

Challenges to equatorial plasma bubble and ionospheric scintillation short-term forecasting and future aspects in East and Southeast Asia

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Abstract

Equatorial plasma bubbles (EPBs) can cause rapid fluctuations in amplitude and phase of radio signals traversing the ionosphere, and in turn produce serious ionospheric scintillations and disrupt satellite-based communication links. Whereas numerous studies on the generation and evolution of EPBs have been performed, the prediction of EPB and ionospheric scintillation occurrences still remains unresolved. The generalized Rayleigh-Taylor (R-T) instability has been widely accepted as the physical mechanism responsible for the generation of EPBs. But how the factors, which seed the development of R-T instability and control the dynamics of EPBs and resultant ionospheric scintillations, change on a short-term basis are not clear. In the East and Southeast Asia, there exist significant differences in the generation rates of EPBs at closely located stations for example Kototabang (0.2°S, 100.3°E) and Sanya (18.3°N, 109.6°E), indicating that the decorrelation distance of EPB generation is small (hundreds of kilometers) in longitude. In contrast, after the initial generation of EPBs at one longitude, they can drift zonally more than 2000 km and extend from the magnetic equator to middle latitudes of 40° or higher under some conditions. These features make it difficult to identify the possible seeding sources for the EPBs and to accurately predict their occurrence, especially when the onset locations of EPBs are far outside the observation sector. This paper presents a review on the current knowledge of EPBs and ionospheric scintillations in the East and Southeast Asia, including their generation mechanism and occurrence morphology, and discusses some unresolved issues related to their short-term forecasting, including 1) what factors control the generation of EPBs, its day-to-day variability and storm-time behavior, 2) what factors control the evolution and lifetime of EPBs, and 3) how to accurately determine ionospheric scintillation from EPB measurements. Special focus is given to the whole process of the EPB generation, development and disruption. The current observing capabilities, future new facilities and campaign observations in the East and Southeast Asia in helping to better understand the short-term variability of EPBs and ionospheric scintillations are outlined.

Keywords

51 Ionospheric scintillation, equatorial plasma bubble, short term variability, the East and
52 Southeast Asia

53

54 **1 Introduction**

55 Equatorial plasma bubble (EPB) is a type of large-scale magnetic field-aligned structures
56 featured by plasma density depletion relative to the background ionosphere, which is initially
57 generated at the bottomside of ionospheric F region over the magnetic equator (Kelley, 2009).
58 Its growth leads to the formation of various ionospheric irregularities, whose typical scale sizes
59 are effective in creating diffraction of radio signals and resulting in rapid fluctuations in the
60 signal amplitude, phase, propagation and polarization, i.e., ionospheric scintillations (e.g., Basu
61 S. and S. Basu, 1981; Bhattacharyya, 1990; Wernik et al., 2003). The composite of the EPB
62 and associated smaller scale irregularities are widely referred to as the equatorial spread-F
63 (ESF), or convective ionospheric storm (Kelley, 2009). Since the early studies on radio wave
64 scintillations in the very high frequency-ultra high frequency (VHF-UHF) band (e.g., Aarons,
65 1982; Liang et al., 1994), a close relationship between the occurrences of EPBs and severe
66 ionospheric scintillations at different radio bands has been observed at low latitudes (e.g.,
67 Alfonsi et al., 2011; Spogli et al., 2016; Xiong et al., 2016).

68

69 EPBs pose serious threats to trans-ionospheric radio signals, with various consequences in
70 communication and navigation. Kelly et al. (2014) reported that the outage of UHF satellite-
71 helicopter communication link in Afghanistan on 4 March 2002 could be linked to the
72 occurrence of EPBs. Concerning navigation, the reliability of Global Navigation Satellite
73 System (GNSS) service is seriously challenged by the presence of EPBs, causing tens of meters
74 or more deviations away from the correct position (e.g., Kintner et al., 2001). Under strong
75 scintillations caused by EPBs, the amplitude of GNSS signal fading can be up to more than 25
76 dB that can disrupt GNSS receivers' carrier tracking loop to satellite channels, causing loss-
77 of-lock of GNSS signals, decrease of the amount of available GNSS satellites for positioning,
78 and communication/navigation failures (e.g., Seo et al., 2009; Zhang et al., 2010; Spogli et al.,
79 2016). Since the GNSS signals have been widely used in many applications of modern high
80 technology society, the need for forecasting the occurrences of EPB and ionospheric
81 scintillation, and mitigating their effects becomes more important than ever. Despite some
82 attempts have been made to predict GNSS scintillations at low latitudes (e.g., Rezende et al.,
83 2010; Alfonsi et al., 2017; Grzesiak et al., 2018), a final word is far to be told.

84

85 Leveraging on a variety of techniques based on observations like Total Electron Content (TEC)
86 and scintillation indices from GNSS receiver, echoes from ionosonde, coherent and incoherent
87 scatter radars, airglow imaging, and in-situ observations from Low-Earth Orbit satellite
88 instruments, EPB and associated irregularities have been widely investigated (Kelley, 2009;
89 Woodman, 2009, and the references therein). One prominent feature of the EPB structure as
90 revealed from previous studies is that the EPB depletion can penetrate the F layer peak onto
91 the topside ionosphere, also extending along the magnetic field lines to low, even middle
92 latitudes. By making use of the Jicamarca radar observations, a backscatter plume structure
93 starting at F region bottomside and extending 600 km altitude was reported by Woodman and
94 La Hoz (1976). From observations of two-dimensional maps of backscatter echoes by the
95 ALTAIR radar, together with simultaneous satellite in-situ measurements of plasma density,
96 Tsunoda (1981) showed that the radar backscatter plumes were related to the EPBs with deep
97 plasma density bite outs and very steep boundaries. Using the all-sky airglow imager
98 observation over Sata (31.0°N, 130.7°E) and over its near conjugate station Darwin (12.4°S,
99 131.0°E), Otsuka et al. (2002) reported similar structures of EPB depletions simultaneously
100 detected by the two imagers, demonstrating thereby that EPBs are depleted plasma density

101 structures elongated along the magnetic field lines to low latitudes over both hemispheres.
102 Whereas most of the EPBs are confined within the equatorial and low latitude regions, there
103 are a few cases of super plasma bubble and strong scintillation events reported to have occurred
104 at middle latitudes of 40°N or higher in some longitude sectors during geomagnetic storms
105 (e.g., Ma et al., 2006; Sahai et al., 2009; Li et al., 2009a, 2018a; Katamzi-Joseph et al., 2017;
106 Aa et al, 2018), indicating that the EPB depletion structures could reach an apex altitudes as
107 high as 3400 km or more over the magnetic equator.

108
109 In the zonal (east-west) direction, the width of an EPB depletion may vary from tens to
110 hundreds of kilometers. Statistical results from the Ion Velocity Meter (IVM) measurements
111 onboard the Communications/ Navigation Outage Forecasting System (C/NOFS) satellite
112 showed that the widths of EPBs could vary from 110 km to 460 km, with a prominent peak
113 occurring around 200 km. The absence of smaller scale EPB structures was caused by the
114 median filter employed in the processing of plasma density data from the IVM (Smith and
115 Heelis, 2017). Observations from ground-based airglow imagers show that the widths of EPBs
116 due to the seeding of small-scale wave structure (Liu et al., 2019) and of bifurcated EPBs
117 (Shiokawa et al., 2004) can be down to tens of kilometers, about 70 km and 50 km, respectively.
118 Whereas the widths of EPBs are generally on the order of hundreds of kilometers, they may
119 affect a large longitudinal sector of thousand kilometers due to their zonal drifts, which are
120 strongly driven by the thermospheric zonal winds that are usually eastward under geomagnetic
121 quiet conditions (e.g., Muella et al., 2017). The Kototabang (0.2°S, 100.3°E) and Sanya
122 (18.3°N, 109.6°E) radar campaign observations in the East and Southeast Asia showed that
123 EPBs could drift zonally more than 2000 km away from their onset longitude (Li et al., 2013).

124
125 Whereas the general features of EPBs and their medium term variability have been well
126 understood, the factors controlling the day-to-day and shorter term variabilities of the EPB and
127 scintillation occurrences are still not clear (e.g., Abdu, 2001, 2019; Yamamoto et al., 2018). In
128 the East and Southeast Asia, the seasons of high and low probability of EPB occurrences are
129 equinoctial and solstitial months, respectively. However, observations have shown that the
130 EPBs might be absent (present) on some nights of equinoctial (solstitial) months. Under similar
131 ionospheric background conditions, for example, under the same upward vertical plasma drift
132 of the F layer, EPBs do not always occur during consecutive days of equinoctial months (e.g.,
133 Tsunoda et al., 2010; Li et al., 2012). On the other hand, during June solstitial months, EPBs
134 have been observed occasionally (e.g., Ajith et al., 2018; Carter et al., 2018; Otsuka, 2018).
135 The main difficulties for the predictability of EPBs and ionospheric scintillations concern the
136 following questions: (1) What factors control the generation of EPBs and how the controlling
137 factors change on a day-to-day basis? (2) What factors control the evolution and lifetime of
138 EPBs, since the zonally drifting EPBs may contribute to the hourly variability of the EPBs over
139 a given longitude? (3) How to accurately determine ionospheric scintillation from EPB
140 measurements? In this paper, we present a review on our current knowledge of EPB and
141 ionospheric scintillation occurrences over the East and Southeast Asia, and discuss some
142 unresolved issues related to their predictability, with special focus on the observation of EPB
143 from its generation to disappearance. To track the whole process of EPBs, campaign
144 observations with multiple ionospheric networks in the East and Southeast Asia are proposed.
145 Future aspects on the ionospheric facilities being planned or built to better understand the short
146 term variability of EPB and scintillation are included.

147 148 **2 General mechanisms of EPB and ionospheric scintillation**

149 The generation of EPBs has been well accepted as resulting from the generalized Rayleigh–
150 Taylor (R-T) instability (Kelley, 2009). The linear growth rate of R-T instability (γ) depends

151 on the F region upward vertical plasma drift (V) by $E \times B / B^2$ due to the eastward electric field
 152 (E), the upward neutral wind perpendicular to the magnetic field (U), the ion-neutral collision
 153 frequency (ν_{in}), the plasma density gradient scale length (L), the recombination rate (β), the E
 154 and F region field-line-integrated conductivity (Σ_p^E, Σ_p^F), and is given as (Sultan, 1996),

$$155 \quad \gamma = (1/L) \times \frac{\Sigma_p^F}{\Sigma_p^E + \Sigma_p^F} \times (V - U + g/\nu_{in}) - \beta,$$

156 A steep [upward density gradient](#), which is antiparallel to gravity g , usually exists at F region
 157 bottomside over the magnetic equator. During daytime, the conductivity in the low latitude E
 158 region (Σ_p^E) is very high (due to solar radiation), and can short out the F region polarization
 159 electric fields and thus suppress the growth of the R-T instability. The EPBs are difficult to be
 160 generated during daytime except in some unusual circumstances, such as, when a plasma
 161 density perturbation structure with extremely large density gradient is created by artificial
 162 sources (Li et al., 2018b). The radar beam steering measurements over Kototabang have shown
 163 that almost all EPB plumes are generated after sunset over the magnetic equator (Yokoyama
 164 et al., 2004). [After sunset, there is no photoionization in the ionosphere. Charged particles at
 165 lower altitudes are recombined quickly, resulting in higher altitude of the F layer and smaller
 166 scale length of the density gradient \(\$L\$ \). The E region conductivity decreases drastically after
 167 sunset, causing a large conductivity gradient in the zonal direction near the sunset terminator.
 168 To maintain the divergence-free condition of the electric currents, the zonal component of F
 169 region electric field often increases to a large eastward value, which is known as the pre-
 170 reversal enhancement of the eastward electric field \(PRE\) \(e.g., Eccles et al., 2015\). The PRE
 171 can drive large upward vertical drifts that can elevate the F region to higher altitude of lower
 172 \$\nu_{in}\$ and \$\beta\$, and thus cause larger growth rate of R-T instability.](#)

173
 174 The linear growth rate of R-T instability at nighttime, which is primarily controlled by F layer
 175 height and field-line-integrated conductivity, is usually less than 2 e-folds per hour. The growth
 176 rate is too small to explain the rapid development of EPBs after sunset (e.g., Woodman, 2009).
 177 On the other hand, Abdu et al. (2008) reported an unusual case over Brazil where very large
 178 upward plasma drifts up to ~ 1000 m/s were observed but without EPBs during the geomagnetic
 179 storm of October 2003. Some other factors could play important roles on the generation of
 180 EPBs. In previous numerical simulations of EPBs, an initial seeding with plasma density
 181 perturbation at the F region bottomside was studied. [Whereas the seeding perturbation itself
 182 does not change the growth rate of the R-T instability, simulation results have shown that an
 183 initial perturbation ~~at~~ \$\sim 5\%\$ or so can greatly reduce the time required for the R-T instability to
 184 grow into EPBs](#) (see a review by Yokoyama, 2017). The gravity wave launched from
 185 atmospheric convective activity in the low atmosphere, such as, in the intertropical
 186 convergence zone (ITCZ), is one possible source producing the density perturbations (see
 187 reviews by Tsunoda et al., 2018; Abdu, 2019). Some case studies suggested a possible link
 188 between the occurrences of tropical cyclone and EPB (e.g., Yang and Liu, 2016). Another
 189 possible source is the collisional shear flow in the F region bottomside, where the plasma drifts
 190 westward but the neutral wind is eastward near sunset (Hysell and Kudeki, 2004).

191
 192 The scale sizes of the EPB structure are usually on the order of thousands of kilometers along
 193 magnetic field line and tens to hundreds of kilometers in the direction perpendicular to
 194 magnetic field line. Such large-scale structures cannot explain the concurrent ionospheric
 195 scintillations and radar backscatter plume echoes. The amplitude scintillation index S_4 , which
 196 has been widely used in the study of ionospheric scintillation and defined as the normalized
 197 variation of signal intensity (Yeh and Liu, 1982), is given by:

$$198 \quad S_4 = \sqrt{(\langle I^2 \rangle - \langle I \rangle^2) / \langle I \rangle^2},$$

199 where I is the signal intensity, $\langle \rangle$ means the ensemble average. The intensity of scintillation
200 depends on the radio wave frequency. The scintillation is mainly caused by the plasma density
201 irregularities with the scale size below the first Fresnel scale (e.g., Yeh and Liu, 1982) and
202 defined as:

$$203 \quad d_F = \sqrt{2\lambda z},$$

204 where z is the height of irregularity layer, λ is radio wave length. For the GPS L1 frequency
205 (1575.42 MHz), the first Fresnel scale is about 390 m (by assuming that z is 400 km).
206 Ionospheric scintillations have been recorded by making use of the VHF/UHF to L-band
207 satellite beacons (Basu et al., 1988), indicating that the signals traverse the irregularities with
208 size of hundreds of meters. The radar backscatter plumes are produced from echoes due to
209 Bragg scattering from the irregularities with the size of half the radar wavelength. Most of the
210 ionospheric radars are operated at frequencies in the range of 30-50 MHz for detecting
211 irregularities with the scale size of a few meters. Specifically, plasma density irregularities
212 were also detected by X-band radars (with operational frequencies up to a few GHz) (e.g., Xu
213 et al., 2004; Mohanty et al., 2018), indicating that the size of irregularities can be down to a
214 few centimeters. The observations demonstrate that within the EPBs, there exist various scale
215 sizes of irregularities filling the whole depletion structure.

