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# Seismic swarms in the Pollino seismic gap: Positive fault inversion within a popup structure

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Seismic swarms frequently occur along continental fault systems and their relation with large earthquakes is often contradictory. Such a case is documented in the Pollino mountain range of southern Italy, a decoupling zone where the belt-normal stretching drastically rotates accommodating the differential SE-retreat of the Ionian slab. The paucity of historical large earthquakes has led to hypothesize the presence of a seismic gap. A longlasting seismic swarm that climaxed with a  $M_L = 5.2$  earthquake in October 2012 was therefore thought as a possible signal of an impending large earthquake filling the gap. Seismicity data collected during a 4-years long monitoring are a powerful microscope to look through the seismic swarm. In this study, we present accurate relocations for 2385 earthquakes and highresolution Vp and Vp/Vs models of the fault system. Seismicity occurred on two separate normal faults that were formerly part of a thrusts and back-thrusts system, originally formed as a pop-up at restraining bends of the Pollino fault, a wrench fault system that inverted the original left lateral sense of slip accommodating a differential motion induced by the southward retreat of the Ionian slab.

#### KEYWORDS

seismic swarm, seismic gap, earthquake location, tomographic model, fault weakening, pop-up structures, seismic hazard

# **1** Introduction

Seismic swarms in tectonically active regions often consist in recrudescent bursts of seismicity that liberate in a complex and disordered pattern only a small fraction of the strain energy accumulated by tectonic stresses (Hardebeck et al., 2008; Wu et al., 2014). Although their meaning in terms of short term hazard and their precursory aspect are always enigmatic, they allow the investigation of fault geometry, rheology and more in general of the earthquake processes including the relation between fluid pressure, aseismic deformation and seismicity (Holtkamp and Brudzinski, 2014; Zhang et al. 2019; De Barros et al. 2020; Ross et al 2020; Bachura et al. 2021).



During 2010–2015, intense seismic swarms developed in the area between the Calabrian Arc and the Apennines (Govoni et al., 2013), at the edge of the Ionian subduction (Totaro et al., 2014; Orecchio et al., 2015; Chiarabba et al., 2016; Palano et al., 2017). This area has for long times retained a major seismic gap of peninsular Italy (Tertulliani and Cucci, 2014, and references therein). The lack of large historical events on a 100 km long section of an apparently continuous normal faulting system, edged by faults that ruptured many times, concurred to frame such a hypothesis, after the pioneering intuition of Omori (1894). Therefore, the seismic unrest has been monitored with accuracy and became a great opportunity to investigate the gap hypothesis.

Active faults at the Calabria-Lucania border (Figure 1) comprise both NW-SE trending SW and N-S striking E dipping normal fault sets (Brozzetti et al., 2017). Although with some discordance on fault geometry, paleoseismic studies found evidences for slip occurred in the past ten

thousand years and consistent with M>6 earthquakes (Cinti et al., 1997, 2002; Michetti et al., 1997) advocating for the presence of major seismogenic faults in this gap area. Framing the gap hypothesis, seismic catalogs did not report large earthquakes in historical time in the Pollino area, while they are present just north and south of the area (Tertulliani and Cucci 2014; Rovida et al., 2016). A few earthquakes with magnitudes probably less than 6 are documented, including the  $M_W = 5.6$  "Mercure" event in 1998 (Brozzetti et al., 2009). Although historical earthquakes are lacking, the seismic hazard map reports a 10% chance of exceed 0.225 g in the next 50 years (Gruppo di Lavoro, 2004) and a recent work stressed how a mixed seismic/ aseismic strain release pattern could favor a longer recurrence time for large magnitude earthquakes (Cheloni et al., 2017).

Previous analyses of the 2010–2015 swarm revealed that seismicity occurred along two main NNW-trending independent clusters, while the fault geometry has been interpreted differently (Passarelli et al., 2015; Pastori et al., 2021; Napolitano et al., 2020 and, 2021; Cirillo et al., 2022). Moreover, the relation between the swarm seismicity and the main faults observed at the surface remains ambiguous, as well as the general mechanism of deformation in the area. Palano et al. (2017) described a drastic change in kinematics across the range, while also the crustal structure north and south of the Pollino is very different (Bigi et al., 1992). Such complexity lands many aspects still unsolved, from the intrinsic validity of the gap hypothesis to the real meaning of the seismic swarms.

In this work, we addressed these points by accurately relocating earthquakes and defining fault structure and crustal physical state at depth with seismic tomography. Vp and Vp/Vs anomalies are used to infer insight on the seismogenic process trying to reveal the role of fluids in the faulting process. We discuss velocity anomalies taking into account the geometry of the fault system suggested by our hypocentral locations to look at seismogenesis and at specific behavior of the faults and the nature of the swarm.

