

# On the relationship between $M_L$ and $M_W$ in a broad range: an example from the Apennines (Italy)

---

Luca Malagnini<sup>1</sup> and Irene Munafò<sup>1</sup>

<sup>1</sup>*Istituto Nazionale di Geofisica e Vulcanologia, Rome, Italy.*

**Corresponding author:** Luca Malagnini +393392954940 [luca.malagnini@ingv.it](mailto:luca.malagnini@ingv.it)

**Online material:** Description of the technique used to compute crustal attenuation, source excitation, and effective duration of the ground motion. A quantitative model is also provided. Figures show results calibrated over waveforms from the central and northern Apennines.

## Abstract

Being tied to a physical quantity, moment magnitude ( $M_W$ ) should be the reference estimate of earthquake size, and used whenever possible. Local magnitude ( $M_L$ ) represents a simple alternative for a reliable estimate of size, its best use being either for quick outcomes or when the computation of  $M_W$  is difficult (e.g., for small earthquakes). However,  $M_L$  and  $M_W$  are profoundly different and not interchangeable.

Here we analyze a large set of 1509  $M_L - M_W$  data points from earthquakes of the Central and Northern Apennines (CNA), quantify the empirical scaling, and look for features of global validity. Our data set is made of 449 unpublished  $M_W$ 's from moment tensor solutions of events from the AVN sequence, 170 published  $M_W$ 's from moment tensor solutions of events from the L'Aquila seismic sequence (2009), and 890 published  $M_L - M_W$  data points from earthquakes of the

24 Altotiberina fault (ATF, 2010-2014;  $M_W$ 's from spectral correction). We integrate our empirical  
25 data set by computing the local magnitudes of the events from the AVN and L'Aquila sequences.  
26 Our analysis of CNA earthquakes shows that, for earthquakes up to a crossover magnitude,  
27  $M_{L_{co}} \approx 4.3$ :

$$28 \quad M_W = \frac{2}{3}M_L + C'; \quad C' = 1.14. \quad (1)$$

29 Moreover, for earthquakes with  $M_L > M_{L_{co}}$ , up to  $M_L$  6.5, our data suggest:

$$30 \quad M_W = bM_L + C''; \quad b = 1.28; \quad C'' = -1.50, \quad (2)$$

31 where  $b$  depends on the combined effects of source scaling and crustal attenuation, and  $C'$  and  $C''$   
32 on regional attenuation ( $G(r)$ ,  $Q(f)$ ,  $\kappa_0$ ), focal depth, and rigidity at source.

33 Finally, a synthetic study calibrated on the crustal attenuation and the source characteristics of the  
34 AVN data set reproduces the observed scaling between  $M_L$  and  $M_W$ , predicting that  $M_L$ 's in the  
35 analyzed region saturate above  $M_L \sim 6.5$ . Smooth transitions are predicted between the different  
36 regimes.

37

38 **Keywords:** *Magnitude, Earthquake.*

39

## 40 **Introduction**

41 Numerous studies compare either  $\log_{10}(M_0)$  against  $M_L$ , or directly  $M_L$  against  $M_W$ , by using data  
42 from different regions of the world and ranges of magnitudes. Comparisons are generally performed  
43 without considering the details of source scaling, the role of the crustal attenuation, and which part  
44 of the seismic spectrum does the local magnitude depend on. As a consequence, most published  
45 empirical relationships do not highlight the fundamental characteristics of the  $M_L$ - $M_W$  distributions.

46 However, a large number of studies may be found in the literature about issues related to estimating  
47 seismic magnitudes, and on the relationships between different kinds of these estimates. For  
48 example, Archuleta et al., (1982) used the spectral analysis of S-waves over several tens of events

49 occurred at Mammoth Lakes CA ( $2.9 \leq M_L \leq 6.2$ ), finding that  $M_L$  is roughly equal to  $\log_{10}(M_0)$  plus  
50 a constant. Similar results, although with a less steep relationship between  $M_L$  and  $\log_{10}(M_0)$ , were  
51 found by Bakun and Lindh (1977) who found  $M_L = 0.8 \log_{10}(M_0)$  plus a constant for earthquakes  
52 occurred near Oroville (CA) in the range  $0 \leq M_L \leq 6$ . Bakun and Lindh (1977) also investigated  
53 smaller Oroville earthquakes ( $0 \leq M_L \leq 2$ ) and found similar results. Likewise, Bolt and Herraiz  
54 (1983) proposed a method for estimating the seismic moments of regional and local earthquakes  
55 based on simple measurements made directly on the Wood-Anderson (WA) seismograms of 16  
56 earthquakes in central California. By using a set of earthquakes in the magnitude range  $3.0 \leq M_L \leq$   
57  $6.2$ , they found that  $M_L = 0.9 \log_{10}(M_0)$  plus a constant.

