1	Monitoring crater-wall collapse at active volcanoes: a study of the 12 January 2013 event at
2	Stromboli
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Abstract

Crater-wall collapses are fairly frequent at active volcanoes, and they are normally studied through the analysis of their deposits. In this paper, we present an analysis of the 12 January 2013 crater-wall collapse occurring at Stromboli volcano, investigated by means of a monitoring network comprising visible and infrared webcams and a Ground-Based Interferometric Synthetic Aperture Radar. The network revealed the triggering mechanisms of the collapse, which are comparable to the events that heralded the previous effusive eruptions in 1985, 2002, 2007 and 2014. The collapse occurred during a period of inflation of the summit cone and was preceded by increasing explosive activity and the enlargement of the crater. Weakness of the crater wall, increasing magmastatic pressure within the upper conduit induced by ascending magma, and mechanical erosion caused by vent opening at the base of the crater wall and by lava fingering, are considered responsible for triggering the collapse on 12 January 2013 at Stromboli. We suggest that the combination of these factors might be a general mechanism to generate crater-wall collapse at active volcanoes.

1. Introduction

Crater-wall collapse at active volcanoes is one of the mechanisms generating mass-wasting phenomena such as rock falls, landslides, rock avalanches and/or pyroclastic density currents (Sumner 1998; Robertson et al. 2000; Alvarado and Soto 2002; Calvari and Pinkerton 2002; Behncke et al. 2003, 2008; Cole et al. 2005; Lube et al. 2007; Charbonnier and Gertisser 2009). Studies of crater-wall collapse are usually carried out by geological field analyses of the deposit, and by reconstructing the collapse process and triggering mechanisms from the deposit's features (e.g., Alvarado and Soto 2002; Behncke et al. 2003; Miyabuchi et al. 2006; Cobenas et al. 2012; Eychenne et al. 2013). The increasing use of remote sensing devices in volcano monitoring (e.g. Ripepe et al. 2004; Bertolaso et al. 2008; Spampinato et al. 2011; Harris 2013; Bonforte and Guglielmino 2015) has greatly improved knowledge of the precursory conditions leading to crater-

wall failure, and magma emplacement appears to be the primary triggering factor (Sparks et al. 44 45 2002; Voight et al. 2002; Acocella 2005). Although the deposits associated with such collapses at Stromboli normally spread along the barren 46 Sciara del Fuoco (SdF) slope, and thus do not pose a significant risk to the population, the ash cloud 47 and ballistic block fallout accompanying the hot avalanches might impact on the summit track used 48 by tourists and cause vegetation fires (Rosi et al. 2006; Pistolesi et al. 2011). In addition, since these 49 phenomena are related to the initial phases of eruptive fissure opening, recognising and 50 understanding mechanisms leading to crater-wall collapse play a crucial role in evaluating their 51 potential hazard, and may shed new light on intrusion processes within cinder cones. The data 52 53 presented here represent the first identification of precursors to crater-wall collapse detected at Stromboli from a monitoring network. They give new insights into summit crater failures, which 54 can evolve into flank effusive eruptions, and provide new possibilities for forecasting such events at 55 56 this and other volcanoes. In this paper, we have integrated data from the monitoring networks operating at Stromboli volcano 57 (Fig. 1a-b) to constrain the mechanisms leading to the generation of the 12 January 2013 crater-wall 58 collapse. Data comprise the videos recorded by the visible and infrared webcam network (Calvari et 59 al. 2010) and Synthetic Aperture Radar (SAR) imagery from the permanent Ground-Based 60 Interferometric SAR (GBInSAR; Casagli et al. 2009). The aim was to integrate results to identify 61 deformation associated with collapse phenomena, thus improving our understanding of the 62 triggering mechanisms of these events. It was thanks to this monitoring system that these events are 63 now regularly detected, given that their deposits, spread along the steep SdF slope, are rapidly 64 eroded and/or covered by lava flows, or by ejecta erupted from the summit craters during the 65 persistent strombolian activity. 66

2. Background

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2.1 Shallow dike intrusion, cinder cones, and crater-wall collapses at active volcanoes

Magma can propagate within a volcanic edifice both laterally and vertically, and its propagation depends on the presence of preexisting weakness zones, the shape of the edifice and regional tectonic control (McGuire and Pullen 1989; Tibaldi 1996; Acocella and Neri 2009). Diking and lateral intrusions within scoria cones can form cryptodome structures or massive bulbous intrusions (Behncke et al. 2003; Petronis et al. 2013), and dike widening and outward bulging and flaring has been observed when intruding poorly consolidated rocks (Houghton and Schmincke 1989; Baer 1991; Petronis et al. 2013; Geshi and Neri 2014). Observations at active and inactive cinder cones include inward or vertical collapse of the summit cone following magma drainage (e.g., Guest et al. 1974; Houghton and Schmincke 1989; Calvari and Pinkerton 2004; Staudacher et al. 2009) and outward displacement of sectors of the summit cone caused by pressure build-up within the crater (Sparks et al. 2002; Voight et al. 2002; Behncke et al. 2003, 2008; Petronis et al. 2013; Wadsworth et al. 2015). Viscous indentation can take place from the central conduit towards the cone flanks, inducing bulging and ephemeral vent opening at the base of the cone (Guest et al. 1974; Behncke et al. 2003; Petronis et al. 2013), and causing tilting and crushing of the surrounding scoria layers (Petronis et al. 2013). Lava flows are generally associated with cinder or spatter cone growth (Slatcher et al. 2015), and proximal spatter can be incorporated in rheomorphic lava flows (Parcheta et al. 2012) thus increasing cone erosion during lava flow emplacement.

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Widespread avalanching around the summit cone occurred at Vesuvius during its 1944 eruption, where slopes of loose tephra had been preloaded with lava flows or where heavy accumulations of ash were deposited by prevailing winds (Hazlett et al. 1991). About 75% of the cone surface above 900 m elevation had been affected by avalanches, which removed $5-10 \times 10^6$ m³ of material from the top of the cone and reached the maximum distance of ~1.3 km from the crater rim (Table 1; Hazlett et al. 1991; Cole and Scarpati 2010).

Frequent crater-wall collapses at Arenal volcano were triggered by an increase in the magma level within the summit crater and were not associated with explosive activity (Table 1; Alvarado and

Soto 2002; Cole et al. 2005). Cole et al. (2005) have noted that collapses at Arenal volcano occurred

in a specific region of the crater wall, where there was new magma along fractures and fissures.

They attribute the generation of several crater-wall collapses either to lava flow accumulation in the

summit region or to internal magma pressurization, especially when preceded by summit ground

deformation.

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99 Similar but much smaller events took place at the summit of Etna volcano after a phase of high

fountaining and dome growing, producing hot avalanches that spread a few hundred meters from

the crater rim (Table 1; Calvari and Pinkerton 2002; Behncke et al. 2003). The collapse at Etna

occurred once explosive activity ceased, and was facilitated by the undermining effect on the slope

caused by intrusion of very viscous lava through parts of the mass flow (Calvari and Pinkerton

2002). Another collapse episode was generated during the opening of a large fracture on the flank

of SE-Crater summit cone, and involved the collapse of part of the cone, rock falls and pyroclastic

density currents that travelled up to 1 km from the source (Behncke et al. 2008).