# 2 Kinematics of the region

The subduction of the Ionian oceanic plate beneath the Calabrian Arc is the main engine in the central Mediterranean area, along the tectonic boundary between the slowly converging Africa and Eurasia plates (e.g., Faccenna et al., 2001; Lucente et al., 2006). Slab geometry is well-documented by several seismological studies (Chiarabba et al., 2008; Giacomuzzi et al., 2012, and references therein), and the lithospheric structure of the area has been the object of different multidisciplinary studies (e.g., Di Stefano et al., 2009; Piana Agostinetti and Amato, 2009; Piana Agostinetti et al., 2014; Orecchio et al., 2015).

The general image that comes from so many studies is that of a subduction panel that became progressively narrower as the retreat proceeded (Lucente et al., 2006, and references therein). Since the Late Miocene, the slab experienced rapid rollback, at a rate of 5–6 cm/yr, which was by far higher than the convergence between Africa and Europa (Faccenna et al., 2004). During the late Pleistocene, rollback and subduction have drastically slowed and at present the threnchward motion of the Calabrian arc is of about 1–3 mm/yr (D'Agostino and Selvaggi, 2004; Palano et al., 2017).

The slab retreat is coupled with extension on a continuous belt that follows the Southern Apennines ridge and extends in the Pollino region with a general decrease to the south (D'Agostino et al., 2011). Such evidence indeed reveals that the Pollino region is accumulating tectonic strain on a complex system of normal active faults (Brozzetti, 2011). More recently, Palano et al. (2017) reported a rotation of GPS velocity around the Pollino fault, evidencing a first order change between the Apennines and the Calabrian regions. The Plio-Pleistocene evolution in this zone, at the edge of the retreating Ionian slab, has been controlled by slip on a main 110° trending strike slip shear zone (Palano et al., 2017) which continues in the Ionian off-shore (Ferranti et al., 2014). Since the Middle Pleistocene, the kinematics of the shear zone switched to extensional (Schiattarella, 1998).

During past decades, a significant sequence of earthquakes followed a Mw 5.6 occurred in 1998, to the north of the Pollino area, in a region of low instrumental and moderate historical seismicity (Brozzetti et al., 2009). Seismicity was related to the Mercure fault, a normal dipslip fault, SSW-ward dipping, whose seismogenic potential is estimated by Brozzetti et al. (2009) to be significantly larger than the 1998 earthquake (M 6.3). This implies that the Mercure 1998 earthquake would have only activated a small portion of the fault plane, turning on a warning light on the seismic hazard of both the Mercure basin and the neighboring areas.

# 3 The 2010–2015 seismic swarm

Looking inside the Italian Seismological Instrumental and Parametric Data-Base https://doi.org/10.13127/ISIDE we observe that after a multi-years long silence, seismic activity resurged in 2010, with a series of small earthquakes ( $M_L < 3.0$ ). Between 2010 and early 2012, the earthquake rate has been variable (Figure 1B), with magnitudes not exceeding  $M_L = 3.6$ . On 28 May 2012, a shallow  $M_L = 4.3$  event struck, about 5 km east of the previous cluster of seismicity (Figure 1A), followed by a sequence of aftershocks around it, which lasted until early August. Then, seismicity resumed to the previously activated area, with several earthquakes of magnitude larger than 3, culminating with a  $M_L = 5.2$  earthquake on 25 October 2012



(Figure 1A). The seismicity rate remained high for some months, but magnitudes did not exceed  $M_L = 3.7$ . At the beginning of 2013, the seismic activity started to decrease (Figure 1B), remaining relatively low until June 2014, when a magnitude  $M_L = 4$  earthquake occurred in the eastern cluster, giving rise to a sudden increase of the seismic rate in the surrounding area (Figure 1B). Seismicity rate keeps decreasing during 2015, although remaining above the background seismicity threshold (Figure 1B).

On a map view, earthquakes, located by the Italian Seismic Bulletin and distributed in ISIDe, 2016, delineate two main clusters around the two major shocks ( $M_L = 4.3$  and  $M_L =$ 5.2). Hypocenters concentrated at depths between 5 and 10 km during the entire swarm. The fault plane solutions identified by the Time Domain Moment Tensor (TDMT; http://terremoti. ingv.it/tdmt?timezone=UTC; Scognamiglio et al., 2009) for the two major events are consistent with normal faults trending ~N25W and dipping at about 45°. On the whole, focal mechanisms are coherent with NE-SW extension (Totaro et al., 2013; 2015), moreover for events of the seismic sequence (2011–2013) Napolitano et al. (2021) shows that some of the shallowest events have a strike-slip kinematics while deeper events show an overall normal fault kinematics.