58 It is interesting to note that, on data sets of similar characteristics, different researchers obtained  
59 fundamentally different results. For example, both Drouet et al., (2008), in France, and Edwards et  
60 al. (2008), in the UK, simultaneously inverted source spectra, crustal attenuation parameters, and  
61 site responses. Whereas Drouet et al., (2008) found a similar scaling for moment and local  
62 magnitudes in the range:  $3.0 \leq M_L \leq 5.3$  ( $M_W = 0.95M_L - 0.27$ ), Edwards et al. (2008) found that  
63  $M_W = 0.71M_L + 0.58$  in the range:  $2.0 \leq M_L \leq 4.7$  (that is,  $M_W$  and  $M_L$  are fundamentally different).

64 Fletcher et al. (1984) used 14 aftershocks of the Oroville, CA, earthquake ( $M_L = 5.7$ , 1 August  
65 1975) that range in local magnitude from 2.8 to 5.2. They obtained estimates of seismic moment,  
66 and a relationship between  $M_L$  and  $\log_{10}(M_0)$ : below  $M_L = 4.1$  they found a slope of 0.9, whereas  
67 using earthquakes larger than  $M_L = 4.3$  the slope decreased to 0.74.

68 A shallow slope around 0.7 in the relationship between  $M_W$  and  $M_L$  for the small earthquakes was  
69 found by numerous other studies. For example, Sargeant and Ottemoller (2009), with 64  
70 earthquakes from Britain in the magnitude range  $2.7 \leq M_L \leq 4.7$ , obtained  $M_W = 0.70M_L + 0.70$ .  
71 Moreover, Zollo et al. (2014) studied 717 micro-earthquakes in the moment range  $4 \times 10^9 - 2 \times 10^{14}$   
72 Nm from the southern Apennines (Italy), and found:  $M_W = (0.74 \pm 0.01)M_L + (0.66 \pm 0.02)$  for a  
73 magnitude range  $0.1 \leq M_L \leq 3.4$ . For  $M_L$  smaller than about 2.5, they observed a systematic

74 underestimation of moment magnitude by local magnitude, which they interpreted as due to  
75 inadequate corrections for wave propagation effects in the technique used for estimating  $M_L$ .  
76 Deichmann (2006) found a deviation from the 1:1 scaling for earthquakes with magnitudes below  
77 about 3 that could be due to frequency-dependent attenuation along the propagation path. More  
78 recently, Deichmann (2017) presented a detailed analysis of a sequence of natural earthquakes, as  
79 well as events from induced seismicity. Based on the observations and simulations, he found that  
80  $M_L \propto 1.5 M_W$  for small events ( $M_W < 3$ ), in agreement with the results of other studies (Hanks and  
81 Boore, 1984; Edwards et al., 2010, 2015; and Munafò et al., 2016).  
82 The results of other researchers leaned more towards a 1:1 dependence between  $M_L$  and  $M_W$ .  
83 Grünthal et al. (2009) used a catalogue of earthquakes occurred in central, northern, and  
84 northwestern Europe with  $M_W \geq 3.5$ , and found:  $M_W = 0.906M_L + 0.65$ . Johnson and McEvilly (1974)  
85 used 13 earthquakes with magnitudes between 2.4 and 5.1 located near the San Andreas fault in  
86 central California. They found:  $\log_{10}(M_0) = (17.60 \pm 0.28) + (1.16 \pm 0.06)M_L$ . Finally, Margaris and  
87 Papazachos (1999) calibrated a relationship based on data from Greek earthquakes ( $3.9 \leq M_L \leq 6.6$ );  
88 they used the half peak-to-peak WA amplitudes and found a tight equivalence between  $M_W$  and  $M_L$ :  
89  $M_W = 1.0M_L + 0.06$ .  
90 Ristau (2009) investigated the  $M_L$ - $M_W$  relationship in different ranges of hypocentral depths. He  
91 used New Zealand earthquakes with  $M_W \geq 3.5-4.0$  and focal depths  $< 33$ km, and found:  
92  $M_L = (0.88 \pm 0.03)M_W + (0.73 \pm 0.20)$ . Ristau (2009) also showed that shallow earthquakes in the region  
93 had values of  $M_L$  that were fairly consistent with the corresponding  $M_W$ 's, particularly for events  
94 with  $M_W \geq 4.5$ , whereas deep earthquakes (depths  $> 33$  km) had estimates of  $M_L$  that were  
95 consistently larger than the corresponding  $M_W$ 's:  $M_L = (1.09 \pm 0.10)M_W + (0.05 \pm 0.06)$ .  
96 Differently from the approaches just listed, Munafò et al. (2016, hereafter M2016) took into  
97 consideration the scaling of the observed seismic spectra, coupled to the filtering actions due to  
98 combined effects of the WA seismometer and of the Earth's crust. They demonstrated how such a  
99 combined effect would result in a relatively narrow bandpass filter centered roughly at the WA

100 natural frequency ( $f_{cWA}=1.25$  Hz). Such a filter effectively samples the spectral plateau (i.e., the  
101 seismic moment) of small earthquakes, up to a crossover magnitude  $M_{Lco} \approx 4$ . For earthquakes  
102 beyond  $M_{Lco}$ , the WA-Earth filter samples the part of the spectrum beyond the corner frequency,  
103 where the spectral roll-off takes place. As a consequence, the scaling between the two magnitudes  
104 changes, and the  $M_W - M_L$  relationship becomes steeper.

