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1 **Advancing Global & Regional Reanalyses**

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57

58

59 **Who, What, When, Where:**

- 60 • Title: **The 5th International Conference on Reanalysis (ICR5)**
- 61 •
- 62 • What: An international community involved in the development, production,
63 verification, and application of global and regional reanalyses of the Earth System
64 climate met to discuss progress, challenges, and future priorities to guide the
65 development and use of reanalyses in support of science, services, and policy making.
- 66 • When: 13-17 November 2017
- 67 • Where: Rome, Italy

68

69

70 The 5th International Conference on Reanalysis (ICR5)

71

72 This report outlines the structure of and summarizes the recommendations made at the 5th
73 International Conference on Reanalysis (ICR5)¹, attended by 259 participants from 37
74 countries, in Rome (Italy), on 13-17 November 2017. It first summarizes the conference
75 structure. Then, the key recommendations of ICR5 are given for the five main conference
76 topics: production; observations (data rescue and preparation); data assimilation methods;
77 quality assurance of reanalysis; and applications in science, services, and policymaking.
78 Lastly, five high-level recommendations are proposed to managing agencies on how best to
79 advance the field of reanalyses, which serves tens of thousands of users, via enhanced
80 research, development, and operations.

81

82 1. ICR5 structure

83

84 ICR5 was the fifth in a series of international conferences for researchers, developers and
85 producers of reanalyses. The previous event, ICR4, took place in Maryland, USA, in 2012,
86 and had attracted 244 participants (www.wcrp-climate.org/ICR4). The sharp increase in the
87 number of countries represented, from 26 in 2012 to 37 in 2017, shows that reanalyses are
88 now used by a wider range of stakeholders, including not only the scientific community, but
89 also public organizations and the private sector in need of long-range time-series of climate

¹ ICR5 was co-organized by the European Centre for Medium-Range Weather Forecasts (ECMWF), the European Union Copernicus Climate Change Service operated by ECMWF on behalf of the European Commission (ECMWF/C3S), and the World Climate Research Programme (WCRP). The ICR5 Scientific Organizing Committee (ICR5-SOC) was co-chaired by Roberto Buizza (ECMWF) and Paul Poli (Météo-France), and included 20 experts from 17 world-renowned institutions (co-authors of this article). Session chairs and rapporteurs, including early-career scientists, also contributed to this article.

90 information. Applications are not limited to research and include climate services and policy
91 making.

92

93 This conference was timely as several events have taken center stage since ICR4 in
94 the climate domain, such as the 2015 Paris Agreement on climate, reached at the 21st
95 Conference of the Parties (COP21) to the United Nations Framework Convention on Climate
96 Change (UNFCCC). The ICR5 participants discussed the merits, limits, and challenges of
97 reanalyses for monitoring climate variability and change, for supporting policy makers in the
98 development of adaptation and mitigation measures, and for providing information
99 complementary to that from other climate sources.

100

101 ICR5's presentations, oral and posters² were organized around five topics:

- 102 1) **Status and plans for reanalysis production** (production, inclusive of all Earth-system
103 thematic areas: atmosphere, land, ocean and cryosphere);
- 104 2) **Observations for reanalysis** (preparation, organization in large archives, data rescue,
105 reanalysis feedback);
- 106 3) **Methods for reanalysis** (data assimilation, Earth-system coupling, uncertainty
107 estimation, challenges specific to regional reanalyses);
- 108 4) **Evaluation of reanalyses** (comparisons with observations, other types of analysis and
109 models, inter-comparisons, diagnostics);
- 110 5) **Applications of reanalyses** (generation of time-series of Essential Climate Variables for
111 climate monitoring, climate services, industry applications).

112

² All presentations and posters can be accessed from the ICR5 web site: <http://climate.copernicus.eu/events/5th-international-conference-reanalysis>.

113 The call for abstracts reached over 30,000 users through various channels, and 357 unique
114 abstracts were received from 53 countries. However, owing to limited travel support,
115 participants from 16 countries were not able to attend in person. For this reason, the
116 conference organizers welcomed a sponsor contribution that supported live video streaming
117 of the conference presentations and debates, of which recordings are available³. The resulting
118 conference program included a media briefing, 66 oral presentations, one panel discussion, a
119 plenary discussion, and three poster sessions, where a total of 201 posters were presented.

120

121 The organizers took gender balance in consideration when selecting the invited
122 presentations, (four keynotes, plus one invited talk per topic), with 4 invited women speakers
123 and 5 invited male speakers. In total, 66 talks were given and the ratio of female to male
124 speakers was 18:48, or 27% : 73%. Each session was introduced by an invited talk, given by
125 Hisashi Nakamura, Nick Rayner, Patrick Heimbach, Masatomo Fujiwara, Karina von
126 Schuckmann, which gave a gender ratio 2:3 of women:men.