Geodetic data show a transient slip that started about 3–4 months before the  $M_L = 5.2$  and lasted almost 1 year, revealing that a significant fraction of the overall deformation is released aseismically (Cheloni et al., 2017). The transient slip correlates with the seismic rate increase (Figure 1B) consistent with seismological analyses (Passarelli et al., 2015).

# 4 Tomographic imaging and aftershocks relocation

A combined dataset of seismic waveforms recorded by both permanent and temporary stations (Figure 2) has been analyzed to provide high quality P and S-wave arrivals and 1D earthquake locations (Pastori et al., 2021); the temporary stations used are described in detail in a INGV Technical report (Margheriti et al., 2013). For tomographic inversion, we selected a subset of this dataset following these selection criteria: rms < 0.5 s, azimuthal gap < 220°, location errors < 1 km, a minimum of 7 P and 3 S readings, epicentral distance for the nearest station within 12 km. A total of 2385 seismic events, recorded at 27 stations (7 of which were installed soon after the occurrence of the Mw 5.2 event), provided a final number of 30,197 and 20,464 for P and S arrival times, respectively.

We use this dataset to compute high resolution velocity models and 3D earthquake locations by using the linearized, iterative, damped least squares inversion method Simulps14q (Haslinger, 1998). At each iteration step, earthquake locations are performed by using the singular value decomposition approach and the Vp and Vp/Vs model are updated by a damped least square inversion carried out after performing the algebraic separations between location and model partial derivatives (Haslinger, 1998, and references therein).

We used this data to solve for a 3-D grid of nodes spaced every 5 and 3 km in the horizontal and vertical directions, respectively. Starting Vp and Vp/Vs models are derived from Pastori et al. (2021). The inversion run achieved a 57% variance reduction and a final rms of 0.16 s after 9 iterations.

The reliability of the Vp and Vp/Vs tomographic models has been assessed by the analysis of resolution and covariance matrices and by running synthetic checkerboard tests. We compute the spread function (SF) as defined by Michelini and McEvilly (1991) to quantify the compactness of each averaging vector. A perfectly resolved node is characterized by an averaging vector with the diagonal element of resolution matrix equal to 1 and null off diagonal elements. In real cases, the resolution of the diagonal element is smaller than 1 and the off diagonal elements are positive values, therefore representing the volumetric estimation of the parameter. The SF accounts for all the elements of the averaging vector, quantifying the contribution of the off-diagonal elements by weighting the geometrical distance from the analyzed parameter. A compact averaging vector, i.e., a well-resolved parameter, is characterized by a small value of SF. This value increases logarithmically as long as the resolution decreases.

Since resolution is strongly dependent on the ray sampling of each node, we use the method of Toomey and Foulger (1989) for the determination of the adequate SF threshold below which the resolution is satisfactory. We analyzed how SF values relate to the derivative weighted sum (DWS), that is, an estimate of the ray sampling around each node. Points on a two-dimensional plot



created by reporting SF versus the DWS of all the nodes of the model, describe a L-shaped trend with SF and DWS inversely related. The kink of L-shaped trend represents the SF value that ensures well-resolved nodes and negligible smearing (Toomey and Foulger, 1989). The tomographic inversion carried out for this study leads to plots of Figure 3, from which we infer that the SF limit is 2 for Vp and Vp/Vs models. The analysis of the covariance matrix indicates furtherly that nodes with SF  $\leq$  2 are characterized by formal error within the 10% of the computed velocity anomalies.

In order to examine the robustness of the retrieved tomographic anomalies we also performed a checkerboard sensitivity test. The checkerboard model was constructed by adding a 5% anomaly to the starting Vp and Vp/Vs model, therefore preserving the original grid spacing ( $5 \times 5 \times 3$ ). Synthetic traveltimes were computed by forward calculation through the synthetic model and a Gaussian noise of zero mean and a standard deviation of 0.12 s for P- and 0.23 s for S-wave data was added to the synthetic traveltimes to simulate a real dataset. The noisy traveltimes were then inverted as in the real case starting from the same 1-D model used for the real inversion. The spatial distribution of recovered anomalies, in addition to the analysis of the SF, suggests that the threshold SF=2.0 enclose all the parts of the model characterized by good resolution and high reliability.