The 26 December 1997 sector collapse at Montserrat produced a violent $\sim 50 \times 10^6$ m³ pyroclastic

density current forecasted by increasing seismicity and ground fracturing. It was triggered by failure

of the summit lava dome and also involved collapse of the southern flank of the summit crater wall

made of talus (Sparks et al. 2002; Voight et al. 2002).

The risk posed by crater-wall collapses depends on the distribution of magma within the crater and

crater walls, on flank dike intrusion (e.g., Billi et al. 2003), on the morphology of a volcano, on the

distribution of the populated areas along its slopes, and on the size of the failure and resulting

deposit. In this respect, the availability of an appropriate monitoring system to enable its mitigation

is extremely important. To the best of our knowledge, there are rare examples of crater-wall failures

monitored through a multidisciplinary array like Stromboli (e.g., Bonforte and Guglielmino 2015).

The case-study reported in this paper thus gives new insights on these events and offers new possibilities to prevent them.

2.2 Geologic background

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Stromboli is a volcanic island located at the north-easternmost end of the Aeolian Archipelago (Fig. 1a-b). It is characterized by persistent strombolian activity (Chouet et al. 1974; Patrick 2007; Patrick et al. 2007; Gaudin et al. 2014; Leduc et al. 2015), with major explosions (i.e. more powerful than the average strombolian explosions; Barberi et al. 1993; Andronico et al. 2008; Calvari et al. 2012; Rosi et al. 2013; Cigolini et al. 2014) normally occurring twice a year (Bertagnini et al. 1999). Extremely rare and powerful explosions called "paroxysms" also affect the villages along the shoreline (Fig. 1b; Rittmann 1931; Calvari et al. 2006; Rosi et al. 2006; Harris et al. 2008; Pistolesi et al. 2011; Bonaccorso et al. 2012). The persistent explosive activity takes place from several vents within the crater terrace, an elliptical depression elongated NE-SW, about 300 m long and 50 m wide located at ~750 m a.s.l. (Fig. 1c). It lies at the headwall of the SdF (Fig. 1b-c), a collapse scar on the NW flank of the island formed during the last 13 ka (Tibaldi 2001). Stromboli is frequently affected by instability phenomena, such as landslides located mainly in the SdF area, which have triggered tsunamis (~1 every 20 years; Rosi et al. 2013). Slope instability phenomena are classified based on their size in types: 1) giant deep-seated gravitational slope deformations like those recognized in the past history of Stromboli (volumes >10⁶ m³; Tibaldi 2001); 2) shallower, large and more frequent landslides, such as the one occurring in late December 2002, involving loose deposits and rock masses (volumes~10⁶ m³; Tommasi et al. 2005); 3) very shallow landslides, involving loose or weakly consolidated deposits (volumes ≈ 10⁵ m³), with detachment areas located in the SdF and in the slopes around the crater terrace (Di Traglia et al. 2014b). The crater terrace comprises 3 areas where eruptive vents open (Fig. 1c): the NE Crater zone (NEC), Central Crater zone (CC), and SW Crater zone (SWC). Effusive activity typically occurs every 5-15 years (Barberi et al. 1993), mainly with lava flows from flank eruptive fissures spreading along the SdF (Marsella et al. 2012; Francalanci et al. 2013). The last four effusive flank eruptions occurred in 1985-86, 2002-03, 2007, and 2014, and were characterised by crater-wall collapses during the initial phases of fissure opening (De Fino et al. 1988; Calvari et al. 2005, 2010; Martini et al. 2007; Casagli et al. 2009; Giordano and Porreca 2009; Marchetti et al. 2009; Neri and Lanzafame 2009), which formed deposits that spread within the SdF depression. Although crater-wall collapses taking place at the start of flank eruptions are normally larger than the episode described here, the triggering mechanisms are the same. We therefore believe that identifying the conditions that led to the 12 January crater-wall collapse will help us improve detecting future similar events. Given the remote and dangerous position of these deposits, located along the SdF steep slope where ballistics from the summit vents fall constantly, details on their nature and emplacement conditions are scant or absent, especially for the oldest episodes. The main features of these deposits observed at Stromboli and other volcanoes are listed in Table 1.

2.3 Previous crater-wall collapses and eruptive activity

In 1985, a small hot rock avalanche descended the SdF slope following the opening of an eruptive fissure on the NE flank of the summit cone, but more details on this event are lacking (De Fino et al. 1988; Table 1). In 2002, a hot rock avalanche accompanied the opening of an eruptive fissure on the NE flank of the summit cone, and formed a deposit that reached the shoreline ~1.7 km away (Calvari et al. 2005; Table 1). It comprised a mixture of debris from the shattered flank of the crater, lava discharged from the crater, and loose material excavated from the SdF slope, and had an initial apparent temperature of ~368°C (Calvari et al. 2005). First-hand observations reported that when the deposit reached the shoreline, a dense ash cloud accompanied by a shower of lapilli and ash fell on the eastern flank of the SdF (Pioli et al. 2008; Table 1).

Four hours before the opening of the 2007 eruptive fissures, located again on the NE flank of the summit cone (Calvari et al. 2010), an increasing number of landslides were detected on the SdF (Martini et al. 2007). The eruption was preceded by deformation of the entire summit cone (Casagli et al. 2009), and accompanied by a localized inflation during the opening of an effusive vent at 400 m elevation (Marchetti et al. 2009; Table 1). Then, during the effusive phase, the GPS and tilt stations recorded small but significant changes which, for the first time at this volcano, clearly indicated a deflation of the edifice in response to the magma discharge (Bonaccorso et al. 2008). The effusive flank eruption lasting from February to April 2007 (Barberi et al. 2009; Giordano and Porreca 2009; Calvari et al. 2010) caused an important structural change in the shallow feeding system of the volcano that involved an increase in intensity, frequency and volume of major explosions and summit lava overflows (Calvari et al. 2014). Between January 2007 and December 2012, the eruptive activity at Stromboli increased significantly. On average, there were 4.7 major explosions and 4.8 effusive episodes per year (Calvari et al. 2014), compared to the frequency of ~2 major explosions a year recorded before 2002 (Bertagnini et al. 1999), and to 1 effusive event every 5-15 years on average over the last 3 centuries (Barberi et al. 1993). On 24 December 2012, eruptive activity increased in intensity and caused the breaching of the NEC, followed by several overflows mainly from the NEC and powerful strombolian explosions (Calvari et al. 2014; Di Traglia et al. 2014a). This activity lasted until March 2013. During the initial phases of the 2014 flank eruption, the rate of displacement of the volcano's summit increased (Di Traglia et al. 2015), and a new eruptive fissure opened on the NE flank of the summit cone (Rizzo et al. 2015; Table 1), followed by a growing number of landslides and hot rock avalanches along the SdF and up to the coast, ~1.7 km away. No details are available on the hot rock avalanche deposits since they were soon covered by lava flows emerging from the eruptive

3. Materials and methods

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fissure.

