original synthetic catalog before short term aftershock incompleteness (STAI) modeling (white circles) and after STAI modeling (yellow circles).

2.6. Output

RESTORE replenishes the original catalog with the reconstructed events, by properly taking into account the occurrence time of the latter. The resulting catalog is saved in ZMAP format and differs from the original one only for two aspects: (a) the depth column is now a zeros vector, as this information has not been taken into account for the spatial simulation of missing earthquakes; (b) there is an additional column which flags events to 0 or 1, depending on whether they belong to the original catalog or they have been simulated. Additionally, several graphical outputs are returned:

- Time evolution of magnitude of completeness, with highlighted all detected STAI gaps (the plot neglects the seismically quiet period);
- 2. Magnitudes vs. sequential numbers for the original and replenished catalogs: this is a great, tough qualitative, tool to highlight STAI issues which could possible affect earthquake magnitudes through tie;
- 3. Magnitude vs. time for (a) the original catalog and the reconstructed events; (b) the original catalog only;
- Spatial map of the original events with overlapping reconstructed events:
- Magnitude-frequency distribution for both the original and the replenished catalogs.

Finally, the magnitude of completeness is estimated for both the original and the replenished catalogs. This provides an additional test for validating the outputs by RESTORE: Intuitively, we expect the M_c estimated for the replenished catalog to be very close to the pre-sequence value M_c^* . As for all the previous cases, this is done by means of the Lilliefors test. However, the user should keep in mind that the statistical power of the Lillieforst test (and, more in general, of the Kolmogorov-Smirnov test) greatly increases with the sample size (Stallone, 2018; Marzocchi et al., 2020). It follows that for a large number of events, which can be the case for the replenished catalog, the Lilliefors test becomes very sensitive to even slight deviations from an exponential distribution. This is not necessary

ideal, since the detected departures could actually arise from magnitude errors. We, therefore, strongly recommend to inspect the magnitude of completeness of the replenished catalog by alternative methods as well, as those implemented in the ZMAP software (Wiemer, 2001).

3. Synthetic Test

As a validation test, we implement numerical modeling, which enables us to control the number of missing events and their collocation in the magnitude-time-space domain. The goal is to check whether the algorithm is capable of reconstructing this information with an acceptable degree of accuracy. First, we simulate a seismic catalog by implementing the stochastic program described in (Felzer et al., 2002), which simulates the ETAS model (Ogata, 1988) as a branching process. In the original code, earthquakes with a magnitude larger than 6.5 are modeled as planar sources. We change that by modeling all the events as point sources. We use the program to simulate a 2-years-long catalog in Southern California, with magnitudes ranging from 2 to 6.9. We leave unchanged the remaining parameters needed for the simulation as indicated in the code. The *b*-value is set equal to 1. Since our next step is to simulate incompleteness of aftershocks following the largest earthquake in the catalog, we select a subset of the simulated data set, which ranges from 1 year before to 3 months after the occurrence of the largest earthquake (M = 6.9). After this step, the original catalog includes 11,169 events. We simulate the STAI issue for the largest event by following the approach described in (Ogata & Katsura, 2006). Specifically, earthquakes are filtered out at a magnitude-dependent rate, according to the cumulative normal distribution:

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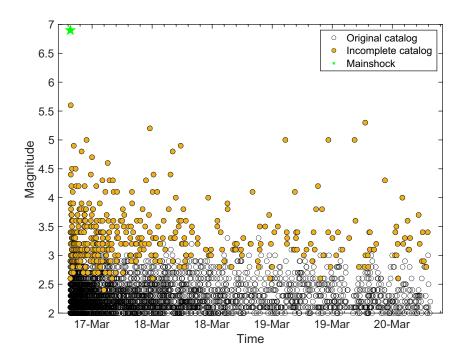


Figure 2. Magnitude-time plot for events occurred within 3 days from the large shock. White circles: before short term aftershock incompleteness (STAI) modeling. Yellow circles: after STAI modeling.

$$F(M|\mu,\sigma) = \int_{-\infty}^{M} \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(x-\mu)^2}{2\sigma^2}} dx,$$
 (6)

where μ and σ are constant: the first is the magnitude with a detection rate of 50%; the latter is the standard deviation of the normal distribution. $F(M|\mu,\sigma)$ is the probability of detection at magnitude M. See (Stallone, 2018) for more details. For our simulations, we set $\mu=3$ and $\sigma=0.2$; we assume that the magnitude of completeness is restored to the reference value 3 days after the occurrence of the large event. The catalog after STAI modeling includes 7,744 events. Figure 1 shows the frequency-magnitude distribution for the original (white circles) and incomplete (yellow circles) catalog, for which the STAI issue has been modeled. Figure 2 plots the magnitude of events as a function of time (over a period of 0–3 days from the mainshock) for the original (white circles) and incomplete (yellow circles) catalog.