216
217 It was suggested that small-scale irregularities can be generated through the cascading process
218 during the upward growth of EPB depletion structure (Haerendel, 1974). The polarization
219 electric fields generated within large-scale depletion structures of EPBs can extend long
220 distances along the magnetic field lines. It is expected that [the large-scale polarization electric
221 fields \(within large-scale EPB structures\)](#) can map along magnetic field lines to produce similar
222 large-scale structures at low latitudes of both hemispheres, as demonstrated by airglow imager
223 observations at magnetic conjugate stations (Otsuka et al., 2002). However, for the small-scale
224 irregularities generated within EPBs over the magnetic equator, the mapping to low latitudes
225 is difficult because the small-scale polarization electric fields associated with the irregularities
226 can be partially, or fully, short-circuited. LaBelle (1985) estimated the mapping efficiency of
227 electric fields generated within different scale sizes of irregularities along magnetic field lines.
228 For the F region irregularity structure with scale sizes larger than 10 km, the mapping efficiency
229 of electric fields from the magnetic equator to low latitudes is more than 60%. Larger scale (for
230 example 50 km) structure corresponds to higher mapping efficiency (~90%). For the
231 polarization electric fields generated within smaller scale (less than 1 km) irregularities, the
232 mapping efficiency is close to 10% or less, indicating that the small-scale polarization electric
233 fields cannot map over long distance. The small-scale irregularities which produce radar
234 backscatter echo plumes and ionospheric scintillations at low/middle latitudes are not due to
235 the direct mapping of [polarization electric fields](#) generated within small-scale EPB
236 irregularities over the magnetic equator, but generated locally through the cascading process
237 within the large-scale depletions at low/middle latitudes.

238
239 Besides the EPB irregularities, there are two other types of irregularities which may also cause
240 ionospheric scintillations at low/middle latitudes. These are the E region irregularities
241 associated with the sporadic E layer and the F region irregularities generated through the
242 Perkins instability (e.g., Alfonsi et al., 2013; Yang and Liu, 2018). The frequency-type spread-
243 F in ionograms at mid latitudes are usually due to the irregularities generated through the
244 Perkins instability (e.g., Xiao et al., 2009; Balan et al., 2018). For a specific propagation
245 geometry of radio signals traversing an ionospheric irregularity structure, the scintillation
246 intensity depends on the background ionospheric density, the thickness of irregularity structure,
247 and the root-mean-square of electron density fluctuations ($\langle \Delta N^2 \rangle^{1/2}$) inside the structure (e.g.,

248 Yeh and Liu, 1982). EPBs often cover hundreds of kilometers or more in the east-west, north-
249 south and altitude directions, and penetrate the F region peak onto the topside ionosphere. The
250 background plasma density and the density gradient in the boundary of EPBs near the altitudes
251 of F region peak are the largest. The scintillation produced by the EPB irregularities can be
252 very strong with saturated values of S_4 . However, for the other two types of irregularities that
253 are embedded within relatively small patches or thin-layered structures, the ionospheric
254 scintillations are usually weak and of short durations (a few minutes), and do not significantly
255 impact on the functionalities of satellite-based communication links.

256

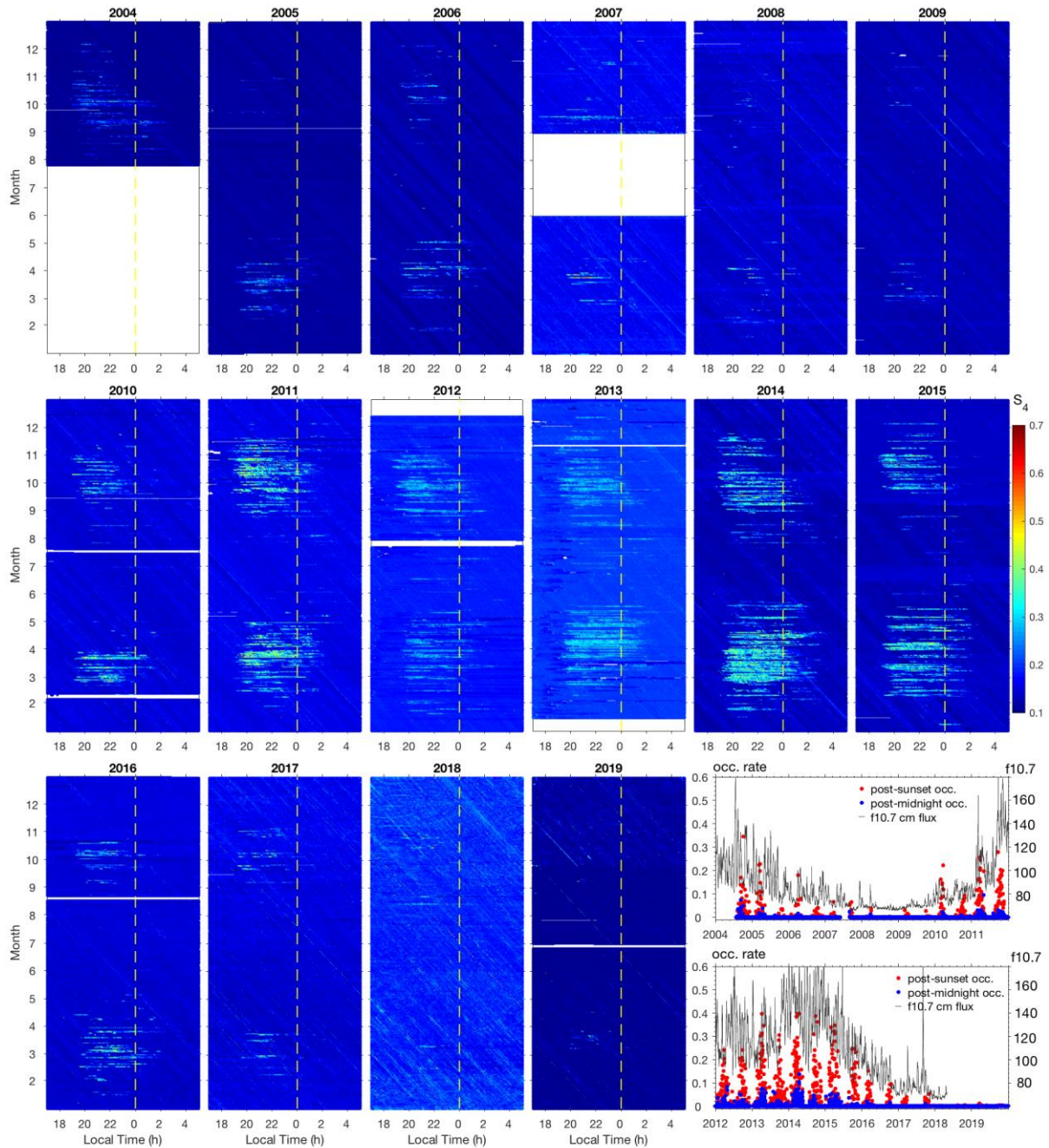
257 **3 Occurrence morphology of post-sunset, post-midnight and daytime EPBs and** 258 **ionospheric scintillations**

259 Based on the time of occurrence, the EPBs can be classified into three categories, the post-
260 sunset (~18-24 LT), the post-midnight (~00-06 LT) and the daytime (~06-18 LT) EPBs. Under
261 geomagnetic quiet conditions, the EPBs are generated mainly during post-sunset hours
262 following the vertical drift enhancement of the F region plasma (Yokoyama et al., 2004). The
263 EPBs observed during the post-midnight are usually due to the long lifetime of the EPBs
264 generated at post-sunset hours, as shown, such as, in the statistical results of EPB occurrence
265 obtained from a global GNSS TEC receiver network (Li et al., 2011). On the other hand, some
266 post-midnight EPBs are not the continuation of post-sunset EPBs, but initially generated during
267 midnight/post-midnight hours, especially during solar minimum years (see a review by Otsuka,
268 2018). The EPBs generated during midnight, or later could survive to daytime hours. Huang et
269 al. (2012) reported a special case of EPBs that was initially observed at 0200 LT and lasted for
270 about 12 hours to the afternoon (1400 LT). Under geomagnetic active conditions, the EPBs
271 could be generated later in the night (even near sunrise) due to the contribution of storm time
272 disturbed electric fields (e.g., Fukao et al., 2003). Almost all the daytime EPBs are the
273 continuation of the EPBs generated at nighttime.

274

275 The climatological behavior of the EPB occurrences under geomagnetic quiet conditions has
276 been well understood (e.g., Su et al., 2006). In general, the occurrence rate of the post-sunset
277 EPBs peaks at solar maximum. At a given longitude, the post-sunset EPBs mainly occur during
278 the months when the sunset terminator is aligned with the magnetic meridian (Abdu et al.,
279 1981a). The longitudinal and seasonal variations of the post-sunset EPBs can be explained by
280 the longitudinal gradient of field-line-integrated E region conductivity (Tsunoda, 1985). The
281 amplitudes of PRE, which greatly affect the growth of R-T instability and hence the EPB
282 generation, are sensitive to the longitudinal gradient of the field-line-integrated E region
283 conductivity. A good correspondence between the seasonal variations of EPBs and of plasma
284 vertical drifts driven by the PRE was found from Jicamarca observations (Fejer et al., 1999)
285 and from ROCSAT global observations (Li et al., 2007). Based on a comparative analysis of
286 the EPB occurrence rates with the sunset vertical plasma drifts, a linear relationship was found
287 for all seasons (e.g., Kil et al., 2009). Statistical results from the C/NOFS data during 2008-
288 2013 showed that the EPB occurrence rates get very close to 100% when the upward vertical
289 plasma drifts driven by PRE are more than 40 m/s (Huang and Hairston, 2015; Huang, 2018).

290



291
 292 **Figure 1.** The occurrence morphology of GPS ionospheric scintillations observed over the low
 293 latitude station Sanya during 2004-2019. The vertical dashed lines superimposed in the color
 294 plots represent the midnight. The slant stripes are due to multipath effect. The right bottom two
 295 panels show the variations of solar 10.7 cm flux (black line), and post-sunset (red dots) and
 296 post-midnight scintillation occurrence rates (blue dots) as a function of time (date). The post-
 297 sunset and post-midnight occurrence rates are defined as the number of data points with $S_4 > 0.2$
 298 divided by the total number of data points (for all GPS satellites with elevation $> 25^\circ$) during
 299 18-24 LT and 00-06 LT on each day, respectively. Note that in 2018 and 2019, the occurrence
 300 rates are almost 0%, indicating that the threshold of 0.2 is appropriate for removing the possible
 301 contamination by multipath effect (which is apparent in 2018) in the statistics.
 302

303 Over East and Southeast Asia, the magnetic declination angle is very small ($\sim 1^\circ$) and the
 304 magnetic equator offset from the geographic equator does not vary much with longitude. Large
 305 PRE and high EPB occurrence rates are expected during the equinoctial months (March, April,

306 September and October) when the E region sunset at low latitudes of both hemispheres occur
307 at roughly the same time with the apex sunset over the magnetic equator. Previous observations
308 from the low latitude stations in the East and Southeast Asia showed that the EPB occurrence
309 maximized in the equinoctial months (e.g., Shi et al., 2011; Buhari et al., 2017). At post-sunset
310 hours, the EPBs are usually in the development phase, during which they are composed of
311 various scale sizes of irregularities, which can contribute to ionospheric scintillations. The
312 scintillations in the East and Southeast Asia are mainly equinoctial phenomena (e.g., Liu et al.,
313 2015; Tran et al., 2017).

314
315 Figure 1 shows the local time, seasonal and solar activity dependences of amplitude
316 scintillations measured by GPS scintillation receiver at the low latitude station Sanya. All the
317 S_4 values are observed with elevation angles greater than 25° and 1-min interval, but only the
318 maximum S_4 are plotted in Figure 1. It is evident from the figure that ionospheric scintillation
319 mainly occurs at post-sunset hours during equinoctial months of solar maximum. With the
320 decrease (increase) in solar flux, the scintillation intensity correspondingly decreases
321 (increases). The scintillation was rarely observed in the low solar flux years 2008–2009 and
322 2018–2019. The right bottom two panels show the variations of post-sunset and post-midnight
323 scintillation occurrence rates and of 10.7cm solar flux as a function of time (date). At solar
324 maximum year, the occurrence rate of post-sunset scintillation can be up to 40% on some days,
325 indicating that during the period, 40% of satellite-receiver links could be affected by
326 scintillations over Sanya.

327
328 Unlike the post-sunset EPBs/ionospheric scintillations which are positively correlated with
329 solar activity and have similar longitudinal/seasonal patterns for high and low solar activities,
330 the occurrences of post-midnight EPBs and scintillations show complex behavior. The post-
331 midnight EPBs do not always cause ionospheric scintillations, [which depend on the change of](#)
332 [total electron density \(e.g., Huang et al., 2014\)](#). At the solar maximum, the
333 longitudinal/seasonal variations of post-midnight EPBs are similar to those of post-sunset
334 EPBs except the relatively low occurrence rate. This can be explained by the fact that the post-
335 midnight EPBs are mostly the continuation of EPBs generated at post-sunset. However, at the
336 solar minimum, the occurrence rate of post-midnight EPBs which can be higher than that of
337 post-sunset EPBs over some longitude sectors, has different longitudinal/seasonal dependences.
338 The equatorial ionogram spread-F observations at the American, Pacific, and Southeast Asian
339 longitudinal sectors during the June solstice of solar minimum show a higher occurrence rate
340 of irregularities in midnight/post-midnight than post-sunset (Li et al., 2011). Observations from
341 the C/NOFS and Swarm satellites show that post-midnight EPBs appeared mainly during June
342 solstice with an occurrence peak in the African sector, where post-midnight VHF scintillations
343 were also recorded by ground-based receivers (e.g., Yizengaw et al., 2013; Wan et al., 2018).
344 In the Asian sector, an enhanced occurrence of post-midnight irregularities was observed at
345 low latitude stations Gadanki, Kototabang and Sanya during June solstice of solar minimum
346 but without concurrent GPS scintillations (e.g., Otsuka et al., 2009; Patra et al., 2009; Hu et al.,
347 2014). As can be seen from Figure 1, in general, no obvious scintillations were observed at
348 Sanya near midnight/post-midnight during the June solstice of solar minimum. [A reason for](#)
349 [this could be that the midnight/post-midnight background electron density is low. The changes](#)
350 [of total electron density by irregularities are small and thus may not produce apparent](#)
351 [scintillations.](#)

352
353 In the case of post-midnight irregularities observed at low latitudes, the echoing patterns in
354 radar range-time-intensity (RTI) maps may present mid-latitude characteristics at times
355 (Yokoyama et al., 2011). These post-midnight irregularities could be generated locally through

356 the Perkins instability. On the other hand, the simultaneous observations at equatorial and low
357 latitudes present evidence that some of the low latitude post-midnight irregularities could be
358 linked with the EPBs freshly generated through the R-T instability mechanism operating in the
359 midnight/post-midnight hours (e.g., Otsuka et al., 2009; Patra et al., 2009; Li et al., 2011;
360 Yizengaw et al., 2013; Hu et al., 2014; Dao et al., 2017). As described above, the generation
361 of EPBs requires positive growth rate for the R-T instability, which is proportional to the F
362 layer height. The statistical results from the equatorial ionosonde observations at different
363 longitudes during June solstice of solar minimum show that post-midnight irregularities are
364 mostly preceded by substantial height rise of F region near midnight (Li et al., 2011; Nishioka
365 et al., 2012). Upward vertical plasma drifts driven by eastward electric fields around midnight
366 are also detected by satellite in-situ observations (Yizengaw et al., 2013). The height
367 rise/upward drift provides favorable conditions for the growth of R-T instability since the ion-
368 neutral collision frequency decreases with increasing altitude. The meridional neutral wind
369 associated with midnight temperature maximum in the thermosphere was suggested to be
370 another possible cause for the midnight F layer uplift (Otsuka, 2018).