3.1 Webcam network

The observation of eruptive activity at Stromboli is carried out using the Istituto Nazionale di Geofisica e Vulcanologia - Osservatorio Etneo (INGV-OE) webcam monitoring network. This comprises thermal infrared (~8-14 µm) and visible (400-700 nm) cameras (respectively SQT400 and SQV400) at 400 m elevation on the N flank of the SdF and ~800 m from the craters (Fig. 1b). To obtain a description of the eruptive activity, the total number of explosive events each day of cloud-free observation was manually counted and reported as an integer average hour value. On average, 5-9% of the days were affected by clouds and/or by system failure. In such cases data are lacking.

3.2 The GBInSAR monitoring system

The NE portion of the crater terrace of Stromboli (Fig. 1b-c) has been monitored since January 2003 (Casagli et al. 2009; Di Traglia et al. 2014b) by a GBInSAR (Ground-based synthetic aperture radar interferometry) system located in a stable area outside the SdF and ~ 1.5 km from the crater terrace (Fig. 1b). A GBInSAR is a remote sensing technique that uses a transmitting and a receiving antenna that emits microwaves and records the amplitude and phase of the backscattered signal (Rudolf et al. 1999; Luzi et al. 2004). In the configuration deployed at Stromboli, the antenna moves along a 3 m-long rail (Di Traglia et al. 2014b). Working in Ku band (17.0-17.1 mm), it has the advantage of penetrating dust clouds and working in any light and atmospheric condition. The GBInSAR measures ground displacement in its field-of-view (FOV; Fig. 1b) along the line of sight (LOS, Fig. 3a; average azimuth angle=15°) by computing, via cross correlation, the phase differences between the backscattered signals associated with two consecutive synthetic aperture radar images. The phase is elaborated with interferometric techniques to retrieve the displacement of the investigated area occurring between two acquisitions. Both the range and cross-range resolutions are on average 2 m \times 2 m, with a precision in displacement measurements of less than 1

mm. Negative and positive values of displacement indicate, respectively, a movement toward and away from the sensor corresponding to either inflation (negative values) or deflation (positive values) of the summit cone (Di Traglia et al. 2015). The system combines all the 8-hour averaged images, "stacking" the phase of the formed interferograms (Zebker et al. 1997; Antonello et al. 2004; Intrieri et al. 2013; Di Traglia et al. 2014b). Interferometric stacks highlight persistent deformation whereas other random signals, like atmospheric anomalies, are suppressed (Pinel et al. 2014). This approach is appropriate when the deformation is episodic with no change in source parameters over time, as observed by Di Traglia et al. (2015) at Stromboli. The capability of SAR interferometry to measure volcano deformation depends on the persistence of phase coherence over the investigated time intervals (Lu et al. 2002, 2010), and also on the type of surface material, which is an important factor affecting interferometric coherence (Lu et al. 2002, 2003). The loss in coherence is primarily due to fast ground movements, such as the emplacement of lava flows or debris avalanches (Di Traglia et al. 2013, 2014b). Here, the loss in phase coherence is used to delimit the area affected by continuous erosion/deposition of material from areas influenced by volcano deformation. Areas of high coherence are the external flank of the crater terrace and the SdF (Fig. 2), whereas the NEC talus (Fig. 1c) shows very low coherence even at short-interval interferograms (< 1 day). Moreover, for interferometric analysis a coherence threshold equal to or above 0.8 is required to consider the information on the deformation reliable (Di Traglia et al. 2014b). The GBInSAR time series extracted in this study derives from an area located on the external part of the crater terrace characterised by high-coherence (Fig. 3a, left inset), whose measurements over time have displayed the best consistency and accuracy (Di Traglia et al. 2014c, 2015). Backscattered intensity of each image, derived from real (i) and imaginary (q) parts of the complex SAR data was transformed in amplitude image and then decibel scaled, converting the data with a virtual band with the equation $10 \times \log_{10}$ (Amplitude). The amplitude is proportional to the energy reflected by the targets and depends on characteristics such as dielectric properties of the reflecting

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materials, their orientation with respect to the receiving antenna (which in turn is related to the smoothness or roughness of the surface) and meteorological conditions. From amplitude squared it is possible to produce power images. They are useful to observe and quantify geomorphological changes of a topographic surface (Wadge and Haynes 1998; Macfarlane et al. 2006; Wadge et al. 2002, 2008, 2011, 2014), such as, for the case of Stromboli, formation and changes of the NEC notch or growth of NEC talus (Di Traglia et al. 2014a). In order to quantitatively evaluate the changes in the NEC's morphology, daily-averaged power images produced by the GBInSAR were used. The system produces an image every 11 minutes, therefore ~130 of them are used to form an averaged one to make a day. This process increases the signal to noise ratio and therefore facilitates the interpretation. Since the NEC rim produces a shadow zone corresponding to the crater depression, it is possible to calculate the area of the crater itself as it changes over time (Fig. 3a). The precision of the measurements is affected by pixels size (increasing with distance) and by the amplitude of the backscattered signals, which is not constant with time, thus causing uncertainty when determining the boundary of the crater area. When the areal extent of the crater depression can be assumed stable (that is during periods of low volcanic activity) it is possible to calculate the precision (standard deviation) of such method. For this application the standard deviation has been calculated equal to 80 m². To map the area affected by the 12 January crater-wall collapse, a threshold was set at ~55 dB. The background topographic data are represented by a very high resolution Digital Elevation Model (DEM) with a spatial resolution of 50 cm provided by the Italian Department of Civil Protection (DPC) to University of Florence. This DEM was obtained elaborating the 3D data (8 pt/m²) acquired during the airborne laser scanning survey carried out from 4 to 18 May 2012 by BLOM Compagnia Generale Riprese aeree S.P.A. (www.blomasa.com). The data were acquired using the Leica ADS80 sensor, whose instrumental vertical and horizontal accuracy is $\pm 10/20$ cm and ± 25

cm, respectively. The map was generated using an ESRI platform.

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4. Results

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Several lava overflows occurred between 7 and 16 January 2013, spreading down the SdF slope, as 265 detected from the webcam monitoring system. At this time, the NEC zone comprised two main 266 cones and a hornito (NEC1, NEC2 and NEC hornito, Figs. 1c, 3a) on the crater rim, whose 267 powerful strombolian activity caused both their fast growth as well as frequent fallout of bombs on 268 the upper SdF, thus increasing the volume of a wide and thick talus (NEC talus, Figs. 1c, 3a, 4a) 269 formed after the 2007 eruption on the N outer flank of the crater area. 270 Early on 11 January 2013, two small lava flows emerged from two vents at the base of the NEC 271 272 (Fig. 4b). At this stage, lava was intruding through the crater wall, largely formed of spatter 273 agglutinate and minor lapilli. Explosive activity increased during the day together with spattering from the NEC hornito. At ~23:40 (all times are UT), a ~15 m wide lava flow erupted from a saddle 274 between the NEC hornito and NEC2 (Figs. 4c-d), and began to spread northward along the NEC 275 talus. At 05:44 on 12 January, powerful ~150 m high explosions occurred within the crater (Fig. 276 4e). At ~11:14, there was the sudden failure of the NEC wall, forming an extensive, vertically 277 rising, brown dust cloud. The strong wind drove the ash cloud rapidly upwards and southwards, and 278 heavy ash fall was reported along the margins of the SdF and in the eastern sector of the island, 279 280 reaching Stromboli village (Di Traglia et al. 2014a) and dissipating in the following hour. Based on the images recorded by the visible and thermal webcams, we estimated that the maximum 281 height of the collapsed crater wall, referred to here as the vertical elevation lost by the crater rim, 282 was ~20-30 m (Figs. 4f-g). Power images allowed estimating that ~2400 m² were involved in the 283 collapse and therefore the volume of rocks falling during the crater-wall failure was $\sim 10^5$ m³. 284 After the NEC failure, a significant effusive event took place between 13 and 16 January, with a ~5 285 m thick lava overflowing from the saddle between NEC1 and NEC2 and forming 3-4 lava branches 286