127

128 The chairs and rapporteurs of the five topics reported back to the plenary discussion the
129 findings and recommendations of their sessions, summarized hereafter.

130

131 2. ICR5 key recommendations

132

³ See https://www.youtube.com/channel/UCdK5sfMQcJ64q8AGR_7-ZRw/videos .

133 During the ICR5 plenary discussions, it was agreed to share with the scientific
134 communities a set of key recommendations, which have been organized in the five main
135 topics discussed during the conference.

136

137 *Status and plans for reanalysis production*

138

139 Since ICR4 in 2012, an increasing number of reanalyses have been produced: global and
140 regional, uncoupled and coupled. There has been a continued effort to improve their quality
141 (accuracy) and to represent more and more Earth System components (atmosphere, ocean,
142 land, cryosphere, aerosols, bio-geochemistry, hydrosphere). Resolution has been increased,
143 and the time spanned by various reanalyses has been extended to cover the entire 20th
144 century and beyond. Ensemble methods have been developed to address uncertainty
145 estimation. Discontinuities owing to observing system variations over the past decades
146 remain a significant issue in the production of climate (long-time-series) reanalyses. In this
147 area of work, the following recommendations are made:

- 148 a) Given the extensive use of reanalyses at climate and weather time scales, and
149 especially as centers move toward more sophisticated coupled Earth System
150 reanalyses, ICR5 recommends centers generate families (or extensions) of reanalyses
151 to support climate studies and monitoring using different observing system
152 configurations spanning the observational record (longer climate timescale reanalyses
153 alongside reanalyses spanning the period of the modern observing system).
- 154 b) Improved communication between producers and users is required to guide the latter
155 in their application and interpretation.

156 c) Short-term latency products, in addition to the full reanalysis, would increase the
157 reanalyses' potential applications.

158

159 *Observations for reanalysis*

160

161 Observations are needed to monitor our changing climate, and are a crucial reanalysis
162 ingredient: what is assimilated and its quality is key to the success of the reanalysis. Work on
163 observations for reanalysis requires a sustained, well-supported effort involving cooperation
164 with reanalysis producers. Existing initiatives and programs need to be strengthened and
165 enhanced to guarantee that so-called anchor series, a subset of observations required for
166 reanalyses and key to reduce uncertainties, are more consistent. Key observations to be
167 considered as anchor series are sea surface temperature, sea ice concentration and thickness,
168 profiling floats ARGO data, GPS radio occultation (GPSRO) data, radiosondes, surface
169 pressure, scatterometer wind, and soil moisture. Sustained production of carefully-curated
170 underlying key data sets is essential to avoid discontinuities that affect downstream services.
171 In this area of work, the following recommendations are made:

172 d) More research and operational funding should be made available to support the tools,
173 methodologies, and essential steps of data preparation: rescue, assembly,
174 reprocessing, recalibration, bias correction, quality control, homogenization,
175 uncertainty quantification, use in reanalysis, and feedback analysis.

176 e) Funding of essential datasets needs to be increased and sustained. Funding for their
177 maintenance and improvements has been cut to below a sustainable level.

178 f) *In situ* and satellite observing systems that have proved to serve as critical references
179 (also referred to as anchors) for reanalysis need to be preserved and extended in time.

180 These include measurements of sea surface temperature, sea ice concentration and

181 thickness, atmospheric surface pressure, and soil moisture, as well as measurements
182 by radiosondes, ocean profiling floats and the moored buoy array, Global Positioning
183 System radio occultation, and scatterometers from satellites.

184 g) Data rescue of historical observations and reprocessing of observations (e.g., satellite)
185 to improve their quality and utility need to continue.

186 h) The sea ice and meteorological observations for the Southern Ocean, recently
187 discovered in various archives for the late nineteenth and early twentieth centuries,
188 should be digitized to improve our understanding and representation of this key
189 region.

190

191 *Methods for reanalysis*

192

193 Reanalysis methods have been improving considerably in the past five years. Ensembles
194 are increasingly being used, either exclusively or in hybrid systems. Other techniques such as
195 particle filters/smoothers are being tested. Coupled approaches (e.g. ocean, land, cryosphere
196 and atmosphere) have been shown to be promising and yield benefits. Historical reanalyses
197 going back to the 19th century and beyond have been created. Improvements in the methods,
198 to include more robust uncertainty quantification associated with observations, model, and
199 data-assimilation are needed. In this area of work, the following recommendations are made:

200 i) Reanalysis is not a research priority for most national and international programs.