371
372 During daytime, the presence of EPB is not a common phenomenon. Satellite in-situ
373 observations show that the density fluctuations caused by any daytime EPBs are usually small.
374 The longitudinal, seasonal and solar activity dependences of daytime EPBs are still not clear.
375 The daytime EPBs mainly appear at the F region topside, and are related to the long lifetime
376 of the EPBs initially generated at nighttime (Huang et al., 2012). One possibility responsible
377 for the long time survival of the EPBs during daytime is the relatively low plasma production
378 rate in the daytime F region topside. The occurrence rate of the EPBs during daytime decreases
379 gradually from morning to afternoon hours (Kil et al., 2019). Radar observations at equatorial
380 and low latitudes in the American and Southeast Asian sectors also show that daytime F region
381 backscatter echoes are only sporadically observed, with durations of 1-2 hours in RTI map and
382 extremely narrow Doppler spectral widths (e.g., Chau and Woodman, 2001; Chen et al., 2017).
383 Since the radar observations are confined to limited longitude/latitude regions and limited
384 periods of time, it is not clear if the daytime F region backscatter echoes result from the daytime
385 EPBs surviving from nighttime, and how often these daytime echoes appear. A further
386 investigation on the daytime F region backscatter echoes is worth of a future work leveraging
387 on beam steering measurements with multiple radars at closely located longitudes. On the other
388 hand, the fresh generation of daytime F region EPB-like irregularities was observed at low
389 latitudes following the creation of a big ionospheric hole by rocket exhausts (Li et al., 2018b).
390 Both the freshly generated as well as the decayed daytime F region irregularities were not
391 accompanied by ionospheric scintillations. [The spatial scale and/or intensity of the daytime F
392 region irregularities may not be favorable for scintillation.](#)

393 394 **4 Unresolved issues in the EPB and the ionospheric scintillation short-term forecasting**

395 The controlling factors and associated physical mechanisms responsible for the climatological
396 characteristics of EPB occurrence, including the longitudinal, seasonal and solar activity
397 dependences, are well understood. This may enable the development of predictive capability
398 on long-term variability of EPB occurrence at any longitude, for example the monthly
399 forecasting of ionospheric scintillation achieved with the WideBand MODel (WBMOD) in the
400 East and Southeast Asia (e.g., Cervera et al., 2001). However, the factors that explain well the
401 long term variability cannot be used to reliably predict the day-to-day and shorter term
402 variations in the EPB occurrence rates, which have small decorrelation distances in longitudes
403 (see reviews by Tsunoda et al., 2018; Abdu, 2019). Considering that the longitudinal extent of
404 the PRE covers $\sim 30^\circ$ (~ 3000 km in the east-west direction), it may be expected that the
405 generation of the EPBs over a large longitude region could have similar features. However, the

406 radar observations at Kototabang and Sanya which are separated by ~1000 km in longitude
407 show a large difference of EPB generation rates. The significantly higher EPB generation rate
408 over Kototabang was suggested to be linked with the more active ITCZ near the Kototabang
409 longitude, where the gravity wave activity could be more frequent resulting in more intense
410 seeding and the development of the R-T instability (Li et al., 2016). Whereas the generation
411 rates are significantly different, the bubble occurrences which include both zonally drifting and
412 locally generated EPBs over the two longitudes are similar. To forecast EPBs and ionospheric
413 scintillations over a given longitude, it is essential to determine if EPBs will be generated
414 locally, or EPBs will be generated at elsewhere and travel into the given longitude through
415 zonally drifting, and if EPBs will cause ionospheric scintillation. Correspondingly, the main
416 questions on the predictability of EPBs and ionospheric scintillations lie in three aspects: (1)
417 what factors control the generation of EPBs and how the controlling factors change on a day-
418 to-day basis, (2) what factors control the evolution and lifetime of EPBs, and (3) how to
419 accurately determine ionospheric scintillation from EPB measurements.

420

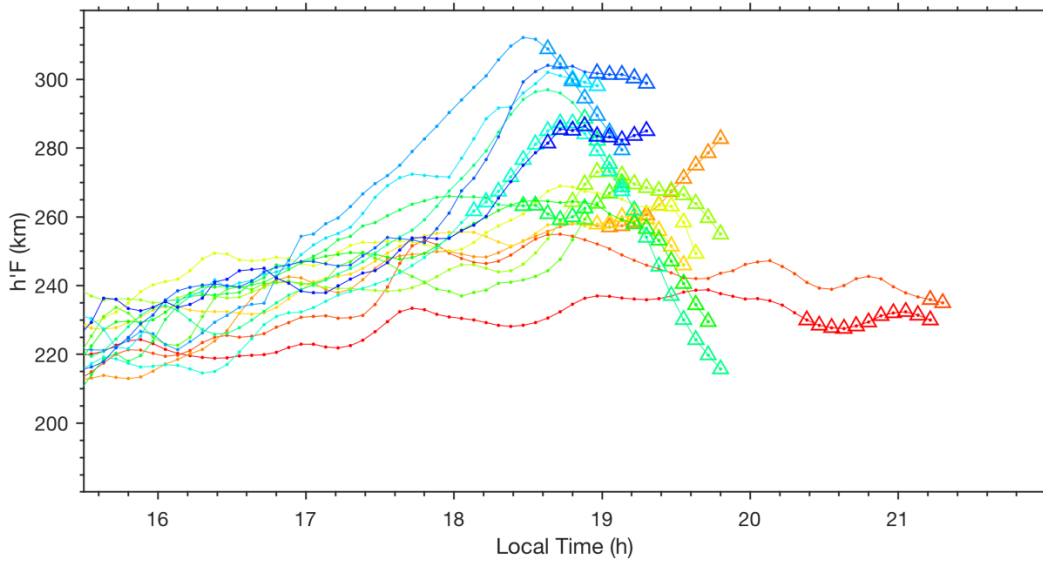
421 **4.1 The factors controlling the EPB generation and its day-to-day variability**

422 The EPB generation is primarily determined by the factors including (1) the plasma density
423 perturbation (wave structure in the east-west direction) at F region bottomside for seeding the
424 R-T instability, (2) [the equatorial vertical plasma drift and F layer height](#), and (3) the field-line-
425 integrated conductivity controlling the growth rate of the R-T instability (see reviews by Abdu,
426 2001, 2019). McClure et al. (1998) explained the generation of EPBs (P_{EPB}) as a product of
427 two occurrence probabilities, that is, $P_{EPB}=P_{seed}P_{inst}$, where P_{seed} and P_{inst} represent the
428 probabilities of perturbation seeding and of R-T instability, respectively. Under the condition
429 when one probability is small but the other probability is high, it may also work effectively to
430 generate EPBs. The day-to-day variability of EPBs depends on the variations of both P_{seed} and
431 P_{inst} , which arise from different sources.

432

433 The occurrence of F region bottomside plasma density perturbation (P_{seed}) can be directly
434 detected by the steerable incoherent scatter radar (e.g., Tsunoda, 2005) and all-sky airglow
435 imager (e.g., Takahashi et al., 2010; Liu et al., 2019), and indirectly derived from the ‘satellite
436 traces’ in ionograms which are caused by the oblique reflections from tilted ionosphere (e.g.,
437 Abdu et al., 1981b; Tsunoda, 2008; Alfonsi et al., 2013) and from the TEC perturbations in
438 longitude (e.g., Tulasi Ram et al., 2014). Based on the two dimensional (altitude versus east-
439 west distance) measurements of ionospheric plasma density with the ALTAIR incoherent
440 scatter radar, Tsunoda (2005) reported that the generation of EPBs is preceded by the presence
441 of plasma density perturbation, representing large-scale wave structure (LSWS) at F region
442 bottomside. The sinusoidal depletion structure of the LSWS can cover more than 1500 km in
443 longitude, as observed by the all-sky airglow imagers at equatorial and low latitudes (Takahashi
444 et al., 2010). The EPBs are known to develop at the crest of LSWS. Abdu et al (2009) reported
445 that the LSWS can appear nearly simultaneously at low latitude magnetic conjugate sites.

446



447
 448 **Figure 2.** Cases showing the occurrences of large-scale wave structure (characterized by
 449 satellite traces in ionograms) and F layer virtual height perturbation over Sanya (updated from
 450 Li et al., 2012). The triangles denote the presence of satellite traces. The different colors denote
 451 the observations on different days.

452
 453 Figure 2 shows some cases of the occurrence of satellite traces (marked with triangles) and the
 454 variations of F layer virtual heights ($h'F$) obtained from the low latitude ionosonde at Sanya
 455 during September-October 2011. The $h'F$ oscillations with weak amplitude were observed
 456 during afternoon hours on some days. These oscillations, which could be linked with LSWS,
 457 are similar to those observed at low latitudes in the South America, where the observed $h'F$
 458 oscillations continuously existed from midday to sunset with the amplitude increasing towards
 459 sunset (Abdu et al., 2015a). Near sunset when the PRE vertical drift increases, the perturbation
 460 amplitude could be amplified (e.g., Tulasi Ram et al., 2014; Tsunoda et al., 2018) and cause
 461 satellite traces in ionograms. [The amplification could be linked with the increasing field-line](#)
 462 [integrated conductivity ratio toward sunset and/or the spatial resonance mechanism of gravity](#)
 463 [waves and background drift \(Huang and Kelley, 1996; Abdu et al., 2015a\). Statistical results](#)
 464 [from the equatorial and low latitude observations showed that the generation of EPBs is almost](#)
 465 [always preceded by satellite traces \(e.g., Tsunoda, 2010; Li et al., 2012; Patra et al., 2013; Abdu](#)
 466 [et al., 2015; Zhu et al., 2015\).](#)

467
 468 The generation of the LSWS is usually attributed to the following three possible mechanisms.

469 1. The collisional shear instability mechanism that is believed to be driven by a velocity shear
 470 associated with the PRE near sunset. The shear flow begins in the afternoon and gets
 471 intensified near sunset when the difference between neutral wind and plasma drift velocities
 472 is on the order of 100 m/s. Hysell and Kudeki (2004) suggested that the shear flow instability
 473 can produce large scale perturbation structure at F region bottomside that could act as a
 474 seeding source for the R-T instability.

475 2. The gravity waves propagating upward to F region bottomside over magnetic equator
 476 might produce the LSWS. Such gravity waves could be generated due to tropospheric
 477 convective activities that are present at any time of the day. The LSWS characterized in
 478 ionogram as the ‘satellite traces’, which appear in the afternoon or midnight (when the shear
 479 flow is usually small) might be linked with gravity waves (e.g., Tsunoda et al., 2018). Li et
 480 al. (2016) explained the extremely large difference of EPB generation rates at closely located
 481 longitudes (in the Asian sector) as being caused partly by the gravity waves sourced in the

482 ITCZ. The SpreadFEx campaign (Fritts et al., 2008) observations showed a good
483 correspondence between the horizontal wavelengths of gravity waves and the inter-bubble
484 distances in longitude, the distances being typically more than 100 km (e.g., Takahashi et al.,
485 2009).

486 3. The large-scale polarization electric fields generated by medium-scale traveling
487 ionospheric disturbance (MSTID) at low latitudes could map along magnetic field lines to
488 equatorial F region bottomside and produce the LSWS. Based on the SAMI3/ESF model
489 simulation, Krall et al (2011) investigated the coupling between the low latitude MSTIDs and
490 the EPBs. When the MSTIDs propagate in a direction nonparallel to the geomagnetic field
491 line, the associated polarization electric fields could map to equatorial F region bottomside
492 and produce an MSTID-like density wave, thus triggering the development of EPBs. The
493 statistical results from the observations over the South America showed a close relationship
494 between the inter-bubble distance and the horizontal wavelength of MSTIDs (Takahashi et
495 al., 2018). In general, both the shear flow and gravity waves (directly or indirectly by the
496 MSTID polarization electric field) may produce the LSWS and thus seed the development of
497 the EPBs. However, the day-to-day variabilities that characterize the shear flow and the
498 gravity wave are unknown, especially for gravity waves that cannot be directly observed.
499 Also, the threshold limits set by the LSWS parameters for EPB generation are not clear
500 because direct observation of LSWS by the steerable incoherent scatter radar is very limited.

501
502 Regarding the equatorial [vertical plasma drift](#) and F layer height, there are several possibilities
503 that may cause its short term variability. One is the background electric fields that may be
504 modified by other factors on a day-to-day basis. Near sunset, the PRE which drives F layer to
505 higher altitudes can be modified by planetary/Kelvin waves, and by storm time prompt
506 penetration electric field (PPEFs) or disturbance dynamo electric fields (DDEFs). These factors
507 causing the day-to-day variability of PRE have been discussed in detail (see reviews by Abdu,
508 2012, 2019, and the references therein). The tidal winds, which affect the sunset E layer
509 conductivity gradient and thus the PRE and F layer height variations, can be modulated by the
510 nonlinear interactions between planetary/Kelvin waves and tidal waves (Abdu et al., 2015b).
511 The unseasonal occurrence of post-sunset EPBs preceded by a substantial uplift of equatorial
512 F layer on 28 July 2014 in Asia was suggested to be linked with planetary waves (Ajith et al.,
513 2018; Carter et al., 2018). At midnight of solar minimum when the temperature maximum
514 frequently occurs in the summer hemisphere, the weakening of westward electric field together
515 with sufficient recombination may cause the rise of F layer height (Otsuka, 2018).

