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Seismic response of a geological, historical and architectural site: the Gerace cliff (Southern Italy) --Manuscript Draft--

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Abstract:	<p>Several historical towns and sites, in Italy as well as in the rest of the Southern Europe, were edified on topographic reliefs and they host inestimable cultural heritage. In Calabria (Southern Italy), the historical town of Gerace is located in a highly seismic area and lies on the top of an unstable cliff, constituted by a soft rock slab overlying a thick clay shale formation. A comprehensive study was carried out to reproduce the seismic response of the cliff and analyze potential effects due to severe earthquakes, such as those attributed by historic documents to the Calabrian seismic sequence occurred in 1783. Many efforts were spent for the geotechnical characterisation of the soils, in particular subsoil model was obtained by combining data from field and laboratory tests. The seismic response of the cliff due to the first event of the Calabrian sequence was simulated by 1D and 2D non-linear analyses. The results permitted to assess the influence of the topography on the seismic response; also, the 2D analyses allowed to justify the occurrence of large permanent deformations, as those reported by the chronicles following the historic seismic sequence.</p>
Response to Reviewers:	<p>We are grateful to the anonymous reviewers and the Editor-in-Chief Prof. Louis N.Y. Wong for their constructive comments which helped us improve the quality of the manuscript.</p> <p>This document reports the answers ([AUT]) to the suggestions and comments.</p> <p>Reviewer #1: In this paper, authors introduced a subsoil model using combining data from field and laboratory tests such as Resonant Column and Cyclic Torsional Shear tests. The seismic response of the cliff due to the first event of the Calabrian sequence which was simulated by 1D and 2D non-linear analyses was detailed studied. The authors concluded that the research results permitted to assess the influence of the topography on the seismic response and the 2D analyses allowed to justify the occurrence of large permanent deformations. My comments are mainly listed as followings:</p>

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Seismic response of a geological, historical and architectural site: the Gerace cliff (Southern Italy)

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ABSTRACT

Several historical towns and sites, in Italy as well as in the rest of the Southern Europe, were edified on topographic reliefs and they host inestimable cultural heritage. In Calabria (Southern Italy), the historical town of Gerace is located in a highly seismic area and lies on the top of an unstable cliff, constituted by a soft rock slab overlying a thick clay shale formation. A comprehensive study was carried out to reproduce the seismic response of the cliff and analyze potential effects due to severe earthquakes, such as those attributed by historic documents to the Calabrian seismic sequence occurred in 1783. Many efforts were spent for the geotechnical characterisation of the soils, in particular subsoil model was obtained by combining data from field and laboratory tests. The seismic response of the cliff due to the first event of the Calabrian sequence was simulated by 1D and 2D non-linear analyses. The results permitted to assess the influence of the topography on the seismic response; also, the 2D analyses allowed to justify the occurrence of large permanent deformations, as those reported by the chronicles following the historic seismic sequence.

Keywords: *Historical earthquakes; Dynamic soil properties; Numerical analyses; Seismic response; Ground deformation.*

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3 28 **1. INTRODUCTION**
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5 29 Several historical towns in Italy were edified on dominant topographic locations, mainly
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7 30 for strategic reasons, because this choice gave more chance to safeguard populations from the
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9 31 danger of barbarian attacks and invasions. However, the position of these centers did not
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11 32 result as much safe with respect to the natural hazards, because this particular setting often
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13 33 makes them highly exposed both to hydrogeological and seismic extreme events . Significant
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15 34 case studies showing the environmental multi-hazard of such small towns are for instance
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17 35 described by Fenelli et al. (1998).

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19 36 As shown by comparative studies on several small centres in Italy (e.g. Lanzo et al.,
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21 37 2004; Costanzo et al., 2007a), the complex seismic response of cliffs, ridges and hills is
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23 38 affected in a different amount by topographic and stratigraphic amplification, depending on
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25 39 the combination of seismic input motion, geomorphological factors and geotechnical
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27 40 properties.

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29 41 The outstanding influence of the topographic amplification on the seismic response of a
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31 42 homogeneous relief has been largely recognized by various analytical and numerical studies,
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33 43 such as those by Sanchez-Sesma (1990), Faccioli et al (2002), and Pitilakis (2004), providing
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35 44 a comprehensive review of topographic amplification on earthquake ground motion. In
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37 45 addition, different studies evaluated the seismic response of villages settled on steep
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39 46 morphological irregularities in order to assess the topographic effects (Paolucci, 2002;
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41 47 Pagliaroli et al, 2011; Massa et al., 2014).

42 48 The above studies pointed out that, for sites settled on the top of a relief, the amplification
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44 49 of motion results very irregular along the surface, being strongly dependent on geometrical
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46 50 factors, such as the predominant wavelengths of the seismic motion and the aspect ratio of
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48 51 the topographic irregularity. As a consequence, the topographic amplification can be often
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50 52 mis-predicted by means of over-simplified factors, such as those specified by most technical
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52 53 codes. The variability of the seismic response becomes even more complex accounting for
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54 54 further aspects, such as soil heterogeneity and non-linear stress-strain behaviour.

55 55 For instance, in the case of Orvieto hill (Lanzo et al., 2004) and Nicastro cliff (Pagliaroli
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57 56 et al., 2011), the ground response along the surface is still dominated by the coupling
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59 57 between the frequency content of the input motion and the topographic shape, while the
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61 58 stratigraphic amplification plays a minor role, being the seismic impedance poorly variable
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59 with depth. In both cases, the subsoil is constituted by soft rocks, so that non-linearity is
60 deemed as not very significant. Another significant example is provided by the seismic
61 response analyses of Castelnuovo hill, addressed to the seismic microzonation of this village,
62 strongly damaged by the Abruzzo earthquake on April 2009 (Lanzo et al., 2011; Evangelista
63 et al., 2016). Two and three-dimensional linear equivalent numerical simulations highlighted
64 the significant dependency of the surface amplification on the elliptical shape of the relief,
65 constituted by a thick and soft lacustrine carbonate silt deposit. Also, in this site the
66 amplification was checked as significantly affected by the non-linear behaviour of the soft
67 silty soil, with the linear analyses strongly overestimating the amplification factors.
68 Additionally, the effects on the ground response due to the presence of underground cavities
69 is also analyzed through the numerical modelling (Landolfi et al., 2014; Sica et al., 2014;
70 Evangelista et al., 2016).

71 All the above examples were limited to the analyses of the transient ground motion, and
72 did not consider the permanent ground deformation phenomena, including subsidence and
73 slope instability, which can be triggered by strong-motion earthquakes on such sites. The
74 seismic response of the Bisaccia hill is a paradigmatic case history in this sense: the
75 widespread and prolonged damage of the historical centre observed after the Irpinia
76 earthquake of November 1980 (Fenelli et al., 1992) was numerically interpreted by subsoil
77 investigation and 2D dynamic and static analyses by Olivares and Silvestri (2001) and
78 Lampitiello et al. (2001). The results allowed to recognize that the seismic response was
79 affected by velocity inversion between the slab of conglomerates and the underlying clay
80 formation more significantly than by the morphological conditions. The anomalous
81 impedance contrast resulted into an attenuation of surface acceleration, but induced shear
82 strain concentration in the clay: this latter factor triggered excess pore pressures slowly
83 dissipating with time, and therefore inducing the phenomenon of long-term subsidence
84 observed after the earthquake.

85 All the specific aspects characterizing the above studies, i.e. the characteristics of the
86 earthquake input motion, the topographic and stratigraphic complexity and the non-linear soil
87 behaviour, are shown with all their peculiar difficulties by the challenging case history
88 presented hereafter. The following study refers to the historical centre of Gerace, located in
89 southern Calabria, one of the most hazardous seismic region in Italy. The outstanding
90 location of the cliff (Fig. 1) favoured the settling of different communities, since the oldest

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3 91 Greek colonies to Byzantine, Arabian, Norman and Swabian dominations; all of them left
4 92 evidences of historical heritage, testified by different architecture styles. Since 1939, the
5 93 Italian Government imposed the landscape protection in the public interest for the natural,
6 94 historical and artistic value of the centre; recently, the municipality proposed to introduce the
7 95 historical centre in the UNESCO world heritage list. In such a context, this paper analyses in
8 96 detail the seismic response of the cliff with reference to the first earthquake of the sequence
9 97 occurred in 1783, which was accurately reconstructed in terms of reference input motions (§
10 98 2). Some preliminary results were reported by the authors in a previous work (Costanzo et al.,
11 99 2007b). The subsoil model was calibrated on the basis of the geological setting and
12 100 laboratory and field investigations (§3-4); the seismic response of the cliff was simulated by
13 101 2D non-linear analyses (§ 5), in order to evaluate the transient ground motion induced by the
14 102 mainshock, as well as the permanent deformation phenomena (§6).
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38 **Figure 1.** Landscape view of Gerace cliff and sketch of major instability evidences.
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41 104 42 43 105 **2. THE REFERENCE SEISMIC SCENARIO**