105 M2016 analyzed a large data set of small earthquakes occurred on the fault plane and in the hanging  
106 wall of the Altotiberina Fault (ATF) in the northern Apennines, and inverted for excitation and site  
107 terms (coupled together), and for a fully decoupled regional attenuation term. M2016 computed  
108 precise seismic moments (and thus  $M_W$ ) of very small earthquakes with a technique defined by  
109 Malagnini and Dreger (2016). M2016 also provided precise estimates of local magnitude for all the  
110 events in their data set.

111 By convolving the theoretical moment-rate spectra expected in this region with the crustal  
112 attenuation, and with the WA transfer function, M2016 calculated the spectra that would come out  
113 of the WA, at two sampling hypocentral distances: 20 and 40 km. Such an operation demonstrated  
114 that the synthetic WA spectra, once filtered through the Earth's crust, are characterized by a fairly  
115 stable dominant frequency that is around the natural frequency of the instrument ( $f_{WA}$ ).

116 In their study, M2016 used point-source Brune spectra that scale realistically as a function of  
117 magnitude in the range:  $0.84 \leq M_w \leq 3.50$ , and explicitly demonstrated that the local magnitude of  
118 small earthquakes scales as:

$$119 \quad M_L = \log_{10}(M_0) + C; \quad (3)$$

120 or, which is the same:

$$121 \quad M_W = \frac{2}{3}M_L + C'. \quad (4)$$

122 Goal of the present study is to investigate the relationship between  $M_L$  and  $M_W$  in a much broader  
123 range of sizes.

124

125 **Local Magnitudes and Random Vibration Theory**

126 The definition of local magnitude is (Richter, 1935):

127 
$$M_L = \log_{10} A - \log_{10} A_0(\delta) = \log_{10} [A / A_0(\delta)], \quad (5)$$

128 where  $A$  is the largest peak-to-peak value observed on the WA seismogram (adjacent peaks), and  
 129  $A_0(\delta)$  is an empirical correction (for a study about the calibration of  $M_L$  in Italy, see Di Bona,  
 130 2016).

131 Random Vibration Theory (RVT, see Cartwright and Longuet-Higgins, 1956) relates the peak value  
 132 of a stationary time history of infinite length to the moments of its spectrum:

133 
$$Peak(a(t)) \approx \eta(a(t))_{RMS} \quad (6)$$

134 where: 
$$\eta = \eta(m_0, m_2, m_4) \quad (7)$$

135 and: 
$$m_n = \frac{1}{\pi} \int_0^\infty \omega^n |\hat{a}(\omega)|^2 d\omega. \quad (8)$$

136 By invoking the Parseval equality we can switch from peak values to Fourier amplitudes:

137 
$$\int_{-\infty}^{+\infty} |a(t)|^2 dt = \int_{-\infty}^{+\infty} |a(f)|^2 df \quad (9)$$

138 If we deal with a band-limited time history ( $\neq 0$  only between  $f_1$  and  $f_2$ ) that is  $\neq 0$  only in the time  
 139 window  $[0, T]$ :

140 
$$a_{RMS} = \sqrt{\frac{\int_0^T |a(t)|^2 dt}{T}} = \sqrt{\frac{\int_{-\infty}^{+\infty} |\hat{a}(f)|^2 df}{T}} = \sqrt{\frac{2 \int_{f_1}^{f_2} |\hat{a}(f)|^2 df}{T}}. \quad (10)$$

141 By using the previous equations, and keeping in mind the characteristics of the WA coupled to the  
 142 regional crustal attenuation, Munafò et al. (2016) showed that  $M_L$  of small quakes directly samples  
 143 their spectral plateau. Equations (3) and (4) directly follow from the previous statement, and are  
 144 valid up to a crossover magnitude  $M_{Lco} \approx 4$ . Because of the complications in the interaction  
 145 between the sampling frequency and the corner frequencies of larger seismic sources, for

146  $M_L > M_{Lco}$  we expect a steeper relationship between  $M_W$  and  $M_L$  (see Di Bona, 2016). For large  
147 earthquakes, say beyond  $M \sim 6.5$ ,  $M_L$  is expected to saturate.

148 In order to define the scaling relationship between  $M_L$  and  $M_W$  in a magnitude range as broad as  
149 possible, we compute precise  $M_W$ 's and  $M_L$ 's for a large set of earthquakes, and calculate a simple  
150 relationship between the two quantities. We extend the empirical data set by producing a synthetic  
151 set of  $M_L$ 's starting from a given set of  $M_W$ 's that overlaps the empirical data set and broaden it to  
152 smaller and larger magnitudes. We perform such task by modeling source spectra and crustal  
153 attenuation, taking into account the observed scaling of source spectra and dispersion of seismic  
154 waves (i.e., the effective duration of the ground motion, see Figure S3 available in the Electronic  
155 Supplement to this article).