along the SdF. This saddle was wider and deeper than before (Fig. 4g) because of the removal of the

crater rim following the 12 January crater-wall collapse, and the lava flow eventually drained the magma from the crater depression. Several lava flows erupted again between 8 and 18 February and between 27 February and 2 March from the NEC saddle, forming several lava branches on the upper SdF.

The 2012-13 activity was accompanied by a long-term inflation of the summit vents area as detected from GBInSAR (Fig. 3b), with an estimated accumulated magma volume in the period 8 August 2012 - 8 March 2013 of $\sim 9.61 \pm 5.34 \times 10^4$ m³ and an average accumulation rate of $\sim 5.25 \pm 2.92 \times 10^{-3}$ m³s⁻¹ (Di Traglia et al. 2015).

Figure 5 shows a comparison between the trend of explosive activity obtained from the webcam monitoring network (Fig. 5a), the inflation-deflation of the summit cone as revealed by the displacements recorded by the GBInSAR (Fig. 5b), and the crater area obtained from GBInSAR power images (Fig. 5c). Several lava overflows from the summit cone occurred in December 2012 and January 2013 (red triangles in Fig. 5). During the period of no lava emission, the NEC produced intense spattering. Effusive activity happened again on 11–27 February 2013, with overflows and intense spattering activity from the NEC (Calvari et al. 2014; Di Traglia et al. 2014a).

The period considered here (8 December 2012 - 8 March 2013, Fig. 5) can be divided into five phases. The first phase, from 8 to 27 December 2012, corresponded to a great variability in the number of explosions from the summit vents (3-16 explosions/hour, Fig. 5a), and to a short-term inflation of the summit cone (Fig. 5b). The crater area was roughly constant (~700 m²) until 13 December 2012 (Fig. 5c, grey arrow indicating crater widening), when it began to increase almost regularly up to 1670 m² on 6 January 2013, six days before the crater-wall collapse. The second phase, lasting from 27 December 2012 to 12 January 2013, displayed a marked and regular increase in explosive activity (from 3 to 13 explosions/hour, Fig. 5a) interrupted by jumps associated with lava overflows from the summit (red triangles in Fig. 5). A short-term deflation occurred between

the end of the first and the start of the second phase, caused by several lava flows (Fig. 5b). The second phase was characterised by a faster but more variable crater area widening (Fig. 5c, grey arrow indicating fast crater widening), and on 7 January 2013 the crater underwent an enlargement toward the talus at its base, reaching an area of ~2380 m² (Fig. 5c). This second phase culminated with the 11-12 January lava flows and with the 12 January crater-wall collapse (Fig. 5). After the crater-wall collapse and until 15 January (third phase), there was a remarkable variability in the explosive rate (Fig. 5a), a rapid deflation of the summit cone (Fig. 5b) as well as a faster widening of the crater area (Fig. 5c) that culminated with a maximum of 2870 m² recorded on 15 January. This was a consequence of the significant lava flow output lasting between 13 and 16 January that drained the uppermost conduit, causing a sudden variability in explosive activity and an erosion of the crater. The fourth phase displayed a highly variable explosive rate (5-14 explosions/hour, Fig. 5a), an initial short-term deflation of the summit cone (Fig. 5b), and a faster crater area narrowing (Fig. 5c) until 21 January. The much longer fifth phase lasted until 3 March and showed slight oscillations but almost stable values of all parameters, with several small lava overflows from the summit cone between 8 and 18 February (Fig. 5), and the recovery of the deflation of the summit cone on 15 February (Fig. 5b), when the lava flow output almost ended but the explosive activity was still high. A gradual shrinking of the NEC crater area started from 18 January (Fig. 5c), with the formation of a lower crater rim. No further crater-wall collapse events occurred during this stage.

5. Discussion and concluding remarks

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Combining the results of this work with previously published data, a model linking shallow magma accumulation and migration beneath the vents, eruptive activity, and slope instability is presented. Di Traglia et al. (2015) indicated the presence of a shallow magma storage at \sim 482 \pm 46 m a.s.l. (268 \pm 46 m beneath the crater terrace). Changes in the magma rise and accumulation rate at shallow level at persistently erupting volcanoes are common, and are generally related to the

reservoir pressure and the density contrast between magma and host rocks (Coppola et al. 2012; Bagnardi et al. 2014; Patrick et al. 2015). At Stromboli, evidence of deep supply was detected at the end of 2009, and perhaps also at the end of 2008, by peaks of fumarole temperature, and CO₂ and SO₂ signals, as reported by Calvari et al. (2014). Magma accumulation within the shallow storage zone at Stromboli produced inflation of the crater terrace, with periods (2-3 months) of higher displacement rate recorded by the GBInSAR, coherent with higher-magma accumulation rate (Di Traglia et al. 2015). These phases of high accumulation rate are also testified to by the fluctuations of radiative power detected by MODIS (Moderate Resolution Imaging Spectroradiometer; Coppola et al. 2012) and eruptive activity (Calvari et al. 2014). On the other hand, short-term variations (hours to days) in the displacement rate were well correlated with changes in seismic tremor, allowing Di Traglia et al. (2014c) to determine that the coupling between the deformation of the crater terrace with tremor amplitude is related to the variation in magma level within the uppermost part of the conduit. This in turn is related to increasing magma vesiculation (i.e. decreasing magma density), which implies enhanced degassing activity. Di Traglia et al. (2014c) also showed that both the ground deformation and serial increase in tremor amplitude happen before (between 2 and 6 days) the series of very-long-period (VLP) seismic signals. The increasing rate of overall volume change associated with VLP signals before inflation implies that slug formation, release and burst (identified by the VLPs) precede the steady state bulk transfer of outgassed volatiles.