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46 106 In less than two months, i.e. since February 5 to March 28, 1783, southern Calabria was
47 107 struck by five strong earthquakes, with magnitude between 5.9 and 6.9 (Fig. 2). The sequence
48 108 caused the destruction of many towns (Carbone-Grio, 1887) as well as huge liquefaction and
49 109 ground failure phenomena (Cotecchia et al., 1986). The environmental damage suffered at
50 110 Gerace was characterised by an anomalous evolution: in fact, while the seismic epicentres
51 111 shifted northwards (cf. Tab.1), the site showed repeated building damages and ground
52 112 deformations, often reported as ‘landslides’ and ‘fractures’ in the Italian Strong Motion
53 113 Catalogue (Boschi et al., 2000).
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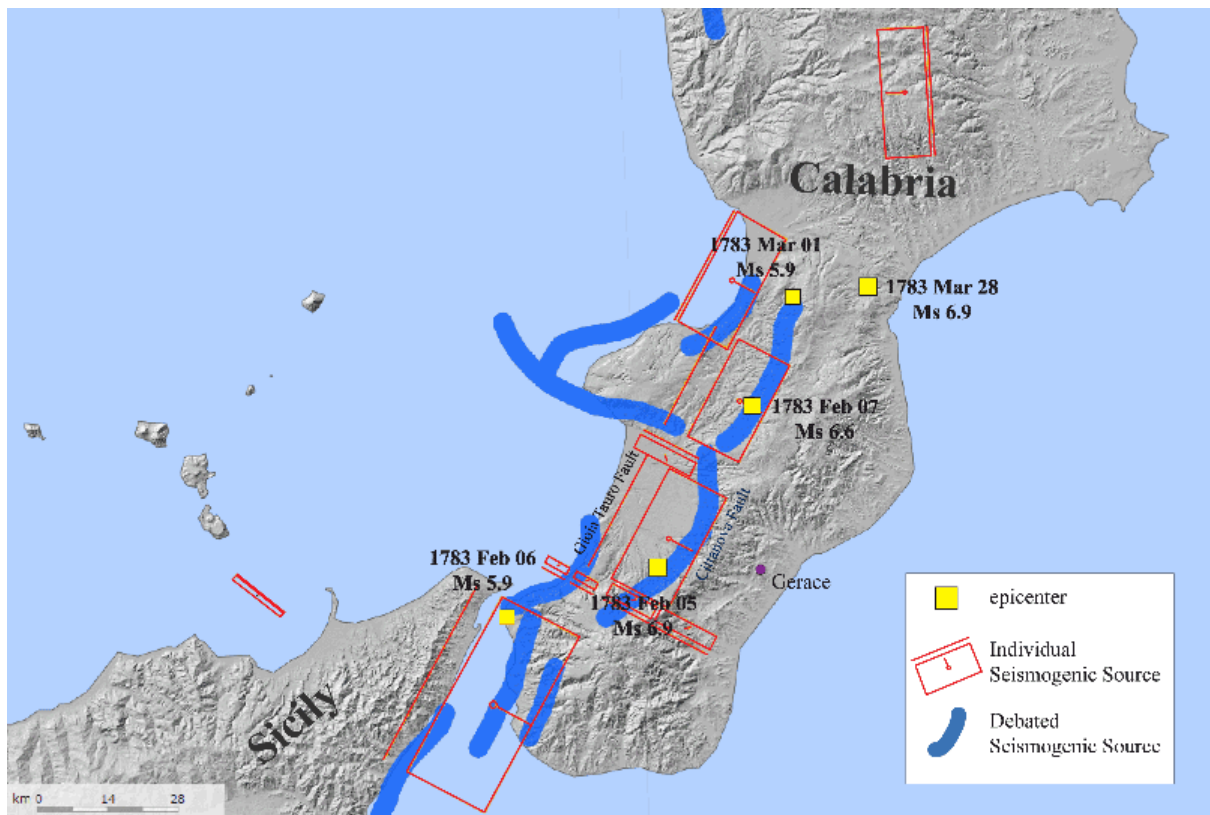


Figure 2. Seismogenic sources (modified by <http://diss.rm.ingv.it/dissmap/dissmap.phtml>, DISS working Group, 2018), epicenters and magnitude (Boschi et al., 2000) of the Calabrian seismic sequence in 1783.

Table 1. Magnitude of surface waves (M_S) and site intensity (I_S) (Boschi et al., 2000), epicentral distance (d_E) and peak ground acceleration at bedrock (a_{max}) computed through hazard analyses for the town of Gerace.

Event	M_S	d_E (km)	I_S (MCS)	a_{max} (g)
1783 Feb 05	6.9	22.04	8.0	0.20
1783 Feb 06	5.9	51.76	-	0.04
1783 Feb 07	6.6	34.42	7.5	0.10
1783 Mar 01	5.9	55.95	-	0.04
1783 Mar 28	6.9	60.68	7.0	0.09

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124 The area of southern Calabria is characterised by complex and still uncertain seismogenic
125 sources. As a matter of fact, the distribution of macroseismic intensity and paleo-
126 seismological studies lead to ascribe the generation of each event of the whole sequence to
127 the different faults shown in Fig.2, evidencing an apparent interaction among them. Several
128 assumptions were formulated in literature on the source location of the first event on
129 February 05, 1783, attributed either to ‘Cittanova Fault’ (Galli & Bosi, 2002) or to ‘Gioia
130 Tauro Fault’ (Peruzza et al., 1997).

131 Recently, some authors introduced a novel technique, based on the probability density
132 evolution method, to take into account the randomness of the earthquakes in the seismic
133 response analysis (Huang et al., 2015), that is the primary source of uncertainty when
134 assessing the seismic performance of geotechnical systems (cf. Bray and Travarasau, 2007).
135 Nevertheless, in this study a deterministic method was adopted. In fact, the authors decided
136 to select different natural accelerograms, that could be representative of the ground motion
137 due to the first events of the 1783 seismic sequence. Following the deterministic approach
138 and adopting a ‘macroseismic approach’, the epicentre location and the magnitude were
139 taken from Italian Parametric Strong Motion Catalogue (Rovida et al., 2016); the attenuation
140 law by Sabetta & Pugliese (1987) was assumed, leading to estimate a peak horizontal
141 acceleration (a_{max}) of 0.20g at Gerace. A counterproof was made following a ‘seismogenic
142 approach’, the earthquake magnitude was alternatively estimated for both faults on the basis
143 of the surface rupture length (Wells & Coppersmith, 1994); the peak reference acceleration,
144 a_{max} , was evaluated by the attenuation law of Ambraseys (1995), by computing the distance
145 of Joyner & Boore (1981), d_{JB} , from the fault geometry and assuming a focal depth equal to
146 10 km, as indicated by Seismogenic Italian Zonation (Meletti et al., 2004). Under such
147 hypotheses, a_{max} was estimated at Gerace into the range between 0.18-0.20g both for the
148 Cittanova fault and Gioia Tauro fault. The two approaches yielded very close evaluations of
149 the reference motion amplitude. The macroseismic approach was extensively used also to
150 evaluate a_{max} for all the other events (see Tab. 1), for which information about geometry of
151 faults was either absent or incomplete (Fig. 2). Finally, the generalised attenuation
152 relationship by Sabetta & Pugliese (1996) allowed to estimate horizontal acceleration
153 reference response spectra for each seismic event to help for the choice of compatible
154 accelerograms. All values of a_{max} and the response spectra are referred to the outcropping
155 seismic bedrock.

On the basis of the above estimates, restricted ranges of magnitude and distance were fixed to select from accelerometric databases compatible records to be used as reliable input motions (Costanzo, 2007). The magnitude range of the first event ($6.2 < M_S < 7.6$) was defined assuming an overall variation of $\pm 10\%$ with respect to the mean estimated magnitude ($M_S = 6.9$), with the epicentral distance, d_E , ranging between 10 and 35 km. Table 2 reports 9 different accelerometric records sorted out for the simulation of the first event. The selected accelerograms were preliminarily filtered by a Butterworth low-pass filter with a cut-off frequency of 15Hz.

Table 2. Records selected from accelerometric databases for the first event

Earthquake	Date	M_S (M_W)	d_E, d_{JB} (km)	Station	Component (° N)	a_{max} (g)	D_{rms}	F_{sc}
Landers, USA	28.VI.1992	7.5	21.0	Morongo Valley, Fire Stn 461	135	0.136	0.090	1.505
Northridge, USA	17.I.1994	7.1	22.7	Wonderland, California	185	0.187	0.104	1.092
Loma Prieta, USA	18.X.1989	7.1	67.6	Sierra Pt., San Francisco	205	0.102	0.108	1.998
Imperial Valley, USA	15.X.1979	6.9	17.5	Delta	262	0.236	0.125	0.863
El Salvador	13.II.2001	6.6	22.4	Col. Ext. S. Jose, San Salvador	0	0.137	0.143	1.491
Montenegro	15.IV.1979	7.0	25.0	Petrovac, Hotel Oliva	0	0.251	0.144	0.812
Duzce, Turkey	12.XI.1999	7.1	26.0	Duzce	90	0.276	0.145	0.738
Irpinia, Italy	23.XI.1980	6.9	32.0	Sturno	0	0.231	0.148	0.883
Chi Chi, Taiwan	20.IX.1999	7.6	14.34	TCU046	90	0.130	0.148	1.574

To select the most suitable real accelerograms, the approach suggested by Bommer & Acevedo (2004) was followed, computing the amplitude scale factor, F_{sc} , between the reference and the selected motion:

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$$F_{sc} = \frac{a_{R\max}}{a_{S\max}} \quad (1)$$

171 and the root mean square parameter, D_{rms} :

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$$D_{rms} = \frac{1}{N} \sqrt{\sum_{i=1}^N \left(\frac{S_{a,R}(T_i)}{a_{R\max}} - \frac{S_{a,S}(T_i)}{a_{S\max}} \right)^2} \quad (2)$$

173 In eqn. (2), $S_{a,R}(T_i)/a_{R\max}$ and $S_{a,S}(T_i)/a_{S\max}$ are the normalized spectral ordinates at a
174 period T_i of the reference and selected motion, respectively; N is the number of period values
175 used. The parameter D_{rms} supplies a quantitative evaluation of the similarity between the
176 reference and selected spectral shape, expressing the spectral compatibility (e.g., Pagliaroli et
177 al., 2008). Both parameters are reported in Tab. 2 for the nine selected accelerograms. The
178 first four records in Tab. 2 are characterised by the lowest values of D_{rms} ; they were therefore
179 preferred to the others. Figure 3 shows the selected accelerograms, scaled to $a_{max}=0.20g$
180 (left), and the comparison with the reference response spectra (right).