156 Whereas all the mentioned quantitative models could be taken from the literature (Malagnini et al.,  
157 2011), we prefer to investigate the recent Amatrice-Visso-Norcia seismic sequence of the central  
158 and northern Apennines (hereafter CNA), which represents the most important part of our data set.  
159 Once we obtain the Earth's attenuation for the region illuminated by the recent sequence, and a  
160 scaling relationship for the source parameters, we extrapolate our results to larger and smaller  
161 events, generate stochastic time histories between 10 and 200 km of hypocentral distance, and  
162 compute estimates of  $M_L$  on the synthetic data.

163 In order to quantify the scaling of the Brune stress drop (Brune, 1970, 1971), we use a source  
164 spectral ratio approach based on the observed seismic spectra of the sequence: source spectra of the  
165 recent earthquakes are obtained using a regression technique outlined by Malagnini et al. (2011);  
166 the details of the spectral ratio approach are explained in Malagnini et al. (2008), who used coda  
167 waves, and extended to direct S- waves by Malagnini et al. (2014). An extension of the technique to  
168 the calculation of seismic moments using a hybrid approach on both Fourier and peak amplitudes  
169 was described by Malagnini and Dreger (2016).

170 It is important to emphasize that this work does not rely on the results obtained by Di Bona (2016).  
171 Rather, we use the original magnitude correction proposed by Richter (1935). We do so in order to

172 build upon the results by Munafò et al. (2016), our ultimate goal being to indicate the scaling to be  
173 expected between  $M_L$  and  $M_W$  anywhere in the world. In any case, Di Bona showed that there are  
174 minor differences between his correction, calibrated over Italy, and the Richter's correction.

175

## 176 **Data Set**

177 Here we analyze 659 events of the 2016-2017 Amatrice-Visso-Norcia seismic sequence (AVN,  $3.0$   
178  $\leq M_w \leq 6.33$ ; 78,727 individual waveforms). For 449 of these events we find a moment tensor  
179 solution on R.B. Herrmann's web page (see Data and Resources section), and for each event of the  
180 sequence we calculate an estimate of  $M_L$ .

181 From Munafò et al. (2016) we got the  $M_w$ 's and  $M_L$ 's of the 890 events of the Altotiberina Fault  
182 (ATF, 2010-2014;  $0.84 \leq M_w \leq 3.50$ ; 53,160 individual waveforms). The  $M_w$ 's of 170 events of the  
183 2009 L'Aquila sequence were obtained by Herrmann et al. (2011,  $2.8 \leq M_w \leq 6.13$ ). Their  $M_L$ 's  
184 were calculated in this study from the data set of 13,098 individual waveforms analyzed by  
185 Malagnini et al. (2011). Figure 1 shows the location of all the 1719 events of our data set. For 1509  
186 of these earthquakes we have coherent estimates of  $M_L$  and  $M_w$ , either from this study or from the  
187 cited, published works.

188 From the inversion of 78,727 individual seismograms that were collected during the AVN seismic  
189 sequence, we obtain the crustal attenuation model that is applied in a synthetic study on the  
190 relationship between  $M_w$  and  $M_L$  (see the Electronic Supplement to this article).

191

## 192 **Source scaling**

193 We study the source scaling in our data set with the source spectral ratios technique by Malagnini et  
194 al. (2014), which is based on the analysis of direct S-waves. Signal-to-noise ratios for the source  
195 spectra have been maximized with the use of RVT (see Malagnini and Dreger, 2016 for more  
196 details). Figure 2 contains the corner frequencies of a subset of 341 events of the AVN seismic  
197 sequence of 2016-2017.



198 From the visual inspection of Figure 2 we see that some variability characterizes the Brune stress  
 199 drop of the earthquakes (the slanted dashed lines in Figure 2 indicate constant values of Brune  
 200 stress drop). Specifically, the Brune stress drops are in the following ranges, at different values of  
 201 moment magnitude:

$$202 \quad \Delta\sigma(M_w) = \begin{cases} 0.5-10 \text{ MPa for } M_w \sim 3 \\ 1-8 \text{ MPa for } M_w 4 \\ \sim 5 \text{ MPa for } M_w 5 \\ \sim 10 \text{ MPa for } M_w 6 \\ \sim 20 \text{ MPa for } M_w 6.5 \\ 20 \text{ MPa for } M_w \geq 7 \end{cases} \quad (11)$$

203 The observed variability in the stress drop was used to produce the synthetic data points shown in  
 204 Figure 3.

205

### 206 **Producing synthetic waveforms and estimates of $M_L$ as a function of $M_W$**

207 We produce synthetic spectra at a suite of moment magnitudes, from  $M_W$  -1 to  $M_W$  8, using the  
 208 Brune (1970, 1971) spectral model. About the effective validity of such a spectral model for  
 209 modeling the spectra of large earthquakes, Mayeda and Malagnini (2009) demonstrated that the  
 210 Brune model could successfully be used to satisfactorily fit the spectral features of even the Chi-Chi  
 211 earthquake. Specifically, Mayeda and Malagnini (2009) used the Brune spectrum to implement a  
 212 fitting procedure that was applied to source spectral ratios calculated on the Chi-Chi mainshock  
 213 ( $M_W$  7.8) and some of its aftershocks.