## 4.1 Velocity models

P-wave velocities in the upper crust are mostly influenced by lithology (Chiarabba et al., 2005). Vp ranging between 5.0 and 6.0 km/s are observed in Southern Apennines for the Mesozoic carbonates; basin filling sediments and syn-orogenic sedimentary and flysch have P-wave velocities ranging between 2.0 and 3.0, and 3.4–4 km/s respectively, whereas basement

formations have the highest P-wave velocity of the sedimentary pile, with values of about 6.3 and 6.7 km/s (Improta et al., 2000 and references therein). In the area, the pre-Miocene bedrock is made of Liguridi-Sicilidi units, forming the allochthon thrust wedge (Ferranti et al., 2014). While P-wave velocity are mostly influenced by the variation of lithology in the upper crust, the Vp/Vs is more sensible to the different level of cracking in the rocks, pore aspect ratio and fluid pressure and type (Nur et al., 1972; Wang and Nur, 1989; Zhao and Negishi, 1998; Chiarabba et al., 2009). It is commonly accepted that Vp/Vs decrease from the shallow to the middle the crust as response to the increase of lithostatic pressure (i.e., Thurber and Atre, 1993). However, in uppermost crust at seimogenic depths, Vp/Vs ratio is useful in discriminating zones saturated with fluids (Nur, 1972), due to the fact that the content and the physical state of fluids affect differently the P- and S-wave velocities. In particular an increase of pore pressure forces cracks to remain open, leading to a sensible reduction of S wave velocity and to an increase Vp/Vs (Ito et al. 1979). Many recent examples show that in the upper crust high values of Vp/Vs are strongly related to fluid contents. Tomographic investigations of Apenninic normal fault systems show the presence of high Vp/Vs volumes at the base of seismogenic layer depth (8-10 km) interpreted as compartments of pressurized fluids (Chiarabba et al., 2020). In the crustal volumes surrounding the 2009 L'Aquila seismic event, time variations of Vp/Vs are found in the footwall and hanging wall of the causative fault, tracing the fluid involvement in the preparatory phase of the main event (Lucente et al, 2010).

### 4.2 Tomographic layers

Figure 4 shows the velocity results in the inverted volume, at 3 and 6 km depth layers, i.e., where most of the seismicity is



P-wave velocity (on the left) and Vp/Vs models (on the right) at the most significant depths, 3- (on top) and 6 km (on bottom), respectively. Vp and Vp/Vs values are color coded according to the color scales at the bottoms; the purple contour line represents the well resolved area in each layer. Hypocenters of the relocated events are reported in the respective layers as gray dots. The two major earthquakes are represented by red- (28 May 2012,  $M_L = 4.3$ ) and yellow stars (25 October 2012,  $M_L = 5$ ), respectively, together with their focal solution (http://cnt.rm.ingv.it/tdmt.html; Scognamiglio et al., 2009). Major active faults, as for Figure 1, are also reported on each layer, as colored line segments (on the Vp tomograms only, colors of the fault traces have been modified with respect to Figure 1, to make them more visible). For each layer (both Vp and Vp/Vs models), the corresponding results of the checkerboard test are shown on the right of each tomogram.

concentrated, including the two main shocks. The surface traces of active faults are shown as colored line segments on the tomographic maps (as in Figure 1). The corresponding results of the checkerboard test are also shown on the right of each tomogram. In this 3–6 km depth range, P-wave velocity varies from about 4.5 to 7.0 km/s at 6 km depth, while Vp/Vs values vary from about 1.7 to more than 1.9.

At 3 km depth, the shallower hypocenters are clustered at the eastern border of a relatively high velocity region (6.0 km/s), NNW-elongated, which extends further south. The 28 May 2012  $M_L = 4.3$  event (red star in Figure 4, both Vp and Vp/Vs tomograms) struck east of the bulk of the seismicity, in a lower velocity area (5.5 km/s). The distribution of the Vp/Vs values at this depth shows a prevalence of relatively low values with few spots of relatively high values at the western border of the alignment of the hypocenters, and at the SE margin of the resolved volume. The bulk of seismicity, NNW-elongated, extends along the edge between volumes of different Vp/Vs., while the  $M_L = 4.3$  event (red star in Figure 4) struck in an area of relatively low Vp/Vs.

