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PII: S1040-6182(19)30792-X

DOI: <https://doi.org/10.1016/j.quaint.2019.09.042>

Reference: JQI 8008

To appear in: *Quaternary International*

Received Date: 23 July 2018

Revised Date: 5 August 2019

Accepted Date: 11 September 2019

Please cite this article as: Crosetto, S., Watkinson, I.M., Min, S., Falcucci, E., Gori, S., Thein, P.S., Sudeep, Searching for the 1912 Maymyo earthquake: new evidence from paleoseismic investigations along the Kyaukkyan Fault, Myanmar, *Quaternary International*, <https://doi.org/10.1016/j.quaint.2019.09.042>.

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1 Searching for the 1912 Maymyo earthquake: new evidence from paleoseismic investigations along the
2 Kyaukkyan Fault, Myanmar

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16 **Abstract**

17 The Great Burma earthquake (MsGR 8.0; Ms 7.6 - 7.7) occurred on May 23rd, 1912, and was one of the
18 most remarkable early 1900's seismic events in Asia as described by Gutenberg and Richter (1954). The
19 earthquake, focused near Maymyo, struck the Northern Shan State in eastern Myanmar. Contemporary
20 evaluation of damage distribution and oral accounts led to a correlation between the earthquake and the
21 topographically prominent Kyaukkyan Fault near the western margin of the Shan Plateau, although direct
22 evidence has never been reported. This study aims to find evidence of paleoseismic activity, and to better
23 understand the relationship between the 1912 earthquake and the Kyaukkyan Fault. Paleoseismic

24 trenching along the Kyaukkyan Fault revealed evidence of several surface rupturing events. The
25 northernmost trench exposes at least two visible rupture events since 4660 ± 30 BP: an older rupture
26 stratigraphically constrained by AMS ^{14}C dating to between 4660 ± 30 BP and 1270 ± 30 BP, and a
27 younger rupture formed after 1270 ± 30 BP. The presence of pottery, bricks and cooking-related charcoal
28 in the younger faulted stratigraphy demonstrates Kyaukkyan Fault activity within human times, and a
29 possible correlation between the younger rupture and the 1912 Maymyo earthquake is not excluded. The
30 southern paleoseismic trench, within a broad transtensional basin far from bounding faults, exposes two
31 (undated) surface ruptures. Further study is required to correlate those ruptures to the events dated in the
32 north. These preliminary paleoseismological results constitute the first quantitative evidence of
33 paleoseismic activity along the northern ~170 km of the Kyaukkyan Fault, and support existing evidence
34 that the Kyaukkyan Fault is an active but slow-slipping structure with a long interseismic period.

35

36 Keywords

37 paleoseismology; strike-slip fault; active tectonics; surface rupture; intraplate fault; calcrete

38 1. Introduction

39 The Kyaukkyan Fault is a N-S-trending ~500 km long, active right-lateral strike slip fault that lies on the
40 western Shan Plateau, a region of almost 1 km average elevation in eastern Myanmar, western Laos and
41 part of NW Thailand, located about 1000 km south of the Eastern Himalayan Syntaxis (Fig. 1a).

42 The Kyaukkyan Fault is generally considered to have been the origin of a large earthquake that hit
43 northern Myanmar on 23rd May 1912, based on contemporary damage mapping (Coggin Brown, 1917;
44 Fig. 1b). This mapping focused on damage to buildings, railway infrastructure, ground effects such as
45 cracks and gravitational processes and modification of the underground water network, corresponding to
46 intensity IX of the Rossi-Forel scale where maximum intensity is intensity X. The Maymyo earthquake
47 (Maymyo is a city in Shan State more recently known as Pyin Oo Lwin) was initially estimated at MsGR
48 8 (Gutenberg and Richter, 1954), and more recently revised to Ms 7.7 to 7.6 (e.g Abe and Noguchi, 1983;
49 Pacheco and Sykes, 1992). Wang et al. (2014) re-evaluated the distribution of highest intensities, and,
50 together with the inferred magnitude of the earthquake, concluded that the 1912 event likely ruptured the
51 entire 160 km-long northern section of the Kyaukkyan Fault.

52 Despite the isolated 1912 event, the Kyaukkyan Fault has been largely devoid of significant seismicity
53 (e.g. Chhibber and Ramamirtham, 1934; Le Dain et al., 1984; Wang et al., 2014; Soe Min et al., 2017).
54 However, there has been modern strike-slip activity across the broader Shan Plateau, including the Mw
55 6.8 Tarlay event in March 2011 (see Fig. 1a; Soe Thura Tun et al., 2014). A recent study of tectonic
56 landforms and related Quaternary deposits along the Kyaukkyan Fault (Crosetto et al., 2018) revealed
57 distinctive geomorphologic and structural features, indicative of strongly transtensional strike-slip during
58 the Quaternary. That study provided the background for the identification of two suitable paleoseismic
59 trenching sites.

60 The few published paleoseismic trenching studies in Myanmar have so far been limited to the Sagaing
61 Fault (e.g. Wang et al., 2011). There have also been extensive paleoseismic surveys in northern Thailand
62 (e.g. Fenton et al., 2003; Kosuwan et al., 1999; Morley et al., 2011 and references therein). This study
63 aims to redress the deficiency in paleoseismic knowledge of the Kyaukkyan Fault to provide evidence for

64 its Holocene activity and potential involvement in the 1912 earthquake. Future study of the Kyaukkyan
65 Fault should further clarify to the tectonic evolution and seismic hazard of eastern Myanmar, and the
66 behaviour of the complex plate boundary between India and Sundaland.