214 Once we have reliable spectra, and the measure of the characteristics of dispersion (duration) as a  
 215 function of hypocentral distance and frequency, we follow Boore (1983) and produce stochastic  
 216 time histories at a suite of hypocentral distances between 10 and 200 km, for  $-1 \leq M_W \leq 8$  every 0.1  
 217  $M_W$  units. For each synthetic event we calculate an average local magnitude. In Figure 3, white  
 218 diamonds indicate the synthetic data points.

219 Calculations are performed using the Generic Rock Site published by Boore and Joyner (1997), the  
 220 duration functional form described in Figure S3, available in the Electronic Supplement to this  
 221 article, and the regional attenuation calculated solely on the current data set from the AVN seismic  
 222 sequence (Figure S1 of the Electronic Supplement to this article):

$$223 \quad Q(f) = 160 \left( \frac{f}{f_{ref}} \right)^{0.33} ; f_{ref} = 1.0 \text{ Hz} \quad (12)$$

$$224 \quad g(r) = \begin{cases} r^{-1} & r < r_0 = 30 \text{ km} \\ \left( \frac{1}{r_0} \right) \left( \frac{r_0}{r} \right)^{-0.5} & r \geq r_0 \end{cases} \quad (13)$$

225

$$226 \quad S(f, r_{ref}) = C \frac{M_0}{\left[ 1 + \left( \frac{f}{f_0} \right)^2 \right]} g(r_{ref}) \exp \left[ -\frac{\pi f}{\beta Q_0(f)^\eta} r_{ref} \right] \exp[-\pi \kappa_0 f] \quad (14)$$

$$227 \quad r_{ref} = 80 \text{ km} \quad (15)$$

228 The relationship between the radius of the crack and its corner frequency is (Brune, 1970, 1971):

$$229 \quad r_0 = \frac{2.34\beta}{2\pi f_0} \quad (16)$$

230 The relationship between corner frequency and stress drop is that by Keilis-Borok (1959) or  
 231 Kanamori and Anderson (1975):

$$232 \quad \Delta\sigma = \frac{7}{16} \frac{M_0}{r_0^3} \quad (17)$$

233 The source spectral scaling used for the fit shown in Figure S2, available in the Electronic  
 234 Supplement to this article, is the following:

$$\Delta\sigma(M_w) = \begin{cases} 1 \text{ MPa for } M_w < 3 \\ 3 \text{ MPa for } M_w < 4 \\ 7 \text{ MPa for } M_w < 5 \\ 12 \text{ MPa for } M_w < 6 \\ 18 \text{ MPa for } M_w < 6.5 \\ 20 \text{ MPa for } M_w \geq 7 \end{cases} \quad (18)$$

236 The synthetic excitation terms of Figure S2, available in the Electronic Supplement to this article,  
 237 are obtained using the Brune source model, the scaling described in eq. (18), the regional  
 238 attenuation of eq. (12), the Generic Rock site amplification by Boore and Joyner (1997), duration as  
 239 a function of frequency and hypocentral distance, and the following high-frequency cut-off filter

$$\exp(-\pi\kappa_0 f) \quad (19)$$

241 with

$$\kappa_0 = 0.035 \text{ sec} \quad (20)$$

243 For the calculation of the stochastic synthetic seismograms we actually used the duration at around  
 244 2 Hz (see Figure S3, available in the Electronic Supplement to this article). However, if we tried  
 245 durations at other frequencies, results would not change appreciably.

246 Putting together all data points from this study, and from the studies by Munafò et al. (2016), and  
 247 Herrmann et al. (2011), we gathered the 1509  $M_w - M_L$  data points plotted in Figure 3, whose  
 248 epicenters are mapped in Figure 1. Gray squares in Figure 3 indicate data points from Munafò et al.  
 249 (2016); dark triangles represent data points of the AVN and L'Aquila sequences. For the L'Aquila  
 250 seismic sequence of 2009 we use the moment magnitudes calculated by Herrmann et al. (2011).  
 251 Finally, for the recent seismic sequence of 2016-2017, moment magnitudes were taken from Robert  
 252 B. Herrmann's web page (See Data and Resources section).

253 The variability observed in the Brune stress drop (Figure 2) was reproduced with the synthetic data.  
 254 The latter were calculated using RVT and eqs. (11)-(15), with the information on duration given in  
 255 Figure S3, available in the Electronic Supplement to this article. Synthetic data are plotted in Figure

256 3 using white diamonds, together with the distribution of the observed  $M_W - M_L$  data points, and a  
 257 bi-linear fit obtained only on the empirical data points: one linear fit for small earthquakes, virtually  
 258 identical to what found by M2016:

$$259 \quad M_W = \frac{2}{3} M_L + 1.14, \quad (21)$$

260 hinged to a second linear fit calculated for events larger than  $M_L$  4.3:

$$261 \quad M_W = 1.28 M_L - 1.50 \quad (22)$$

262 (hinge is around  $M_L$  4.3). The distribution of the synthetic data points, however, suggests a smooth  
 263 transition between the two regimes (small events to large events). Finally,  $M_L$  saturates above  $M_L$   
 264 6.5 with a smooth transition.