At 6 km depth most of the seismicity, which still shows a NNWelongated pattern, is edged to the west and the north by a relatively low velocity region (about 5.5 km/s), and to the east and the south by a high velocity area. At the border between these low and high velocity anomalies originated the M<sub>L</sub> = 5.2 earthquake on 25 October 2012 (yellow star in Figure 4, both Vp and Vp/Vs tomograms). Highest Vp values at this depth (up to 7.0 km/s) are found east of the main alignment of earthquakes, in a region where few hypocenters are located, in correspondence to the locus where the  $M_L = 4.3$  event developed at shallower depth (red stars in Figure 4). The pattern of the Vp/Vs in the layer at 6 km depth is simpler than in the above layer. A main region of relatively high Vp/ Vs is located in the central part of the layer, roughly corresponding to the highest Vp volume at this depth (from the comparison between Vp and Vp/Vs tomograms in Figure 4). Relatively high Vp/ Vs values are also found in the NW part of the layer, while a broad region of relatively low Vp/Vs values is found in the western part of the layer, extending further north. The main cluster of earthquakes, containing the hypocenter of the  $M_L = 5.2$  earthquake (yellow star in Figure 4), elongates along the border between the two main areas of relatively high (to the east) and low (to the west) Vp/Vs., while the little cluster on the east, roughly in correspondence to the M<sub>L</sub> = 4.3 event in the above layer (red star in Figure 4), is located within the highest Vp/Vs volume.



#### FIGURE 5

Vertical cross sections of Vp (on the left) and Vp/Vs models (on the right) across the inverted models. The traces of the five Vp and Vp/Vs vertical sections are indicated by red lines on the map in the top panel. Each trace is separated by 2.5 km, and the width for plotting earthquakes is  $\pm$ 1.25 km. Color scales are as indicated in Figure 4; the purple contour line represents the well resolved area in each section. Hypocenters of the relocated events occurred before and after the 25 October 2012 M<sub>L</sub> = 5.2 are represented as red and gray circles, respectively. The two main earthquakes are represented by their focal solution (http://cnt.rm.ingv.it/tdmt.html; Scognamiglio et al., 2009) on the Vp cross sections (on the left), and by red-(28 May 2012, M<sub>L</sub> = 4.3) and yellow stars (25 October 2012, M<sub>L</sub> = 5), respectively, on the Vp/Vs sections (on the right). Black line segments in Sections 3–5, on both Vp and Vp/Vs models, are the possible fault planes owing to the two main earthquakes.

The tomographic images show that the seismicity mainly develops at the edges of crustal volumes with different properties, in terms of both Vp and Vp/Vs However, it is noticeable that going from one layer to the other (from 3 to 6 km depth), the location of the low and high Vp and Vp/Vs anomalies switches with respect to the cluster of seismicity (i.e., where at 3 km depth a low anomaly is found, at 6 there is a high anomaly). Furthermore, we observe that the seismicity is almost entirely included within the projection at depth of opposing-dipping faults traces.

The values of the P-wave seismic velocity, the switch of Vp and Vp/Vs anomalies with depth, and the location of the seismicity at the edges between opposite anomalies suggests, respectively, that the seismicity occurs within a carbonate unit, that faults dislocate the volume where seismicity develops, and that fluid diffusion processes may have played a role in the seismogenic processes. Further assessment of these observations can be made looking at the cross sections cut in both the Vp and Vp/Vs models.

## 4.3 Tomographic cross-sections

The relationship between the hypocenter's distribution and the crustal structure is well defined through a set of vertical cross sections across the inverted volume (Figure 5, for both Vp and Vp/Vs models). On these cross sections (and on the map atop in Figure 5), earthquakes occurred before the 25 October 2012 ML = 5.2, are drawn as red circles, while those that occurred after it are gray circles. We cut the crustal volume hosting the seismic sequence by five cross sections roughly perpendicular to the main alignment of hypocenters. Cross sections are drawn every 2.5 km, and on each cross section earthquake hypocenters within 1.25 km from the section plane are projected. In the northern part of the model (Sections 1, 2, in Figure 5) hypocenters form a ball-shaped cluster, on the northeastern flank of a prominent antiformal high velocity structure with Vp above 6.0 km/s (Figure 5; Sections 1, 2, left panels). Here seismicity develops into a relatively low Vp/Vs volume, confined at the bottom and on the top-west side by high Vp/Vs volumes (Figure 5; Sections 1, 2, right panels). In this region of the model, the earthquakes occurred before (red circles) and after (gray circles) the  $M_L$  = 5.2 roughly fill the same volume.

Going southward, from Sections 3–5 (Figure 5, left panels), we observe that the spatial distribution of the hypocenters gradually departs from the spherical shape and starts to draw the possible fault planes where the two larger events occurred ( $M_L$ =4.3, on 28 May 2012;  $M_L$ =5.2 on 25 October 2012). We envisage the possible fault planes in these cross sections by black line segments, on both Vp and Vp/Vs models (Figure 5; Sections 3–5). In these sections we observe that the alignments of earthquakes cut the antiformal high velocity structure. We also observe that a very high