As a next step, we implement RESTORE for reconstructing the missing events in the magnitude-time-space domain. We leave the default values for the window size (1,000 events) and the step (250). The reference value for the magnitude of completeness equals the minimum magnitude in the synthetic catalog, that is, 2.0. We set the *b*-value for the Gutenberg-Richter law to 1. Figure 3 shows some of the graphical outputs returned by the algorithm. We observe that occurrence times, magnitude range, and locations of missing events have been correctly reconstructed. The replenished catalog includes 11,199 events, that is, 30 events more than the original synthetic catalog. The magnitude of completeness estimated by the Lilliefors test is 2.8 and 2.1 for the incomplete and replenished catalog, respectively.

In order to further inspect the algorithm performance, we compare the frequency-magnitude distribution for (a) the original synthetic catalog before STAI modeling; (b) the original synthetic catalog after STAI modeling; (c) the replenished catalog. Results are shown in Figure 4. This comparison further proves the good performance of the algorithm when reconstructing missing events in the magnitude-time-space domain.

4. Real-Case Test (Amatrice Earthquake)

We apply RESTORE to the August 24, 2016 Mw 6.2 Amatrice earthquake. The downloaded catalog covers the period from January 1, 2016 to September 30, 2016 and includes 18,623 events. We leave the default values for the window size (1,000 events) and the step (250). The seismically quiet period ranges from January

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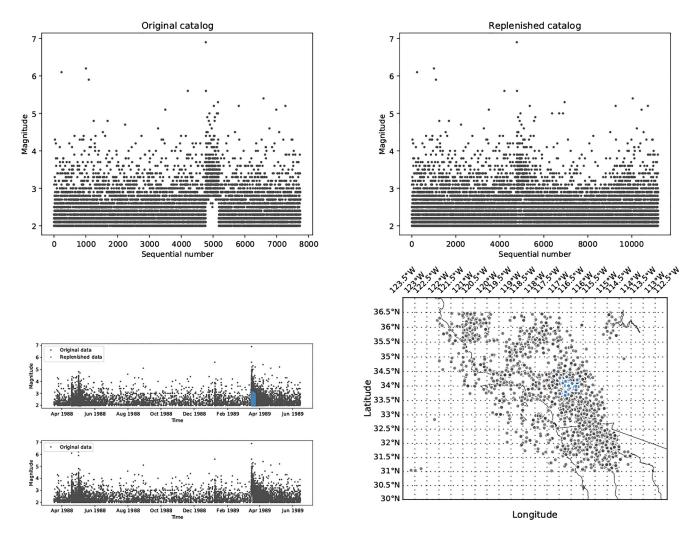


Figure 3. Main graphical outputs of the algorithm. Top left: Magnitudes versus sequential numbers for the original (synthetic) catalog; Top right: Magnitudes vs. sequential numbers for the replenished catalog; Bottom left: Magnitude vs. time for (a) the original catalog and the reconstructed events (b) the original catalog only; Bottom right: Spatial map of the original events with overlapping reconstructed events.

1, 2016 to August 24, 2016 and includes 2,351 events. We estimate the reference value for the magnitude of completeness M_c^* with the Lilliefors test provided by the algorithm, which returns $M_c^* = 1.3$. This leaves 11,429 earthquakes with $M \geq M_c^*$. Finally, we set the b-value for the Gutenberg-Richter law equal to 1. The replenished catalog includes 17,428 events. Figure 5 plots the magnitude of completeness as a function of time, with highlighted the detected STAI gaps (four in this case). The magnitude of completeness is recovered to the reference value M_c^* after about 1 month. Figure 6 shows the other graphical outputs returned by the algorithm. While the ground truth is not known in the real-case test, we observe that the missing events are correctly reconstructed in a way which is consistent with data.