265

## 266 **Conclusions**

267 Goal of this paper is not to propose a new correction for the central-northern Apennines, but rather  
 268 to show, in a broad range of sizes, the details of the  $M_L - M_W$  scaling that are due to the narrowband  
 269 filtering action of the Wood-Anderson seismometer. For small earthquakes, up to a crossover  
 270 magnitude ( $M_{Lco} \approx 4.3$  for the CNA), we obtain:

$$271 \quad M_W = \frac{2}{3} M_L + 1.14, \quad (23)$$

272 a result that is virtually identical to what obtained by Munafò et al. (2016). For larger earthquakes in  
 273 the CNA, beyond the crossover magnitude and up to  $M_L$  6.5 or less, the slope becomes steeper:

$$274 \quad M_W = 1.28 M_L - 1.50. \quad (24)$$

275 From the results of a set of stochastic simulations we find that a smooth transition is to be expected  
 276 between the two regimes that characterize the magnitude scaling for “*small*” and for “*moderate*  
 277 *size*” earthquakes. Finally, we show how  $M_L$  smoothly saturates for large earthquakes, above  $M_L$   
 278 6.5.

279 We stress that the general features obtained from our distributions of  $M_W - M_L$  data points are valid  
280 globally.

281

## 282 **Data and Resources**

283 The earthquake catalogue used in this study was created using the INGV portal of the Centro  
284 Nazionale Terremoti ([info.terremoti.ingv.it](http://info.terremoti.ingv.it))

285 The raw waveforms of the Italian earthquakes that are used in this study may be downloaded from  
286 the European Integrated Data Archive (EIDA) repository at [http://www.orfeus-](http://www.orfeus-eu.org/eida/eida.html)  
287 [eu.org/eida/eida.html](http://www.orfeus-eu.org/eida/eida.html) (last accessed September 2017).

288 Moment magnitudes for the Amatrice-Visso-Norcia seismic sequence were taken from Robert B.  
289 Herrmann's web page [http://eqinfo.eas.slu.edu/eqc/eqc\\_mt/MECH.IT/](http://eqinfo.eas.slu.edu/eqc/eqc_mt/MECH.IT/) (last accessed September  
290 2017). Moment magnitudes of the events of the L'Aquila sequence were taken from Herrmann et al.  
291 (2011). Moment magnitudes of the ATF faults were taken from Munafò et al. (2016).

292 Some figures were made using the Generic Mapping Tools version 4.2.1  
293 ([www.soest.hawaii.edu/gmt](http://www.soest.hawaii.edu/gmt); Wessel and Smith, 1998).

294

## 295 **Acknowledgements**

296 We thank Robert B. Herrmann for his help and support, and for making public his entire catalog of  
297 Italian moment tensor solutions. About the several hundred moment tensor solutions of the events  
298 of the recent Amatrice-Visso-Norcia seismic sequence of 2016-2017, Luca Malagnini and Irene  
299 Munafò contributed to the set of solutions published on R.B. Herrmann's web page (see Data and  
300 Resources).

301 We thank Roberto Ortega for his thoughtful review of the manuscript.

302 Luca Malagnini was partially supported by "Progetto Terremoti 2016 - *Reconciling Differences*  
303 *Between Spectral and Recurrence Interval Based Earthquake Source Characterization and*  
304 *Scaling*". Irene Munafò was supported by "Progetto di ricerca MISE-DGRME (cod. 0752.010)".

305

306 **References**

- 307 Archuleta, R.J., E. Cranswick, C. Mueller, and P. Spudich (1982). Source Parameters of the 1980  
308 Mammoth Lakes, California, Earthquake Sequence, *J. Geophys. Res.* 87, 4595-4607,  
309 doi:10.1029/Jb07ib06p04595.
- 310 Bakun, W.H., and A.G. Lindh (1977). Local Magnitudes, Seismic Moments, and Coda Durations  
311 for Earthquakes near Oroville, California, *Bull. Seismol. Soc. Am.*, 67, 615-629.
- 312 Bolt, B.A., and M. Herraiz (1983). Simplified Estimation of Seismic Moment from Seismograms,  
313 *Bull. Seismol. Soc. Am.*, 73, 735-748.
- 314 Boore, D.M., and W.B. Joyner (1997). Site Amplifications for Generic Rock Sites, *Bull. Seismol.*  
315 *Soc. Am.* 87, 327-341.
- 316 Boore, D.M. (1983). Stochastic simulation of high-frequency ground motions based on  
317 seismological models of the radiated spectra, *Bull. Seismol. Soc. Am.* 73, 1865-1894.
- 318 Brune, J.N. (1971). Correction, *J. Geophys. Res.* 76, 5002.
- 319 Brune, J.N. (1970). Tectonic stress and the spectra of seismic shear waves from earthquakes, *J.*  
320 *Geophys. Res.* 75, 4997–5009.
- 321 Cartwright, D.E., and M.S. Longuet-Higgins (1956). The statistical distribution of the maxima of a  
322 random function, *Proc. Math. Phys. Sci.* 237, 212–232.
- 323 Deichmann, N. (2017). Theoretical basis for the observed break in ML/MW scaling between small  
324 and large earthquakes, *Bull. Seismol. Soc. Am.* 107, no. 2, 505–520, doi: 10.1785/0120160318.
- 325 Deichmann, N. (2006). Local magnitude, a moment revisited, *Bull. Seismol. Soc. Am.* 96, no. 4,  
326 1267–1277.
- 327 Di Bona, M. (2016). A local Magnitude Scale for Crustal Earthquakes in Italy, *Bull. Seismol. Soc.*  
328 *Am.*, 106, 242-258, doi:10.1785/0120150155.