516
517 During geomagnetic storm, the F layer height can be increased at post-sunset (post-midnight)
518 by the PPEFs (DDEFs), or decreased at post-sunset by DDEFs. That is because the polarities
519 of PPEFs (DDEFs) are eastward (westward) at the longitudes in the dayside and westward
520 (eastward) at the longitudes in the nightside (e.g., Abdu, 2012). Super EPBs covering from
521 equatorial to middle latitudes in the East and Southeast Asia were detected in the geomagnetic
522 storms of January, July and November 2004 (Sahai et al., 2009; Li et al., 2009, 2010). Strong
523 scintillations with maximum S_4 of ~ 1 were recorded at the low-middle latitude station Wuhan
524 ($30.5^\circ\text{N}, 114.4^\circ\text{E}$). Especially for the storm of July 2004, the EPBs were generated continuously
525 over a very wide range of the equatorial region, that is, more than 180° in longitude, from
526 American to Southeast Asia sectors (Li et al., 2010). Since in these months, the EPBs, in
527 general, seldom occur in the East and Southeast Asia, it has been suggested that long
528 duration/multiple PPEFs contributed to the rapid elevation of F layer height, thus significantly
529 enhancing the growth of R-T instability and the EPB development. [The PPEFs, which typically
530 occur during the main phase of magnetic storms and last for tens of minutes to 1-2 hours, if](#)

531 appearing in the evening (with eastward polarity), can enhance the background upward plasma
532 drift and thus increasing the EPB occurrence. A few hours after the beginning of storm main
533 phase, the DDEFs often develop and become important during the storm recovery phase. The
534 DDEFs, with westward (eastward) polarity in the postsunset (post-midnight) sector, can
535 suppress or even reverse the background upward (downward) plasma drift and thus suppressing
536 (increasing) the EPB occurrence. Whereas the generation of super EPBs is usually triggered
537 by geomagnetic storm, previous statistical results showed that the occurrence rates of EPBs are
538 statistically inhibited by geomagnetic activity. By using TEC observations at equatorial and
539 low latitudes in the East and Southeast Asia during 2001-2004, a decreasing of pre-midnight
540 EPB occurrence rates with increasing magnetic activity (characterized by Kp index) was
541 observed (Li et al., 2009b). Shinagawa et al. (2018) investigated the relationship between the
542 daily total Kp (ΣK_p) and EPB/scintillation occurrence over low latitude Kototabang during
543 2011-2013. A similar decrease of EPB occurrence rate with increasing ΣK_p was observed.
544 Based on numerical model simulation, Carter et al. (2014) reported that even a small change
545 in Kp can affect (inhibit) the growth rate of R-T instability and EPB during its high occurrence
546 season.

547
548 Another potential source for F layer height variation is gravity waves, which may produce the
549 variations through direct or indirect ways (e.g., Abdu et al., 2009; Li et al., 2012; Joshi et al.,
550 2015). The zonal component of gravity wave perturbation winds can modify the PRE and thus
551 affecting F layer height. The meridional component of perturbation winds can directly affect F
552 layer height (at latitudes away from the magnetic equator). On the other hand, the F layer height
553 variations could be linked directly with the plasma density perturbation structure produced by
554 gravity waves. Statistical results from Saito and Maruyama (2007) showed that the F layer
555 height ($h'F$) at two equatorial stations could be quite different during the days when EPBs were
556 observed. They suggested that the plasma density perturbation structure could cause the $h'F$
557 difference. The F layer height modulation by gravity waves could be interrupted at higher
558 altitude with the start of EPBs (for example please see the orange curve shown in Figure 2).
559 The $h'F$ measured overhead could depend upon the perturbation upwelling depth and zonal
560 distance away from the crest of perturbation structure. Previous studies have shown that even
561 when the PRE is weak, EPB can still be generated at times due to strong plasma density
562 perturbations (e.g., Tsunoda et al., 2010). Because the $h'F$ and vertical drift velocity on both
563 EPB and non-EPB days at one longitude can have large scatters, it is difficult to determine
564 whether or not the EPB occurs using a certain threshold of F layer height.

565
566 The ratio of F region (Σ_p^F) to the total (E and F region) field-line-integrated conductivities ($\Sigma_p^E +$
567 Σ_p^F), which also controls the growth of R-T instability, can be decreased by transequatorial
568 wind and low latitude Es layer. The transequatorial wind causes F layer height rise in one
569 hemisphere and descend in the other hemisphere, and thereby produces opposing effects of Σ_p^F
570 but with a larger amplitude for the decrease of Σ_p^F . As a result, it causes decrease in the ratio
571 and growth rate (Maruyama, 1988). By employing the transequatorial winds derived from the
572 magnetic conjugate ionosonde observations in Southeast Asia ($\sim 100^\circ E$), Maruyama et al.
573 (2009) simulated the EPB development. Their results showed that the growth time of EPB
574 increased by a factor two when the transequatorial wind velocity increased from 10 to 40 m/s,
575 indicating suppression of EPB by transequatorial winds. For the Σ_p^E at low latitude E region
576 which is connected to equatorial F region through magnetic field lines, the occurrence of low
577 latitude Es layer can cause increase in Σ_p^E and thus decrease in the conductivity ratio. Joshi et
578 al. (2013) reported that when blanketing type Es layer occurred at low latitude for a relatively
579 long duration near sunset, no EPB was observed. It was suggested that the thick Es layer has

580 larger Σ_p^E which could reduce the growth rate of the R-T instability significantly. The increased
581 Σ_p^E by Es layer can also slow down the rise of sunset F layer height (Carrasco et al., 2005). One
582 general mechanism for Es layer is the tidal wind shear which can be modified also by gravity
583 waves and planetary waves.

584

585 **4.2 The factors controlling the evolution and lifetime of EPBs**

586 After the initial generation at the bottomside of the equatorial F region, the EPBs can rise to
587 higher altitudes extending along the magnetic field lines to low, or even middle latitudes, and
588 drift zonally (toward east or west) for a long distance up to more than 2000 km. The rising
589 altitude and zonally drifting distance may determine how wide an area may be affected by the
590 EPB and the associated scintillation.

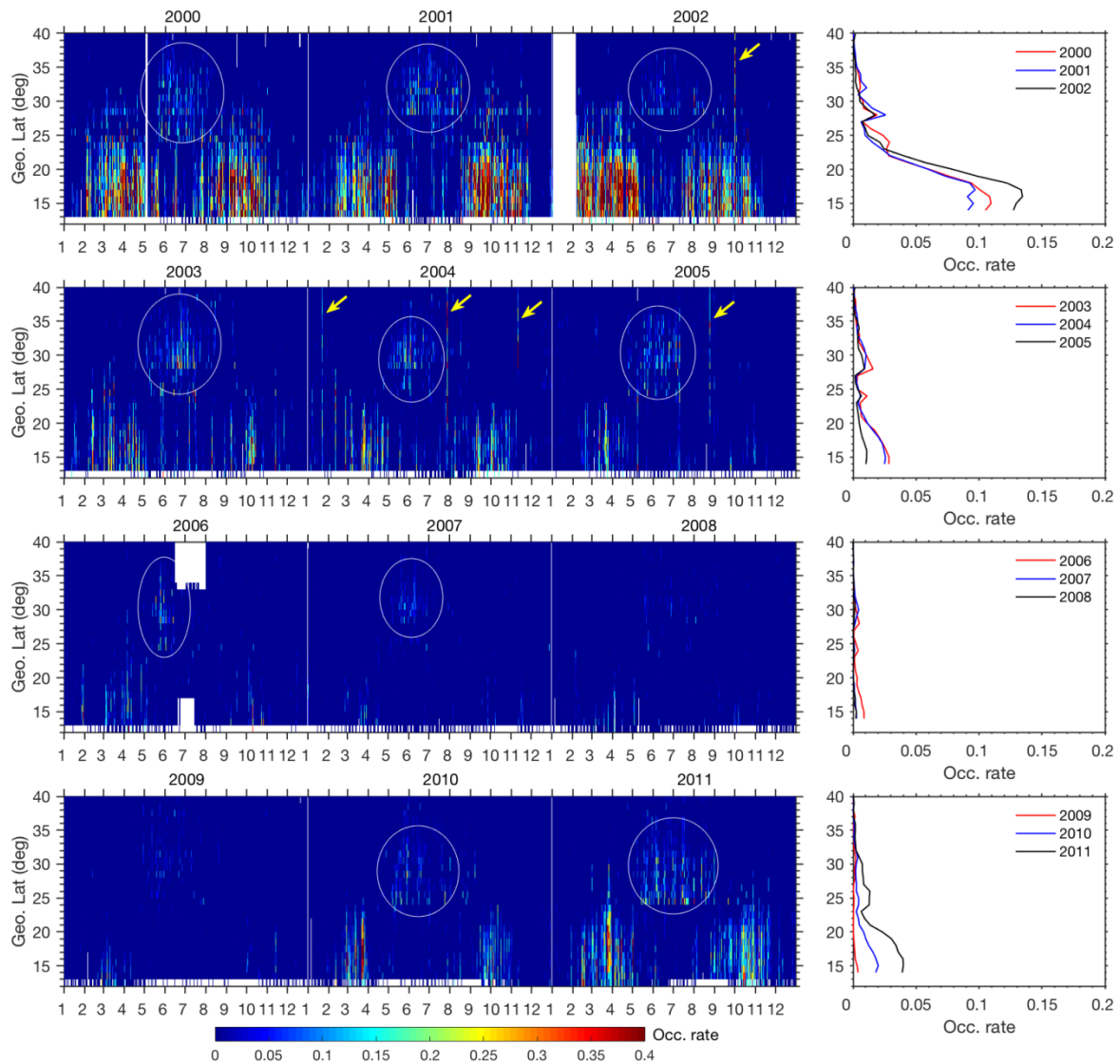
591

592 Figure 3 shows the latitudinal occurrence rates of kilometer-scale plasma irregularities derived
593 from GNSS TEC measurements around 110°E. By using a similar method as that used by Li
594 et al. (2009a), the rate of TEC index (ROTI), which was defined as the standard deviation of
595 the rate of TEC change (ROT) over a five-minute window (Pi et al., 1997), was employed to
596 estimate the occurrence rates of irregularities with a latitudinal resolution of 1° from 13°N to
597 40°N in the longitude region of 105-115°E. For the TEC data sampled at 30-s intervals, the
598 scale sizes of irregularities producing significant ROTI values are on the order of a few
599 kilometers (for example 6 km), depending on the zonal drift velocity over ionospheric pierce
600 point (for example 100 m/s).

601

602 As shown in the left color maps of Figure 3, two types of irregularities occurring at different
603 latitudes are identified from the ROTI measurements. One is the F region irregularities
604 generated at middle latitudes through the Perkins instability and related with Es/MSTIDs
605 during June solstice (Balan et al., 2018, and the references therein), as indicated by the
606 superimposed circles. The right panels show that the middle latitude irregularities present a
607 sub-peak of occurrence rate centering around 28-29°N. The other type is the EPB irregularities
608 which cause the main occurrence peak at low latitudes. In general, both middle latitude
609 irregularities and EPB (low latitude) irregularities increase with solar activity. Here we will
610 focus only on the EPB irregularities. At solar maximum (2000-2002), most EPB irregularities
611 were observed at latitudes up to ~25°N (assuming the irregularity altitude 300 km) which
612 corresponded to the apex altitude of ~1050 km over the magnetic equator at 110°E. The
613 occurrence peak of EPB kilometer-scale irregularities were situated around 17°N, instead of
614 lower latitudes close to the magnetic equator. This is consistent with the occurrence peak of
615 hundred meter-scale irregularities which are expected to produce ionospheric scintillation
616 maxima near the crest of equatorial ionization anomaly (EIA) (e.g., Abadi et al., 2014). At solar
617 minimum (2007-2009), the EPB irregularities were detected mainly at latitudes below 18°N,
618 indicating that the peak altitudes of EPB plumes over the magnetic equator were usually less
619 than 550 km. On the other hand, it can be noted from the color maps of Figure 3 that a few
620 EPB irregularities were observed extending from low to middle latitudes up to 40°N or higher
621 (as indicated by the superimposed arrows), corresponding to the apex altitude of ~3400 km
622 over the magnetic equator at 110°E. These plasma bubble events at mid latitude occurred
623 during the geomagnetic storms of October 2002, January, July and November 2004, and
624 August 2005, respectively. Some of these storm time middle latitude plasma bubble cases in
625 the East and Southeast Asia have been investigated in previous studies (e.g., Xu et al., 2007;
626 Sahai et al., 2009; Li et al., 2009a, b, 2010). Geomagnetic activity may cause modifications in
627 the background electric field and plasma density significant enough to provide favorable
628 conditions for EPBs to attain higher enough altitudes and thus extending to higher latitudes.

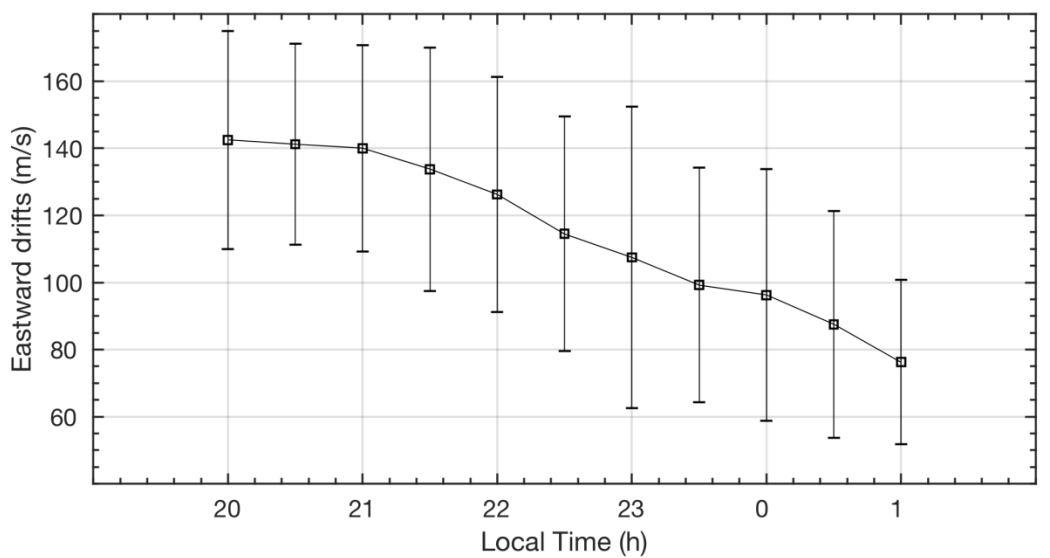
629



630
 631 **Figure 3.** Latitudinal occurrence rates of F region kilometer-scale plasma irregularities derived
 632 from TEC measurements. In the left colored maps, the latitudinal occurrence rates, as a function
 633 of time (date), were calculated with the number of $(\text{ROTI} - \text{ROTI}_{\text{avg}}) > 0.2$ divided by the total
 634 number of ROTI within the grid of 10° in longitude ($105\text{-}115^\circ\text{E}$) and 1° in latitude during
 635 nighttime 18-06 LT on each day. ROTI_{avg} is the mean value of ROTI during the daytime 06-
 636 17 LT. The latitudinal occurrence rates shown in the right plots were calculated with the same
 637 method but using the nighttime data in the whole year. The superimposed circles and arrows
 638 in the left colored maps highlight the irregularities generated at middle latitudes during June
 639 solstice, and the storm time middle latitude plasma bubble irregularity events, respectively.