velocity volume, up to 7.0 km/s at 6 km depth separates the alignments of hypocenters, which apparently dip in opposite direction, containing the two mainshocks of the sequence (beach balls in Sections 3-5 of Figure 5, left panels). Regarding the relationship between the relocated seismicity and the Vp/Vs., (Figure 5; Sections 3-5, right panels), we observe that the relatively low Vp/Vs areas mark the upper and the lower terminations of the main alignment of earthquakes, that containing the  $M_L = 5.2$  (yellow star in Figure 5, right panels of Sections 3, 4). In the hypothesis that this alignment draws the ruptured normal fault, higher values of Vp/Vs are found in the footwall and in the hanging wall of the fault plane. In particular, the deeper high Vp/Vs volume (Figure 5; Sections 3-5, right panels) corresponds to the highest Vp volume (up to 7 km/s) seen on the Vp tomograms (Figure 5; Sections 3-5, left panels). The smaller and less populated alignment of earthquakes containing the  $M_L = 4.3$  (red star in Figure 5, right panels of Sections 4, 5) develops in a volume of relatively low Vp/Vs, and sinks down in the high Vp/Vs volume on the bottom-east part of the model. In these southern cross sections, from 3 to 5, we observe that the  $M_L = 5.2$  fault plane was already defined by foreshocks (red circles in Figure 5, both Vp and Vp/Vs models). We also noticed that the geometry of the smaller, eastern  $M_L = 4.3$  segment is best defined by earthquakes that occurred after the  $M_L = 5.2$  (gray circles) that align on a NE dipping plane. This is likely due to the improvement of hypocentral determinations thanks to the addition of data from temporary seismic stations installed after the 25 October  $2012 M_L = 5.2$  (see Govoni et al., 2013; Pastori et al., 2021, and references therein).

As further remarks, we observe that the distribution of the Vp/Vs ratio around the possible fault plane where the  $M_L$  = 5.2 originated strongly mimics the distribution of static stress changes around a ruptured normal fault: the relatively low Vp/Vs regions, at the top and bottom tips of the fault (see Figure 5 and Sections 3, 4, and 5, right panels) corresponds to regions of expected Coulomb stress increase (e.g., Serpelloni et al., 2012, and references therein), while relatively high Vp/Vs volumes, at the foot-and hanging wall of the fault plane coincide with areas of decreasing stress. In a fluid-rich upper crustal environment, this observation can be explained by the expulsion of fluids from rock volumes subjected to increasing stress, hence pore closure, resulting into a lowering of the Vp/Vs ratio; conversely, where stress drops, rock fractures tend to open, drawing fluids from the surrounding rocks, thus increasing the Vp/Vs. Even though our tomographic model offers a static picture of the elastic properties of rocks in the faults region, the above observation supports the hypothesis that fluid-diffusion processes affected the rock volume hosting the fault system responsible for the 2010-2015 Pollino seismic swarm, as already proposed by previous studies (Passarelli et al., 2015; Napolitano et al., 2020, 2021; De Matteis et al., 2021; Sketsiou et al., 2021).



## 4.4 3D earthquake locations

Hypocentral parameters are computed in the frame of the inversion method Simulps14q (as described above). The final location solutions are characterized by average errors of 0.11 and 0.16 km in horizontal and vertical directions, respectively. RMS of P and S travel times residuals are on average below 0.10 s.

Refined earthquake locations depict the geometry of the faults along which the two largest shocks originated. The two offset segments have a parallel NNW-strike and are elongated for 10–5 km, respectively (Figure 4). On the cross sections, the seismicity tightly defines the two clusters. Seismicity is tightly concentrated and limited by two opposite dipping faults that border a positive structure of the carbonate basement, between 3 and 7 km depth (Figure 5; Sections 3–5).

Seismicity in the western cluster, active since the end of 2011, is cloudier in the northern portion (Figure 5; Sections 1, 2), while a weak SW-dipping fault plane is visible in the southern part (Figure 5; Section 3). This plane, which becomes clearer southward (Figure 5; Sections 4, 5) was

defined by seismicity also before the occurrence of the  $M_L = 5.2$  main shock (red circles in Figure 5). An antithetic E-dipping fault plane is visible to the west of the main fault, drawn by the  $M_L = 5.2$  aftershocks (gray circles in Figure 5). On the east, the hypocenters of the eastern cluster ( $M_L = 4.3$  event of May 2012) align on a NE-dipping plane (Figure 5).