329 Drouet, S., S. Chevrot, F. Cotton, and A. Souriau (2008). Simultaneous Inversion of Source  
330 Spectra, Attenuation Parameters, and Site Responses: Application to the Data of the French  
331 Accelerometric Network, *Bull. Seismol. Soc. Am.* 98, 198-219, doi:10.1785/0120060215.

332 Edwards, B. (2015). The influence of earthquake magnitude on hazard re- lated to induced  
333 seismicity, in Perspectives on European Earthquake Engineering and Seismology, A. Ansal  
334 (Editor), in Geotechnical, Geological and Earthquake Engineering, Vol. 39, doi: 10.1007/978-  
335 3-319-16964- 4\_18.

336 Edwards, B., B. Allmann, D. Fäh, and J. Clinton (2010). Automatic com- putation of moment  
337 magnitudes for small earthquakes and the scaling of local to moment magnitude, *Geophys. J.*  
338 *Int.* 183, 407–420, doi: 10.1111/j.1365-246X.2010.04743.x.

339 Edwards, B., A. Rietbrock, J.J. Bommer, and B. Baptie (2008). The Acquisition of Source, Path,  
340 and Site Effects from Microearthquake Recordings Using Q Tomography: Application to the  
341 United Kingdom, *Bull. Seismol. Soc. Am.*, 98, 1915-1935, doi:10.1785/0120070127.

342 Fletcher, J., J. Boatwright, L. Haar, T. Hanks, and A. Mcgarr (1984). Source Parameters for  
343 Aftershocks of the Oroville, California, Earthquake, *Bull. Seismol. Soc. Am.* 74, 1101-1123.

344 Grünthal, G., R. Wahlstrom, and D. Stromeyer (2009). The Unified Catalogue of Earthquakes in  
345 Central, Northern, and Northwestern Europe (Cenec)-Updated and Expanded to the Last  
346 Millennium, *Journal of Seismology*, 13, 517-541, doi:10.1007/s10950- 008-9144-9.

347 Hanks, T. C., and D. M. Boore (1984). Moment-magnitude relations in theory and practice, *J.*  
348 *Geophys. Res.* 89, no. B7, 6229–6235.

349 Herrmann, R.B., L. Malagnini, and I. Munafò (2011). Regional moment tensors of the 2009  
350 L’Aquila earthquake sequence, *Bull. Seismol. Soc. Am.* 101, 975–993,  
351 doi:10.1785/0120100184.

352 Johnson, L.R., and T.V. McEvelly (1974). Near-Field Observations and Source Parameters of  
353 Central California Earthquakes, *Bull. Seismol. Soc. Am.*, 64, 1855-1886.

354 Kanamori, H., and D.L. Anderson (1975). Theoretical basis of some empirical relations in  
355 Seismology, *Bull. Seismol. Soc. Am.* 65, 1073-1095.

356 Keilis-Borok, V. (1959). On estimation of the displacement in an earthquake source and of source  
357 dimensions, *Annals of Geophysics*, vol.12, no2.

358 Malagnini, L., and D.S. Dreger (2016). Generalized free-surface effect and random vibration  
359 theory: A new tool for computing moment magnitudes of small earthquakes using borehole  
360 data, *Geophys. J. Int.* 206, doi:10.1093/gji/ggw113.

361 Malagnini L., I. Munafò, M. Cocco, S. Nielsen, K. Mayeda, and E. Boschi (2014). Gradual fault  
362 weakening with seismic slip: inferences from the seismic sequences of L'Aquila, 2009, and  
363 Northridge, 1994, *Pure App. Geophys.* doi:10.1007/s00024-013-0752-0.

364 Malagnini, L., A. Akinci, K. Mayeda, I. Munafò, R.B. Herrmann, and A. Mercuri (2011).  
365 Characterization of earthquake-induced ground motion from the L'Aquila seismic sequence  
366 of 2009, Italy, *Geophys. J. Int.* 184, 325–337, doi:10.1111/j.1365-246X.2010.04837.x.

367 Malagnini, L., L. Scognamiglio, A. Mercuri, A. Akinci, and K. Mayeda (2008). Strong evidence for  
368 non-similar earthquake source scaling in central Italy, *Geophys. Res. Lett.*, 35, 17, L17303,  
369 doi: 10.1029/2008GL034310.