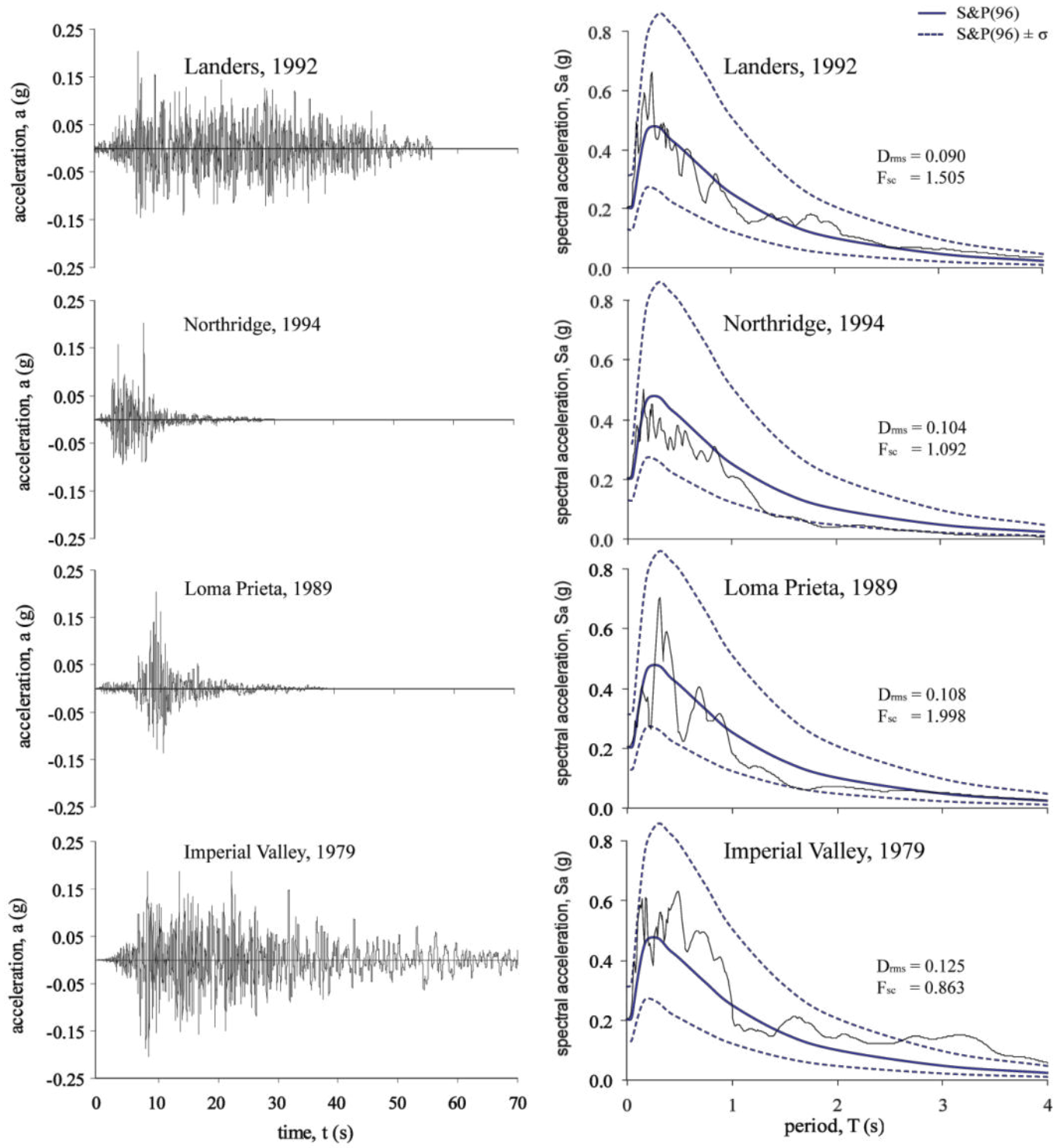


Figure 3. Selected input motions: accelerograms (left) and spectral compatibility using the relationship S&P(96) (Sabetta & Pugliese, 1996) (right).

3. GEOLOGICAL SETTING

The town of Gerace is settled at about 450m a.s.l. on a cliff oriented in NW-SE direction, between the basins of two rivers, characterised by continuous gully erosion affecting the stability of the valley borders. The cliff has been for a long time affected by slope instability problems, evidenced by cracks, falls, slides and flows. In the last years, such movements have been continuously requiring local countermeasures, as anchors and buttresses (see Fig. 1). Large scale geomorphological studies suggested that all these scattered phenomena can be viewed as shallow evidences of a deep gravitational seating, located in the clay shale formation and extending up to the superjacent soil layers (Guerricchio, 2005). Past and recent chronicles report that most of the instability phenomena have been triggered by intense rainfalls; however, there are records of repeated large deformations and landslides induced by strong earthquakes, including those occurred in 1783 (Fortunato et al., 2012).

The geological map in Fig. 4a shows the limits of the different formations, as well as the locations of previous and recent subsoil investigations.

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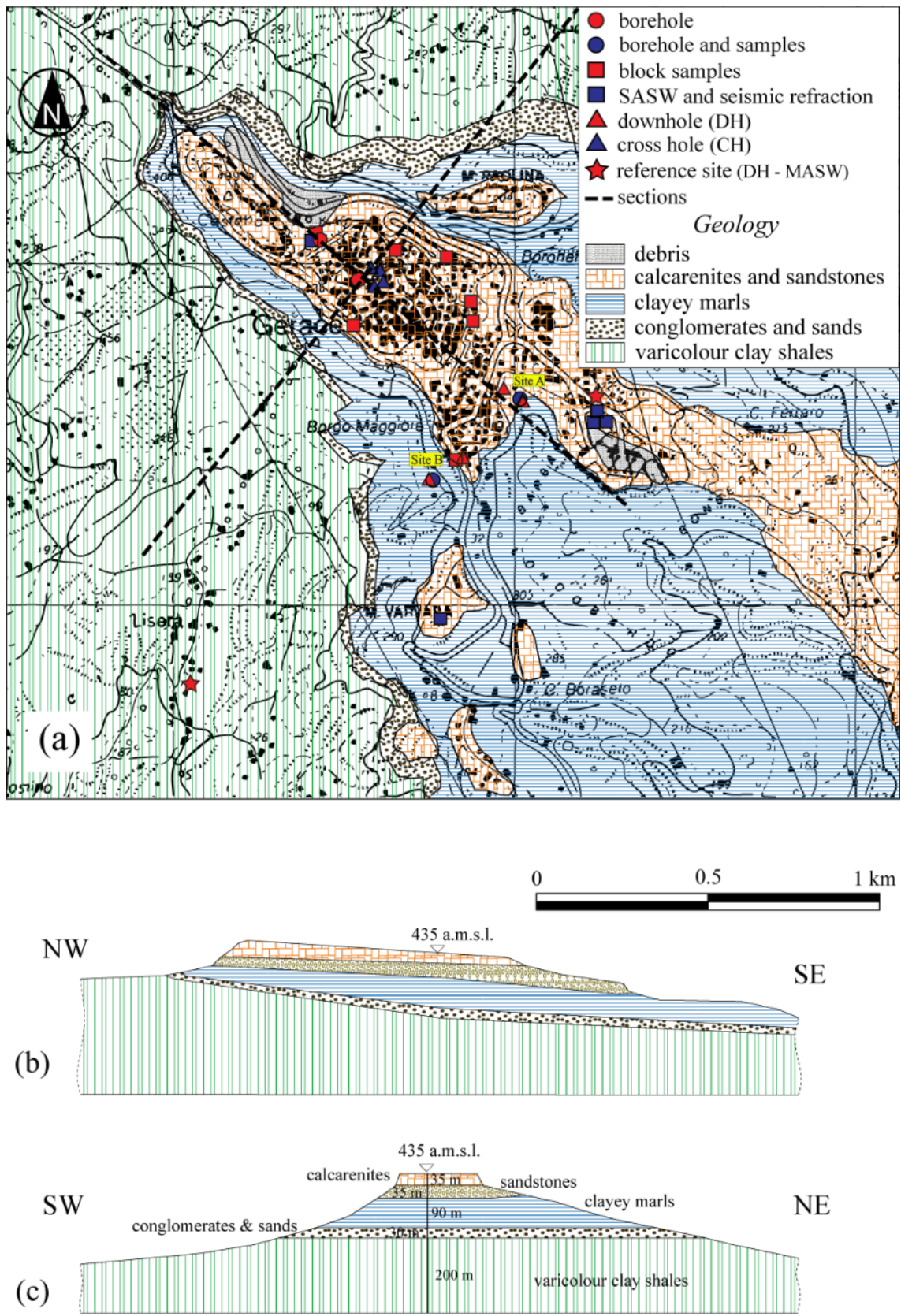


Figure 4. Geological map with investigation sites (a) and sections NW-SE (b) and SW-NE (c).

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3 196 The upper part of the cliff is formed by a slab constituted, in sequence, by a thick layer of
4 197 Pliocene calcarenites and weakly cemented sands (about 70m), overlying a deep formation of
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6 198 clayey marls (around 90m), which in turn rests on a relatively thinner (30m) layer of
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8 199 interbedded sands and conglomerates. The slab floats on a deep layer of Oligocene
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10 200 varicoloured clay shales, with an estimated thickness of about 200m. The current
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12 201 geomorphological setting is the result of the intense erosion processes, producing the
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14 202 progressive removal of the soils overlying the clay formation.

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16 203 In 1997 several boreholes of variable depths and inclination were executed along the
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18 204 ridge of the cliff (white circles in Fig. 4a), for the design of stabilisation works committed by
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20 205 the Municipality; they allowed to take numerous calcarenite samples, subjected to unconfined
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22 206 compression tests in laboratory. The two deep drillings up to 200 and 120m (red circles on
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24 207 the calcarenite slab at the intersection of the section lines and moving towards NW along SE-
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26 208 NW section line, respectively) allowed to identify the complete subsoil layering, down to the
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28 209 varicoloured clays, and to detect the groundwater table at the top of the clayey marls. In the
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30 210 same period, further investigations, including Cross-Hole tests, were planned in the old town
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32 211 centre for the restoration of the Cathedral (blue triangles). On such a basis, the stratigraphic
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34 212 sections in Figs. 4b,c were sketched.

35 213 More data have been collected in 2006-2007 from a number of shallower investigations
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37 214 in the lower SE part of the town, carried out for the design of further stabilisation works,
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39 215 including down-hole profiles and laboratory tests on sands and marls (red triangles and blue
40
41 216 circles in Fig. 4a). In the same area additional data were available from seismic refraction
42
43 217 surveys (blue squares at SE) executed for the construction of a theatre.