201 However, reanalyses are among the most used datasets. As a result of this lack of
202 priority, most of the data assimilation development adopted for reanalysis currently
203 takes place where resources are available, at Numerical Weather Prediction (NWP)
204 centers. They predominantly focus on their usual (i.e., near-real time) requirements,
205 rather than use of historical observations to reconstruct a climate record. These

206 centers and the community at large need to incorporate clearly articulated
207 requirements for climate reanalysis in the development pathways for data
208 assimilation. Systems do not work “off the shelf” to reanalyze observations 10, 20, or
209 100 years earlier.

210 j) There is a gap in research funding to design data assimilation methods specifically
211 targeting climate reanalysis. Those developments are required and need to be
212 adequately resourced and prioritized.

213 k) The EU Copernicus project has brought substantial resources to improving data
214 access, understanding end user requirements, enhancing observational databases,
215 carrying out global and European regional reanalyses, as well as providing operational
216 services based on reanalysis. However, there is no similar system or mechanism for
217 supporting the underlying research needed to improve and sustain these services and
218 some of the downstream regional reanalysis. This appears to be the case also across
219 non-EU countries.

220

221 *Evaluation of reanalyses*

222

223 The evaluation of new, state-of-the-art reanalyses has shown improvement in the
224 representation of several different processes, among them precipitation and soil moisture.
225 Comprehensive intercomparisons have been carried out (e.g., projects such as an atmospheric
226 reanalysis intercomparison project which focuses on the stratosphere-troposphere interaction,
227 and an ocean reanalyses intercomparison project, which focuses on the ocean). Recent
228 evaluation and diagnostic activities find reanalyses capture changes in climate extremes in
229 addition to mean changes. There are substantial new developments of regional reanalyses,
230 e.g., for the Arctic region and for Europe or other continents. These products have been

231 shown to be regionally of higher accuracy than global equivalent ones. With respect to the
232 evaluation of reanalyses, the following recommendations are made:

233 l) Diagnostic and evaluation activities that look at the mean climate, variability, and
234 extremes of coupled atmosphere-ocean-land Earth System reanalyses are strongly
235 encouraged, since they are of high relevance for climate applications.

236 m) Increased assimilation of land information (e.g., microwave soil moisture estimates,
237 terrestrial water storage estimates from satellite gravity missions, skin temperature,
238 land precipitation) is encouraged to improve the representation of temperature
239 extremes on land.

240 n) More interaction between research groups working on regional and global reanalyses
241 is encouraged. It is recommended that regional reanalyses are integrated in
242 international activities on the intercomparison of reanalysis products.

243

244 *Applications of reanalyses*

245

246 There are a growing number of applications, in a variety of sectors (e.g., wind energy and
247 hydrology). There is a common request to have easier data access, and more clear guidance
248 (e.g., on the estimation of reanalyses' uncertainty). Desire for higher resolution and accuracy
249 for surface variables is a driving demand for regional reanalysis and various downscaling
250 techniques. With respect to applications of reanalyses, the following recommendations are
251 made:

252 o) The priority given to reanalysis activity needs to raise to the level of its impact.

253 Reanalyses are crucial for climate monitoring, to initialize weather reforecasts used to
254 generate operational weather products (see, e.g., the ECMWF and the NCEP
255 experiences) and climate predictions, and to verify their accuracy on long time

256 records. They represent a fundamental reference to which those models can be
257 compared to in order to evaluate the merits and skill of different prediction systems
258 across a wide range of complementary metrics.

259 p) Continuous production is recommended to achieve higher resolution, faster access,
260 longer time series, better quality, and couplings with the components of the Earth
261 System (atmosphere, ocean, land, ice, atmospheric chemistry, biosphere and
262 hydrosphere). Due consideration of the anthropogenic influence may need more
263 attention in future reanalyses (e.g., irrigation, land use change, and urbanization).

264 q) Better and more “actionable” uncertainty characterization is required: users request
265 simple measures of the reanalyses’ uncertainty.

266 r) The input needed by applications is still dependent on the uncertainty and accuracy of
267 observations and data assimilation techniques, There are still many observations
268 missing in data sparse regions, or from historic periods, and a need for less
269 constrained parameters, such as SST, sea ice, deep ocean information and soil
270 moisture

271 s) Intercomparison and evaluation activities need to continue for global and regional
272 reanalyses, in order to benchmark each dataset.

273 t) The user community is asking for reanalysis of higher resolution, faster access, longer
274 time series, and better quality. A special interest was noted for coastal and urban
275 applications.