67

68 **2. Geologic overview**

69 *2.1. Tectonic setting*

70 The Kyaukkyan Fault bisects the western Shan Plateau, which is the southernmost promontory of Tibetan
71 Plateau elevated topography (Fig. 1c). The fault lies within Sibumasu, a Gondwana-derived terrane
72 accreted to Eurasia during the Paleozoic as part of the assembly SE Asia's continental core, termed
73 Sundaland (e.g. Metcalfe, 1984, 2013). The Cenozoic tectonics of Myanmar have been dominated by
74 northward indentation of Indian continental crust into Asia (e.g. Tapponnier et al., 1982; Treloar and
75 Coward, 1991; van Hinsbergen, 2011), associated increasingly oblique subduction of Indian oceanic crust
76 beneath western Sundaland (e.g. Lee and Lawver, 1995; Nielsen et al., 2004; Curray, 2005), and effects
77 of Tibetan Plateau crustal thickening and gravitational collapse (e.g. Rangin et al., 2013). During the Late
78 Oligocene to Early Miocene, India coupled with western Myanmar (e.g. Curray et al., 1979; Curray,
79 2005; Searle and Morley, 2011) detaching it from stable Sibumasu (Morley, 2009), and moved north
80 relative to Sundaland, establishing a belt of dextral transpression focused on Myanmar that continues to
81 the present (e.g. Molnar and Tapponnier, 1975; Curray et al., 1979; Bertrand and Rangin, 2003; Vigny et
82 al., 2003; Soe Thura Tun and Watkinson, 2017).

83 The current convergence rate between India and Eurasia is 43 mm/yr (Socquet and Pubellier, 2005; Vigny
84 et al., 2003); relative motion between India and stable Sundaland is 35-36 mm/yr, of which about half is
85 accommodated by the N-S-trending Sagaing Fault (Socquet et al., 2006), the most prominent strike-slip
86 fault in Myanmar. Residual motion may be accommodated partly in the Indo-Myanmar Ranges, in the
87 West Andaman fault system, and the remainder distributed within the Shan Plateau (e.g. Sahu et al., 2006;
88 Socquet et al., 2006; Vigny et al., 2003). The latter may include a partition across the Kyaukkyan Fault,

89 possibly in the order of 1 mm/yr based on large river offsets (Wang et al., 2014) or up to 9-18 mm/yr
90 based on displacement of manmade artefacts (Soe Min et al., 2017), reported below in the text.

91 2.2. Quaternary evolution of the Kyaukkyan Fault

92 The Quaternary evolution of the Kyaukkyan Fault has been documented by Crosetto et al. (2018).
93 Quaternary deposits such as alluvial fans are faulted and display small scale folding particularly along the
94 eastern basin-bounding fault of Inle Lake basin, showing evidence of transtension, transpression and pure
95 strike-slip. Youthful stream offsets and deflections characterise the northern section of the fault – the
96 maximum robust stream offset is ~1560 m to the right, while offset restoration for a population of 28
97 streams gave a best fit of 125 m dextral offset.

98 The ancient Pawritha city wall straddles the Kyaukkyan Fault north of Inle Lake (Fig. 1c), and is
99 apparently offset to the right by 12.2 ± 1.8 m (Soe Min et al., 2017). The measurement is based on the
100 trace of an ancient wall marked by brick-cored embankments and highlighted by a road to the south.
101 Given the inferred 9th to 13th Century age of the wall (Moore, 2007), this displacement would yield a high
102 slip rate of 10 mm/yr. However, it has to be considered that measurement of the displacement is
103 necessarily imprecise and does not take into account the possibility that part of the construction might
104 have crumbled over a wider area. Uncertainty also exists about the exact age of the original wall.

105 Historic activity of the Kyaukkyan Fault is also testified by records of historic and instrumental-era
106 seismicity and by the Mandalay-Lashio railway which, following the 1912 Maymyo earthquake, was
107 “*bent into a smooth curve close to the actual line of the [Kyaukkyan] fault*” at Kyaukkyan village (Coggin
108 Brown, 1917). The railway bend is a key line of evidence linking that earthquake to the Kyaukkyan Fault
109 (e.g. Soe Min, 2010; Wang et al., 2014, 2009). However, the well-used modern rails and embankments
110 have clearly been maintained in the last century and it remains unclear to what extent the present-day
111 engineered curve replicates the co-seismic bending observed by Coggin-Brown soon after the 1912
112 earthquake. It is also unclear if the modern curve replicates any pre-earthquake engineered curve, or
113 whether the line was built perfectly straight, since there are no records of sufficient detail (Crosetto et al.,
114 2018).

115

116 3. Methods

117 Paleoseismic trenching sites across the Kyaukkyan Fault were identified after extensive mapping of
118 Quaternary geomorphic features along the fault system (Crosetto et al., 2018), through field observations
119 and by interpretation of 90 m Shuttle Radar Topography Mission (SRTM) digital topographic data, 30 m
120 ASTER Global Digital Elevation Model (GDEM), 2.5 m SPOT and 1 m DigitalGlobe imagery accessed
121 via Google Earth and the ESRI World Imagery compilation. Reconnaissance field observations were the
122 basis for more detailed site investigation and topographic mapping preceding the trenching works.

123 Trenches were dug across N-S-trending lineaments representing possible superficial expression of the
124 Kyaukkyan Fault in order to identify evidence of past faulting and rupturing events within the
125 stratigraphic record.

126 Absolute age control on the stratigraphy was obtained by AMS ^{14}C radiocarbon dating on three charcoal
127 samples collected from trench T1 in March 2016. Samples were collected within host clays, wrapped in
128 aluminium foil, dried and sealed in plastic bags. Transmitted light microscopy to identify the best material
129 was conducted under clean conditions. AMS analyses were performed at BETA Analytic in July 2017.
130 BetaCal3.21 and the INTCAL13 curve (Reimer et al., 2013) were used for AMS ^{14}C ages calibration.
131 Radiocarbon results are reported in Appendix A, according to the standard convention defined by Millard
132 (2014).

133 In the following descriptions, 'N-wall' and 'S-wall' will be used to indicate the northern and the southern
134 walls of all E-W-trending trenches.