44
45 218 The authors planned two more investigation sites, one again in the SE area, and another
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47 219 in the SW river valley, where varicoloured clays outcrop (red stars); surface and borehole
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49 220 seismic tests were executed and undisturbed samples were retrieved at different depths.
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51 221 Shallow samples of calcarenite and weakly cemented sand were also extracted from
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53 222 excavation pits along fresh slope faces (red squares).

54 223 55 56 57 224 **4. GEOTECHNICAL CHARACTERISATION**

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59 225 The subsoil model for the seismic response analyses was obtained from the previous
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61 226 investigations by combining the data gathered through dynamic in situ and laboratory tests.
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3 227 All the laboratory tests were carried out at University of Naples by means of a Resonant
4 228 Column/Torsional Shear device (d'Onofrio et al., 1999) which allowed to characterise the
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6 229 non-linear cyclic behaviour of most of the soil units.

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9 230 Shear wave velocity measurements on the clayey marl (Fig. 5a) were obtained by couples
10 231 of Down-Hole tests located uphill (U) and downhill (D) along two steep slopes (sites A and B
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12 232 reported in Figure 4a), where the cliff showed instability during 2006. The profiles reported
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14 233 in Fig. 5a show V_S values increasing with depth down to about 10m, where the subsoil is
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16 234 constituted either by loose covers of sands (uphill), or by weathered marl (downhill). The
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18 235 downhill DH profiles appears quite scattered probably because of the different degree of
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20 236 weathering suffered at the two sites. By averaging the different measurements and
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22 237 extrapolating them to higher depths, a mean constant value of 800 m/s was assumed for the
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24 238 clayey marl in the geotechnical model adopted for the seismic site response analyses (Fig.
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26 239 5d).

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28 240 Surface wave (MASW) and Down-Hole tests were carried out by the authors in two sites,
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30 241 where the sand and varicoloured clay shale units outcrop (see Fig. 4a). The shear wave
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32 242 velocity profiles in the sand showed a good agreement among the different testing techniques
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34 243 (compared each other in Fig. 5b) and with the values found for the sand covering the marl
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36 244 formation (verticals UA and UB in Fig. 5a). The average value of $V_S = 400$ m/s was then
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38 245 attributed to the sand formation in the geotechnical model (Fig. 5d). The DH test allowed to
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40 246 identify the presence of a stiffer layer of calcarenite at 8-10m depth, confirmed by the
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42 247 stratigraphic log, but not detected by the surface wave tests. On the basis of such
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44 248 measurements, a V_S value of 750 m/s was assigned to the deep intact calcarenites (Fig. 5d),
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46 249 while a $V_S = 400$ m/s was assumed for the shallow fractured calcarenite, on the basis of the
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48 250 results of the above mentioned Cross-Hole tests carried out close to the Cathedral in 1997.

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50 251 Due to the lack of previous investigations, the major experimental efforts were spent in
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52 252 the characterisation of the varicoloured clay shale, at the test site in the SW valley at the toe
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54 253 of the cliff. A first borehole was conditioned for the installation of two Casagrande
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56 254 piezometers, which detected the groundwater table at 10 m depth. Another borehole was
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58 255 cased for the execution of DH tests. Just like found in the previous test site, the different
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60 256 geophysical tests also provided comparable V_S profiles (Fig. 5c).

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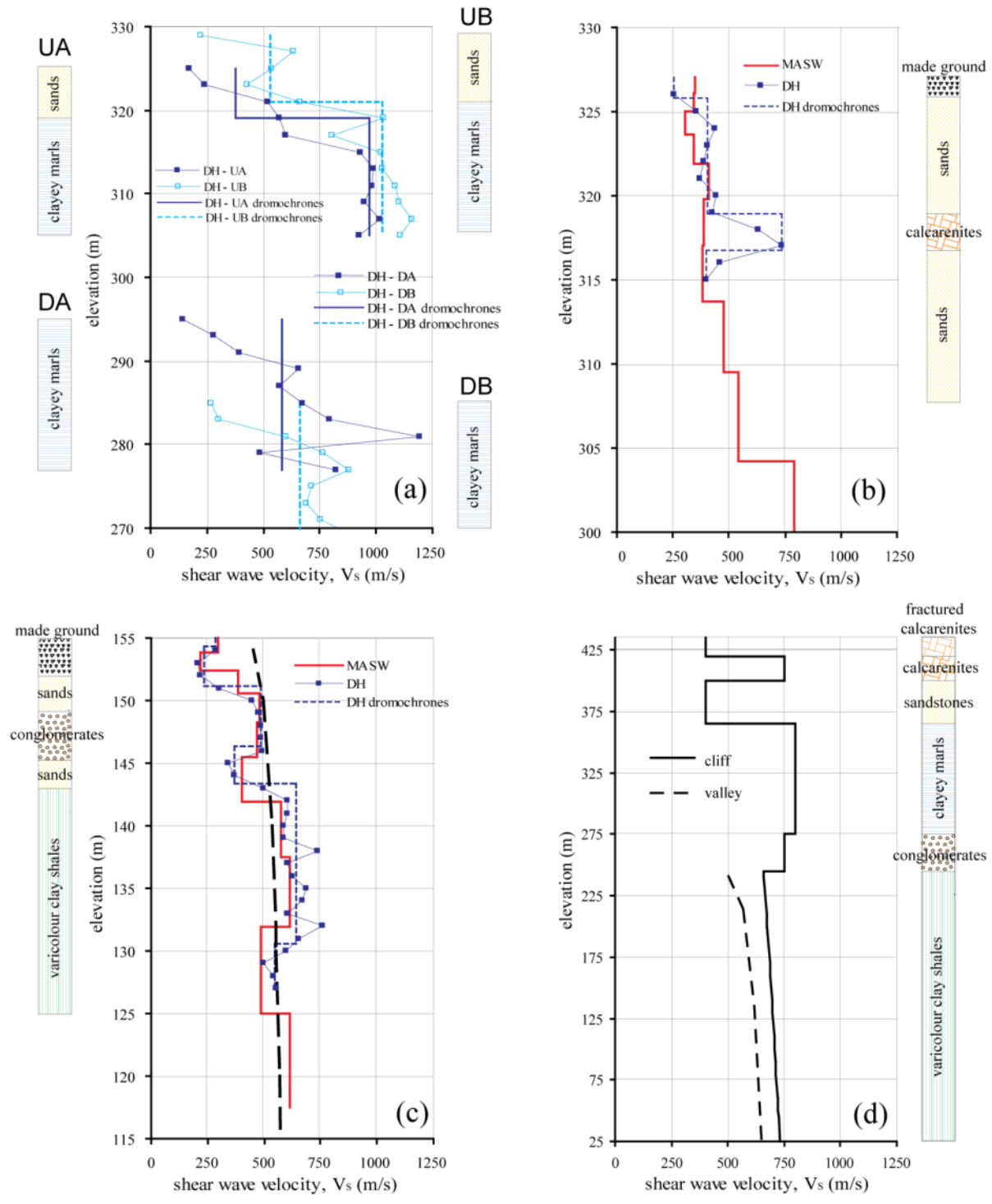


Figure 5. Shear wave velocity profiles measured at outcrops of marl (a), sand (b) and varicolour clay (c); subsurface model assumed for the seismic site response analyses (d).

257 Four undisturbed samples were retrieved from the same borehole, in order to carry out
 258 Resonant Column and Cyclic Torsional Shear (CTS) tests. The experimental programme was

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3 259 addressed to characterise the dependency of the cyclic stress-strain behaviour on the variable
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5 260 in situ stress state and history sustained by the varicoloured clay: namely, the overburden
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7 261 compression for the deposit underlying the cliff, and the swelling, due to the erosion, for that
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9 262 outcropping in the valley. To reproduce in the RC-CTS tests the in-situ stress paths
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11 263 undergone by the highly compressed clay shale, two specimens were subjected to a multi-
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13 264 stage consolidation sequence, starting from the estimated mean in situ stress state (≈ 400 kPa)
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15 265 and then loading up to 800 and 1200 kPa. Another specimen, instead, was left swelling under
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17 266 an isotropic effective stress of 200 kPa, lower than the estimated mean overburden pressure.

18 267 The G_0 values measured by RC tests carried out following the two different stress paths
19
20 268 are plotted in Fig. 6a as a function of the mean effective stress, p' , and best-fitted adopting
21
22 269 the power function reported herein (expressing G_0 MPa and p' in kPa):

$$24 \quad 270 \quad G_0 = A \cdot (p')^b = 49 \cdot (p')^{0.25} \quad (3)$$

25
26
27 271 This latter is and drawn with a solid line in the same figure. The dependency of the small
28
29 272 strain stiffness, G_0 , on stress state and history was modelled distinguishing the V_S profiles
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31 273 under the cliff respect to that characterising the surrounding valley. Following the approach
32
33 274 introduced by Rampello et al. (1994), the relationship between G_0 , the current stress state and
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35 275 the stress history of the soil can be expressed as follows:

$$36 \quad 37 \quad 276 \quad \frac{G_0}{p_r} = S \cdot \left(\frac{p'}{p_r} \right)^n \cdot \left(\frac{p'_y}{p'} \right)^m \quad (4)$$

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41 277 In eqn. (4), the coefficient S represents the stiffness of the clay when normally
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43 278 consolidated at a reference stress state $p'=p_r$ (typically taken equal to the atmospheric
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45 279 pressure), the exponent n defines the rate of variation of G_0 with the normal consolidation
46
47 280 stress, and m accounts for the dependency of G_0 on the overconsolidation ratio. With
48
49 281 reference to a given unloading-reloading compression path, characterised by a yield stress p'_y ,
50
51 282 eqn. (4) can be re-arranged as follows:

$$52 \quad 53 \quad 283 \quad G_0 = S \cdot (p_r)^{1-n} \cdot (p'_y)^m \cdot (p')^{n-m} \quad (5)$$

54
55 284 By comparing the power functions (3) and (5), it can be observed that

$$56 \quad 57 \quad 285 \quad b = n - m \Rightarrow n = b + m \quad (5a)$$

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59 286 and

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287
$$A = S \cdot (p_r)^{1-n} \cdot (p'_y)^m \Rightarrow S \cdot (p_r)^{1-n} = \frac{A}{(p'_y)^m} \quad (5b)$$

288 therefore, it is proportional to the yield stress.