640
 641 In general, the peak altitude of the EPBs depends on the field-line-integrated electron density
 642 inside the bubble and the adjacent background electron density. The vertical rise velocity
 643 determines [how long the bubble could take](#) to reach the peak altitude. The rise velocity mainly
 644 depends on the F region background zonal electric field. As suggested by Abdu et al. (1983),
 645 the background electric field can affect the rise velocity in two ways, (1) causing difference in
 646 the conductivity between the bubble and adjacent regions and thus change of polarization
 647 electric field. The eastward polarization electric field in the EPB depletion structure drives the
 648 upward drift of low-density plasma via $\mathbf{E} \times \mathbf{B}$ drift; and (2) uplifting the F layer to higher
 649 altitudes where the ion-neutral collision frequency becomes lower and the instability growth
 650 rate becomes larger. Based on the radar multi-beam steering observations at low latitude, Tulasi

651 Ram et al. (2017) reported the rise velocities of post-sunset (post-midnight) EPB structures to
 652 be around 45-265 m/s (26-128 m/s). They further simulated the rise velocity under different
 653 background electric fields using a high resolution bubble (HIRB) model (Yokoyama, 2017).
 654 When the background eastward electric field was increased from 0.5 mV/m to 1 mV/m, the
 655 vertical rise velocity increased correspondingly from 227 m/s to 264 m/s. On the peak altitude
 656 to be reached by an EPB, Mendillo et al. (2005) proposed that the upward motion of EPB will
 657 stop when the field-line-integrated electron density inside the bubble is equal to that of the
 658 adjacent background. This was confirmed by the SAMI3 model simulation (Krall et al., 2010).
 659



660
 661 **Figure 4.** The mean zonal drifts (geomagnetic quiet condition with daily averaged $K_p < 3$) of
 662 EPB irregularities derived from the measurements by spaced scintillation receivers at Sanya
 663 during the equinoctial months in 2011-2013 (updated from Liu et al., 2015).
 664

665 The zonal drift distance of the EPBs could depend on the zonal drift velocity and the lifetime
 666 of EPBs. The EPBs usually drift eastward at nighttime with the velocity decreasing with
 667 increasing local time and reversing to west in the early morning hours (e.g., Bhattacharyya et
 668 al., 2001; Fejer et al., 2005). Since the EPBs are embedded in the surrounding background
 669 plasma, the zonal drift velocity of EPBs and its variation with local time and solar activity are,
 670 in general, similar to those of F region background plasma drift. For the west/east tilted EPB
 671 structure, the zonal drift of bubble structure can be larger/smaller than the background plasma
 672 drift, due to the motion of plasma particles inside the depletion structure (Huang et al., 2010).
 673 Figure 4 shows the mean zonal drift velocity derived from scintillation measurements using
 674 spaced GPS receivers operated at the low latitude station, Sanya, during 2011-2013 (a high
 675 solar activity period). The zonal drifts decrease during the period 20-01 LT from about 145 m/s
 676 to 75 m/s with an average decreasing rate of ~ 15 m/s/h. This is consistent with the global results
 677 from C/NOFS, which showed the velocity ranging between 130 m/s and 40 m/s during high
 678 solar flux period (Huang and Roddy, 2016). Unlike the quiet time behavior, the zonal drifts of
 679 background plasma and EPBs during geomagnetic activity could be significantly disturbed,
 680 with the eastward drifts decreasing and attaining even large westward drifts. Previous
 681 observations in the East and Southeast Asia showed that during geomagnetic storms, the EPBs
 682 have large westward drifts of ~ 80 m/s or more (e.g., Xu et al., 2007; Li et al., 2009a).
 683 Sometimes the westward drifts increase with latitude, causing west-tilted EPB vertical
 684 structures (Li et al., 2018a). These storm time westward drifts could be due to the PPEF-

685 induced Hall drifts and/or due to the disturbance induced westward thermospheric winds (Abdu,
686 2012).

687

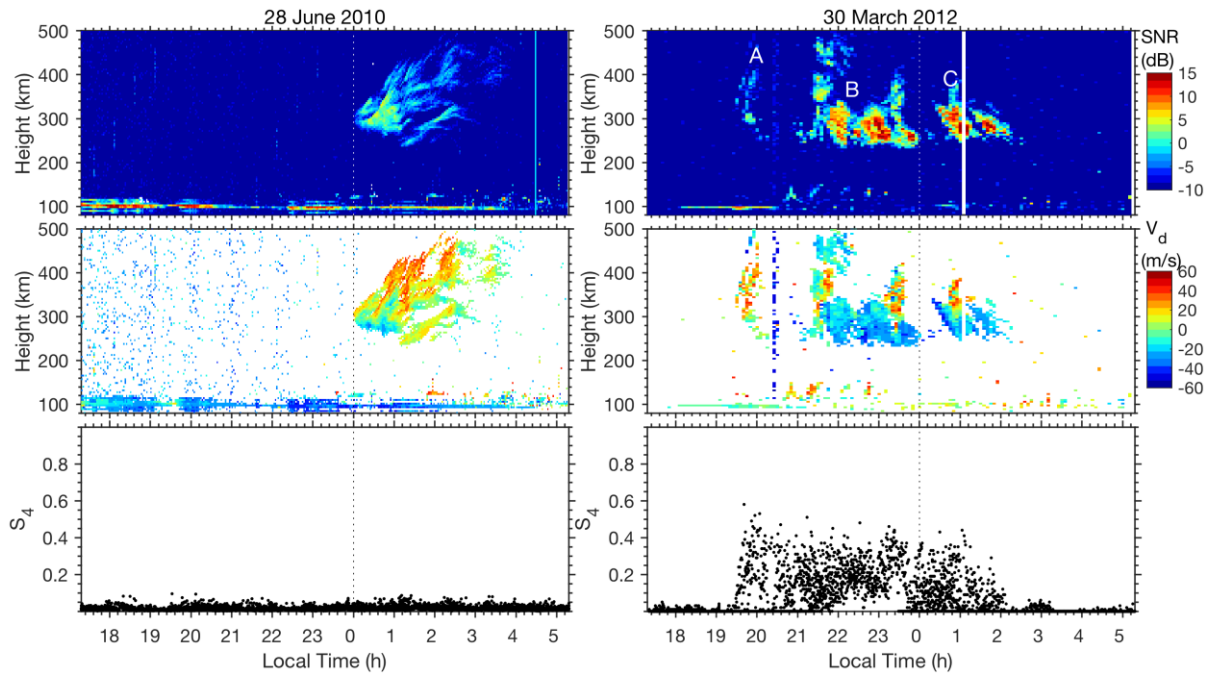
688 The lifetime of the EPBs at nighttime depends mainly on the characteristics of these EPBs and
689 the condition of background ionosphere, such as, the scale size and depletion depth of the EPB
690 structures, and the recombination rate and plasma diffusion in the direction perpendicular to
691 the geomagnetic field. Under similar background conditions, the EPBs with larger size and
692 deeper depletion can survive longer. For a plasma structure with wavenumber k , the time of
693 diffusion is given as $(k^2D)^{-1}$ (e.g., Otsuka et al., 2006; Kelley, 2009), where D is the
694 perpendicular diffusion coefficient. For a specific D ($1 \text{ m}^2/\text{s}$), the diffusion time of irregularity
695 structures with scales of 1 km and 400 m are estimated approximately 7 hours and 1 hour,
696 respectively. This can explain that during the late phase of EPB, the hundred-meter scale
697 irregularities (which produce scintillation) decay but the kilometer scale irregularities persist.
698 On the other hand, a larger recombination rate can cause faster decay of EPBs. Generally, the
699 recombination rate is larger at solar maximum than at solar minimum, and larger at lower
700 altitude than at higher altitude. Based on the C/NOFS observations at different solar activities,
701 Huang et al. (2012) reported that the EPBs at low solar activity persisted for longer time (7 h
702 or longer), but at high solar activity the life time was shorter, ~ 3 h. They suggested that the
703 atmospheric density as well as the recombination rate, which are controlled by solar activity,
704 might be responsible for the observed difference. The short lifetime of post-sunset EPBs at
705 high solar activity was further thought to be linked with the rapid downward movement of F
706 region at post-sunset hours. If we take the mean velocity (decreasing from 130 m/s to 40 m/s
707 with a rate of $\sim 15 \text{ m/s/h}$ at solar maximum, and from 70 m/s to 20 m/s with a rate of $\sim 6 \text{ m/s/h}$
708 at solar minimum) and the averaged life time (3 h at solar maximum and 7 h at solar minimum),
709 the EPBs, on average, can drift zonally by $\sim 1250 \text{ km}$.

710

711 **4.3 EPB measurements to determine the ionospheric scintillation**

712 Ionospheric scintillations are induced by small-scale irregularities, i.e., below the Fresnel's
713 scale, which are generated through secondary instabilities within the depletion structure of
714 rising EPBs through a cascading process (Haerendel, 1974). The scintillation intensity
715 increases with the strength of density fluctuation (ΔN) of the irregularity structure. In the East
716 and Southeast Asia, the GPS scintillation observations showed that the occurrence peak was
717 situated at the crest of EIA region (e.g., Abadi et al., 2014), [similar](#) to that observed in the
718 South America (e.g., Muella et al., 2017). That is because the background plasma density and
719 irregularity strength at the EIA crest are significantly higher than those at other latitudes. The
720 variability of the spatial gradients along the North-South direction at the EIA crest was
721 suggested to be a principal driver of scintillation (Cesaroni et al., 2015). Numerical model
722 simulation results have shown that when large-scale EPB structures extended from magnetic
723 equator to low latitudes, the ΔN is not uniform but proportional to the background plasma
724 density along magnetic field lines (Dao et al., 2012). During geomagnetic storms when the
725 evening EIA crests shift poleward to higher latitudes, [the poleward expansion of the](#)
726 [scintillation occurrence peak could be detected.](#)

727



728
 729 **Figure 5.** Cases of EPB backscatter plumes (left) without and (right) with accompanying
 730 scintillation over Sanya on 28 June 2010 and 30 March 2012, respectively. The top panels
 731 show the radar height-time-intensity maps of backscatter echoes. Three plume groups (labeled
 732 with ‘A’, ‘B’ and ‘C’) were observed on 30 March 2012. The middle panels show the echo
 733 Doppler velocity maps. The bottom panels show the amplitude scintillation.

734
 735 In order to examine the ability of EPB measurements to determine ionospheric scintillation
 736 occurrence over the same location, the correlation between EPBs and the resulting scintillations
 737 must be quantified in the first place. The satellite and rocket in-situ data, and coherent radar
 738 backscatter echo data often show a good correlation between the occurrences of EPB depletions
 739 and backscatter plumes with S_4 , whereas the irregularities probed by radar have scale sizes (a
 740 few meters) much smaller than those causing scintillations (a few hundred meters). Figure 5
 741 shows a comparison of the EPB backscatter plumes and ionospheric scintillations over Sanya.
 742 As shown in the right top panel, three EPB groups were detected on 30 March 2012. It has
 743 been suggested that the periodic EPB structures in radar RTI map during Equinox over Sanya
 744 are caused by spatially periodic EPBs in longitude, which could drift eastward into the radar
 745 field of view successively (e.g., Li et al., 2013). The first plume group ‘A’ around 1930-2000
 746 LT was generated in the eastern side of Sanya, where part of the plume structure was detected
 747 by the radar beam. The other two plume groups ‘B’ and ‘C’ were generated in the western
 748 longitudes of Sanya. The horizontal separations of periodic EPBs, usually on the order of
 749 hundreds of kilometers, are similar to the wavelengths of the LSWSs (e.g., Tsunoda, 2005;
 750 Maruyama and Kawamura, 2006; Li et al., 2012; Rodrigues et al., 2018). From the right panels
 751 of Figure 5, it can be seen that there is a good correspondence between the EPBs and
 752 scintillations during both post-sunset and post-midnight hours. In contrast, the left panels of
 753 Figure 5 show a case of EPB observed at midnight, around 00-04 LT on 28 June 2010 (solar
 754 minimum). This EPB did not cause any ionospheric scintillations. The simultaneous
 755 observation by C/NOFS (figure not shown here) indicates that this EPB was generated near
 756 midnight. For both days, the Doppler velocities of backscatter plume echoes are significantly
 757 positive, indicating that these plume irregularities are evolving. The absence of ionospheric
 758 scintillation on 28 June 2010 is possibly due to the weak irregularity intensity. The echo
 759 intensity of backscatter plumes around 00-04 LT on June 28 is mostly around ~ 2 dB (or less),
 760 significantly weaker than that observed at 00-02 LT on March 30 (up to 10 dB or more).