135

136 4. Paleoseismological observations

137 4.1. Trench T1

138 Trench T1 is located close to Kyaukkyan village, north of the Mandalay-Lashio railway bend described
139 by Coggin Brown (1917). The area is characterised by generally flat topography (Fig. 2a), bounded to the
140 west by a narrow N-S-trending ridge of grey limestone belonging to the Ordovician Naunghkangyi Group
141 and showing intense fracturing and faulting. A scarp marks the transition from the bedrock to the alluvial
142 plain, which is occupied by cultivated fields. Away from the ridge, there is no natural outcrop, but in all
143 trenches and in a number of other artificial pits, the carbonate bedrock lies immediately below a thin,
144 *terra rossa*-type soil. There is no regolith, and the top of the carbonate is smooth and composed of highly
145 indurated, crystalline limestone, cut by numerous shear fractures and faults.

146 Parallel to the ridge, below the eastern scarp, two subtle ~N170E-trending lineaments 100 - 200 m long
147 are visible in the topography. The easternmost lineament is defined by aligned sag ponds (Fig. 2b) and *en*
148 *échelon* linear features, interpreted as rupture segments, which delimit metric zones of subsidence
149 highlighted by difference in vegetation (Fig. 2c). Two preliminary trenches dug across the *en échelon*
150 segments revealed very shallow bedrock characterised by generally ~N30E-trending fractures dipping
151 toward the west with average dip angle of 40°. There was no evidence of surface rupture in the thin soil
152 above the bedrock. The entire succession was likely to have been disturbed by agricultural activity.

153 The westernmost and more prominent lineament is expressed, 1 km north of the railway bend at
154 Kyaukkyan village, as aligned subsiding areas of circa 100 m² and decametric dolines in the limestone;
155 further south the lineament has a topographic relief of <1 m highlighted by vegetation and soil colour
156 contrast, picked out by boggy areas rich in decaying organic material (Fig. 2d). Along the same lineament
157 south of the railway is a sharp, linear soil colour difference given by the juxtaposition of grey soil to the
158 west and *terra rossa* soil to the east (Fig. 2e).

159 The 19th-century Mandalay-Lashio railway passes through a blasted notch in the limestone ridge and, east
160 of the scarp, continues along a man-made embankment ~5 m wide and standing ~2 m above surrounding
161 fields. The line is straight where it passes through the limestone ridge and is smoothly bent to the right
162 where it crosses the open plain, orthogonal the fault trend (Fig. 2d, e). Although the railway embankment

163 also appears to be deflected in the same way, it is largely obscured by vegetation. The deflected railway
164 line continues east for ~100 m until the tracks bend northwards at Kyaukkyan village.

165 Assuming an initial straight geometry of the railway tracks from where they exit the limestone ridge
166 towards the village, we measured the right-lateral deviation from the projected straight line in 5 m
167 increments (Fig. 2f, g). The measurements, reported in Fig. 2f, yield a total deviation from the straight
168 projected line of 2.0 ± 0.2 m. On the basis of the topographic lineament and assuming that the apex of the
169 railway line bend marks the 1912 surface rupture, trench T1 was dug 250 m north of the railway, across
170 the lineament and the topographic high (see Fig. 2d).

171 ***4.1.1. Stratigraphy***

172 The trench was perpendicular to the westernmost lineament, and orientated N80E along its 17 m length.
173 The trench wall grid and logs were numbered from m 0 to m 17 from east to west. The westernmost part
174 (m 14 to m 10) of the trench was <1 m deep, due to a hard calcareous layer that impeded deeper
175 excavation (Fig. 2h). This section, closer to the mountain front, was characterised by generally continuous
176 calcrete layers alternating with hard, calcified silt. Calcrete is a calcium carbonate duricrust precipitated
177 from carbonate-rich groundwaters in times of aridity. It acts to cement components of soil or rock, and
178 can form non-stratiform deposits.

179 A softer portion of the hard calcareous layer caused the formation of a step at m 10, deepening the base of
180 the trench by 1.3 m, and reaching ~1.8 m depth. In this eastern section the trench walls exposed a
181 succession of alternating clay paleosoils with calcrete layers illustrated in Fig. 3. The terms used in this
182 section to describe the calcrete stratigraphic horizons refer to the schematic idealised pedogenic profile
183 proposed by Alonso-Zarza and Wright (2010; after Esteban and Klappa, 1983). Below the agricultural
184 layer, we distinguished the following units as schematically reported in the trench logs of Fig. 4a, b:

- 185 • B3: only found on the N-wall, it represents the upper calcrete, characterised by a centimetric platy
186 horizon with well-defined laminae containing “alveolar” honeycomb weathering structures and
187 tubiform pores. It is separated from the underlying calcrete B2 by a chalky-nodular layer t2 with
188 abundant carbonate powder and carbonate grains from millimetric to 0.5-1 cm, and locally more

189 clayey. B3 and B2 merge at m 4 where, on the N-wall, abundant charcoal arranged as the shape of
 190 a pot suggests a cooking/baking pit (Fig. 5a). B3 and B2 are truncated at m 3. B3 was not identified
 191 on the S-wall, where there is probably vertical continuity between B2 and B3.

192 • B2: platy calcrete with prominent lamination, wavy to thinly bedded, forming continuous layers on
 193 both walls; it is laterally truncated at m 3 and at m 2.6 in the N- and S-wall, respectively. On the N-
 194 wall B2 is also encompasses a lower second layer of calcrete, 1.5 m long and ending at m 8. These
 195 two branches of B2 are separated by a darker, nodular horizon, composed of indurated, centimetric
 196 nodules in a less carbonate-rich matrix.

197 • C2: clay, dark brown, homogeneous. Contains sparse millimetric, subrounded grains of bricks,
 198 calcrete, charcoal and pisoids that appear organised in a layer <10 cm thick between m 4 and m 6.5
 199 in the S-wall. ‘Flames’ of light-brown clay material are found around m 3 in the S-wall. C2 is
 200 found geometrically above and below units B2+B3 as it acted as host rock for precipitation of the
 201 upper calcrete layers.