289 The behaviour of the clay shale under the cliff was assumed as normally consolidated and
290 modelled by the equation:

291
$$G_0 = S \cdot (p_r)^{1-n} \cdot (p')^n \quad (6)$$

292 It was not possible to measure shear modulus at normally consolidated states, given the
293 maximum confining pressure (no more than 800 kPa) applicable with the available RC-TS
294 device. For this reason some assumptions had to be made. The yield stress p'_y was assumed
295 to be equal to the mean overburden stress acting on the clay shale lying under the cliff at the
296 depth of the retrieved sample, estimated to be about 2.88 MPa; the exponent m was taken
297 equal to 0.35, by averaging literature data relevant to natural clays of Southern Italy with
298 comparable plasticity and macro-structure (d'Onofrio et al., 1998). Taking account for the
299 values previously found for A and b and those assumed for p'_y and m , using the equations (5a)
300 and (5b) the following power law was obtained to describe the G_0 dependency on stress state,
301 in normally consolidated conditions :

302
$$G_0 = 3 \cdot (p')^{0.6} \quad (6a)$$

303 The relationship is plotted in Fig. 6 with a dashed line.

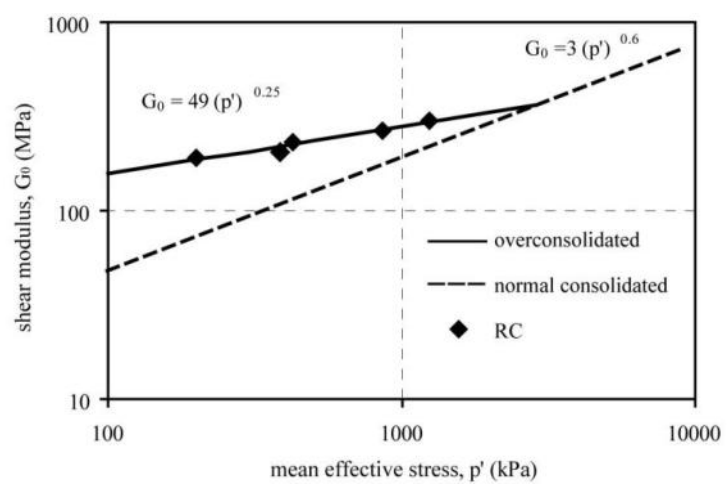


Figure 6. Dependency of the initial shear modulus on the stress state and history for the varicolour clay

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304 The above eqns. (5) and (6) were then expressed in terms of V_S : z relationships, and
305 scaled to the V_S values measured in situ (not affected by sampling disturbance and
306 reconsolidation techniques). As a result, the shear wave velocity profiles drawn in Fig. 5c-d
307 was obtained, showing that the velocity values in the valley (450-650 m/s), due to the
308 swelling of the varicoloured clay, are consistently lower than those underneath the slab (660-
309 725 m/s).

310 The laboratory tests on clayey marls and varicoloured clays also allowed to describe the
311 non-linear behaviour of these fine-grained soils. The variation of the normalised shear
312 modulus, G/G_0 (Fig. 7a-b), and damping ratio, D (Fig. 7c-d) with shear strain, γ , were
313 assumed on the basis of CTS tests driven at the frequency closest to the dominant range of
314 the earthquake motions. The characterization of the varicoloured clay lying under the cliff
315 and in the valley was obtained from the laboratory data respectively pertaining to the
316 compression and swelling loading paths (Fig. 7b-d), with these latter corresponding to a
317 higher degree of non-linearity and higher damping values. The non-linear behaviour of the
318 soils was best-fitted through the hyperbolic stress-strain model and the Masing criteria
319 (Hardin & Drnevich, 1972), to be effectively used in the numerical SSR analyses. The same
320 graphs also show the curves for the sands (Fig. 7a-c) and conglomerates (Fig. 7b-d), as
321 derived from literature indications relevant to soils with comparable lithology, respectively
322 given by Lo Presti et al. (1997) and Marcellini et al. (1995).

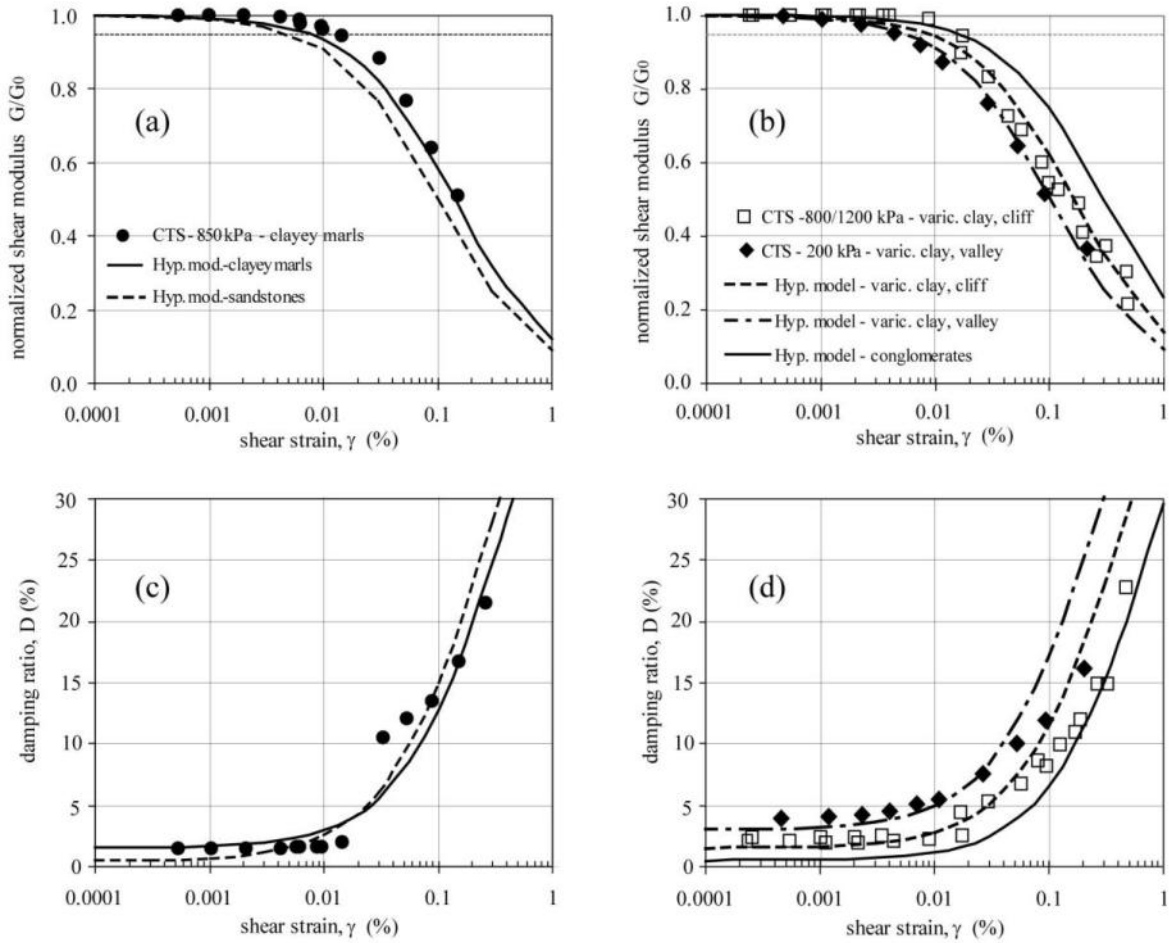


Figure 7. Strain-dependent equivalent parameters for sand and marl (a,c), conglomerate and varicolour clay (b,d).

The calcarenite was assumed as a linear material, as well as the bedrock, which was assumed to be a stiff rock elastic half-space, underlying the clay layer at a depth of about 200m, as indicated by previous geological studies (Monteleone, 1993) and, indirectly, verified through comparison between experimental and numerical frequency content, in the latter case by varying the thickness of the varicoloured clay layer (Costanzo, 2007).

Table 4 shows a summary of the parameters used for the numerical simulations described in the following section. The shear wave velocity V_S is set constant for all formations, except for the varicoloured clay shales, where it varies with depth. The measurements of the compression wave velocity, V_P , in the Down-Hole tests allowed to set the values of the Poisson's coefficient, ν , for all soils. The shear and compression moduli were obtained on the

334 basis of V_S and V_P , and of the average unit weight, γ , measured on undisturbed samples. The
 335 small strain damping, D_0 , was taken from CTS test results, when available, or from the
 336 literature in the other cases.

337 Table 4 also contains the volumetric threshold strain (γ_v), corresponding to the value for
 338 which shear-volumetric coupling (i.e. volume changes or pore water pressure build-up) is
 339 expected to be triggered. Since no reliable measurement of pore pressure build up were
 340 obtained from the RC and CTS tests, the volumetric threshold, γ_v was assumed as
 341 corresponding to the strain level for which $G=0.65G_0$, as suggested by Vucetic (1994). The
 342 effective strength parameters, c' and ϕ' , of the sand and fine-grained soils were obtained from
 343 direct shear tests on undisturbed samples, while those of the calcarenite were derived from
 344 uniaxial compression tests (Costanzo, 2007). The strength parameters of the conglomerate
 345 were attributed on the basis of the above mentioned literature indications on similar soft
 346 rocks.

347 Table 4. Soil parameters for seismic site response analyses.

Material	γ (kN/m ³)	V_S (m/s)	V_P (m/s)	ν	D_0 (%)	γ_v (%)	ϕ' (°)	c' (kPa)
Fractured calcarenite	16.69	400	693	0.250	0.5	Linear	35	7
Calcarenite	16.69	750	1299	0.250	0.5	Linear	40	700
Sandstone	16.94	400	781	0.322	0.5	0.048	35	54
Clayey marl	18.05	800	1595	0.332	1.5	0.060	32	34
Conglomerate	19.51	750	1368	0.285	0.5	0.160	30	1000
Varicoloured clay (cliff)	21.00	658	1612	0.400	1.5	0.068	25	0
		725	1776					
Varicoloured clay (valley)	20.87	454	973	0.361	3.0	0.040	25	0
		643	1379					
Bedrock	22.00	1500	2806	0.300	0	Linear		

350 5. NUMERICAL MODELLING OF THE SEISMIC RESPONSE

351 The seismic response of the cliff and the surrounding valley was simulated by one-
 352 dimensional and two-dimensional analyses with different numerical methods.