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Using an integrated monitoring network comprising visible and thermal webcams as well as GBInSAR, this paper goes beyond this model, identifying the relationship between the volcano behaviour and associated small-scale (type 3 landslide) slope instability phenomena. Although the size of the 12 January 2013 event was smaller than the failures accompanying the start of flank eruptions (Casagli et al. 2009; Marchetti et al. 2009), our data suggest that the processes triggering it were very similar. On the basis of the analysed data, we propose a sequence of events that led to crater-wall collapse. The 12 January 2013 crater-wall collapse event occurred in a period of long-

term inflation of the summit area (Fig. 3b), and was preceded by an increasing level of explosive activity at the summit vents (Fig. 5a, second phase), associated with oscillating daily displacement (Fig. 5b), and with widening of the crater area (Fig. 5c). All the data presented here point to a sequence of events that, when combined, led to crater-wall instability and collapse.

5.1 Rapid crater growth and weakness

The NEC portion of the summit cone at Stromboli built up very quickly over a period of months as a consequence of powerful explosive activity that led to greater deposition of scoria and cinders. The crater wall was very weak, , however, being essentially made of loose spatter and smaller amounts of ash (Fig. 4g). During each NEC explosion, high temperature points were observed expanding through the vents opened on the NE rim of the NEC (Fig. 4c), which allowed us to recognize that the crater wall was already fractured at its base and thus prone to fail at several points. These vents erupted small lava lobes (Fig. 4b), further eroding the crater wall at several points and indicating that the ~20-30 m uppermost portion of the NEC was extensively fractured before the 12 January crater-wall collapse. This is comparable to similar episodes described at other volcanoes, such as Etna, Montserrat, and Chaîne Des Puys (Guest et al. 1974; Calvari and Pinkerton 2002; Sparks et al. 2002; Voight et al. 2002; Behncke et al. 2003, 2008; Petronis et al. 2013).

5.2 Increasing magma level

The 12 January 2013 crater-wall collapse occurred during a period characterised by an increasing average number of explosions per hour and inflation of the summit cone (Figs. 5a and 3b). Calvari et al. (2005, 2010), Burton et al. (2008). Ripepe et al. (2009) have argued that the number of explosions per hour is an indirect measure of magma input rate and magma level within the conduit, where an increasing magma level may be associated with more violent and frequent explosive activity. Casagli et al. (2009) and Di Traglia et al. (2014b, 2015) have shown that GBInSAR at Stromboli recorded inflation before the opening of effusive vents, also confirmed by tiltmeters

(Marchetti et al. 2009). Thus, a period characterised by greater explosive activity and inflation of the summit cone corresponds to an increasing magma level within the conduit and hence also to a higher magmastatic pressure, this being the pressure exerted by the magma filling in the conduit (Elsworth and Day 1999; Melnik and Sparks 2002; Battaglia et al. 2011; Di Traglia et al. 2014b; Patrick et al. 2015). This condition implies that more accumulated energy has become available to the system to fracture the summit cone (Di Traglia et al. 2015). The initiation and propagation of a fracture depend on the potential energy stored in a volcanic edifice when it is loaded (Gudmundsson 2009, 2012), which is primarily related to inflation of the shallow magma storage area (Browning et al. 2015; Di Traglia et al. 2015). High magma levels within the conduit also accompanied craterwall collapse at Arenal and Montaserrat, even in the absence of explosive activity (Alvarado and Soto 2002; Sparks et al. 2002; Voight et al. 2002; Cole et al. 2005). The cyclic stresses represented by magmastatic pressure variation and frequent explosions also had the effect of weakening the crater wall, because they caused fatigue and therefore reduced strength of the rock mass so that it could fail at a lower stress (Erarslan and Williams 2015).

5.3 Lava flows and causes of crater-wall collapse

A third cause of the failure was the emission of lava flows, from early 11 January 2013, thus ~1.5 days before the failure and where the failure occurred, from vents located ~20-30 meters below the NEC rim. This was accompanied by strong explosive activity that continued within the crater (Figs. 4b-e), and indicates that the magma level within the conduit remained high and near the crater rim, as observed in Figure 3b-e and confirmed by direct observations. Magma fingering at the base of cinder cones has been recognised by geological studies as a cause of crater-wall failure, causing weakening and formation of failure planes (Houghton and Schmincke 1989; Baer 1991; Sparks et al. 2002; Voight et al. 2002; Petronis et al. 2013; Geshi and Neri 2014).

All these processes further diminished the integrity of the crater wall and triggered the failure of $\sim 10^5 \text{ m}^3$ rock. Following the collapse event, there was rapid reconstruction of the crater wall when coarse spatter accumulated on the crater rim during intense explosions that characterized the 16-18 January interval, also demonstrated by direct observation (Di Traglia et al. 2014a). These observations are a valuable demonstration of how a changing magmatic system can destabilize portions of an edifice, with hazardous results.

A few hours before the collapse, landslides started to occur on the NE flank of the NEC with increasing frequency until the failure of the NEC wall. The 12 January 2013 crater-wall collapse took place during a long-term inflation of the summit cone (Fig. 3b) and marked the transition between a short-term inflation and a short-term deflation of the summit cone (Fig. 5b). The short-term deflation reached its climax on 15 January 2013 (Fig. 5b), concomitant with emptying of the summit portion of the volcano due to the 13-15 January 2013 overflows. Following these overflows, inflation occurred again at a higher rate than before (Fig. 5b), causing high variability in the average number of explosions per hour (Fig. 5a), but no further crater-wall collapse.

After this, even though the daily average number of explosions remained at pre-12 January frequency (Fig. 5a), they did not produce the same destructive effects as before on the crater wall. This was because deposition of new material, of greater strength, produced more-robust crater walls; there was also an absence of simultaneous short-term inflation (Fig. 5b), and thus an absence of high magmastatic pressure within the conduit. Edifice stressing due to short-term inflation and powerful explosive activity significantly reduces the static elastic moduli of volcanic rocks, while healing and consolidation can account for the renewal of strength of the volcanic edifice (Heap et al. 2009, 2011; Carrier et al. 2015).

These data all suggest that the crater-wall collapse described here was closely related to the simultaneous occurrence of several things; there was: 1) weakness of the summit cone, 2)

increasing explosion rate and short-term inflation of the summit cone, with these last two both indicating high magmastatic pressure within the conduit, and 3) weakening of the cone by magma fingering. The combination of these things therefore represents a critical condition for potential triggering of a crater-wall failure that evolves into landslides or hot avalanches along the SdF.

5.4 The 12 January crater-wall collapse in the context of previous failures at Stromboli

Since 1985, all flank eruptions at Stromboli have been heralded by the collapse of a portion of the summit cone, causing landslides or hot avalanches along the SdF (De Fino et al. 1988; Calvari et al. 2005, 2010; Martini et al. 2007; Giordano and Porreca 2009; Neri and Lanzafame 2009; Ripepe et al. 2009). Although the 12 January 2013 event is smaller than the failures accompanying the start of flank eruptions, the processes that triggered it are substantially similar to those occurring at the start of flank eruptions. As an example, before the 7 August 2014 flank eruption at Stromboli, several crater-wall collapses took place, with an increase in magnitude and frequency with time. This happened together with a major inflation of the system, testifying to the considerable accumulation of magma $(1.24\pm6.88 \times 10^{-2} \text{ m}^3\text{s}^{-1})$ at very shallow level.