202 • B1.b: chalky calcrete observed on the N-wall. It is characterised by soft micrite with abundant
 203 grains and pisoids. At the top, a discontinuous platy horizon is locally substituted by a nodular
 204 horizon, characterised by 1.5 mm in size, sub-rounded, indurated carbonate nodules. At the
 205 easternmost termination of the layer a well defined platy horizon shows at least 15 cm of
 206 millimetric laminae. Portions of transition layer t1, separating B1.b from B1.a, are darker and fine-
 207 grained, and the clay content is greater than the carbonate content.

208 • B1.a: at the base of t1, this unit is more prominent on the S-wall, where it appears as a nodular to
 209 platy calcrete layer, laterally truncated at m 3. On the N-wall it is a thin, discontinuous layer in the
 210 western part and more continuous toward the east at m 5.

211 • C1: lowermost clay, chestnut brown colour, homogeneous with mm to cm dark stains, probably
 212 altered carbonate.

213 At the easternmost end of the trench a red brick layer lay 80 cm below the surface within unit C2 (Fig.
 214 5b). The sub-horizontal brick layer, 20 cm thick and about 1 m wide, appeared as the base of a built
 215 structure though there was no evidence that it was in-situ. The brick material was soft and friable.

216 The sedimentary succession mapped on the trench walls was cross-cut by four main discontinuities
 217 interpreted as N-S-trending faults (see Fig. 4a, b). On the N-wall the easternmost faults *F3* and *F4* folded
 218 units B1 and B2+B3, creating a geometric vertical step along the layers (Fig. 5c), and truncated the
 219 eastern termination of the calcrete layer B2+B3 (Fig. 5d). On the southern wall only the calcrete layer B2
 220 appeared truncated by *F6*, which is interpreted to correlate across the trench to *F4*. On the N-wall the
 221 westernmost two faults *F1* and *F2* juxtaposed along a sharp lateral contact units B1.b and t1, with the top
 222 of B1.b appearing irregular along both fault traces. On the S-wall B1.a was characterised by open
 223 fractures, putting into contact the clay units C1 and C2, that may correspond to a fault trace *F5*,
 224 interpreted to correlate to *F1* or *F2* across the trench.

225 **4.1.2. Radiocarbon dating and paleoearthquake interpretation**

226 Thirty-two samples of charcoal and shell fragments were collected from key stratigraphic horizons, from
 227 which three charcoal fragments were selected for radiocarbon dating (Table 1). Sample KT201-C24, in
 228 the upper layer of unit B2 in the N-wall of the trench, yielded a radiocarbon age of 1270 ± 30 BP. Sample
 229 KT201-C04, at the top of unit t1 in the S-wall, yielded an age of 4660 ± 30 BP. Sample KT201-C15,
 230 collected 15 cm below the contact between units B1.a and C1 from the S-wall, yielded an age of $8670 \pm$
 231 60 BP.

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Table 1. ^{14}C Dating of charcoals from trench T1^a

Sample Name	Trench Unit	Amount of Carbon (mg)	d13C (‰)	Radiocarbon age (BP)	Uncertainty (\pm years)	Calibrated Age 2 σ Range
KT201-C24	B2	2.6	-26.4	1270	30	662-778 ^b AD
KT201-C04	B1	1.76	-22.3	4660	30	3519-3365 ^c BC
KT201-C15	C1	27.4	-26.5	8670	60	7848-7582 ^b BC

^a 2 σ range, 95.4% probability density

^b Reported value: 92.3% probability density

^c Reported value: 95.4% probability density

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234 On the S-wall, the brick layer within unit C2 lies stratigraphically below the calcrete layer B2, where a
 235 charcoal sample yielded an age of 1270 ± 30 BP, corresponding to the end of the 7th century AD. Bricks
 236 and terracotta plaques have been found along Myintnge and Zawgyi river valleys and within Inle basin

237 and dated to the early centuries CE (Moore, 2009; Moore and Myint, 1991), potentially confirming the
238 measured age of the newly excavated Kyaukkyan artefacts (E. Moore, pers. comm. 2017).

239 The relation between stratigraphic units and deformation allowed to distinguish at least two events,
240 constrained by radiocarbon ages: 1) folding of units B1-B3 and clear truncation of unit B2 constrains a
241 younger rupture event after 1270 ± 30 BP, equivalent to 680 ± 30 AD; 2) an older rupture event
242 juxtaposes units B1.b and t1 across faults *F1* and *F2*, and is sealed by unit B2, constraining the rupture to
243 before 1270 ± 30 BP. This rupture cuts all older units up to the t1/C2 contact, dated to 4660 ± 30 BP, and
244 so must be younger than that age, i.e. constrained between 1270 ± 30 BP to 4660 ± 30 BP. The lack of
245 correlation between displaced horizons does not allow to infer slip rates along the observed fault traces.

246 **4.2. Trench T2**

247 Trench T2 was located north of Taunggyi city. The trench site was identified by a ~500 m long linear N-
248 S-trending feature between two forested areas, highlighted by the contrast between lighter and darker
249 sediment in 2012 DigitalGlobe/Google Earth imagery. The lineament lies about 100 m west of a gentle 1
250 m high scarp, which separates grey basin-filling sediment from a flat area, gently dipping west and from
251 the mountain front ~2 km to the east (Fig. 6a). The scarp was interpreted as the expression of a fault
252 synthetic to the basin-bounding fault in the shallow subsurface (Crosetto et al., 2018). The flat, ‘terraced’
253 area is covered with *terra rossa*, inferred to be an alteration product overlying shallow buried banded
254 limestone, sporadically exposed along the basin margin.