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3 353 One-dimensional analyses were executed with the conventional linear-equivalent
4 354 approach using the well-known EERA code (Bardet et al., 2000). Two-dimensional non-
5 355 linear analyses were also carried out adopting the finite difference code FLAC 5.0 (Itasca,
6 356 2005), aiming to investigate both the influence of the complex 2D topography on the seismic
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8 357 ground motion at surface and the permanent deformations extent developed along the cliff
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10 358 profile. In the 2D analyses, the pre-failure soil behaviour was assumed as characterised by the
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12 359 same parameters adopted for the 1D analyses (see Tab. 4 and Figs. 5d-6-7), with the
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14 360 hysteretic damping modelled applying the Masing criteria to the shear modulus decay curves
15
16 361 fitted by the hyperbolic model. The small-strain viscous damping was included in the FDM
17
18 362 algorithm according to the well-known Rayleigh formulation, i.e. assuming that the damping
19
20 363 tensor [C] is a linear combination of the mass [M] and the stiffness [K] tensors:

$$21 \quad [C] = \alpha[M] + \beta[K] \quad (7)$$

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23 364
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25 365 For each soil layer, coefficients α and β were chosen according to the values of the small-
26
27 366 strain damping ratio, D_0 , reported in Tab. 4. A double frequency approach (Hashash & Park,
28
29 367 2002), was calibrated to yield the same damping-frequency function as the single frequency
30
31 368 method used by the program (Costanzo, 2007). Soil behaviour at failure was represented by a
32
33 369 Mohr-Coulomb plastic envelope, with a non-associated flow rule.

34
35 370 Figure 8 shows the mesh grids used to model the two geological sections of Fig. 4, which
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37 371 represent also the actual topographic aspect of the cliff. It is worth mentioning that the
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39 372 models do not take into account any differences respect to the topographic setting at the time
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41 373 of the historic earthquakes. Nevertheless, although some local morphological changes may
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43 374 have occurred in about 200 years, the topographic aspect seems enough similar to that
44
45 375 reproduced in an engraving sketched about 80 years before the seismic sequence, and
46
47 376 however small changes are virtually impossible to reconstruct with due reliability.

48 377 The thickness of the mesh elements was set between 5m and 10m throughout the whole
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50 378 grid. According to the well-known rule of the thumb by Kuhlemeyer & Lysmer (1973), these
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52 379 sizes correspond to a maximum frequency of about 10 Hz propagated in the subsoil profile
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54 380 (e.g., for the softest soil $V_s=400$ m/s with thickness of 5m, cf. Fig. 5 and Table 4). The
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56 381 seismic input motions, sampled at 0.01 s, were preliminarily low-pass filtered to a frequency
57
58 382 of 15 Hz, and the time step in the integration was set equal to $5 \cdot 10^{-5}$ s. Preliminary calibration
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60 383 tests (Costanzo, 2007) demonstrated that the choice of a finer mesh size and of a narrower
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62 384 low-pass filtering did not significantly improve the computed response.
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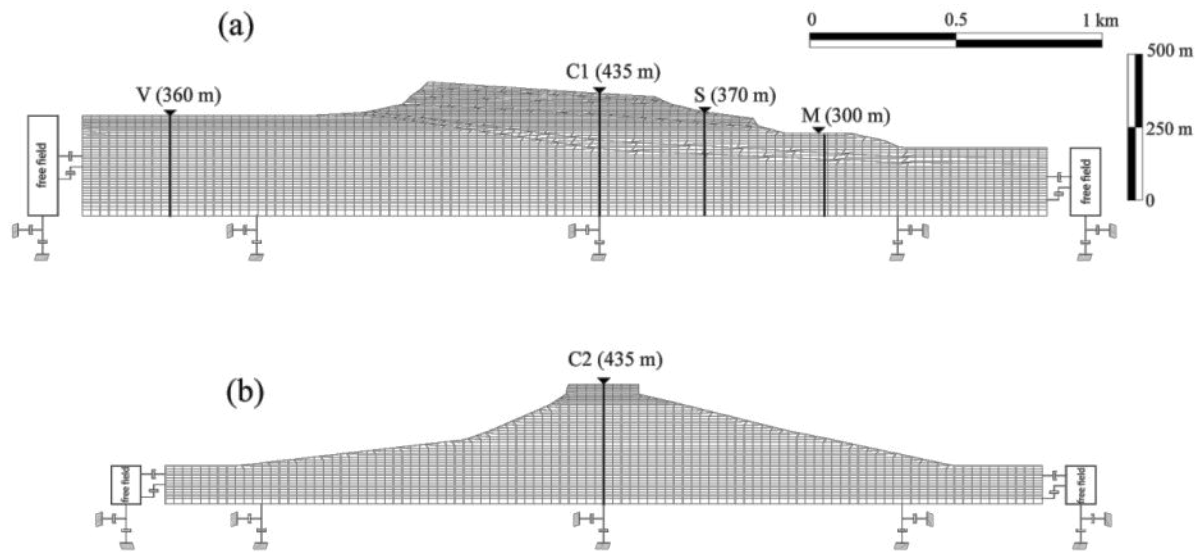


Figure 8. Meshes for FDM analyses: NW-SE (a) and SW-NE (b)

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387 To avoid undesired wave reflections in correspondence of the domain borders, a ‘quiet
 388 boundary’ condition was adopted for the bedrock, consisting of viscous dampers acting along
 389 normal and tangential directions (Lysmer & Kuhlemeyer, 1969). In addition, the mesh was
 390 extended for at least 250 m on each side of the hill, and ‘free-field boundary’ conditions were
 391 used at the lateral borders. These latter consist of one-dimensional columns simulating the
 392 behaviour of a lateral semi-infinite medium, linked to the mesh grid through viscous dashpots
 393 (Itasca, 2005).

394

395 6. SEISMIC AMPLIFICATION AND PERMANENT DISPLACEMENTS

396 The seismic response to the first earthquake of the sequence, simulated using Landers
 397 earthquake – which showed the better compatibility with the reference spectrum (cf. Table 2)
 398 –, is plotted in Fig. 9 in terms of vertical profiles of peak acceleration (plots a-d), shear strain
 399 (plots b-e) and stress (plots c-f). The upper plots show the response computed by 1D (dashed
 400 line) and 2D analyses (C1, C2 data sets) along the vertical central axis of the calcarenite slab,
 401 where the two sections intersect (Figs. 4-8). The lower plots report the 2D response at

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402 different sites, located along the NW-SE section, at the outcrop of sandstones (S), marls (M)
403 and varicoloured clays (V).

404 At the hill centre, the peak acceleration profile (full squares in Fig. 9a) obtained from the
405 2D analysis along the NW-SE longitudinal section is in a good agreement with the 1D
406 response; instead, higher acceleration values were calculated along the same vertical axis,
407 considering the SW-NE transversal section (full triangles). Most of the amplification is
408 concentrated along the uppermost 100m, in particular at the contact between intact and
409 fractured calcarenites and, mostly, between marls and sandstones. Similar amplification
410 phenomena are evident also along the S vertical, in the outcropping sandstone formation (Fig.
411 9d). The localization of the amplification along the vertical profiles is therefore mainly due to
412 the high impedance contrast between marl and sandstone. A significant amplification is also
413 evident in the upper part of M profile, whereas along the outcropping clay vertical (V) the
414 acceleration at surface results slightly lower than at the bedrock (Fig. 9d).

415 The sandstone formation also exhibits the maximum peak shear strain values. Along both
416 sections (see C1, C2 and S profiles in Fig. 9b,e), γ_{\max} systematically exceeds the volumetric
417 threshold γ_v , which is of the order of 0.05% for this material (see Table 4), inducing to expect
418 permanent volumetric and shear strains. The 1D and 2D shear stress profiles along the central
419 axis of the cliff (Fig. 9c) show similar trend, even if the latter are characterized by greater
420 variability with depth, particularly along the NW-SW section (C2 profile) where a relative
421 maximum at the contact between sandstones and clayey marls is clearly detectable.