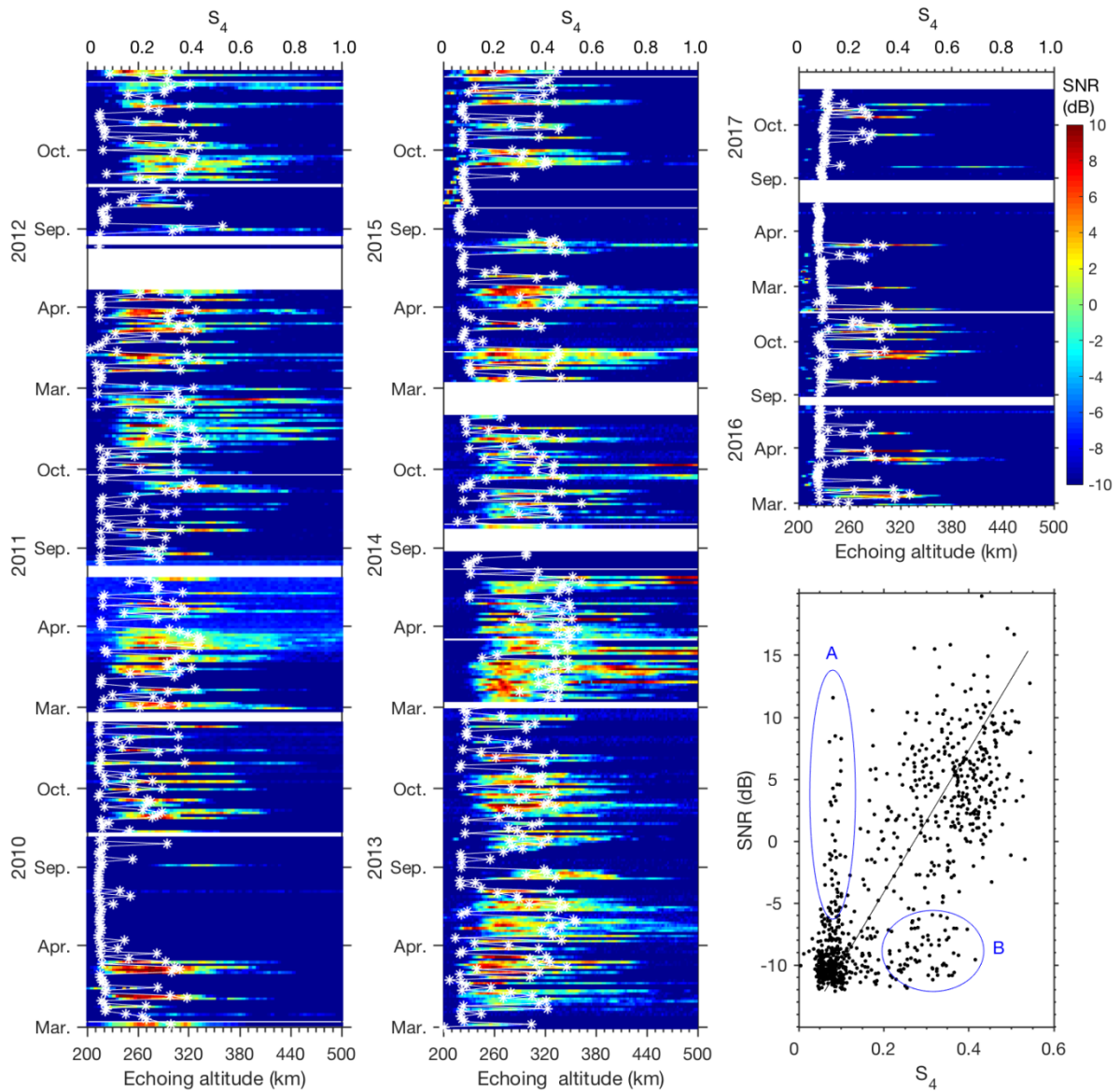
761
762 Using the simultaneous observations recorded by VHF radar and scintillation receiver at Sanya
763 during equinoctial months of 2010-2017, we investigated the statistical relationship between
764 the F region backscatter echo intensity at altitudes of 200-500 km and the maximum S_4 during
765 the nighttime 1900-0500 LT. As shown in Figure 6, in general, there is a positive correlation
766 between the backscatter echo and scintillation intensities. From the color maps, it can be seen
767 that when the EPB irregularities cover large altitude range with large echo intensities, the
768 corresponding scintillation is strong. The thin layered irregularities, such as, the F region
769 bottomside/bottom-type irregularities appearing around 200-220 km in September 2015 (the
770 top of middle panel), even with large echo intensities, did not cause ionospheric scintillation.
771 The right bottom panel of Figure 6 shows the one-to-one relationship between the backscatter
772 echo intensity and the scintillation index S_4 . Besides the general positive relationship, there are
773 two groups of data points (marked by the circled areas 'A' and 'B') showing different patterns,
774 which are due to the presence of irregularity thin layer, or that the EPB irregularities causing
775 scintillations appearing outside the field-of-view (FOV) of the radar beam. Considering the
776 different FOVs of radar (small) and scintillation receiver (large), a further investigation on the
777 possible threshold of EPB backscatter echo intensity for scintillation occurrence is worth of a
778 future work leveraging on long-term radar multi-beam steering measurements.

779
780 For the EPB measurements by radar, the backscatter echo intensity can be estimated from the
781 radar and irregularity related parameters (Costa et al., 2011):

$$782 \quad \text{SNR} \approx \frac{r_e^2}{32\pi^3 k_B} C_r C_m \frac{\langle \Delta N^2 \rangle}{r^2},$$

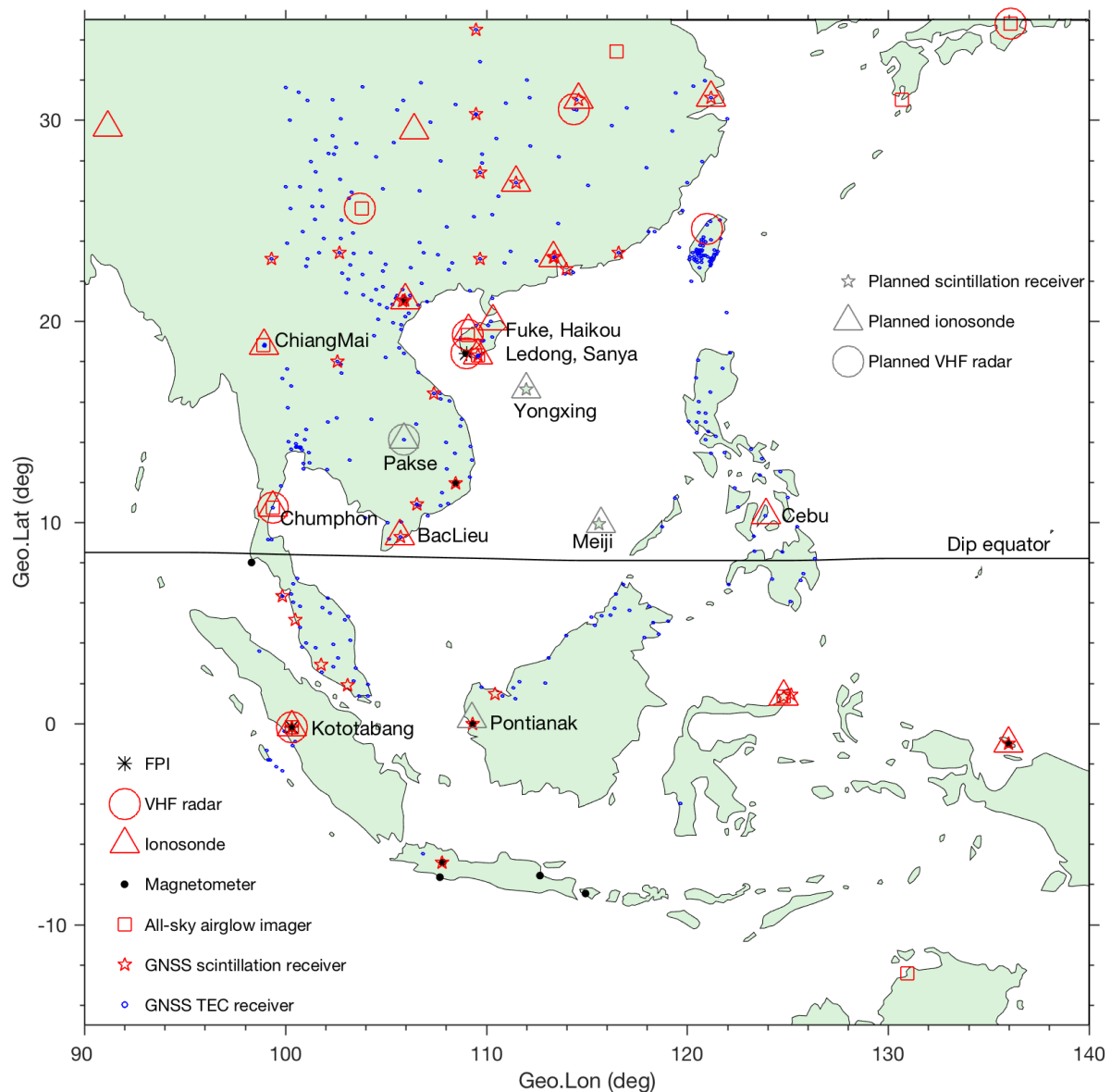
783 where r_e is the classical electron radius (2.82×10^{-15} m), k_B is the Boltzmann's constant
784 (1.38×10^{-23} J/K), r is the vertical range, SNR is the signal-to-noise ratio, C_m represents the
785 group of parameters of irregularity spectral shape (outer scale size, break scale size, one-
786 dimensional and two-dimensional spectral indices), and C_r represents the groups of parameters
787 of radar (antenna gain and radiation pattern, half-power beam width, pulse length, transmitted
788 power and radar wavelength), propagation loss and noise temperature. It is clear that the echo
789 intensity (SNR) is directly proportional to the mean squared electron density fluctuation $\langle \Delta N^2 \rangle$
790 divided by the squared vertical range. Larger echo intensity represents stronger density
791 fluctuation and thus larger S_4 value, which is consistent with the observations shown in Figure
792 6. As the EPB structure is elongated along magnetic field lines, radar beam steering
793 measurements in the east-west direction could provide a three-dimensional description of the
794 irregularity strength characterized by the backscatter echo intensity, and thus estimate the
795 scintillation information over a large region.

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Figure 6. The relationship between the maximum backscatter echo intensity ($\text{Echo}_{\text{max_alt}}$, color coded map) and maximum ionospheric scintillation S_4 index ($S_{4\text{max}}$, marked by white asterisks) during the equinoctial months (March, April, September and October) of 2010-2017. The echo intensity at each altitude bin and the scintillation intensity are averaged within 30 min interval during 1900-0500 LT. The right bottom plot, in general, shows a good positive correlation between the $S_{4\text{max}}$ and the $\text{Echo}_{\text{max_alt}}$ within 200-500 km altitudes. The weak scintillations with large echo intensity (marked by the circle 'A') are due to the presence of F region bottomside/bottomtype irregularities, which are usually thin layers (for example the observations in September 2015). The moderate scintillation with weak echo intensity (marked by the circle 'B') is due to the fact that the EPBs causing scintillations appeared outside the field-of-view of the radar beam (for example the scintillation only observed in the southern sky of Sanya).



811
 812 **Figure 7.** The geographical distributions of major ionospheric instruments that have been
 813 installed/planned for background ionosphere, EPB and its related irregularity and scintillation
 814 observations in the East and Southeast Asia. Part of station information are from previous
 815 publications, the Chinese Meridian Project network (<https://data.meridianproject.ac.cn/>), the
 816 IONISE network (<http://ionise.geophys.ac.cn/index.asp>), the SEALION network ([http://seg-](http://seg-web.nict.go.jp/sealion/)
 817 [web.nict.go.jp/sealion/](http://seg-web.nict.go.jp/sealion/)), and the Philippine Active Geodetic Network (PAGeNet) managed by
 818 National Mapping And Resource Information Authority (provided by Drs. Charisma Victoria
 819 De La Cruz Cayapan and Gabriella Povero).

820
 821 **5 Current observing capabilities for the ionosphere in East and Southeast Asia**

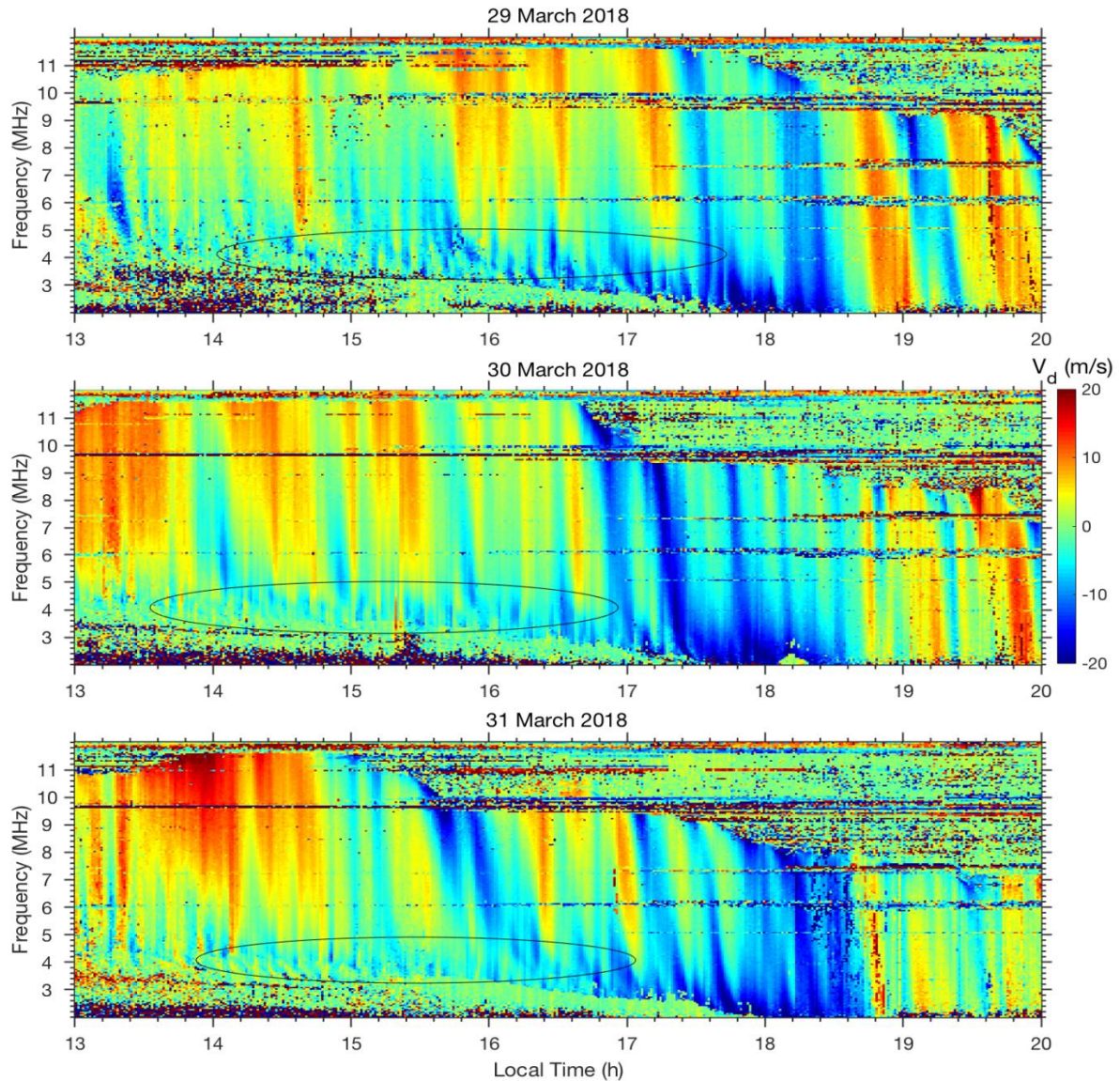
822 A few observational networks and large facilities have been developed to study the ionosphere
 823 in the East and Southeast Asia, for example the SouthEast Asia Low-latitude Ionospheric
 824 Network (SEALION) (Maruyama et al., 2007), the Chinese Meridian Project (CMP) phase-I
 825 (Wang, 2010) and the Equatorial Ionosphere Characterization in Asia (ERICA) (Povero et al.,
 826 2017). These networks consist of various types of instruments including satellite beacon
 827 TEC/scintillation receiver, ionosonde, airglow imager and VHF radar. Some regional GNSS