255 Field observations revealed that the lineament mainly reflected different water saturation of the basin-
256 filling sediment, and was initially interpreted as a seismically triggered sand blow. A detailed topographic
257 survey highlighted a gentle scarp at the southern termination of the lineament, and a pilot trench dug
258 across this scarp exposed at least 1.5 m of water-saturated peat (Fig. 6b); however, no clear stratigraphy
259 or evidence of deformation was observed in the pilot trench. The instability of the walls required the
260 trench to be closed, and further examinations of the walls could not be undertaken. Trench T2 was
261 subsequently opened across the 1 m scarp between the basin and the terraced area (Fig. 6b).

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4.2.1. Stratigraphy

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Trench T2 was orientated N116E, was 7.3 m long and 1.2 m wide. It exposed a 1.5-2 m succession mainly characterised by clay units, schematically represented in the trench logs of Fig. 7a, b. The description of units and faulting events follows a relative chronology criterion, since deep roots contaminated any datable material.

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From the top, a thin layer of dry soil lies above the agricultural layer, which has a constant thickness of 40 cm, it is darker and drier than the underlying units and contains centimetric fragments of bricks. The uppermost clay C2 is dark brown in colour, homogenous and hard; on the N-wall abundant fragments of modern pottery defined the shape of a hole dug into the ground. Below C2, the clay unit C1 has chestnut brown colour (Fig. 8a); it is divided into an upper unit C1a, fine, well sorted, with plastic rheology, and a lower unit C1b, generally coarser, containing millimetric pisoids. Roots and root marks were visible on both walls within units C1 and C2. The base of the trench was dolomitic limestone with closely-spaced subvertical fractures showing an average strike of N45E (Fig. 8b).

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The sedimentary succession mapped on the two trench walls was cross-cut by four main discontinuities that are interpreted as N-S-trending faults. Trench T2 was narrower than trench T1, so correlations between north and south walls could be made with confidence. To the west on the N-wall, the youngest faults *F3* and *F4* were highlighted by lateral contact of unit C1b with C2 along *F4*, and of unit C1a with C2 and C1b along *F3*. The faults' correlatives on the S-wall had a less pronounced offset across them. No deformation was visible above unit C2. Older surface ruptures were represented by *F1* and *F2*, where fault gouge derived from the dolomitic limestone was gradually mixed with the lower part of C1b (Fig. 8c).

284

5. Discussion

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5.1. Evaluation of trenching results

286 Paleoseismic trenching along the northern and central section of the Kyaukkyan Fault provides the first
287 robust evidence of paleoearthquakes that have occurred along the fault and their timing.

288 In the northern site at Kyaukkyan village we were particularly searching for evidence of the 1912
289 earthquake described by Coggin Brown (1917), and for this reason we dug trench T1 across a lineament
290 along strike from the reportedly co-seismic railway bend (Fig.9). Radiocarbon dating of charcoal grains
291 constrains at least two potential rupture events, expressed in the trench as offset and/or truncated
292 horizons. The validity of this interpretation is subject to the correct interpretation of discontinuities that
293 truncate and irregularly offset laterally continuous layers observed in the trench as faults or surface
294 ruptures.

295 Calcrete layers, the main stratigraphic markers truncated in the trench, show characteristic features of
296 pedogenic precipitation of CaCO_3 from groundwater. The carbonate precipitates along stratigraphic
297 horizons, preferably in those with higher permeability, and creates flat layers that tend to pick out the
298 shape of the sedimentary unit where they precipitate. The pattern of abrupt lateral truncation described
299 above, in particular the non-systematic pattern of stratigraphic displacement, could also be explained by
300 laterally discontinuous pedogenic calcrete precipitation or erosion, for example during terrace aggradation
301 (e.g. Candy et al., 2003) or due to gravitational processes. However, we observed no evidence of these
302 processes in the host clay units. Moreover, the stratigraphic folding often associated with the
303 discontinuities, their generally steep/listric dips and the easy correlation of major fault F4-F6 across
304 trench T1 to define a N-S-trending structure lend support to our interpretation that the discontinuities are
305 most likely fault-related surface ruptures. In particular, large strike-slip earthquakes by their nature
306 generate wide, complex 3-dimensional patterns of vertical and lateral offsets (e.g. Barka et al. 2002;
307 Haeussler et al. 2004; Fu et al. 2005) that can explain the observed irregularities in layer thickness and
308 difficulties with dip-slip restoration in the 2-D trench walls.

309 **5.2. Which fault strand?**

310 A strike-slip fault can cause long horizontal displacements that normally occur on one or multiple strands.
311 Consequently, trenching on a strike-slip fault can be challenging as a single strand might not necessarily

312 record all the paleoearthquakes that occurred along that section of the fault (Keller and Pinter, 1996;
313 McCalpin, 2009).

314 Trench T1 at Kyaukkyan village was selected because of its proximity to the railway offset reported by
315 Coggin Brown (1917), which was previously the only line of evidence of the location of surface rupture
316 during the 1912 earthquake. The bend lies across a fault strand that is subtly expressed as a change in
317 colour and topography of the agricultural soil. The youngest rupture event identified in the trench
318 occurred between 1270 ± 30 BP and present, including the possibility that the observed rupture
319 corresponds to the 1912 earthquake. However, to exclude coincidence, a 1912 interpretation remains
320 strongly dependent on the interpretation of the railway bend, that lies directly along strike from the trench
321 and its ruptures, as a fault offset. Since it is unclear whether the railway curvature is tectonically-induced
322 or man-made, several different scenarios could be argued:

- 323 - the railway bend and the ruptures mapped in trench T1 are coseismic features that both formed
324 during the 1912 earthquake;
- 325 - the rupture identified in the trench formed during the 1912 earthquake, but the railway bend as
326 observed by Coggin-Brown (1917) has been lost due to subsequent rebuilding, and the present
327 curve is an engineered structure that imperfectly mimics fault offset;
- 328 - the rupture in the trench corresponds to an earthquake older than 1912 but younger than $1270 \pm$
329 30 BP, meaning that our trench did not intercept the segment that failed in 1912 and that the
330 railway bend has always been an engineered curve;
- 331 - the rupture is a discontinuity due to secondary effects of an earthquake that occurred elsewhere
332 any time after 1270 ± 30 BP and including 1912, such as gravitational processes induced by
333 ground shaking. The source could be another strand of the Kyaukkyan Fault, or another fault
334 entirely (e.g. Sagaing Fault). The railway bend could be an engineered curve or may have been
335 the result of off-fault gravitational processes.