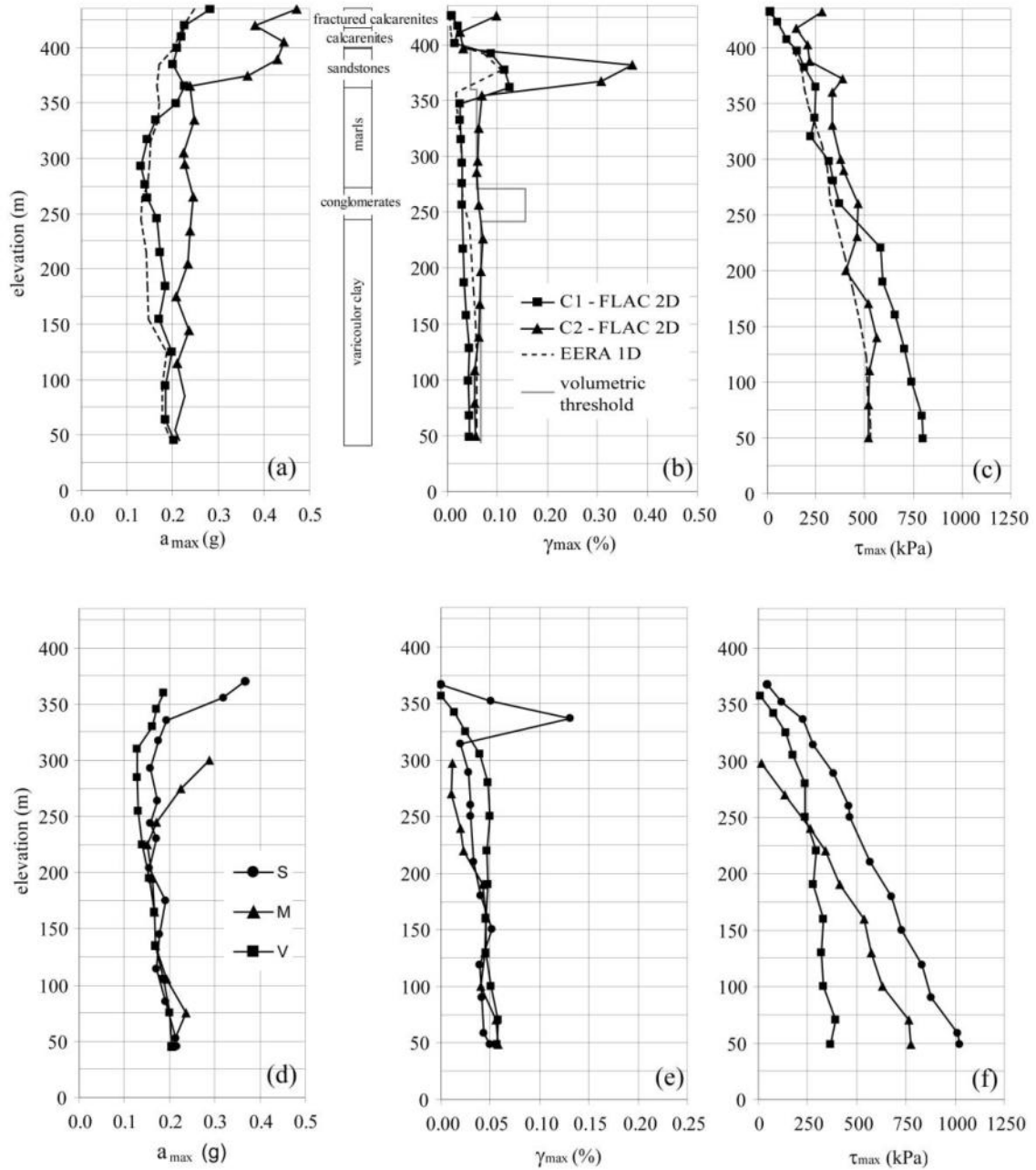


Figure 9. Vertical profiles of peak acceleration (a,d), shear stress (b,e) and strain (c,f) at different sites.

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423 The response spectra in Fig. 10a,b highlight the combined effects of stratigraphic and
 424 topographic amplification on the seismic response at the surface.

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425 The spectral accelerations at different point along the longitudinal section are compared
426 to the input motion in Fig. 10a. The varicoloured clay site (vertical V) shows a quite uniform
427 spectral amplification throughout the entire range of periods; marls (site M) and sandstones
428 (site S) mostly amplify at frequencies higher than 1Hz, showing a peak spectral acceleration
429 exceeding 1g at a period of about 0.25s and 0.40s, respectively; the outcropping calcarenite
430 layer (C1) seems to enhance the response at higher periods.

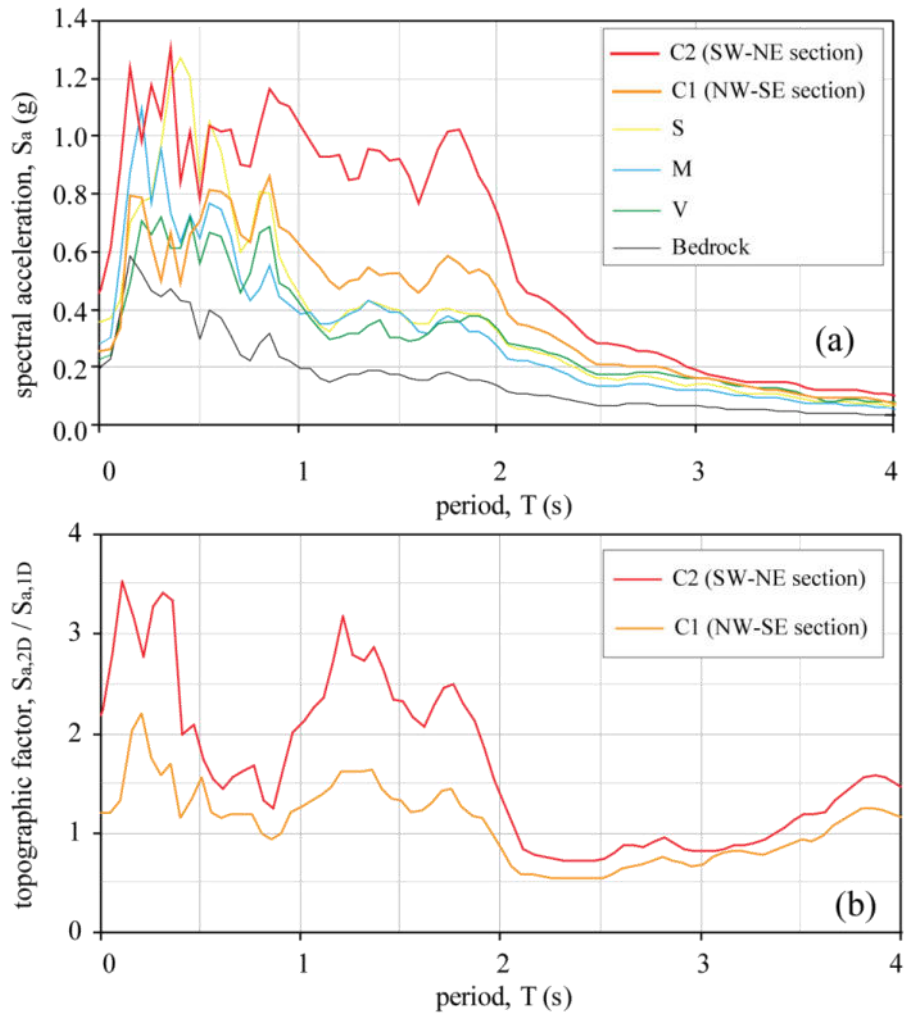


Figure 10. Response spectra showing stratigraphic amplification (a) and topographic factor (b).

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432 In Fig. 10b, the spectral acceleration predicted at the town centre by 2D numerical
433 analyses along both cross sections (C1 and C2 profiles) is normalised respect to that

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3 434 computed by 1D analysis with EERA to highlight the topographic effect. Along the NW-SE
4 435 direction, where the topographic effects are expected to be less significant, the 1D and 2D
5 436 response are indeed very similar, except for the low-period range where the peak spectral
6 437 acceleration of the input motion is enhanced by the 2D geometry. The spectral amplitudes at
7 438 the C2 profile relevant to the SW-NE section are significantly higher than those calculated
8 439 along the NW-SE section, confirming that topographic amplification affects more
9 440 significantly the transversal section of the hill.

15
16 441 The amplification factor of the peak ground acceleration is plotted in Fig. 11 a-b along
17 442 the two analyzed sections, for the four different input motions showed in Fig.3. The shapes of
18 443 the topographic profiles are added for comparison. The seismic response does not seem to
19 444 be strongly influenced by the input motion, at least in the case of the SW-NE section,
20 445 whereas a slight effect is more evident along the NW-SE section. Landers input signal give
21 446 rise to the highest amplification ratios along the two sections. The alternating amplification
22 447 and attenuation of the peak acceleration along the slopes of the cliff can be ascribed to the
23 448 interaction between incident and diffracted waves. The response of the central part of the cliff
24 449 in the NW-SE section is characterized by an average amplification factor of 1.5, which
25 450 increases up to 2.5 moving towards the edges (Fig. 11a). The SW-NE section instead shows
26 451 higher amplification factors at the top of the hill (Fig. 11b) due to both the resonance of the
27 452 cliff and to the focalization of body waves associated to the narrow profile, that induces a
28 453 more pronounced topographic effect.

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40 454 In Fig. 11c the amplification factor at the C2 node (on the surface of the section SW-NE)
41 455 is plotted as spectral acceleration ratio; amplification is predicted on the entire period range,
42 456 whichever is the input adopted. The acceleration spectral ratio shows mean values higher
43 457 than 4 between 1.0s and 1.8s, emphasising the combining of stratigraphic and topographic
44 458 effects.