828 receiver networks and all-sky airglow imager networks have been employed to monitor the
829 ionosphere (e.g., Shiokawa et al., 1999; Otsuka et al., 2002; Aa et al., 2015; Sun et al., 2016;
830 Buhari et al., 2017; Alfonsi et al., 2018). More recently, an ionospheric observation network
831 for irregularity and scintillation in the East and Southeast Asia (INOISE), is being developed,
832 which consists mainly of crossed chains of GNSS TEC/scintillation receivers, multi-static
833 portable digital ionosondes (PDIs) and bistatic VHF coherent scatter radars (Li et al., 2019).
834 Figure 7 shows the geographic distributions of some of these instruments for the observations
835 of the background ionosphere, the EPBs and the related irregularity and scintillation
836 distributions at equatorial and low latitudes. The grey symbols denote the instruments that are
837 being planned.
838

839 **5.1 Background ionosphere observation**

840 As described above, the background ionosphere (including F layer height, F layer bottomside
841 density wave structure, background ionospheric density, EIA, Es layer, and TIDs) and external
842 driving forces (electric field and transequatorial wind) play important roles in the generation
843 and evolution of EPB and its related irregularity and scintillation. In the East and Southeast
844 Asia, three ionosondes operate at the stations Chumphon (10.7°N, 99.4°E), Baclieu (9.3°N,
845 105.7°E), and Cebu (10.4°N, 123.9°E), which are close to the magnetic equator (Figure 7).
846 These ionosondes transmit frequency-modulated continuous wave signals to sound the
847 ionosphere and record ionograms at a temporal resolution of 5 min. Some ionospheric
848 parameters can be estimated from the ionograms. For example the equatorial F layer height can
849 be obtained through scaling the ionograms, and the equatorial vertical drift can be estimated
850 from the temporal variation of F layer height ($\Delta h'F/\Delta t$). On the other hand, by measuring the
851 difference in the magnitudes of the geomagnetic field horizontal component (ΔH) at magnetic
852 equator and low latitudes (6-9° away from the magnetic equator), the vertical plasma drift at
853 daytime can be derived using the established linear relationship between the vertical plasma
854 drift and ΔH (Anderson et al., 2002). Some magnetometers have been deployed for long term
855 observation of geomagnetic field at equatorial and low latitude sites (e.g., Yumoto, 2001).
856

857 The direct observation of F layer bottomside density wave structure can be obtained from
858 steerable incoherent scatter radar (ISR). The wave structure preceding the generation of EPBs
859 has been observed at both equatorial and low latitudes. At present, there is no steerable ISR
860 operating at equatorial and low latitudes in the East and Southeast Asia. Under the support of
861 National Natural Science Foundation of China (NSFC), a new ISR having the fast beam
862 steering capability is under construction at low latitude Sanya. It is expected to start operation
863 at the end of 2020. Whereas the earlier established techniques cannot directly measure the wave
864 structure in this region, the ionosonde (e.g., Abdu et al., 1981b) and the GNU radio (a free
865 software development framework) beacon receiver (Yamamoto, 2008) can be employed to
866 measure ionogram 'satellite trace' and the longitudinal variation of TEC to characterize the
867 presence of bottomside wave structure. Also, the periodic variations of F layer heights seen at
868 different plasma frequencies are linked with bottomside wave structure (Abdu et al., 2015).
869 Considering that the bottomside wave structure is necessary for the generation of EPBs, and
870 the generation rate of EPBs is close to 100% under large upward vertical drifts, we infer that
871 the bottomside wave structure could occur very often. The fast Doppler ionogram
872 measurements by the multi-static PDIs (Lan et al., 2018) of IONISE will help understand the
873 occurrence of bottomside density perturbation.
874



875 **Figure 8.** Ionospheric oscillations at different plasma frequencies derived from the fast
 876 Doppler ionograms recorded by the PDI at Sanya on 29-31 March 2018.
 877
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879 Figure 8 shows three cases of Doppler velocity oscillations measured at different plasma
 880 frequencies by the Sanya PDI on 29-31 March 2018. In the Doppler velocity maps (as functions
 881 of local time and plasma frequency), it can be seen that the velocity oscillations occur from
 882 afternoon to sunset hours on all the three days. The superimposed ellipses show the occurrence
 883 of F region bottomside perturbation with short periods during afternoon hours. Near sunset
 884 (17-19 LT), significant oscillation with relatively longer periods and larger amplitude was seen
 885 in the F region below the peak height. A preliminary analysis of the Doppler velocity maps
 886 from the Sanya PDI during March-April 2018 shows that these oscillations were observed
 887 almost every day (except several days without data due to the power failure). The future
 888 observations by multi-static PDIs with baselines of tens to hundreds of kilometers covering
 889 equatorial and low latitudes could provide the propagation characteristics of the waves that
 890 produce the F layer perturbations.
 891

892 The observations of ionospheric electron density profile below the F layer topside, the EIA, Es
 893 layer, and TIDs can be well achieved with the regional GNSS TEC receiver networks and

894 numerous routine ionosondes. It is relevant to mention that the all-sky meteor radar, which is
895 usually operated for specular meteor observation to derive neutral winds at 70-100 km, can be
896 employed to simultaneously detect Es layer related irregularity structure over a large horizontal
897 region and track its movement, without disrupting the meteor observation (Xie et al., 2019;
898 Wang et al., 2019). The spatial morphology observation of Es structure by meteor radar will
899 improve the understanding of E-F region coupling process. Regarding the thermospheric wind
900 observation, one technique to measure this wind is by the use of Fabry-Perot interferometer
901 (FPI), which can measure the atomic oxygen 630 nm red line emission to estimate the wind
902 around 250 km altitude over a given site. There are two low latitude FPIs operating at
903 Kototabang and Ledong (18.3°N, 109°E), which are in the opposite hemispheres. Another
904 technique for determining the transequatorial thermospheric wind is to use magnetic conjugate
905 ionosondes. The asymmetry of F layer height variation observed by the two ionosondes at
906 magnetic conjugate stations Chiang Mai (18.8°N, 98.9°E) and Kototabang was suggested to be
907 caused by transequatorial thermospheric wind along ~100°E (Saito and Maruyama, 2006),
908 which can suppress the generation of EPBs. Further, the magnetic conjugate ionosondes at
909 Ledong and Pontianak (0.2°N, 109.3°E) can be employed to derive the transequatorial
910 thermospheric wind along ~110°E. Based on these four ionosondes, the possible variation of
911 transequatorial thermospheric winds and their effect on the generation of EPBs in a relatively
912 small longitudinal region can be investigated.

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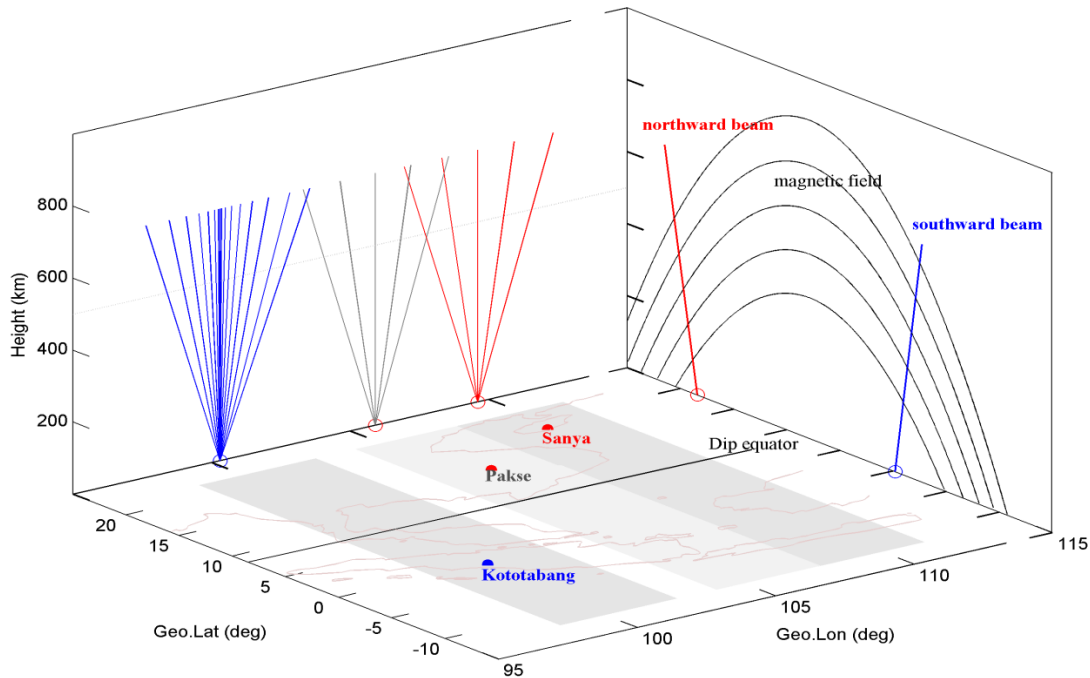
914 **5.2 EPB and its related irregularity and scintillation observation**

915 Ionogram and TEC measurements have been extensively used to study the climatology of EPB
916 occurrence since their first use several decades ago (e.g., Pi et al., 1997; Woodman, 2009; Shi
917 et al., 2011; Alfonsi et al., 2013; Balan et al., 2018; the references therein). The range-type
918 spread F (RSF) in the ionograms can be used to characterize irregularity structures with scale
919 sizes ranging from several hundred kilometers to a few meters, the irregularity scale sizes
920 decreasing through cascading process. It has been suggested that the RSF echoes at equatorial
921 and low latitudes could originate primarily from the coherent backscatter mechanism (Abdu et
922 al., 2012b). Due to the very wide beam width of ionosonde, the perpendicularity of ionosonde
923 line-of-sight with the earth's magnetic field can be met within a large longitude and altitude
924 range. The ionogram measurements over a chain of equatorial and low latitude stations in
925 Brazil showed that the degree of range spreading of RSF echoes linearly increases with the top
926 frequency of the echo trace, and that the irregularity strength exhibits a significant increase
927 from the equator toward the EIA crests (similar to that of ionospheric scintillations) (Abdu et
928 al., 2012b). It was suggested that the major characteristics of the RSF trace development are
929 compatible with the process of coherent backscattering of the radio waves. The ionosondes
930 widely distributed at equatorial and low latitudes in the East and Southeast Asia provide a good
931 means for investigating the occurrence of different scale sizes EPB irregularities.

932

933 Using the dense regional TEC receiver networks in the East and Southeast Asia, the two-
934 dimensional maps of the ROTI can be obtained to characterize the EPB occurrence. It is worth
935 to mention that at solar minimum, the small ROTI values do not necessary represent the
936 absence of EPBs due to the extremely low background density (Li et al., 2011). Based on a
937 sequence of ROTI maps, the evolution of the horizontal structure of EPBs could be well
938 investigated (e.g., Ma et al., 2006; Li et al., 2009a; Buhari et al., 2017; Aa et al., 2018). Unlike
939 the widely distributed TEC receivers, the number of scintillation receivers in the East and
940 Southeast Asia is limited, as marked by the pentacles in Figure 7. Another technique to get
941 two-dimensional EPB map is by the use of all-sky airglow imager, which can obtain large-
942 scale EPB depletion structure over a region as wide as ~2000 km in diameter including its
943 variation with a good temporal resolution. From the measurements by dense TEC receiver

944 networks and all-sky airglow imagers, the zonal drift and spatial coverage of EPB and
 945 irregularity structure in both longitude and latitude can be derived. Due to the magnetic-field-
 946 aligned characteristics of EPBs, the horizontal structure of EPB can be mapped to the vertical
 947 plane over the magnetic equator.
 948



949 **Figure 9.** The field-of-views of the Kototabang, Pakse (planned) and Sanya VHF radars at 500
 950 km altitude, covering almost the whole longitude region from $\sim 100^{\circ}\text{E}$ to $\sim 110^{\circ}\text{E}$ (updated from
 951 Li et al., 2016).
 952
 953

954 Steerable coherent backscatter radar measurements can provide information on the generation
 955 and evolution of EPB irregularity structure in the vertical-zonal plane. Some steerable VHF
 956 radars have been operated for a long time at low and middle latitudes in the East and Southeast
 957 Asia, for example at Kototabang, Sanya, Fuke (19.3°N , 109.1°E), Kunming (25.5°N , 103.8°E),
 958 Wuhan (30.5°N , 114.3°E), Shigaraki (34.9°N , 136.1°E), and Daejeon (36.2°N , 127.1°E) (e.g.,
 959 Fukao et al., 1985, 2003; Otsuka et al., 2009; Wang et al., 2010; Ning et al., 2012; Li et al.,
 960 2018; Kwak et al., 2014; Zhou et al., 2018). Recently, a new VHF radar was set up at
 961 Chumphon by the National Institute of Information and Communications Technology, Japan.
 962 The Sanya VHF radar, which was relocated at Ledong in 2019, is being upgraded to a bistatic
 963 VHF radar system (with two reception sites separated by a few kilometers and synchronized
 964 by GPS pulse-per-second signal) that will provide high resolution imaging capability. The
 965 radar has a peak power of 72 kW and is expected to start operation in 2021. Another new VHF
 966 radar at Parkse (14.1°N , 105.9°E) is being planned. These radars, even though located at
 967 different latitudes/longitudes, can be employed together to track the occurrence and dynamics
 968 of EPBs in the Southeast Asia, and to reveal possible small-scale differences of EPB generation
 969 in longitude. Figure 9 shows an example of scanned areas by the Kototabang/Chumphon,
 970 Parkse and Ledong VHF radars. At an altitude of 500 km, the scanned area of each radar covers
 971 a region as wide as ~ 400 km or more in the east-west direction (as indicated by the horizontal
 972 shaded regions in Figure 9). The beam steering measurements provide a good spatial coverage
 973 and can well distinguish the EPBs generated locally in the shaded area from those drifting
 974 zonally. It is possible to track the whole process of EPB from its generation, spatial structural
 975 evolution, decay to disappearance over the longitude region of $\sim 100^{\circ}\text{E}$ to $\sim 110^{\circ}\text{E}$.

976

977 **6 Future developments in East and Southeast Asia**

978 Despite that much progress has been made in the study of EPB and scintillation over the East
979 and Southeast Asia, there are some gaps in our understanding of fundamental processes
980 governing the day-to-day variability, due to lack of the information needed to discriminate
981 among them. Through analyzing a large data series of scintillation and other parameters with
982 machine learning algorithms, some efforts have been made in trying to improve the
983 predictability of ionospheric scintillation (e.g., Rezende et al., 2010; Prikryl et al., 2013; de
984 Lima et al., 2015). In general, when more parameters which affect the occurrence of EPB are
985 included to train the sample, better forecast performance are achieved. Since there have been
986 many years of observations of background ionosphere (for example the temporal variations of
987 foF2 and hmF2) and of GPS scintillation at some low latitude stations in the East and Southeast
988 Asia, it is possible to capture potential hidden and previously unknown information in the large
989 databases with machine learning technique, and predict scintillation over the given site. On the
990 other hand, by using numerical model simulation together with the feeding of measurements
991 of various parameters, the day-to-day variability of the linear growth rate of R-T instability and
992 the spatial/temporal variation of EPB were simulated (e.g., Carter et al., 2014; Wu, 2015;
993 Sousasantos et al., 2017; Shinagawa et al., 2018). Through a comparison of simulated results
994 with the observations of EPB/scintillation, it was found that a high R-T growth rate tends to
995 correspond to the actual occurrence of EPB/scintillation. However, on a day-do-day basis, there
996 are some discrepancies between the simulation and observation. To reliably forecast the
997 temporal/spatial structure of EPB and strength of scintillation, an integrated model which is
998 sensitive to ionosphere's day-to-day variability by incorporating atmospheric waves
999 propagating from the lower atmosphere and polar energy inputs from the magnetosphere, is
1000 essential.