5. Conclusions

We have presented RESTORE, a new Python toolbox for the reconstruction of magnitude, time and location of events missed in the coda of large shocks. It relies on very few assumptions – for example, the detection rate of events can be assumed to be constant within periods of time that are much shorter than the STAI extent. It also relies on a data-driven approach, which is built on well-known empirical properties of earth-quake data, such as the Gutenberg-Richter law for the frequency-magnitude distribution and the aftershocks clustering in space. The critical subsets of the catalog that are affected by STAI are automatically detected

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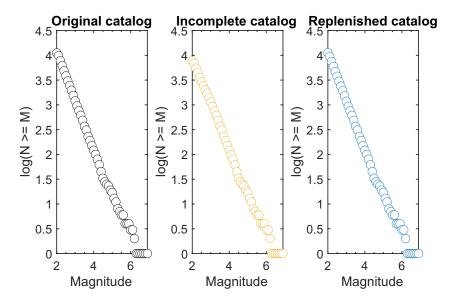


Figure 4. Frequency-magnitude distribution. From left to right: Original synthetic catalog before short term aftershock incompleteness (STAI) modeling, original synthetic catalog after STAI modeling, replenished catalog.

through a moving-window approach, which identifies statistically significant departures of the magnitude of completeness with respect to a reference value. We demonstrate the robustness of the algorithm by means of a numerical and a real-case test. In the first case, the ground truth is accurately recovered: not only the number of missing earthquakes is correctly retrieved, but their space-time-magnitude stochastic distribution is correctly resolved as well. The real-test case, which applies to the Mw 6.2 Amatrice earthquake, further proves the good performance of the algorithm, which reconstructs the missed events in a way that is consistent with the data. The main advantage of RESTORE lies in its fully data-driven approach. However, this could also represent a drawback if the following aspects are not carefully taken into consideration:

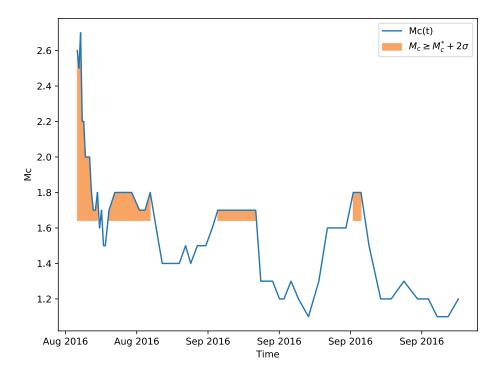


Figure 5. Temporal evolution of the magnitude of completeness, with highlighted the detected short term aftershock incompleteness (STAI) gaps. The moving window includes 1,000 events and is shifted by 250 events.

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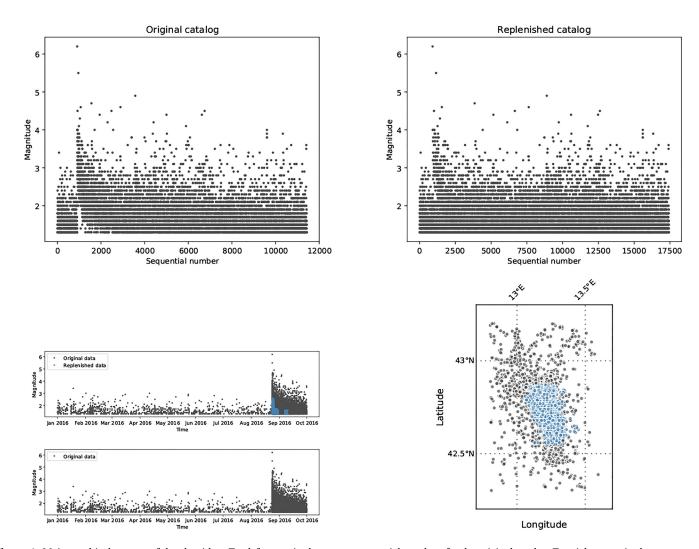


Figure 6. Main graphical outputs of the algorithm. Top left: magnitudes versus sequential numbers for the original catalog; Top right: magnitudes versus sequential numbers for the replenished catalog; Bottom left: magnitudes versus time for (a) the original catalog and the reconstructed events (b) the original catalog only; Bottom right: spatia map of the original events with overlapping reconstructed events.