The combination of factors identified in this work could be a general mechanism for the generation of crater-wall collapses, also applicable to other and more dangerous volcanoes, such as Arenal Volcano in Costa Rica (Alvarado and Soto 2001; Cole et al. 2005), El Misti in Peru (Cobenas et al. 2012), Merapi in Indonesia (Gertisser et al. 2011), Colima in Mexico (Savov et al. 2008), Mt. Etna in Italy (Calvari and Pinkerton 2002; Behncke et al. 2003, 2008), or Soufrière Hills on Montserrat (Sparks et al. 2002; Voight et al. 2002). These observations are a valuable demonstration of how changes to a magmatic system can destabilize portions of the edifice, with hazardous results. Tracking of such changes has proven a valid tool for forecasting the occurrence of failures, and could possibly be applied to other volcanoes.

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Figure captions

Figure 1. a) Stromboli and the Aeolian Archipelago in Southern Italy. b) Perspective view of Stromboli Island from the north. Locations and fields of view of the GBInSAR and of the visible (SQV400) and thermal (SQT400) cameras used for this study are indicated by the triangles. c) View from north of the summit area of Stromboli volcano, comprising the summit crater terrace and the talus below the North-East crater area (NEC talus). In the crater terrace three different vent areas were identified: the North-East crater (NEC), Central Crater (CC) and South-West crater (SWC). Within the NEC area three different vents were recognized: NEC1, NEC2 and NEC hornito. The background topographic data are represented by a very high resolution Digital Elevation Model (DEM) with a spatial resolution of 50 cm. This DEM was obtained by elaborating the 3D data (8 pt/m²) acquired during the airborne laser scanning survey carried out from 4 to 18 May 2012 by BLOM Compagnia Generale Riprese aeree S.P.A. (www.blomasa.com). The data were acquired using the Leica ADS80 sensor, whose instrumental vertical and horizontal accuracy is ± 10/20 cm and ± 25 cm, respectively. The map was generated using an ESRI platform.

Figure 2. GBInSAR interferogram calculated between 12 and 13 January 2013 (left) and coherence map calculated for the same period (right) highlighting high-coherence areas (yellow in the coherence map to the right, red in the interferogram on the left), where displacements are measurable, and low-coherence areas, where morphological changes were measured through power image analysis (speckled areas on both the interferogram and the coherence map).

Figure 3. a) View of the vent area overlaid with the GBInSAR cumulative displacement map between 24 December 2012 and 15 January 2013. Negative (yellow and red) and positive (blue)

values represent movement towards and away from the sensor, respectively, corresponding to inflation and deflation of the summit portion of the volcano. The movement of the reference point of this portion (black dot) is represented in Fig. 4b. The inset on the upper left of the image shows the crater area (red outline) whose variability is shown in Fig. 4c. b) GBInSAR cumulative displacement time series relative to the vents area. The occurrence of overflows is also reported. The time-series analysis revealed that the 12 January 2013 crater-wall collapse occurred in a period of long-term inflation. The only remarkable deflation occurred soon after the crater-wall collapse, as a consequence of the 13 to 15 January overflows.

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Figure 4. a) 1 January 2013 visible image recorded at 08:16 from the SQV camera. The vertical field of view is ~700 m. The yellow square indicates the area framed (and magnified) by thermal and visual images b, c, e. b) 11 January 2013 thermal image recorded at 09:01:52 showing two small lava flows erupted from vents at the base of the NEC wall, and a powerful explosion taking place from the NEC hornito. c) 12 January 2013 visible image (magnified) recorded at 00:08:16, showing a lava flow emerging from the saddle on the NEC crater rim, and two vents at the base of the NEC wall. Explosions taking place within the NEC were visible through the vents on the E rim of the NEC, testifying that the crater rim, made up of loose spatter rapidly accumulated during the powerful explosive activity occurring before the 12 January crater-wall collapse, was fractured. d) 12 January 2013 visible image (real size, same as a) recorded at 04:17:44, showing powerful explosions from NEC, a lava flow emerging from the saddle of the crater rim, and bombs fallout and small landslides occurring from the crater rim and causing incandescent landslides on the NEC outer flanks triggered by spatter fallout. e) 12 January 2013 thermal image, recorded at 05:44:28, showing a strong explosion from NEC and a lava flow spreading along the SdF. f) 13 January 2013 visible image (magnified), recorded at 08:07:42, displaying the morphological change of the NEC crater rim resulting from crater-wall failure. g) Photo courtesy of Domenico Mangione (DPC) taken from NE on 14 January 2013 displaying the crater wall made up of loose spatter, the NEC-hornito, and the new crater rim (shown by yellow arrows) following the 12 January crater-wall collapse.

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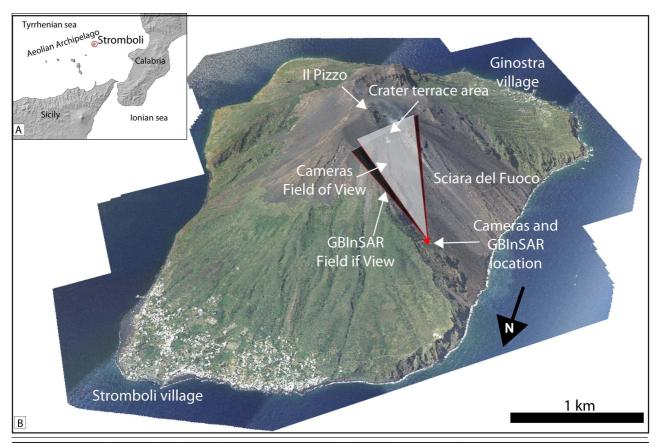
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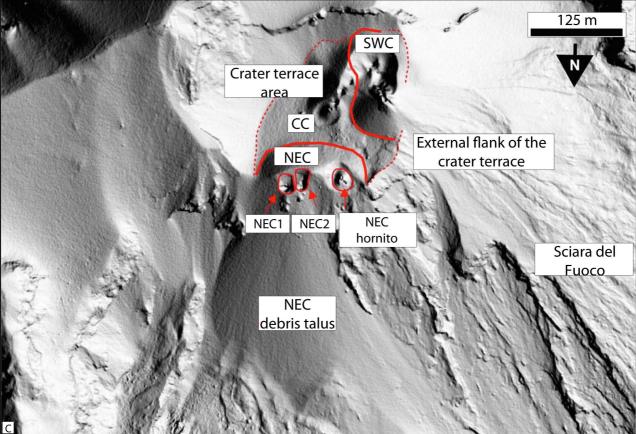
Figure 5. Multiparameter plot of summit cone behaviour from 8 December 2012 to 8 March 2013. The five phases that we have recognised in this period are indicated by Roman numerals. The occurrence of overflows is shown with red triangles, and the 12 January 2013 crater-wall collapse is indicated with the black arrow. a) Explosion rate time series measured by the SQT400 thermal camera. b) Daily displacement time series along the GBInSAR line of sight (LOS, Fig. 2a), which is representative of the behaviour of the NEC summit cone. Negative and positive values of displacement indicate, respectively, a movement toward and away from the sensor corresponding to either inflation (negative values) or deflation (positive values) of the summit cone (Di Traglia et al. 2014b). Data were standardized ($x_n = x_u \mu / \sigma$; where x_n is the standardized data, x_u is the original data, μ is the mean and σ is the standard deviation of the time series). Horizontal lines at ± 1 and ± 2 represent σ and 2σ of the standardized time-series, respectively. Significant short-term inflation episodes were recorded in the periods: 20-22 December 2012, 15 February 2013 and from 28 February 2013 until the end of the considered period (8 March 2013). Noteworthy short-term deflation occurred in the periods: 26-30 December 2012 and 12-18 January 2013. The last shortterm deflation was the strongest of the entire analysed period. c) Daily NEC area measured using GBInSAR power images. The 12 January 2013 crater-wall collapse occurred in a period of fast crater enlargement, owing to the weakening and erosion due to the on-going eruptive activity.