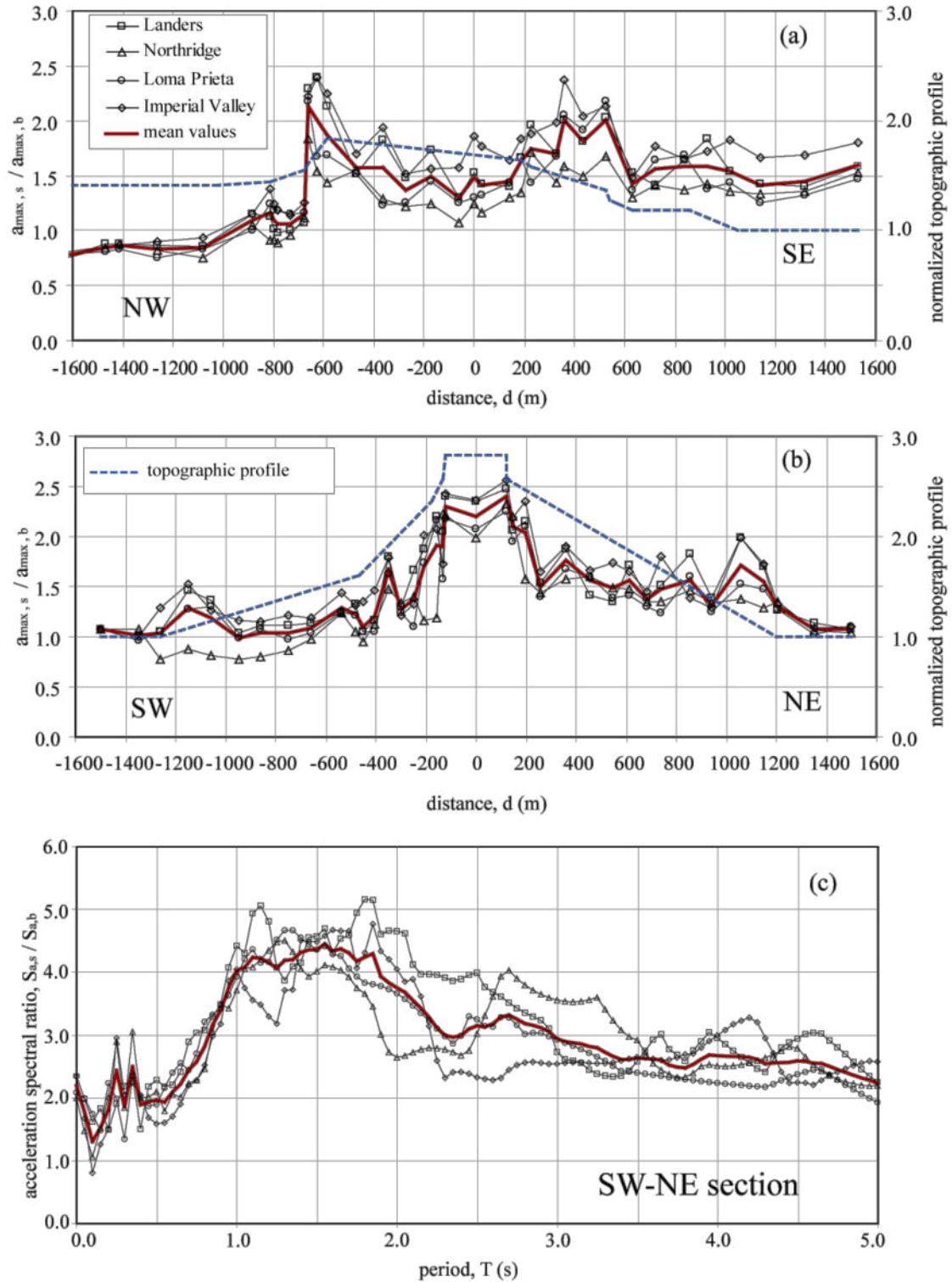
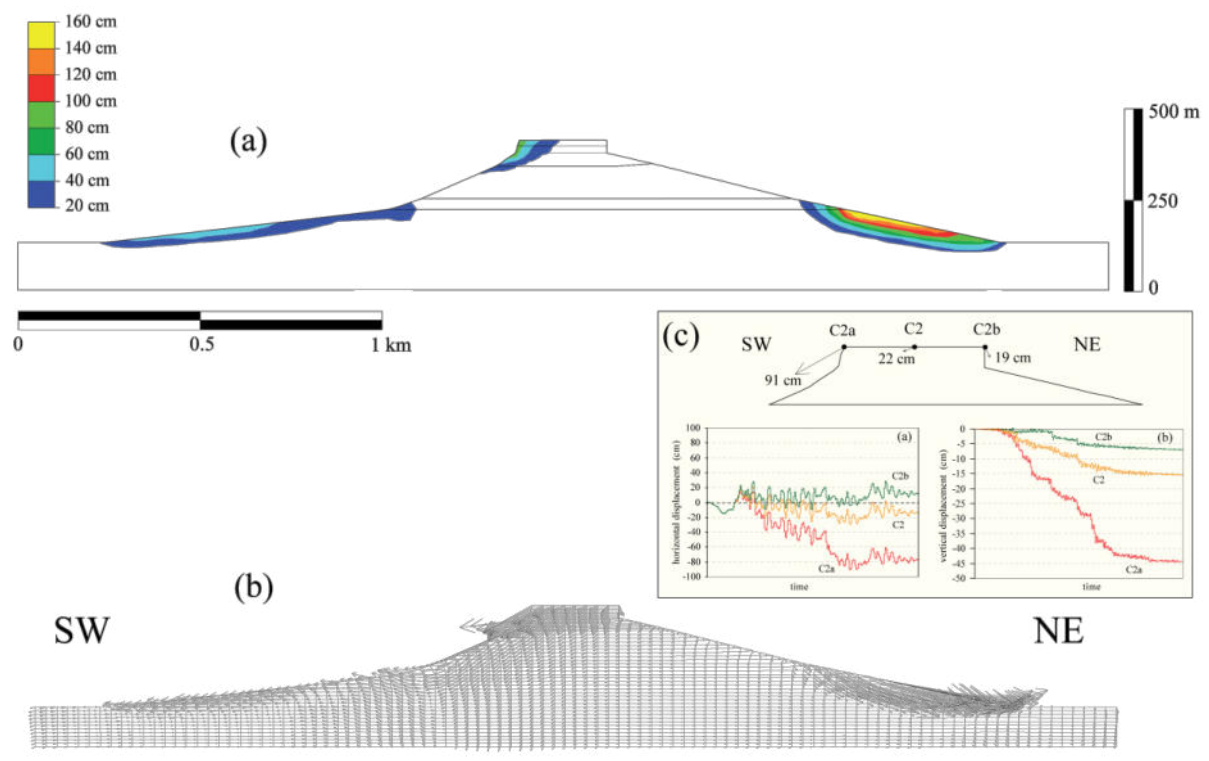


Figure 11. Amplification versus topographic profiles along NW-SE (a) and SW-NE (b) sections, and acceleration spectral ratio between surface and bedrock at the node C2.

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459 The permanent deformation along the SW-NE section and the time histories of the horizontal
460 and vertical displacements of three surface points on the calcarenitic plateau are shown in
461 Figure 12. A horizontal final displacement of about 79cm resulted at point C2a towards the
462 SW direction; instead, a much lower displacement (about 18cm) is computed at the opposite
463 ridge point C2b in NE direction (Fig. 12c). The distribution of vertical permanent
464 displacements at the same points (Fig. 12c) is also strongly non-uniform, with a differential
465 settlement of about 30-35 cm between the SW slope and the central-NE part of the slab.

466 In addition, the final deformation pattern, using Landers earthquake, along the transversal
467 section of the hill is shown in terms of contours referred to the horizontal displacements (Fig.
468 12a) and vectors of the total displacements (Fig. 12b). Both plots confirm that a rigid
469 rotational sliding of the SW side of the calcarenite slab occurs above the sand layer. The
470 graphs also show displacements as high as about 1m in the varicoloured clay, close to the
471 contact with the conglomerates; the overall distribution seems to highlight the triggering of a
472 mainly translational mechanism of slope instability at the toe of the hill, due to the increment
473 of inertia forces in the shallowest layers induced by swelling, as already evidenced in Fig. 9.



474
475 **Figure 12.** Distribution of permanent displacements, using Landers earthquake, along the
476 section SW-NE: contour of the horizontal component (a) and total vectors (b). Time histories of
477 the horizontal and vertical displacements of three surface points on the calcarenitic plateau (c).

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3 478 The same behaviour of the cliff is obtained using the other input motions, however the
4 479 maximum horizontal displacements at the end of the simulation are strongly dependent on the
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6 480 selected earthquake. In fact, the results show maximum permanent displacements between
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8 481 some tens of centimeters, for Loma Prieta and Northridge, and hundreds of centimeters, for
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10 482 Landers and Imperial Valley. These differences are probably related to the higher energy
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12 483 contents in correspondence of the period range of maximum amplification (i.e., periods
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14 484 between 1s and 2s as shown in Fig. 11c), and also to the longer durations of the acceleration
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16 485 time histories recorded during the Landers and Imperial Valley earthquakes.

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20 21 487 **7. CONCLUSIONS**

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23 488 The study aims to contribute to the understanding of the seismic behaviour of the Gerace
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25 489 cliff - a fragile site particularly exposed to the environmental risks -, that is characterized by a
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27 490 peculiar geological arrangement and hosts an invaluable historical and architectural heritage.
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29 491 Since the chronicles report significant environmental effects, as well as to relevant damaging
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31 492 of the built, around the inhabited area of Gerace in the occurrence of historic earthquakes
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33 493 (although with epicenters at several tens of kilometers away), the authors decided to
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35 494 address the analysis of the seismic response of the hill. Not to forget the past, but rather to
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37 495 learn from it. The research activity consisted in examining the different elements necessary to
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39 496 an overall assessment, supporting the definition of the reference seismic scenario, the study
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41 497 of the geological setting, the geotechnical characterization of the soils and the numerical
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43 498 modelling. Thus, the findings also allowed to learn some useful lessons which can result of
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45 499 more general interest and application for the seismic safety of comparable historical towns
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500 and other strategic sites.

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48 501 A seismic hazard analysis was used for the simulation of the seismic response of the cliff
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50 502 to the maximum historical earthquake, allowing to back-figure the input motions
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52 503 representative of the first earthquake of the anomalous sequence occurred in Southern
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54 504 Calabria in 1783. It is worth noting that this highly hazardous area can be realistically
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56 505 subjected to fault interaction mechanisms (Peruzza et al., 1997).

57 506 An accurate geotechnical characterisation was based on previous investigations available
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59 507 and on specifically arranged field and laboratory tests on the different soils and soft rocks
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61 508 characterising the hill. The test results highlighted that most of the materials have rather
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509 peculiar behaviour, nevertheless suggesting that more comprehensive experimental studies
510 would better integrate the mechanical properties used for the dynamic analyses. A more
511 detailed description of the subsoil model in both longitudinal and transversal directions of the
512 hill might be available after appropriate deep geophysical investigations. For instance, the
513 residual unknowns on the bedrock nature, depth and geometry should be better investigated
514 by electric resistivity tomographies and/or seismic refraction tests.

515 The results of 1D and 2D numerical simulations evidenced the distinct effects of both
516 stratigraphy and topography on the variability of ground motion amplification through the
517 town centre and along the slopes. The computed permanent strains and displacements could
518 justify the occurrence of accumulated large deformations following the seismic sequence,
519 reported by the chronicles. In particular, the overall deformation pattern seems to indicate
520 that the fracturing processes of the plateau induced by the erosion might have been
521 intensified in occurrence of these historical earthquakes. In fact, plastic strains develop
522 throughout the sands layer, indicating a tendency to slippage of the calcarenite layer along
523 the contact with the underlying sand. In addition, the increment of the inertial forces may
524 have contributed both to the sliding of calcarenitic blocks at the borders of the slab and the
525 slope instability at the base of the relief.

526 However, a better understanding of deep geomorphological factors and groundwater
527 conditions, might address more reliable evaluations of the interplay between hydrogeological
528 and seismic hazard on the environmental risk of such a precious heritage. Such predictions
529 would more consciously support the design of consequent mitigation countermeasures.

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