- 1. the quality of the seismic catalog: strong uncertainties about the earthquake parameters (epicenter coordinates, magnitude, and occurrence time) will affect the properties of the simulated events;
- 2. the seismically quiet period: it must be carefully selected for an accurate estimation of the reference value of the magnitude of completeness. Furthermore, it must be long enough to include a number of events which must be substantially higher than the chosen window size; for an unbiased estimation of M_c^* , the user is required to select the seismically quiet period so to include a number of events N which is a multiple of the selected window size k (we recommend at least N = 4 * k);
- 3. spatial map domain: the same reasoning made for the seismically quiet period applies here as well; the selected area should obviously include the large shock/s and, at the same time, enough events in the seismically quiet period;
- 4. the window size and step: the output provided by RESTORE will be affected by the values provided for these parameters; we recommend to test several alternatives and opt for those assuring the best replenishment. As detailed in the text, too small values for the size and step will likely bias the M_c estimate, whereas too large values will shadow short-term fluctuations of M_c .

RESTORE is made freely available and can be downloaded at the link provided in the Acknowledgments. It promises to become a valuable research tool to tackle the STAI issue, which can severely bias any study

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based on the analysis of real seismic catalogs. Hopefully, it will help reducing these sources of bias, thus leading to better operational earthquake forecasting and seismic hazard assessment.

Appendix A: Calculation of Number of Missing Events

Here, we derive Equation 1 in the text. The frequency-magnitude distribution of earthquakes is typically described by the Gutenberg-Richter (G-R) exponential law (Gutenberg & Richter, 1944):

$$N(M) = 10^{a-bM},\tag{A1}$$

where N(M) is the number of events with magnitude above M (M >= Mmin, that is, the minimum magnitude in the earthquake catalog), a is a constant related to the total seismic rate and b is the b-value, controlling the relative number of large earthquakes in the catalog. Let us consider the case where $M_2 \ge M_1$. We have:

$$N(M \ge M_1) = 10^{a-bM_1}$$

 $N(M \ge M_2) = 10^{a-bM_2}$

We start by expressing $N(M \ge M_1)$ as a function of $N(M \ge M_2)$ and b only, by calculating the ratio:

$$\frac{N(M \ge M_1)}{N(M \ge M_2)} = 10^{-b(M_1 - M_2)} \tag{A2}$$

This simple trick enables us to rescale the problem, that is, to get rid of the term 10^a , which is related to the total seismic rate:

$$N(M \ge M_1) = N(M \ge M_2) \cdot 10^{-b(M_1 - M_2)}$$
(A3)

We observe that $M_2 = M_1 + n \cdot mbin$, where mbin is the magnitude binning (usually equal to 0.1). It follows that:

$$N(M \ge M_1) = N(M \ge M_2) \cdot 10^{b \cdot n \cdot mbin} \tag{A4}$$

For one bin only (i.e., n = 1):

$$N(M \ge M_1) = N(M \ge M_2) \cdot 10^{b \cdot mbin}$$
 (A5)

This equation allows to retrieve the number of expected events with magnitude $M \ge M_1$ as a function of the number of events with magnitude $M \ge M_2$. In other words, we can extrapolate the frequency of earth-quakes above a given magnitude to any lower magnitude cutoff. Note that we implicitly assume the *b*-value is constant for any subset of the whole catalog.

Data Availability Statement

The algorithm RESTORE is available at the following Zenodo repository: http://doi.org/10.5281/zenodo.3952182, and can also be downloaded from GitHub at this link: https://github.com/angystallone/RE-STORE. The repository includes the data set used for the synthetic test as well. The seismic catalog used for the real-case test (Amatrice earthquake) is the HOmogenized instRUmental Seismic catalog (HORUS) of Italy (Lolli et al., 2020) and it can be downloaded at this link: http://horus.bo.ingv.it/. The routine *Mc-Lilliefors* implemented in RESTORE for the magnitude of completeness estimation is available at the following Zenodo repository: https://doi.org/10.5281/zenodo.4162496.

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