336 The southern trench T2 exposed a faulted wedge that, although undated, testifies the existence of recent
337 fault activity far from the main basin-bounding faults, as proposed by Crosetto et al. (2018). This finding,

338 whilst not excluding the possibility of coeval fault rupture along the basin-bounding faults, confirms that
339 recent Kyaukkyan Fault earthquake ruptures may have traversed the transtensional basin and may lack
340 prominent geomorphic expression. A similar property was demonstrated by the 2018 Mw7.5 Palu
341 earthquake, Indonesia, in which the surface rupture mostly crossed alluvial fans well east of
342 topographically prominent basin-bounding structures (Socquet et al., 2019). This tendency of large strike-
343 slip earthquakes to bypass basin sidewall structures has important implications for paleoseismic
344 investigations, which may miss large paleo-earthquakes in transtensional settings.

345 **5.3. Geodetics and seismicity**

346 Of the total 35-36 mm/yr geodetic motion of the Indian plate with respect to Sundaland, in Myanmar the
347 Sagaing Fault accommodates ~18 mm/yr of right-lateral strike-slip, while the remainder is accommodated
348 within the Arakan Trench, in the Indo-Myanmar Ranges and other structures across Myanmar (e.g. Vigny
349 et al., 2003; Socquet et al., 2006). A GPS station located west of the Kyaukkyan Fault indicates 6 mm/yr
350 westward motion with respect to the Sunda Plate over two years of measurements, and a station at
351 Taunggyi to the east indicates 4 mm/yr south-westward motion (Socquet et al., 2006), reflecting a
352 possible diffuse deformation across the fault. However, the poor GPS network coverage on the Shan
353 Plateau limits further speculation about the Kyaukkyan Fault's modern slip behaviour.

354 The instrumental seismic record shows that the Kyaukkyan Fault has been devoid of large seismic events
355 (IRIS and NEIC catalogues, USGS, 2018). Assuming the 1912 earthquake was caused by the Kyaukkyan
356 Fault, it is the only significant event recorded along its length. Distributed seismicity affects the Shan
357 Plateau but only a few events, of $M < 5$, are located within the Kyaukkyan fault system. Linking the 1912
358 event to the Kyaukkyan Fault is thus critical to distinguishing whether its characteristic behaviour can be
359 approximated as slow creeping or as stick-slip with large infrequent earthquakes with an interseismic
360 period longer than 100 years.

361 **5.4. Potential earthquake scenarios**

362 Assuming the 1912 earthquake attained magnitude 7.7 to 7.6 (e.g Abe and Noguchi, 1983; Pacheco and
363 Sykes, 1992) and ruptured the northern 160 km of the Kyaukkyan Fault (Wang et al. 2014), then

364 maximum displacement could have reached about 8-9 m, according to empirical relationships derived by
365 Wells and Coppersmith (1994). Recent large strike-slip earthquakes of similar size have developed well
366 documented displacement maxima of 7.9 m (M7.9 Kunlunshan, 2001; Xu et al. 2002); 8.8 m (M7.9
367 Denali Fault, 2002; Haeussler et al. 2004); 13.6 m (M7.7 Balochistan, 2013; Gold et al. 2015); and 7 m
368 (M7.5 Palu Fault, 2018; Socquet et al. 2019). All of these offsets are discordant with the apparent railway
369 offset at Kyaukkyan (2.0 ± 0.2 m), although peak displacements in all cases above were complexly
370 distributed along the faults and not necessarily close to earthquake epicentres. It is also not clear exactly
371 where the 1912 earthquake originated or in which direction rupture propagated, which will impact offsets
372 at specific locations. Taking 7-8 m as a conservative estimate for the Maymyo event peak surface
373 displacement and assuming a characteristic earthquake model, the Kyaukkyan Fault would require a 7-8
374 ka interseismic period for similar repeated events if slipping at 1 mm/yr, or 400-900 years if slipping at 9-
375 18 mm/yr. Our paleoseismic results suggest at least two surface rupturing earthquakes within the last
376 4660 ± 30 years. Taking a very crude average of one characteristic earthquake similar to 1912 per 2330
377 years yields a slip rate of 3-3.4 mm/yr, broadly consistent with the sparse geodetic observations on the
378 western Shan Plateau. This long interseismic period is also consistent with the observation that the
379 Kyaukkyan Fault has generated little seismicity since 1912, and there were no historical records of earlier
380 events.

381 Several workers have commented on the conspicuous tectonic geomorphology of the Kyaukkyan Fault
382 and numerous associated structures (e.g. Morley 2009; Wang et al. 2009; Soe Min 2010; Wang et al.
383 2014; Soe Min et al. 2017; Crosetto et al. 2018), some of which (e.g. Mae Ping Fault, Shan Scarp Fault)
384 are known to record a pre-Miocene history far older than the current locus of dextral shear in Myanmar,
385 the Sagaing Fault (see reviews in Morley et al., 2011 and Soe Thura Tun and Watkinson, 2017). On this
386 basis it can be proposed that the Kyaukkyan Fault is a site of long-lived lithospheric weakness that is
387 currently ~50 km inboard of the geodetic boundary occupied by the rapidly-slipping Sagaing Fault. While
388 the Kyaukkyan Fault accommodates relatively little tectonic strain, it is suitably oriented and structurally
389 mature enough to be occasionally reactivated and generate very large earthquakes. For hazard assessment
390 it should also be considered that the Kyaukkyan Fault is one of several similar structures on the Shan

391 Plateau, which may individually rupture infrequently, but as a population may have a much shorter
392 interseismic period.