Table 1 - Comparison among key parameters of crater-wall collapse deposits at Stromboli and other volcanoes

Volcano	Date	Deposit	Size	Notes	Reference
Vesuvius	1944	Avalanche	1.3 km long and ~1 × 10° m° in volume	Occurred after several lava fountains and triggered by seismicity where lava and pyroclastics loaded the slopes	Hazlett et al. 1991; Cole and Scarpati 2010;
Stromboli	1985	Small hot avalanche	unknown	Followed the opening of an eruptive fissure on the NE flank of the summit cone	De Fino et al. 1988
Arenal	1987- 2001	Pyroclastic flows	up to 3.0 km long and 2.2±0.8 × 10° m° in volume	Generated by column collapse, craterwall collapse, and lava flow output from the summit pool	Alvarado and Soto 2002; Cole et al. 2005
Montserrat	1997	Pyroclastic flows and debris avalanches	up to 7 km long and up to 50 × 10° m² in volume	Dome and crater-wall collapses generated by gravitational instability; great erosive capacity; larger flows breached the valley walls	Cole et al. 1998, 2002; Sparks et al. 2002; Voight et al. 2002
Etna	1999	Pyroclastic avalanches, hot mass flows and avalanches, rock falls	up to 0.7 km long	Following a period of intense explosive activity and generated by gravitational instability of pyroclastics and of a summit lava dome and involving outward thrusting of a section of the Bocca Nuova crater rim	Calvari and Pinkerton 2002; Behncke et al. 2003
Stromboli	2002	Hot avalanche	20 m thick close to the vents, 4-5 m thick at the coast, ~ 1.7 km away	Accompanied the opening of an eruptive fissure on the NE flank of the summit cone, and formed a deposit that reached the shoreline (1.7 km away) where it was ~4-m-thick	Calvari et al. 2005; Lodato et al. 2007; Pioli et al. 2008
Etna	2006	Pyroclastic density currents	up to 1 km long	Collapse of parts of the SE-Crater during the opening of a large fracture on the flank of the cone	Behncke et al. 2008
Stromboli	2007	Landslides of hot debris	Unknown	Inflation of the summit cone climaxed with landslides along the SdF, dike intrusion, breaching of the summit cone, localized inflation, and effusive vent opening at 400 m a.s.l.	Martini et al 2007; Casagli et al. 2009; Marchetti et al. 2009; Calvari et al. 2010
Stromboli	2014	Unknown	Unknown	Increase in the rate of displacement at the summit and eruptive fissure	Di Traglia et al. 2015; Rizzo et

		opening on the NE flank of the summit	al. 2015
		cone	





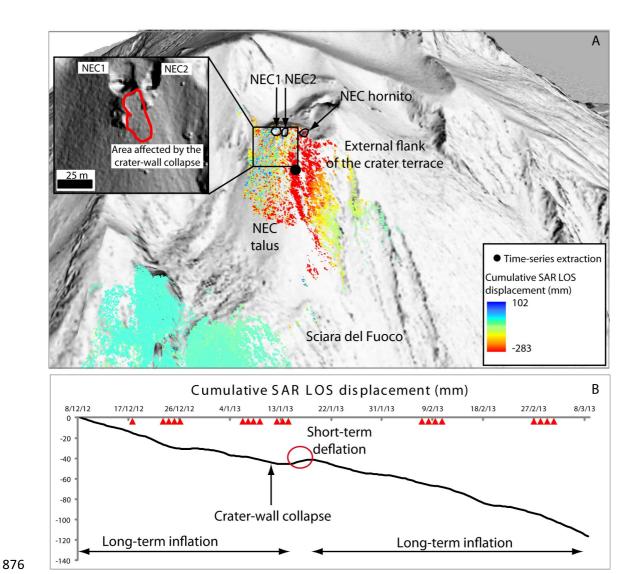


Figure 2

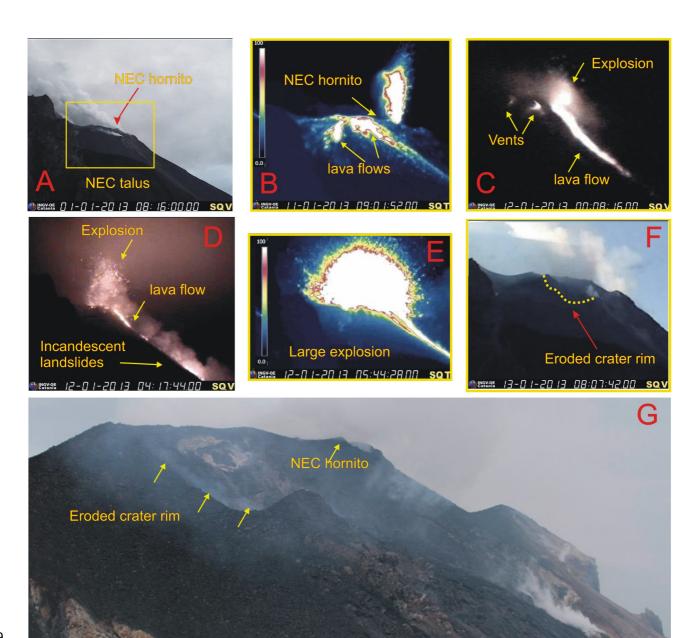
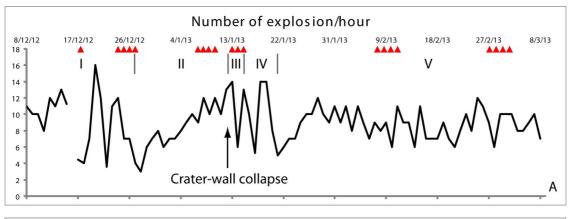
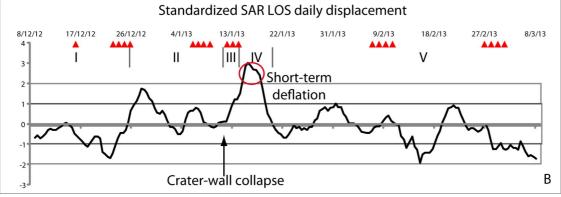