# **5** Discussion

Persistent swarms in the Pollino seismic gap area created concerns in public and seismic risk stakeholders, for the uncertainty on possible scenarios. Following the seismic gap hypothesis, the 2010–2015 swarms have been thought as possibly prognostic for a short term hazard increase. Previous studies of the swarm revealed its possible duplicity of roles from passive, where small patches fail around locked faults, or active, being the swarm only part of a larger aseismic release (Passarelli et al., 2015). The second scenario seems more consistent with the transient slip observed by geodetic



data (Cheloni et al., 2017). The experience of the Pollino swarm could be therefore relevant to understand more in general the behavior and role of seismic swarms occurring within highly seismic areas, giving us feedback useful for hazard evaluation. In this scenario it is important to take into account recent results about CO2 flux to the area by Randazzo et al. (2022); they found values comparable to other globally significant carbon fluxes as that defined for the

# 5.1 The reactivation and inversion of a positive flower structure

Himalaya orogen and Central Apennines in Italy.

The Plio-Pleistocene evolution in this transition zone has been controlled by slip on a main 110° trending strike slip shear zone (The Pollino Fault, see Spina et al., 2011; Orecchio et al., 2015; Palano et al., 2017). This fault, with a set of parallel segments and with continuation in the Ionian off-shore (the Amendolara fault, see Ferranti et al., 2014), is part of a broad lithospheric discontinuity at the edge of the retreating Ionian slab. Restraining bands along the system are observed at the meso-scale, over a large part of the fault (Figure 6). Since the Middle Pleistocene, the kinematics of the shear zone switched to extensional (Schiattarella, 1998).

Relocated earthquakes and tomographic images reveal that seismicity developed on two main NNW-trending and opposite dipping clusters. Several studies discussed the relation with faults mapped at the surface (Brozzetti et al., 2017 and reference therein). Anyway, how the alignment of seismicity relates with the general set of faults and tectonics is not obvious. The velocity structure at depth helps clarify that seismicity is confined by antiformal pop-up structures developed in the sedimentary cover (Figure 7), buried underneath shallow thrusts of the Pollino range. We propose that the inherited compressive structure defined by tomography is part of a pop-up formed at restraining stepovers of the Pollino fault system, which left lateral sense of shear at that time, accommodated the differential SE-ward retreat of the Ionian slab. Similar structures are present at the feet of the Pollino range (Ferranti et al., 2014 and reference therein). In our model, the present day extensional seismicity, that inverted the sense of slip on the Pollino fault, reactivates and inverts the set of thrusts and back-thrusts of the pop-up structure (Figure 7). Similar reactivation of positive structures and thrusts back-thrusts systems was observed in the near val d'Agri (Buttinelli et al., 2016; Improta et al., 2017), suggesting that major strike slip bisected faults the Apulian continental margin accommodating at a large scale the differential slab retreat.

# 5.2 Seismogenesis

Recent models proposed that the Pollino swarm occurred in a fluid-filled high-pressure volume with triggering of events on non- optimally oriented faults (De Matteis et al., 2021). A high attenuation anomaly has been interpreted as a possible fluid accumulation at depth of 7.5 km and below that underlies the Mercure and Castrovillari sedimentary basins (Napolitano et al., 2020; Sketsiou et al., 2021). The deep high Vp/Vs observed beneath the main cluster (Figure 5, right panels) is consistent with such deep fluid volume, but the poor resolution at depths below 9 km depth precludes the reconnaissance of a broader, deeper fluid volume indicated by attenuation tomography.

Our tomographic model results from the inversion of seismic arrival times from earthquakes occurred during a 5 years timespan (2009–2013), preceding and following the two major shocks of the sequence ( $M_L = 4.3$ , on 28 May 2012;  $M_L = 5.2$  on 25 October 2012). It therefore offers a static image of the crustal volume hosting that seismic sequence. Nevertheless, the strength of the Vp/Vs anomalies, and their spatial relationship with the fault segments drawn by the relocated earthquakes (see Vp/Vs tomograms in Figures 4, 5) are strong indicators that fluids are present and circulate into the imaged rock volume, thus strengthening the hypothesis that fluids diffusion processes played a relevant role in the evolution of the seismic sequence, as proposed by various authors (Passarelli et al., 2015; Napolitano et al., 2020, 2021; De Matteis et al., 2021; Sketsiou et al., 2021).

Passarelli et al. (2015) showed that the swarm-like spatial and temporal behavior of seismicity is consistent with a transient, external forcing. Accordingly, Cheloni et al. (2017) evidenced a transient slip, around the main shock, that started about 3–4 months before and lasted almost 1 year. These two inferences, together with the indirect evidence, coming from the agreement between the Vp/Vs ratio and the expected distribution of static stress changes around a ruptured normal fault (see Figure 5, right panels), that fluids can diffuse in accordance with the stress changes into the imaged crustal volume, give us the ground to hypothesize the following scenario for the swarm-like evolution of the seismic sequence:

Transient slip and associated dilation of the fault zone could have reduced pore pressures within the carbonate pop-up, accommodating aseismically a significant part of the deformation and partially inhibiting the development of a large rupture in a region where paleoseismological data suggests that larger earthquakes (Mw  $\geq$  6.5) may occur (Cinti et al., 2002; Tertulliani and Cucci, 2014). For undrained conditions, dilation and pre-seismic slip are directly related to pore fluid depressurization (Scuderi et al., 2015). The high Vp/Vs volume, located at the hanging wall of the fault plane (see Figure 5 and Sections 3, 4, right panels), can be the results of fluids filling fractures and cracks opened in the hanging wall during the dilatancy phase preceding the M<sub>L</sub> = 5.2 main shock (Lucente et al., 2010). In this hypothesis, before the  $M_L$  = 5.2 struck, low Vp/Vs values were there where now high Vp/Vs ratio is observed, i.e. in the hanging wall of the fault. This may have resulted in a low pore fluid pressure within the carbonate rocks, concomitant with dilation and generation of slow earthquakes. The observation that foreshocks align more clearly on the  $M_L$  = 5.2 fault plane and aftershocks prevail at the fault tip might suggest that the latter are mostly driven by stress changes generated by the mainshock while the effect of pore pressure is minor.

We therefore explain the space-time-magnitude pattern of the seismic sequence, and the seismicity rate, as governed by depressurization of the seismogenic volume that followed transient slip. According with theoretical modeling and laboratory experiments (Wang and Nur, 1989; Dvorkin et al., 1999; Vanorio et al., 2005), low Vp/Vs anomalies are indicative of low pore pressure within fluid-saturated rock volumes since the closing of pores and cracks significantly modifies the shear wave velocity. A low pore pressure within the swarm volume is consistent with a local stressing of small patches, as also observed for other tectonic seismic swarms (De Gori et al., 2015).

# 6 Conclusion

The Pollino seismic gap hypothesis derives from tectonic models that assume a continuity in deformation between the southern Apennines and the Calabrian arc and is motivated by the absence of large earthquakes in historical records (Rovida et al., 2016) in an area which spans across the Pollino mountain range, to the North, and spreads to the upper Crati valley, to the South, for about 60 km.

Paleo-seismological investigation across faults at the southern border of the Pollino range revealed the traces of ancient, large slip, earthquakes, older than 1 Kyr (Michetti et al., 1997; Cinti et al., 2002), demonstrating that large earthquakes have happened in the past, and are therefore possible to occur in this region.

Recent evidence emerged for the Pollino wrench fault acting as a major heterogeneity, supporting a kinematic decoupling between the Apennines and Calabrian blocks (Chiarabba et al., 2016; Palano et al., 2017). In this line of view, the 2010–2014 seismic swarm struck within such a complex transfer zone (Figure 6).

The absence of large earthquakes in the historical catalog has been associated with a combination of low strain rate and mixed seismic/aseismic strain release that could increase the recurrence time of large magnitude events (Cheloni et al., 2017).

Our results demonstrate that fluid diffusion processes are likely to occur in this area, with the potential to drive the seismicity, favoring the release of the stress through microseismicity. Furthermore, the feeble extension of less than 2 mm/yrs across the ridge slowly loads the faults, and is partially ineffective for their reactivation and inversion. The inherited geometry of the pop-up structure is the more likely cause of fault segmentation in the seismic gap area, that leads to fault segments with a maximum length in the order of 10–15 km. Moreover, the Pollino fault also acts as a further segmentation of the system, bounding segments connected with the Apennines extension to the north (the last of which being those activated during the swarm) and those with the Crati valley extension to the south (Ferranti et al., 2014, and references therein).

The 4-years long seismic swarm strucking the northern portion of the Pollino seismic gap developed on fault segments in the shallow crust. Such segments were formerly part of a compressive pop-up structure formed at a restraining band of the Pollino wrench fault, and are now re-activated in extension. The evidence for the presence of fluids within the seismogenic volume suggests that fluid diffusion processes may have influenced the evolution of the seismic sequence in a swarm-like behavior, accompanying and favoring a 1-year long transient aseismic slip.

## Data availability statement

The waveform data of earthquakes used in this study are archived in EIDA, waveform database https://eida.ingv.it/en/. The used stations are mainly from the Italian National Seismic Network (doi.org/10.13127/SD/X0FXnH7QfY).

# Author contributions

PD analyzed the data and evaluated the Vp and Vp/Vs tomography and wrote the manuscript; FPL analyzed the data draw the figures discussed the results and wrote the manuscript; AG installed and maintained the temporary stations in the field, made data available and helped in the discussion; LM coordinated the working group helped in the discussion and wrote the manuscript, CC discussed the results and wrote the manuscript.

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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