393 Further study is required to fully attribute the 1912 earthquake to the Kyaukkyan Fault, to determine its
394 rupture length and peak displacement, to gather additional evidence for the $\leq 4660 \pm 30$ event we have
395 identified that preceded it, and to more fully understand the distribution of tectonic strain across the
396 numerous N-S-trending structures of the western Shan Plateau.

397

398

399 **6. Conclusions**

- 400 • The first paleoseismic trenches along the Kyaukkyan Fault reveal evidence of surface rupturing
401 events along its northern and central sections.
- 402 • The northern trench exposes at least two visible rupture events: an older one, stratigraphically
403 constrained by AMS ^{14}C dating to between 4660 ± 30 BP and 1270 ± 30 BP, and a younger one
404 between 1270 ± 30 BP and the present.
- 405 • Although direct evidence for the 1912 M7.7-7.6 Maymyo earthquake was not found, the rupture
406 younger than 1270 ± 30 BP may well correspond to that early 20th Century event, particularly as
407 it lies directly along strike from the railway bend first noted after that earthquake. Additionally,
408 the presence of pottery, brick fragments, a cooking pit and charcoal in the faulted stratigraphy
409 demonstrates activity of the Kyaukkyan Fault within human times.
- 410 • The southern trench far from bounding faults within a broad transtensional basin exposes two
411 surface ruptures. Further study is required to correlate that rupture to the events dated in the
412 north.
- 413 • These preliminary paleoseismic results are consistent with existing evidence that the Kyaukkyan
414 Fault is active, and point to a relatively long interseismic period for its northern/central segments.

415 Resolution of the radiocarbon dating was insufficient to constrain that period to anything better
416 than the order of hundreds to thousands of years.

417 • The Kyaukkyan Fault passes through or close to Shan State capital city Taunggyi, booming
418 tourist centres Nyaungshwe and Pyin Oo Lwin, and is only 70 km from Myanmar's second
419 largest city Mandalay. A repeat 1912-style earthquake would cause unprecedented devastation in
420 the country, and remaining questions about the fault's history and seismic hazard should be
421 addressed urgently.

422

423 **Acknowledgements**

424 Funding for this research was provided by the Kirsty Brown Memorial Fund, the Santander Travel
425 Award, the Royal Holloway Reid Scholarship and the Department of Earth Sciences Research
426 Committee. We would like to thank Dr Lin Thu Aung and Dr Myo Thant for their logistical support, and
427 Kaung Si Thu, Myat Min, Than Soe, and Mr Naing for valuable support in the field. Prof. Elizabeth
428 Moore is thanked for sharing her knowledge about artefacts found on the Shan Plateau. We thank two
429 anonymous reviewers for their useful comments that improved this manuscript.

430

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- 554

555 **Appendix A****REPORT OF RADIOCARBON DATING ANALYSES**

Sample Information and Data	Sample Code Number	Conventional Radiocarbon Age (BP) or Percent Modern Carbon (pMC) & Stable Isotopes	
		Calendar Calibrated Results: 95.4 % Probability High Probability Density Range Method (HPD)	
Beta - 468809	KT201-C04 / 467840 Supplement	4660 +/- 30 BP	IRMS $\delta^{13}C$: -22.3 o/oo
Submitter Material: CHARCOAL mixed with clay			
Analyzed Material: Charred material		(95.4%) 3519 - 3365 cal BC	(5468 - 5314 cal BP)
Pretreatment: (charred material) acid/alkali/acid		(68.2%):	
Percent Modern Carbon: 55.98 +/- 0.21 pMC		(41.4%) 3476 - 3426 cal BC	(5425 - 5375 cal BP)
Fraction Modern Carbon: 0.5598 +/- 0.0021		(18.3%) 3508 - 3483 cal BC	(5457 - 5432 cal BP)
D14C: -440.16 +/- 2.09 o/oo		(8.5%) 3382 - 3370 cal BC	(5331 - 5319 cal BP)
$\Delta^{14}C$: -444.68 +/- 2.09 o/oo (1950:2017)			
Measured Radiocarbon Age: (without d13C correction): 4620 +/- 30 BP			
Calibration: BetaCal3.21: HPD method: INTCAL13			
Beta - 467841	KT201-C15	8670 +/- 60 BP	IRMS $\delta^{13}C$: -26.5 o/oo
Submitter Material: CHARCOAL			
Analyzed Material: Charred material		(92.3%) 7848 - 7582 cal BC	(9797 - 9531 cal BP)
Pretreatment: (charred material) acid/alkali/acid		(1.4%) 7917 - 7898 cal BC	(9866 - 9847 cal BP)
Percent Modern Carbon: 33.98 +/- 0.25 pMC		(1.0%) 7869 - 7854 cal BC	(9818 - 9803 cal BP)
Fraction Modern Carbon: 0.3398 +/- 0.0025		(0.7%) 7937 - 7927 cal BC	(9886 - 9876 cal BP)
D14C: -660.17 +/- 2.54 o/oo		(68.2%) 7731 - 7599 cal BC	(9680 - 9548 cal BP)
$\Delta^{14}C$: -662.91 +/- 2.54 o/oo (1950:2017)			
Measured Radiocarbon Age: (without d13C correction): 8690 +/- 60 BP			
Calibration: BetaCal3.21: HPD method: INTCAL13			
Beta - 467842	KT201-C24	1270 +/- 30 BP	IRMS $\delta^{13}C$: -26.4 o/oo
Submitter Material: CHARCOAL			
Analyzed Material: Charred material		(92.3%) 662 - 778 cal AD	(1288 - 1172 cal BP)
Pretreatment: (charred material) acid/alkali/acid		(1.6%) 842 - 859 cal AD	(1108 - 1091 cal BP)
Percent Modern Carbon: 85.38 +/- 0.32 pMC		(1.3%) 792 - 804 cal AD	(1158 - 1146 cal BP)
Fraction Modern Carbon: 0.8538 +/- 0.0032		(0.2%) 818 - 821 cal AD	(1132 - 1129 cal BP)
D14C: -146.24 +/- 3.19 o/oo		(68.2%):	
$\Delta^{14}C$: -153.13 +/- 3.19 o/oo (1950:2017)		(39.2%) 687 - 726 cal AD	(1263 - 1224 cal BP)
Measured Radiocarbon Age: (without d13C correction): 1290 +/- 30 BP			
Calibration: BetaCal3.21: HPD method: INTCAL13			
(29%) 738 - 768 cal AD (1212 - 1182 cal BP)			