370 Mayeda, K., and L. Malagnini (2009). Apparent stress and corner frequency variations in the 1999  
371 Taiwan (Chi-Chi) sequence: evidence for a step-wise increase at  $M_W \sim 5.5$ , *Geoph. Res. Lett.*  
372 36, doi:10.1029/2009GL037421.

373 Margaris, B.N., and C.B. Papazachos (1999). Moment-Magnitude Relations Based on Strong  
374 Motion Records in Greece, *Bull. Seismol. Soc. Am.*, 89, 442- 455.

375 Munafò, I., L. Malagnini, and L. Chiaraluce (2016). On the Relationship between  $M_W$  and  $M_L$  for  
376 Small Earthquakes, *Bull. Seismol. Soc. Am.*, 106, 2402-2408, doi:10.1785/0120160130.

377 Richter, C.F. (1935). An instrument earthquake magnitude scale, *Bull. Seismol. Soc. Am.* 25, 1–32.



- 378 Ristau, J. (2009). Comparison of magnitude estimates for New Zealand earthquakes: Moment  
379 Magnitude, Local Magnitude, and Teleseismic body-wave Magnitude, *Bull. Seismol. Soc. Am.*,  
380 99, 1841-1852, doi:10.1785/0120080237.
- 381 Sargeant, S., and L. Ottemoller (2009). Lg Wave Attenuation in Britain, *Geophys. J. Int.*, 179, 1593-  
382 1606, doi:10.1111/j.1365-246X.2009.04325.x.
- 383 Wessel, P., and W.H.F. Smith (1998). New, improved version of generic mapping tools released,  
384 *Earth Space Sci. News*, 79, doi:10.1029/98EO00426.
- 385 Zollo, A., A. Orefice, and V. Convertito (2014). Source Parameter Scaling and Radiation Efficiency  
386 of Microearthquakes Along the Irpinia Fault Zone in Southern Apennines, Italy, *J. Geophys.*  
387 *Res.-Solid Earth*, 119, 3256-3275, doi:10.1002/2013jb010116.

388

389 **Full mailing address for each author**

- 390 **Luca Malagnini** [luca.malagnini@ingv.it](mailto:luca.malagnini@ingv.it) *Istituto Nazionale di Geofisica e Vulcanologia*  
391 *via di Vigna Murata 605, 00143 Rome, Italy*
- 392 **Irene Munafò** [irene.munafò@ingv.it](mailto:irene.munafò@ingv.it) *Istituto Nazionale di Geofisica e Vulcanologia*  
393 *via di Vigna Murata 605, 00143 Rome, Italy*

394

395 **Figure Captions**

- 396 **Figure 1. Red dots:** 890 events of the Altotiberina Fault (ATF, 2010-2014;  $0.84 \leq M_W \leq 3.50$ );  
397 **yellow dots:** 659 events of the Amatrice-Visso-Norcia seismic sequence (2016-2017;  $3 \leq M_W \leq$   
398  $6.33$ ); **green dots:** 170 events of the 2009 L'Aquila sequence ( $2.8 \leq M_W \leq 6.13$ ). Gray squares  
399 indicate the main cities of the area. Note that the scales in the legend are different.

400

- 401 **Figure 2.** Corner frequencies calculated for a subset of 341 events of the Amatrice-Visso-Norcia  
402 seismic sequence of 2016-2017, obtained with an approach based on source spectral ratios, in which  
403 the latter were modeled using Brune (1970, 1971). From the corner frequencies of Figure 2 we infer

404 the variability in the Brune stress drop that is used to obtain the synthetic set of  $M_W$ - $M_L$  data points  
405 of Figure 3 (see eq. (11)).

406

407 **Figure 3.**  $M_W$  -  $M_L$  data points from: i) ATF events in the time window 2010-2014 (light gray  
408 squares, from Munafò et al., 2016); ii) central-northern Apennines seismic sequence (Amatrice,  
409 Visso, Norcia - AVN - 2016-2017, from R.B. Herrmann's web page, see Data and Resources  
410 section), and L'Aquila (2009, from Herrmann et al., 2011); iii) synthetic estimates of  $M_L$  for a given  
411  $M_W$ , for 0.1  $M_W$  units increase in seismic moments, obtained using the crustal attenuation  
412 parameters calibrated on the AVN sequence, and the source scaling described by eq. (11).  
413 Diamonds indicate synthetic  $M_L$ 's obtained as follows: from a seismic model completely calibrated  
414 on a specific seismic sequence, we produce reliable seismic spectra at various distances to the fault.  
415 We then use a stochastic approach to compute synthetic waveforms, given the seismic spectrum and  
416 the empirical estimates of duration as a function of distance for the region. From the synthetic  
417 waveforms we compute  $M_L$ 's. Slanted lines indicate the best-fit function up to a crossover local  
418 magnitude ( $M_{Lco}$ , slope 2/3), and beyond  $M_{Lco}$  (slope 1.28). Vertical dotted lines indicate  
419  $M_{Lco} \approx 4.3$  and the saturation  $M_L$ .