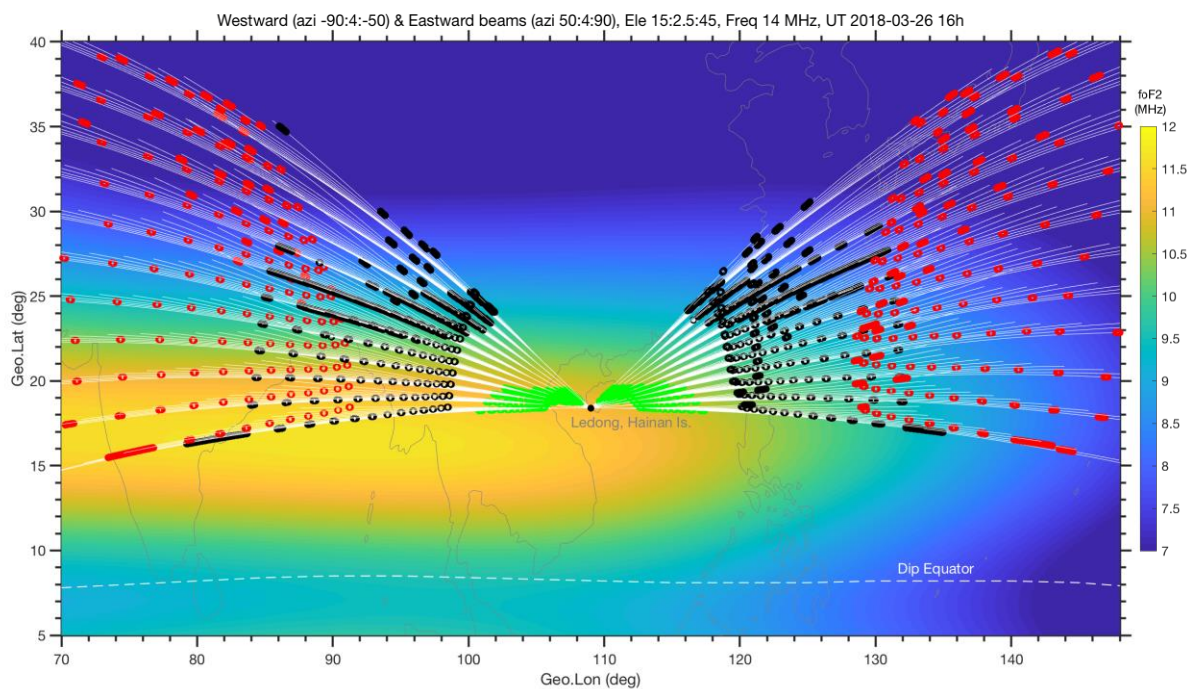
1001

1002 To better understand the day-to-day and short-term variability of EPBs in the East and
1003 Southeast Asia, the development of the observing capabilities to obtain the initial conditions
1004 (F region bottomside plasma density perturbation) and the driving forces on a regular basis is
1005 required. One is the expanded multi-static PDI network, which consists of five PDIs at Ledong,
1006 Meiji (9.9°N, 115.7°E), Yongxing (16.6°N, 112.2°E), Pakse (planned), and Pontianak (planned)
1007 for transmission and reception, and a lot of PDI receivers at different sites with baselines
1008 ranging from a few tens to more than one hundred kilometers around Hainan and Pakse. For
1009 each transmitting and receiving station, fast Doppler-ionograms (vertical/oblique) with a good
1010 temporal resolution (~1 min) can be obtained (Lan et al., 2018). Based on the multi-static PDI
1011 network, regional ionospheric profiles can be reconstructed. Besides TIDs, the F region
1012 bottomside plasma density perturbation structure in the east-west direction could be derived
1013 from the reconstruction results. Also, the equatorial F layer height and plasma vertical drift can
1014 be obtained from the PDI measurements at the magnetic equator station Meiji. The
1015 transequatorial wind information can be derived from the PDI measurements at the magnetic
1016 conjugate stations Ledong and Pontianak. These PDIs, together with the SEALION ionosondes
1017 at Cebu, BacLieu, Chumphon, Chiang Mai, and Kototabang may contribute to more accurate
1018 assessment of the local features of the driving forces. Meanwhile, if campaign experiments
1019 with the widely distributed instruments and regional networks are conducted in the East and
1020 Southeast Asia, the capability to observe the initial condition and driving forces for the EPB
1021 generation and evolution will be significantly enhanced.

1022

1023 Two large radar facilities have been planned at low latitude in the East and Southeast Asia.
1024 One is the equatorial middle and upper atmosphere (EMU) radar, which is planned to be
1025 deployed at Kototabang (Tsuda et al., 2016). This radar is designed with an operational

1026 frequency of 47 MHz, a peak power of 500 kW and an antenna array consisting of 1045 Yagi
 1027 antennas. The radar has the pulse-to-pulse beam steering capability for coherent and incoherent
 1028 scatter observations. The other is the Hainan tristatic ISR facilities supported by the Chinese
 1029 meridian project phase-II. The facilities consist of a UHF (~440 MHz) steerable radar
 1030 (electronically formed beam) with a peak power of 4 MW and an array of 8192 antennas for
 1031 transmission and reception at Sanya, and two steerable radars (each has 4096 antennas) for
 1032 receptions at Fuke and Haikou (20°N, 110.5°E). As the most powerful instrument for observing
 1033 the ionosphere, the steerable ISR can provide various parameters of ionosphere, for example,
 1034 the F layer plasma vertical drift and electron density profiles over a wide region in the east-
 1035 west direction, which are important for understanding the generation of the EPBs. The
 1036 coordinated observations using the Hainan ISR facilities and Kototabang EMU radar may
 1037 clarify unknown processes that cause some unusual phenomena in the longitudes and reveal
 1038 the longitudinal variability of potential factors controlling the EPB generation.
 1039



1040
 1041 **Figure 10.** The modeled results for the westward and eastward beams of the Hainan HF
 1042 coherent radar. The green (0.5 hop), black (1.5 hop) and red dots/circles (2.5 hop) represent the
 1043 regions where the ray trace is perpendicular to geomagnetic field (within $\pm 1^\circ$).
 1044

1045 A new low latitude HF coherent radar, which is supported by the Chinese meridian project
 1046 phase-II and aiming at detecting low latitude EPB irregularities, is being set up at Ledong.
 1047 There have been some HF coherent radars at middle and high latitudes, which form the Super
 1048 Dual Auroral Radar Network (SuperDARN) for observing ionospheric irregularity and the
 1049 plasma dynamics (e.g., Nishitani et al., 2019). One main advantage of HF coherent radar is that
 1050 it can receive backscatter echoes from a long horizontal distance of thousands of kilometers
 1051 from the radar. Figure 10 shows the HF ray tracing simulation of the low latitude HF coherent
 1052 radar at Ledong with the operational frequency 14 MHz. The HF ray path was calculated using
 1053 the ray-tracing model developed by Jones and Stephenson (1975), together with the
 1054 International Reference Ionosphere model (IRI-2012) (Bilitza et al., 2014) and the International
 1055 Geomagnetic Reference Field model (IGRF-12) (Thébault et al., 2015). The color coding in
 1056 the figure denotes the background ionospheric critical frequency estimated with the IRI model.
 1057 The horizontal distributions of possible ionospheric backscatter echo regions, where the HF

1058 radio wave vector is perpendicular to the geomagnetic field (within $\pm 1^\circ$), are shown as green,
1059 black, and red dots/circles. The simulation results indicate that the HF coherent radar can detect
1060 irregularities appearing far away from the radar location, at 0.5-hop within ~ 1000 km (green),
1061 1.5-hop within ~ 2500 km (black), and 2.5-hop within ~ 4000 km or more (red) in ground range.
1062 However, it is worth to point out that for the actual ionosphere which is very complicated due
1063 to various disturbances, it may be difficult to precisely determine the location of backscattered
1064 signals. We expect that this HF coherent radar, together with coordinated observations from
1065 the VHF radar, ionosonde and TEC receiver networks, provide important data for tracing the
1066 EPB irregularities over a wide longitudinal region and address the critical scientific problem,
1067 i.e., the day-to-day variability of EPBs over the East and Southeast Asia.
1068

1069 7 Conclusions

1070 Great efforts have been made to establish regional dense observational networks and large
1071 facilities in the East and Southeast Asia. This enables an improved understanding on the
1072 generation and evolution of regional EPB and scintillations. We have presented a general
1073 review of the EPB and scintillation features, the physical mechanisms and controlling factors
1074 responsible for their occurrence, and unresolved issues related to their day-to-day and short-
1075 term variability. In general, the generation of EPB depends on (1) the F layer bottomside
1076 plasma density wave structure which initiates/seeds the R-T instability, and (2) the driving
1077 forces (background electric field and wind) which affect the F layer height and field-line-
1078 integrated conductivity and thus control the instability growth rate. During the upward growth
1079 of EPB from F region bottomside to topside over the magnetic equator, the large-scale
1080 polarization electric field generated within EPB can map to higher latitudes along magnetic
1081 field lines, causing similar large-scale structure of density depletion in both hemispheres. The
1082 peak altitude of EPB depends on the depletion depth and background density. Inside the
1083 depletion structure, plasma density irregularities of various scale sizes are generated locally
1084 through the cascading process, filling the depletion structure and causing severe scintillation
1085 of the radio waves traversing the EPB. The irregularity and resultant scintillation strengths,
1086 which depend on the background ionospheric density, are usually largest over the crest of
1087 equatorial ionization anomaly region.
1088

1089 In the East and Southeast Asia, the EPB and scintillation occur mainly during equinoctial
1090 months (March, April, September and October) when the driving force, the pre-reversal
1091 enhancement of eastward electric field (PRE), is strong. The PRE elevates the F layer to higher
1092 altitudes creating favorable conditions for the growth of R-T instability. The equinoctial EPBs
1093 are generated almost exclusively at post-sunset (for geomagnetic quiet condition) and
1094 sometimes continue into post-midnight hours. There is a good correspondence between the
1095 occurrences of the equinoctial EPB and the scintillation. At solar minimum, some EPBs are
1096 generated near midnight during June solstice, and preceded by substantial height rise of F layer.
1097 These solstitial post-midnight EPBs, ~~being likely having to have a negative relationship with~~
1098 ~~solar activity~~, do not cause ionospheric scintillation. The radar observations of solstitial post-
1099 midnight EPBs indicate that meter-scale irregularities were generated within the EPBs. The
1100 absence of scintillation may not be due to the absence of hundred meter-scale irregularities,
1101 but associated with the weak irregularity strength and extremely low background density. In
1102 general, the scintillation intensity is well correlated with EPB backscatter echo intensity. It is
1103 possible to determine the scintillation occurrence over a wide region based on radar multi-beam
1104 steering measurements.
1105

1106 The latitudinal extension of the EPBs is generally below $\pm 25^\circ$ ($\pm 18^\circ$) at 110°E under high (low)
1107 solar activity, corresponding to an apex altitude of 1050 km (550 km). The EPBs usually drift

1108 eastward, with mean velocities ranging from 145 m/s to 75 m/s during 20-01 LT. The zonally
1109 drifting distance is estimated on average ~1250 km. During geomagnetic storms, the peak
1110 altitude and latitudinal extent of EPBs can be enhanced. Sometimes they rise to altitudes of
1111 3400 km or more over the magnetic equator and map to middle latitudes of 40° or higher,
1112 producing super EPB and unusual scintillation events at middle latitudes. The eastward drifts
1113 of storm time EPBs can be weakened, and even reversed to westward under some conditions,
1114 with a latitudinal dependence in which the westward velocities increased with latitudes and
1115 west-tilted bubble structure was formed.

1116
1117 In longitude, EPBs are often generated periodically with separations of the order of a few
1118 hundreds to more than one thousand kilometers. Statistical studies have shown that there are
1119 extremely large differences in EPB generation rates at closely located longitudes (for example
1120 54% and 28% over Kototabang and Sanya separated by ~1000 km, respectively). Considering
1121 that the driving forces, such as, the PRE, which control the growth of the R-T instability and
1122 often cover a large longitudinal region, the longitudinal difference could be linked with the
1123 local processes responsible for the F region bottomside seeding perturbation. The combined
1124 action of large-scale driving forces and relatively small scale local seeding determine whether
1125 or not EPB is generated and its day-to-day variability over a given longitude.

1126
1127 The relative importance of driving forces and local seeding on the day-to-day variability of
1128 EPB, however, is still not well known. The EPB generation is usually preceded by equatorial
1129 F layer height rise. This height rise, can be directly driven by the PRE and perturbation seeding
1130 source, i.e., gravity waves. Obvious difference of F layer height is observed at closely located
1131 longitudes. For the longitude with higher/lower F layer height, EPB is/not generated. It could
1132 be that the gravity waves modulate the F layer height, which being interrupted at higher
1133 altitudes with the start of EPB.

1134
1135 On the other hand, little is known on the day-to-day variability of the driving forces and local
1136 seeding, in itself. The PRE can be modified directly by the storm time electric field, and
1137 indirectly by gravity waves and planetary/Kelvin waves. The perturbation seeding, which is
1138 shown as large scale wave structure at F region bottomside, can be caused by the PRE related
1139 shear flow instability and gravity waves. Due to very limited measurements of the bottomside
1140 wave structure and the difficulty to directly detect gravity waves, it is unknown how the
1141 bottomside wave structure changes on a day-to-day basis. A preliminary analysis of Doppler
1142 ionogram during a two-month period shows that the F layer perturbations almost occur every
1143 day from afternoon to sunset hours over Sanya, which may indicate a high occurrence of the
1144 perturbation seeding. However, the thresholds of the bottomside wave structure parameters for
1145 EPB generation are not clear.

1146
1147 Whereas the general features of EPB and scintillation over the East and Southeast Asia are well
1148 known that enable the predictability of long-term variability, much remains to be done for
1149 reliably predicting their day-to-day and hourly variations. Ongoing efforts in the development
1150 of observing capability, including large radar facilities and regional dense TEC receiver,
1151 ionosonde oblique and vertical sounding networks, to better understand the initial perturbation
1152 and its seeding source in the East and Southeast Asia are needed. If the initial density
1153 perturbation over one given longitude can be obtained directly from observations, the short-
1154 term forecasting of locally generated EPBs may be developed by assimilating the EPB model
1155 and observation. For the EPBs generated elsewhere that may drift into the given longitude, the
1156 hourly forecasting can be achieved based on regional network observations.

1157

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1168

1169 **References**

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