The "Conventional Radiocarbon Age" was calculated using the Libby half-life (5568 years), is corrected for total isotopic fraction and was used for calendar calibration where applicable.

The Age is rounded to the nearest 10 years and is reported as radiocarbon years before present (BP), "present" = AD 1950.

Results greater than the modern reference are reported as percent modern carbon (pMC).

The modern reference standard was 95% the ^{14}C signature of NIST SRM-4990C (oxalic acid). Quoted errors are 1 sigma counting statistics. Calculated sigmas less than 30 BP on the Conventional Radiocarbon Age are conservatively rounded up to 30.

$\delta^{13}C$ values are on the material itself (not the AMS $\delta^{13}C$). $\delta^{13}C$ and $\delta^{15}N$ values are relative to VPDB-1. References for calendar calibrations: Probability Method: Bronk Ramsey, C. (2009). Bayesian analysis of radiocarbon dates. Radiocarbon, 51(1), 337-360. Database INTCAL13: Reimer, et. al., 2013, Radiocarbon55(4).

556 **Figure captions**

557 **Fig. 1:** a) Schematic tectonic map of Myanmar depicting the epicentres of $M \geq 6.5$ earthquakes from the
 558 USGS earthquake database (website earthquake.usgs.gov/earthquakes/search, last access: 2019-05-05).
 559 b) Isoseismal distribution related to the 1912 Maymyo earthquake as reported by Coggin Brown (1917),
 560 based on the Rossi-Forel scale, where maximum intensity is X. c) Tectonic setting of the northern part of
 561 the Kyaukkyan Fault. The hillshade basemap is based on SRTM3. NP: Nawngkhio Plateau; KP: Kyaukku
 562 Plateau.

563 **Fig. 2:** Topographic map of trench T1 area (a), near Kyaukkyan village. b), c), d), e) Google Earth view
 564 of the Kyaukkyan Fault trace, indicated by white arrows, with location of railway bend, abandoned
 565 trenches (in c) and trenching site T1 (in d); map locations shown in Fig. 2a. f) Plot of the measured right-
 566 lateral offset of the railway bend (g), with 20x horizontal exaggeration. h) Trench T1, view to the west.
 567 Map location shown in Fig. 1c. Imagery ©2019 Google and CNES / Airbus.

568 **Fig. 3:** Schematic stratigraphic log of units identified in trench T1, with average thickness of units and
 569 stratigraphic location of the dated samples. For unit descriptions and other details see text.

570 **Fig. 4:** Orthorectified photomosaic (top) and interpretative log (bottom) of N-wall (a) and S-wall (b) in
 571 trench T1. Black dots locate the position of the charcoals collected for radiocarbon dating. Stars indicate
 572 faulting events. Colours of lithologic units correspond to those of the stratigraphic log in Fig. 3. Dashed
 573 squares indicate location of photographs in Fig. 5. For trench log descriptions and other details see text.

574 **Fig. 5:** Photos of trench T1. a) Cooking pit (dashed) with abundant charcoal, N-wall. b) Layer of bricks
 575 and centimetric fragments on its right by m 0 (vertical wire), S-wall. c) Detail of deformation observed in
 576 the platy calcrete layer B1.b along the fault plane, N-wall. d) Perspective view to the N of the truncated
 577 layers B2+B3, N-wall. White arrows indicate the fault plane.

578 **Fig. 6:** a) Topographic map of trench T2 area, north of Taunggyi. b) 2012 DigitalGlobe/Google Earth
 579 image of the lineament within the basin-filling sediments (left), and the scarp between the basin and the

580 *terra rossa* (right), indicated by the white arrows, with location of T2 trenching site and location of an
581 abandoned trench. Map location shown in Fig.1b. Imagery ©2019 Google and DigitalGlobe.

582 **Fig. 7:** Orthorectified photomosaic (top) and interpretative log (bottom) of N-wall (a) and S-wall (b) in
583 trench T2. Stars indicate faulting events. For trench log descriptions and other details see text.

584 **Fig. 8:** Photos of trench T2. a) Photograph of N-wall with modified colour balance highlighting the
585 deformed level C1b. b) Fractured and faulted dolomitic limestone at the base of the trench, view to the
586 west. c) Detail of fault gouge at the contact between bedrock and unit C1b, S-wall. White arrows indicate
587 the fault planes.

588 **Fig. 9:** Map summarising the distribution of damage within the isoseismals VIII and IX of Rossi-Forel
589 intensity scale (see Fig. 1b for reference), and other information related to the 1912 Maymyo earthquake.
590 Text in *italics* refers to the damages reported by [1] Coggin Brown (1917). Other sources: [2] This paper;
591 [3] Soe Min et al. (2017); [4] Vigny et al. (2003); [5] Wang et al. (2014). Locations of earthquakes from
592 USGS earthquake database (website earthquake.usgs.gov/earthquakes/search, last access: 2019-05-05).

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We the authors have no conflict of interest to declare.

Sincerely,

Silvia Crosetto

On behalf of all authors

Journal Pre-proof

