Figure 3





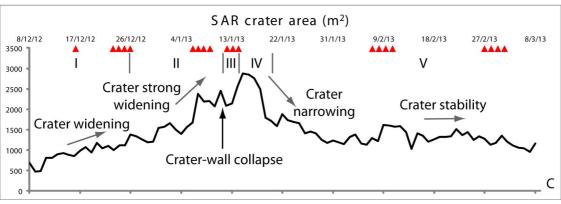


Figure 4

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- Monitoring crater-wall collapse and pyroclastic density currents at active volcanoes: a study of the 12
- 887 January 2013 event at Stromboli
- 888 Calvari S., Intrieri E., Di Traglia F., Bonaccorso A., Casagli N., Cristaldi A.
- 1 Istituto Nazionale di Geofisica e Vulcanologia, Osservatorio Etneo Sezione di Catania, Piazza Roma 2,
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1. **Methods**

894 1.1 Webcam network

The observation of eruptive activity at Stromboli is carried out using the Istituto Nazionale di Geofisica e Vulcanologia - Osservatorio Etneo (INGV-OE) webcams monitoring network. This comprises thermal infrared (\sim 8-14 μ m) and visible (400-700 nm) cameras located at II Pizzo (Fig. 1B), at 900 m elevation and \sim 250 m from the craters, plus visible and thermal infrared cameras (respectively SQV400 and SQT400) at 400 m elevation on the N flank of the SdF and \sim 800 m from the craters (Figure 1B). During January-March 2013, the recording of the eruptive events was done using the SQV400 and SQT400 cameras, which view the NEC and the upper eastern sector of the SdF from NE (Fig. 1B). To obtain a description of the eruptive activity, the total number of explosive events that occurred during each day of cloud-free observation was manually counted and reported as an integer average hour value (Fig. 4A). On average, 5-9% of the days were affected by clouds and/or by system failure. In such cases data are lacking.

1.2 The GBInSAR monitoring system

The NE portion of the crater terrace of Stromboli (Figure 1B-C) has been monitored since January 2003 (Casagli et al. 2009; Di Traglia et al. 2014b) by a GBInSAR system located in a stable area outside the SdF and ~ 1.5 km from the crater terrace (Figure 1B). A GBInSAR is a remote sensing instrument consisting of a transmitting and a receiving antenna that emits microwaves and records the amplitude and phase of the backscattered signal (Rudolf et al. 1999; Luzi et al. 2004). The capability of SAR interferometry to measure volcano deformation depends on the persistence of phase coherence over the investigated time intervals (Lu et al. 2002, 2010), and also on the type of surface material, which is an important factor affecting interferometric coherence (Lu et al. 2002, 2003). The loss in coherence is primarily due to fast ground movements, such as the emplacement of lava flows or debris avalanches (Di Traglia et al. 2013, 2014b). Here, the loss in phase coherence is used to delimit the area affected by continuous erosion/deposition of material from areas influenced by volcano deformation. Areas of high coherence are the external flank of the crater terrace and the Sciara del Fuoco (Fig. SM1), whereas the NEC talus (Fig. 1C) shows very low coherence even at short-interval interferograms (< 1 day). Moreover, for interferometric analysis a coherence threshold equal to or above 0.8 is required to consider the information on the deformation reliable (Di Traglia et al. 2014b).

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The amplitude is proportional to the energy reflected by the targets and depends on characteristics such as dielectric properties of the reflecting materials, their orientation with respect to the receiving antenna (which is in turn related to the smoothness or roughness of the surfaces) and meteorological conditions. From amplitude squared it is possible to produce power images. They are useful to observe and quantify geomorphological changes of a topographic surface (Wadge and Haynes 1997; Macfarlane et al. 2006; Wadge et al. 2002, 2008, 2011, 2014), such as, for the case of Stromboli, formation and changes of the NEC notch or growth of NEC talus (Di Traglia et al. 2014a).

In order to quantitatively evaluate the changes in the NEC's morphology, daily-averaged power images produced by the GBInSAR were used. The system produces an image every 11 minutes, therefore ~130 of

them are used to form an averaged one. This process increases the signal to noise ratio and therefore facilitates the interpretation. Since the NEC rim produces a shadow zone corresponding to the crater depression, it is possible to calculate the area of the crater itself as it changes over time (Fig. 2A). The precision of the measurements is affected by pixels size (increasing with distance) and by the amplitude of the backscattered signals, which is not constant with time, thus causing uncertainty when determining the boundary of the crater area. When the areal extent of the crater depression can be assumed stable (that is during periods of lower volcanic activity) it is possible to calculate the precision (standard deviation) of such method. For this application the standard deviation has been calculated equal to 80 m². To map the area affected by the 12 January crater-wall collapse, a threshold was set at ~55 dB.

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In the configuration deployed at Stromboli, the antenna moves along a 3 m-long rail (Di Traglia et al. 2014b). Working in Ku band (17.0-17.1 mm), it has the advantage of penetrating dust clouds and working in any light and atmospheric condition. The GBInSAR measures ground displacement in its field-of-view (FOV; Fig. 1B) along the line of sight (LOS, Fig. 2A; average azimuth angle=15°) by computing, via cross correlation, the phase differences between the backscattered signals associated with two consecutive synthetic aperture radar images. The phase is elaborated with interferometric techniques to retrieve the displacement of the investigated area occurring between two acquisitions. Both the range and cross-range resolutions are on average 2 m × 2 m, with a precision in displacement measurements of less than 1 mm. Negative and positive values of displacement indicate, respectively, a movement toward and away from the sensor corresponding to either inflation (negative values) or deflation (positive values) of the summit cone (Di Traglia et al. 2014b). The system combines all the 8-hours averaged images, "stacking" the phase of the formed interferograms (Zebker et al. 1997; Antonello et al. 2004; Intrieri et al. 2013; Di Traglia et al. 2014c). Interferometric stacks highlights persistent deformation whereas other random signals, like atmospheric anomalies, are suppressed (Pinel et al. 2014). This approach is appropriate when the deformation is episodic with no change in source parameters over time, as observed at Stromboli by Di Traglia et al. (2015). The time series extracted in this study derives from GBInSAR P3 control point (black dot in Fig. 2A) located on a high-coherence area (the external part of the crater terrace), whose measurements over time have displayed the best consistency and accuracy.

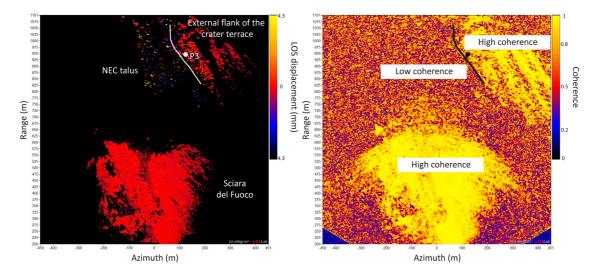


Figure SM1. GBInSAR interferogram calculated between 12 and 13 January 2013 (left) and coherence map calculated along the same period (right) highlighting high-coherence areas (yellow in the coherence map to the right, red in the interferogram on the left), where displacements are measurable, and low-coherence areas, where morphological changes were measured through power image analysis (speckled areas on both the interferogram and the coherence map).

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