276 u) Enhanced communication between producers and users is required. Platforms to
277 exchange information – like the web site “Reanalyses.org” or the NCAR Climate
278 Data Guide – should continue to promote such discussion. In addition, events like
279 ICR5 are very successful in facilitating the exchange of information.

280

281 3. Message to managing agencies

282

283 ICR5 clearly illustrated the leading role of various agencies in this field, built throughout
284 the years. For example, the establishment of the Copernicus program and its various services,
285 as well as sustained support from ECMWF, NASA, NOAA, JMA (Japan Meteorological
286 Agency), ESA (European Space Agency), EUMETSAT (European Organisation for the
287 Exploitation of Meteorological Satellites), DoE (U.S. Department of Energy), EC (European
288 Commission), and several other institutions, has helped to consolidate progress. The
289 following are five distilled recommendations for agencies and supporting institutions to
290 enable further progress in this area:

291 1) **Reanalysis production** - As production centers move toward coupled Earth-system
292 reanalyses, they (and their users) should embrace the notion of families of products
293 designed to support a variety of research and applications spanning multiple decades
294 to centuries. Reduced latency of reanalysis data products (ideally real-time, with
295 related requirements on the latency of climate observations) should be aimed for,
296 since it increases reanalyses' potential for applications.

297 2) **Observations for reanalysis** - Supporting the rescue, reprocessing, recalibration,
298 correction, quality control, and use of observations for reanalysis is key to enhance
299 the scope and range of reanalyses, by allowing datasets to be extended back in time
300 with high-quality observations. Integrated datasets for marine and land surface such as
301 ICOADS are essential. Looking ahead to the continuation of climate time-series, there
302 is an urgent need to strengthen and enhance the Global Climate Observing System
303 (GCOS) and the Global Ocean Observing System (GOOS), which includes the
304 support for implementing critical in-situ and satellite measurements.

- 305 3) **Methods for reanalysis** – Although the conference reported on new ideas
306 (smoothers) there is a general gap in research funding to design data assimilation and
307 coupling methods across the Earth System specifically designed for reanalysis.
- 308 4) **Evaluation of reanalyses** - Diagnostic and evaluation activities that look at the mean
309 climate, variability and extremes of globally coupled atmosphere-ocean-land Earth
310 System reanalyses should be promoted, taking stock of successful examples of ocean
311 (and atmosphere) reanalysis intercomparison projects. Increased interaction between
312 research groups working on regional and global reanalyses would be highly
313 beneficial. The WCRP Task Team for the Intercomparison of Reanalyses (TIRA,
314 <https://reanalyses.org/wcrp-task-team-intercomparison-reanalyses-tira>) is charged
315 with developing the plans to promote and coordinate such activities with the
316 community and platforms such as Reanalyses.org and the NCAR Climate Data Guide.
- 317 5) **Applications of reanalyses** – Synergies between reanalyses’ producers and users
318 must be increased. There is a need for better and more applicable uncertainty
319 characterization. The proper quantification and communication of the quality of
320 reanalyses must be promoted and would broaden their usage in operational services
321 and policy making.

322

323

324 4. SIDEBAR – What are reanalyses?

325

- 326 • Reanalyses are digital datasets that represent the evolution of the Earth’s climate system.
327 They cover the diversity and complexity of the Earth’s climate system: multi-
328 components (atmosphere, ocean, land, ice, ...), multi-variables (temperature, humidity,
329 wind, ozone,...), and multi-dimensions (sub-daily to seasonal scales, regional to global,
330 ocean sub-surface depths to the surface where we live to the upper atmosphere...), with a
331 growing role of the human factor (anthropogenic), without which one cannot understand
332 or reproduce some recent climate evolutions (such as ozone depletion and the increase in
333 greenhouse gases).
- 334 • Reanalyses bring together the full history of observations and growing computing power,
335 and blend all this using the latest science (using advances in mathematics, physics, and
336 information technology). Registered user counts at several institutions exceed the tens of
337 thousands.
- 338 • Reanalyses enable the placement of present weather events in the climate context, and to
339 revisit the past. Reanalysis is, for example, a key contribution to the Global Framework
340 for Climate Services (GFCS), allowing monitoring of the Earth's climate even in places
341 where direct observations are sparse. Reanalysis data has been used for continuing
342 development and improvement of weather forecasting, climate services, and climate
343 change monitoring.
- 344 • Reanalyses are coordinated internationally under the auspices of the World Climate
345 Research Programme (WCRP), which is co-sponsored by the World Meteorological
346 Organization (WMO), the International Council for Science (ICSU), and the
347 Intergovernmental Oceanographic Commission (IOC) of UNESCO.

348